

**2016-17**  
**Assembly Budget Proposal**



## INTRODUCTION

Section 54 of the Legislative Law requires, among other things, a “comprehensive, cumulative report” to be made available to Members of the Assembly prior to action on budget bills advanced by the Governor. The following *“Summary of the Assembly Recommended Changes to the Executive Budget”* is prepared by Ways and Means Committee staff and is intended to provide a concise presentation of all additions, deletions, re-estimates and policy changes that are provided in the Assembly proposal, embodied in Assembly Resolution E. 1047. The budget proposal of the Assembly Majority addresses each appropriation, as well as programmatic language that was first advanced in the Executive Budget.



**OVERVIEW OF ASSEMBLY BUDGET PROPOSAL  
State Fiscal Year 2016-17**

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# **Financial Plan Overview**



## Financial Plan

### All Funds

The All Funds Budget is the broadest measure of spending; accounting for unrestricted and restricted state funds as well as funds received from the federal government.

The Assembly proposes an All Funds Budget of \$156.8 billion for State Fiscal Year (SFY) 2016-17, which is \$2.1 billion higher than the Executive proposal. The SFY 2016-17 Assembly All Funds Budget is \$4.6 billion over the projected spending level for SFY 2015-16.

**Table 1**

<b>NYS Assembly Disbursements - Difference from Executive (\$ in Millions)</b>				
	SFY 2015-16 Executive	SFY 2016-17 Executive	SFY 2016-17 Assembly	Difference
General Funds	72,583	70,636	71,204	568
State Operating Funds	94,289	95,898	97,804	1,906
State Operating Funds Adjusted*	94,289	95,898	96,317	419
State Funds	102,153	105,276	107,433	2,157
All Funds	152,078	154,580	156,726	2,146

\* Includes restoration of cost shifts to the City of New York (\$573 million), school aid (\$838 million) and child care (\$75 million).

Notably, All Funds spending includes extraordinary federal aid of \$1.1 billion for Superstorm Sandy relief, \$6.8 billion for the Affordable Care Act and 1.3 billion for capital spending from monetary settlement with financial institutions. Absent these funds, year over year disbursement growth would be 2.7 percent.

The Assembly projects receipts on an All Governmental Funds basis of \$152.9 billion, which represents a decrease of \$837 million or 0.5 percent below SFY 2015-16 estimates. The All Funds receipts assume a \$2.37 billion decrease in Miscellaneous Receipts due to the \$4.9 billion in settlements received in SFY 2015-16.

## **State Funds**

State Funds spending is comprised of disbursements from the General Fund, Debt Service Funds, Capital Projects Funds and Other State Funds. State Funds spending under the Assembly proposal is projected to total \$107.4 billion in SFY 2016-17, representing an increase of \$5.2 billion or 5.2 percent over SFY 2015-16. The Assembly's State Funds spending estimate for SFY 2015-16 is \$2.1 billion above the Executive's estimate.

The Assembly projects State Funds receipts in SFY 2016-17 will total \$101.6 billion, an increase of \$353 million or 0.3 percent from SFY 2015-16.

## **State Operating Funds**

The State Operating budget includes all State spending from the General Fund, State Special Revenue Funds, and Debt Service Fund and excludes Capital Projects Funds and Federal spending. The Assembly proposal assumes State Operating Funds spending of \$97.8 billion, an increase of \$3.5 billion or 3.7 percent over SFY 2015-16. This growth is primarily attributable to an increase in General Support for Public Schools and Child Care as well as a denial of nearly \$1 billion in cost shifts to New York City. Excluding these disbursements, the State Operating Fund grows by 2.15 percent. In SFY 2016-17, State Operating Fund receipts are estimated to total \$94.97 billion, a \$363 million or 0.4 percent decrease from SFY 2015-16.

## **General Fund**

The General Fund is the primary operating fund of the State, and accounts for all unrestricted tax revenue and other receipts not dedicated to a specific fund, program, or activity. The General Fund receives monies from personal income taxes, sales and user taxes, business taxes, other taxes, miscellaneous receipts, and transfers from other funds.

The Assembly proposal includes General Fund spending of \$71.2 billion in SFY 2016-17, a decrease of \$1.4 billion or 1.9 percent from SFY 2015-16. This decrease is primarily due to the transfer of \$4.55 billion in monetary settlement funds into the Dedicated Infrastructure Investment Fund in SFY 2015-16. Proposed spending is \$568 million higher than the Executive proposal. In SFY 2016-17, General Fund receipts are estimated to total \$68.8 billion, a \$1.5 billion or 2.1 percent decrease from SFY 2015-16. Assembly receipts are projected at \$28 million above the SFY 2016-17 Executive forecast.

Table 2

<b>Financial Plan Summary</b> (\$ in Millions)			
	SFY 2014-15 Actual	SFY 2015-16 Estimate	SFY 2016-17 Assembly Budget Plan
<b>State Operating Funds Budget</b>			
Size of Budget	\$92,246	\$94,289	\$97,804
Annual Growth	2.0%	2.0%	3.7%
<b>Other Budget Measure (Annual Growth)</b>			
General Fund (with transfers)	\$62,856 2.6%	\$72,583 15.5%	\$71,204 -1.9%
State Funds (Including Capital)	\$98,148 1.9%	\$102,153 4.6%	\$107,433 5.2%
Capital Budget (Federal and State)	\$7,548 -2.6%	\$9,268 22.8%	\$9,933.0 7.2%
Federal Operating	\$38,668 3.1%	\$40,030 3.6%	\$39,776 -0.6%
All Governmental Funds	\$138,642 2.0%	\$143,587 3.3%	\$156,726 9.2%
All Gov'l Funds (Including "Off-Budget" Capital)	\$139,381 1.6%	\$144,346 3.6%	\$157,579 9.2%
<b>Inflation (CPI) Growth</b>	1.2%	0.4%	1.3%
<b>All Funds Receipts</b>			
Taxes	\$71,034	\$75,083	\$77,823
Miscellaneous Receipts	\$29,438	\$26,333	\$23,961
Federal Grants	\$48,636	\$52,328	\$51,123
Total Receipts	\$149,108	\$153,744	\$152,907
<b>General Fund Receipts</b>			
Taxes	\$43,569	\$46,432	\$48,298
Miscellaneous Receipts	\$8,410	\$5,820	\$2,442
Federal Grants	\$2	\$0	\$0
Transfers from Other Funds	\$15,940	\$18,042	\$18,071
Total Receipts	\$67,921	\$70,294	\$68,811
<b>Total General Fund Reserves (year-end)</b>	\$7,300	\$5,011	\$2,618
<b>Debt</b>			
Debt Service as % All Funds	4.5%	3.9%	3.8%
State Related Debt Outstanding	\$54,190	\$52,751	\$54,693

## Assembly Action on Executive Budget

The Assembly would spend a net \$2.1 billion more than the Executive on a State Funds basis, a 2.1 percent increase in total state spending over the Executive Budget.

**Table 3**

**Financial Plan Summary of Differences from the Executive  
SFY 2016-17  
(\$ in Millions)**

	General Fund	State Operating	State Funds	All Funds
<b>Receipts</b>				
<b>Executive</b>	<b>\$68,783</b>	<b>\$94,975</b>	<b>\$101,647</b>	<b>\$152,917</b>
<i>Tax Avails</i>	1	1	1	1
<i>Tax Cuts</i>	(28)	(28)	(28)	(28)
<i>Misc. Receipts/Fees</i>	(200)	(200)	(198)	(198)
<i>Sweeps</i>	30	0	0	0
<i>Tax &amp; Misc. Rec Reestimates</i>	225	225	225	225
Federal	0	0	0	(10)
<b>Assembly</b>	<b>68,811</b>	<b>94,973</b>	<b>101,647</b>	<b>152,907</b>
<i>Assembly Difference from Executive</i>	<b>28</b>	<b>(2)</b>	<b>-</b>	<b>(10)</b>
<b>Disbursements</b>				
<b>Executive</b>	<b>70,636</b>	<b>95,898</b>	<b>105,276</b>	<b>154,580</b>
<i>Spending Addition</i>	2,475	2,430	2,681	2,681
<i>Spending Reduction</i>	(1,907)	(524)	(524)	(535)
<b>Assembly</b>	<b>71,204</b>	<b>97,804</b>	<b>107,433</b>	<b>156,726</b>
<i>Assembly Differences from Executive</i>	<b>\$568</b>	<b>\$1,906</b>	<b>\$2,157</b>	<b>\$2,146</b>

As part of the revenue consensus process, the Assembly agreed to increase the revenue forecast by \$225 million. The Assembly also estimates a \$10 million reduction in Federal Funds due to rejection of certain Medicaid programs that receive Federal support. The proposal also includes a \$28 million reduction in tax revenue attributed to the Assembly proposals for tax exemptions for school bus sales and feminine hygiene products, as well as a change to PIT filing for QEZE business income.

### **Settlement Funds**

Since the SFY 2016-17 Executive proposed budget, the State has received an additional \$215 million in monetary settlement from financial institutions: \$35 million from Barclays; \$30 million from Credit Suisse Securities (USA) LLC; and \$150 million from Morgan Stanley. This brings the total monetary settlement received in SFY 2014-15, SFY 2015-16 and SFY 2016-17 to a total \$8.54 billion. Of this amount, \$5.4 billion was budgeted in SFY 2015-16 and \$627 million has been set aside for financial plan obligations.

The SFY 2016-17 Executive budget proposes the allocation of \$2.3 billion of settlement funds including \$1.84 billion in capital spending through the Dedicated Infrastructure Investment Fund (DIIF), and a \$120 million transfer to the Environmental Protection Fund (EPF), in addition to a reserve of \$340 million to fund a three year toll credit for regular users of the Thruway.

The Assembly proposal decreases the Thruway Stabilization Plan by \$390 million and the Upstate Revitalization Initiatives by \$100 million; rejects the Municipal Consolidation Competition and Economic Development projects; increases the Empire State Poverty Reduction Initiative by \$37.5 million; adds \$55 million for Aviation Purposes, \$255 million for Non-MTA Transit purposes, \$100 million for Rail Projects, \$200 million for the Second Avenue Subway; and modifies the Thruway Toll Credit to include only farmers.

Table 4

<b>Monetary Settlement Funds Executive vs Assembly Capital Initiatives (\$ in Millions)</b>			
	<b>SFY 2017 Executive Budget</b>	<b>SFY 2017 Assembly Budget</b>	<b>Difference</b>
<b>Dedicated Infrastructure Investment Fund</b>	<u>1,960.00</u>	<u>1,837.50</u>	<u>(122.50)</u>
Thruway Stabilization Program	700.00	310.00	(390.00)
Upstate Revitalization Initiative	170.00	100.00	(70.00)
Affordable Homeless Housing	590.00	590.00	-
DOT Capital Contribution	200.00	200.00	-
Municipal Competition	20.00	-	(20.00)
Environmental Protection Fund	120.00	120.00	-
Other Economic Development Projects	85.00	-	(85.00)
Emergency Homeless Housing Response	50.00	50.00	-
Empire State Poverty Reduction Initiative	25.00	62.50	37.50
Aviation Plan	-	55.00	55.00
Non MTA Transit	-	250.00	250.00
Rail Projects	-	100.00	100.00
<b>Other Transactions</b>	<u>340.00</u>	<u>495.00</u>	<u>155.00</u>
Thruway Toll Credit	340.00	15.00	(325.00)
SUNY/CUNY Tuition Freeze	-	280.00	280.00
Second Avenue Subway	-	200.00	200.00
<b>Total</b>	<b>2,300.00</b>	<b>2,332.50</b>	<b>32.50</b>

### Reserves

The Assembly Budget projects \$2.6 billion in reserves at the end of SFY 2016-17, representing a \$535 million decrease from the Executive proposal. The Assembly reduces the amount reserved for Debt Management by \$200 million and reserves the amount for the Assembly's minimum wage initiative. Additionally, the Assembly modification of the Thruway Toll credit reduces the reserved amount to \$15 million, with a portion of the savings allocated to the SUNY/CUNY Tuition Freeze. The \$215 million in settlements received over the Executive's January budget proposal supports General Fund relief.

Table 5

<b>Estimated General Fund Closing Balance</b>		
<b>(\$ in Millions)</b>		
	<b>Executive</b>	<b>Assembly</b>
Tax Stabilization Reserve Fund	1,258	1,258
Statutory Rainy Day Reserve Fund	540	540
Contingency Reserve Fund	21	21
Community Projects Fund	44	44
Reserved for Prior-Year Labor Agreements	-	-
Possible CUNY Labor Agreement	240	240
Reserved for Debt Management	500	300
Reserved for Minimum Wage	-	200
Settlement Funds	555	15
Thruway Toll Credit	340	15
Reserve	215	0
<b>Total</b>	<b>3,158</b>	<b>2,618</b>

Table 6

**Cash Financial Plan  
All Governmental Funds  
(\$ in Millions)**

	SFY 2015-16 Current	SFY 2016-17 Proposed	Annual \$ Change
<b>Opening Fund Balance</b>	\$9,355	\$11,419	\$2,064
<b>Receipts:</b>			
Taxes	75,083	77,823	2,740
Miscellaneous Receipts	26,333	23,961	(2,372)
Federal Receipts	52,328	51,123	(1,205)
<b>Total Receipts</b>	<b>153,744</b>	<b>152,907</b>	<b>(837)</b>
<b>Disbursements:</b>			
Local Assistance Grants	111,849	115,325	3,476
Department Operations	20,290	20,297	7
General State Charges	7,632	7,967	335
Debt Service	5,452	5,455	3
Capital Projects	6,855	7,683	828
<b>Total Disbursements</b>	<b>152,078</b>	<b>156,726</b>	<b>4,648</b>
<b>Other Financing Sources (Uses)</b>			
Transfers from Other Funds	37,391	33,197	(4,194)
Transfers to Other Funds	(37,467)	(34,832)	2,635
Bond and Note Proceeds	474	1,699	1,225
<b>Net Other Financing Sources (Uses)</b>	<b>398</b>	<b>64</b>	<b>(334)</b>
<b>Change in Fund Balance</b>	<b>2,064</b>	<b>(3,812)</b>	<b>(5,876)</b>
<b>Closing Fund Balance</b>	<b>\$11,419</b>	<b>\$7,607</b>	<b>(\$3,812)</b>

Table 7

<b>Cash Financial Plan State Funds (\$ in Millions)</b>			
	SFY 2015-16 Current	SFY 2016-17 Proposed	Annual \$ Change
<b>Opening Fund Balance</b>	\$9,548	\$11,438	\$1,890
<b>Receipts:</b>			
Taxes	75,083	77,823	2,740
Miscellaneous Receipts	26,132	23,745	(2,387)
Federal Receipts	79	79	0
<b>Total Receipts</b>	<b>101,294</b>	<b>101,647</b>	<b>353</b>
<b>Disbursements:</b>			
Local Assistance Grants	65,472	69,094	3,622
Department Operations	18,478	18,474	(4)
General State Charges	7,326	7,648	322
Debt Service	5,452	5,455	3
Capital Projects	5,425	6,762	1,337
<b>Total Disbursements</b>	<b>102,153</b>	<b>107,433</b>	<b>5,280</b>
<b>Other Financing Sources (Uses)</b>			
Transfers from Other Funds	37,355	31,639	(5,716)
Transfers to Other Funds	(35,895)	(30,879)	5,016
Bond and Note Proceeds	474	599	125
<b>Net Other Financing Sources (Uses)</b>	<b>1,934</b>	<b>1,359</b>	<b>(575)</b>
<b>Change in Fund Balance</b>	<b>1,075</b>	<b>(4,427)</b>	<b>(5,502)</b>
Intra-Fund Transfers Adjustment	815		
<b>Closing Fund Balance</b>	<b>\$11,438</b>	<b>\$7,011</b>	<b>(\$4,427)</b>

Table 8

<b>Cash Financial Plan State Operating Funds (\$ in Millions)</b>			
	SFY 2015-16 Current	SFY 2016-17 Proposed	Annual \$ Change
<b>Opening Fund Balance</b>	\$9,890	\$7,934	(\$1,956)
<b>Receipts:</b>			
Taxes	73,715	76,538	2,823
Miscellaneous Receipts	21,547	18,361	(3,186)
Federal Receipts	74	74	0
<b>Total Receipts</b>	<b>95,336</b>	<b>94,973</b>	<b>(363)</b>
<b>Disbursements:</b>			
Local Assistance Grants	63,032	66,224	3,192
Department Operations	18,478	18,474	(4)
General State Charges	7,326	7,648	322
Debt Service	5,452	5,455	3
Capital Projects	1	3	2
<b>Total Disbursements</b>	<b>94,289</b>	<b>97,804</b>	<b>3,515</b>
<b>Other Financing Sources (Uses)</b>			
Transfers from Other Funds	30,920	29,308	(1,612)
Transfers to Other Funds	(34,447)	(28,226)	6,221
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>(3,527)</b>	<b>1,082</b>	<b>4,609</b>
<b>Change in Fund Balance</b>	<b>(2,480)</b>	<b>(1,749)</b>	<b>731</b>
Intra-Fund Transfers Adjustment	524	435	
<b>Closing Fund Balance</b>	<b>\$7,934</b>	<b>\$6,620</b>	<b>(\$1,314)</b>

Table 9

<b>Cash Financial Plan</b>			
<b>General Fund</b>			
<b>(\$ in Millions)</b>			
	Executive SFY 2015-16 Current	Assembly SFY 2016-17 Proposed	Amount Change
<b>Opening Balance</b>	\$7,300	\$5,011	(\$2,289)
<b>Receipts:</b>			
Personal Income Tax	31,983	34,454	2,471
Consumption/Use Taxes and Fees	6,781	7,082	301
Business Taxes	6,202	5,776	(426)
Other Taxes	1,466	987	(479)
<b>Subtotal of Taxes</b>	<b>46,432</b>	<b>48,298</b>	<b>1,866</b>
Miscellaneous Receipts	5,820	2,442	(3,378)
Federal Grants	-	-	-
Transfers From Other Funds	18,042	18,071	29
<b>Total Receipts</b>	<b>70,294</b>	<b>68,811</b>	<b>(1,483)</b>
<b>Disbursements:</b>			
Education	22,314	23,806	1,492
Medicaid/Health	12,905	13,556	651
Higher Education	2,967	3,081	114
Mental Hygiene	1,132	941	(191)
Social Welfare	2,918	3,041	123
General Government	785	848	63
Transportation	113	121	8
All Other	1019	1,730	711
<b>Total Local Assistance Grants</b>	<b>44,153</b>	<b>47,124</b>	<b>2,971</b>
<b>Total Departmental Operations</b>	<b>8,222</b>	<b>8,223</b>	<b>1</b>
General State Charges	5,188	5,484	296
Transfers to Other Funds	15,020	10,374	1,797
<b>Total Disbursements</b>	<b>72,583</b>	<b>71,204</b>	<b>(1,379)</b>
<b>Change in Fund Balance</b>	<b>(2,289)</b>	<b>(2,393)</b>	<b>(104)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$5,011</b>	<b>\$2,618</b>	<b>(\$2,393)</b>



## Revenue

Pursuant to the provisions of Chapter 309 of the Laws of 1996, the Executive and the Legislature reached consensus and provided the following update:

### ***ECONOMIC AND REVENUE CONSENSUS REPORT 2016-17***

This report contains the results of the consensus economic and revenue forecasting process conducted by the Executive and the Legislature in advance of the enactment of the State Fiscal Year (SFY) 2016-17 Budget, pursuant to the provisions of Chapter 309 of the Laws of 1996.

The Consensus Forecasting Conference was held on February 25, 2016. Based on the testimony of experts at the Conference, the outlooks for both the economy and revenue have weakened and uncertainty has increased, with downside risks appearing to dominate upside potential.

#### **Economic Forecast Review**

The economic forecasts contained in the Executive Budget and Legislative reports portray continuing but weak economic growth. All parties agree that employment gains will slow while the uncertain impact of continued lower energy prices could result in slower economic growth in 2016 than in the prior year. The consensus forecast for real U.S. GDP growth for 2016 is 2.1 percent, following growth of 2.4 percent for 2015.

All parties expect the national labor market to continue to grow going forward though at a slower pace as the economy approaches full employment. The consensus forecast for 2016 employment growth is 1.8 percent, after 2.1 percent growth in 2015. Easing job growth in 2016 is projected to be accompanied by slower income growth as well. The consensus forecasts for wage and total personal income growth for 2016 are 4.6 percent and 4.3 percent, respectively, following growth of 4.8 percent and 4.5 percent in 2015. Consensus growth in U.S. corporate profits, including the capital consumption and inventory valuation adjustments, is expected to improve moderately from a decline in 2015 to slow growth in 2016. Consensus growth in the Consumer Price Index for 2016 of 1.0 percent represents the expected bottoming out of low energy prices, following an inflation rate of 0.1 percent for 2015. All parties expect the Federal

Reserve to continue to raise its short-term interest rate target during 2016, although there is a diversity of opinion as to the exact timing of and number of additional moves.

<b>CONSENSUS U.S. FORECAST</b>		
<b>CALENDAR YEAR</b>		
<b>Percent Change</b>		
	<u><b>CY2016</b></u>	<u><b>CY2017</b></u>
<b>REAL U.S. GDP</b>	<b>2.1</b>	<b>2.4</b>
<b>PERSONAL INCOME</b>	<b>4.3</b>	<b>4.6</b>
<b>WAGES</b>	<b>4.6</b>	<b>4.4</b>
<b>CORP PROFITS</b>	<b>0.8</b>	<b>3.8</b>
<b>NONFARM EMPLOYMENT</b>	<b>1.8</b>	<b>1.4</b>
<b>3-MONTH T-BILL RATE</b>	<b>0.5</b>	<b>1.4</b>
<b>CPI</b>	<b>1.0</b>	<b>2.1</b>

The parties agree that while the New York State labor market will remain healthy, growth will slow going forward, with consensus forecast growth of 1.4 percent for FY 2017, following estimated growth of 1.7 percent for FY 2016. The consensus forecast for FY 2017 wage growth is 4.3 percent, representing a slight acceleration from the FY 2016 consensus estimate of 4.2 percent. The consensus forecast for FY 2017 personal income growth is 4.4 percent, following estimated growth of 4.2 percent in FY 2016.

<b>CONSENSUS N.Y. FORECAST</b>		
<b>FISCAL YEAR</b>		
<b>Percent Change</b>		
	<u><b>FY 2016</b></u>	<u><b>FY 2017</b></u>
<b>NONFARM EMPLOYMENT</b>	<b>1.7</b>	<b>1.4</b>
<b>PERSONAL INCOME</b>	<b>4.2</b>	<b>4.4</b>
<b>WAGES</b>	<b>4.2</b>	<b>4.3</b>
<b>Note: DOB and the Assembly Majority construct their personal income and wage series based on QCEW data.</b>		

All parties agree that there are multiple risks to the economic outlook for the national and State economies, and therefore revenues. Slower growth in the global economy would have an adverse impact on the U.S. economy, and while the euro zone debt crisis has eased, many of the area's underlying problems have not gone away. The strength of the Chinese economy also represents a source of uncertainty. Energy price volatility also remains both a positive and negative risk. Sources of upside risk include stronger than expected labor and housing market growth, as well as stronger growth overseas.

One of the greater risks to both the national and the New York forecasts stems from policy measures that may be taken by the Federal Reserve before the end of this year. A flatter yield curve than the Federal Reserve envisions could hamper the housing recovery, reduce business investment and hiring, and diminish financial sector profits. As the world's financial capital, New York could be negatively affected were interest rates to rise at a much faster pace than reflected in the consensus forecast. On the other hand, stronger growth in financial activities than expected could present upside potential for the State economy.

### **Revenue Forecast Review**

Section 23 of the State Finance Law defines receipts subject to consensus as the combined total of All Funds tax receipts, General Fund miscellaneous receipts, and lottery revenues. All parties reached consensus at \$225 million (General Fund) above the Executive Budget estimate for total FY 2016 and FY 2017 receipts. This estimate reflects the view that although receipts growth is expected to continue in FY 2017, a large degree of uncertainty exists as to the magnitude of this growth in light of the risks noted below. There was general

consensus on the factors affecting current year receipts - the strong April 2015 personal income tax settlement resulting from robust growth in nonwage income, extension payments and final returns, corporate tax reform enacted in 2014 and an atypical number of estate tax payments in excess of \$25 million.

Risk factors that could affect actual results during the next 13 months include:

- the degree to which global economic woes affect the State's tax receipts;
- the degree to which low fuel prices produce disposable income for taxable sales; and
- the extent and timing of Federal Reserve interest rate increases, and their impact on New York's finance, housing, and business sectors; and by extension, personal income, sales tax, and corporate franchise tax receipts.

## Assembly Tax/Revenue Proposals SFY 2016-17

- **Part A** – Intentionally omitted.
- **Part B** – Intentionally omitted.
- **Part C – Income Verification Program for Enhanced STAR:** The Assembly accepts the Executive proposal that makes the program mandatory, but modifies the proposal to allow individuals that exceed the income limit to remain registered for Enhanced STAR.
- **Part D – Allow Late Filing for Enhanced STAR:** The Assembly accepts the Executive proposal that allows for the late filing of Enhanced STAR and the senior citizens exemptions.
- **Part E – Convert the NYC STAR Tax Credit into a NYS STAR Tax Credit:** The Assembly accepts the Executive proposal that would convert the New York City STAR personal income tax credit into a New York State personal income tax credit.
- **Part F – Authorize Direct STAR Payments for Administrative Error:** The Assembly accepts the Executive proposal that allows the Department of Taxation and Finance to make STAR payments directly to taxpayers that were improperly denied benefits based on an administrative error.
- **Part G – Tax Preparation Modernization:** The Assembly accepts the Executive proposal to eliminate the sunset on the 2008 tax modernization provisions, as well as making tax preparer penalties equitable.
- **Part H – Additional Low-Income Housing Credits:** The Assembly accepts the Executive proposal that would increase the total credits available for the low-income housing credit by \$40 million a year, when fully phased in over five years.
- **Part I – Extend the Hire a Veteran Credit:** The Assembly accepts the Executive proposal that extends the credit for two years through 2018.
- **Part J – Extend the Commercial Production Credit:** The Assembly accepts the Executive proposal that extends the commercial production credit for two years through 2018.
- **Part K – Extend the Credit for Companies that Provide Transportation to Individuals with Disabilities:** The Assembly accepts the Executive proposal that extends the credit for companies that provide transportation to individuals with disabilities.

- **Part L – Make Permanent the Non-Custodial Earned Income Tax Credit:** The Assembly accepts the Executive proposal that makes the credit permanent.
- **Part M – Make Tax Shelter Reporting Law Permanent:** The Assembly accepts the Executive proposal that retroactively reinstates, and makes permanent, tax shelter reporting laws.
- **Part N – Extend the Clean Heating Fuel Credit for Three Years:** The Assembly accepts the Executive proposal that extends for three-years the clean heating fuel credit. The Assembly rejects the Executive proposal to limit the credit to fuel that is at least six percent biodiesel.
- **Part O – Allow Excelsior Jobs Program Credits to be taken for Another Five Years:** The Assembly accepts the Executive proposal that allows the undisbursed credits remaining in 2025 to be allocated through 2029.
- **Part P – Corporate Tax Reform Technical:** The Assembly accepts the Executive proposal that aligns the corporate tax reform law of 2014 with legislative intent. The Assembly proposes to make fully refundable the special additional mortgage recording tax.
- **Part Q – Change Tax Filing Deadlines:** The Assembly accepts the Executive proposal that changes New York State tax filing deadlines to match those at the federal level.
- **Part R – Tax Cuts for Small Businesses and Farmers:** The Assembly accepts the Executive proposals to reduce the corporate tax rate for small businesses and increase the small business deduction for personal income taxpayers. The Assembly also accepts an extension of the small business deduction for New York City taxpayers.
- **Part S – Intentionally omitted.**
- **Part T – Thruway Toll Tax Credit for Farmers:** The Assembly accepts the Executive proposal to create a Thruway toll tax credit for farmers, but rejects the Executive proposal to extend this credit to individuals and businesses.
- **Part U - Extend the Alternative Fuel Tax Exemptions for Five Years:** The Assembly accepts the Executive proposal that extends tax exemptions on E85, CNG and hydrogen as well as the partial tax exemption on B20 for an additional five years.
- **Part V – Extend the Beer Production Tax Credit to All Alcoholic Beverage Producers and Extend the Sales Tax Exemption for Alcohol Tastings:** The Assembly accepts the Executive proposal that allows all alcoholic beverage producers to benefit from the production credit and tasting exemptions.

- **Part W – Expand Jeopardy Assessments to the Cigarette and Tobacco Tax:** The Assembly accepts the Executive proposal to allow the Department of Taxation and Finance to rapidly assess unpaid tax liabilities for cigarette and tobacco taxes.
- **Part X – Simplify the Taxation on Remarketed Rooms:** The Assembly accepts the Executive proposal that simplifies the taxation on remarketed hotel and motel rooms.
- **Part Y – Align the Estate Tax Domicile Determination with that of the Personal Income Tax:** The Assembly accepts the Executive proposal that would eliminate the consideration of charitable donations and activities when determining an individual’s domicile for the estate tax.
- **Part Z – Comply with Federal Regulations Regarding the Taxation of Aviation Fuel:** The Assembly accepts the Executive proposal that would make the treatment of aviation fuel tax revenue compliant with federal regulations.
- **Part AA –** Intentionally omitted.
- **Part BB –** Intentionally omitted.
- **Part CC – Adjust Timing of Reimbursement to the Gaming Commission for per diem Costs of Harness Racing Judges and Starters:** The Assembly accepts the Executive proposal that would simplify the reimbursement process for racing judges and starters.
- **Part DD – Provide an Additional Commission for Certain Video Lottery Terminal Facilities:** The Assembly accepts the Executive proposal that makes changes to the reimbursement of video lottery gaming facilities.
- **Part EE – Extend Monticello Raceway VLT Rates for One Year:** The Assembly accepts the Executive proposal to extend Monticello’s vendor fees for one year.
- **Part FF – Pari-mutuel Gaming Extension:** The Assembly accepts the Executive proposal that extends for one year various provisions of the racing, pari-mutuel wagering and breeding law.
- **Part GG – Extend Video Lottery Gaming Capital Awards:** The Assembly accepts the Executive proposal that extends for one year the deadline to receive approval and complete capital projects reimbursed through the Video Lottery Gaming (VLG) vendor’s capital award.
- **Part HH – Make Technical Corrections to the Upstate Gaming and Economic Development Act:** The Assembly accepts the Executive proposal that makes technical corrections to the upstate gaming and economic development act.

- **Part II – Medical Marijuana Tax Secrecy:** The Assembly accepts the Executive proposal that amends the medical marijuana tax secrecy provisions to allow the sharing of taxpayer information with appropriate authorities.
- **Part JJ – Allow the Recoupment of Improperly Granted STAR Benefits:** The Assembly accepts the Executive proposal to make technical changes to allow for the recapture of improperly granted exemptions by the Department of Taxation for the current and prior three school years.
- **Part KK – Reduce the Highway Use Tax to Comply with Judicial Rulings:** The Assembly accepts the Executive proposal that reduces registration fees for the highway use tax to comply with judicial decisions.
- **Part LL – Create the Empire State Apprenticeship Program:** The Assembly proposes to create a tax credit and grant program to support businesses that develop and utilize apprenticeship programs.
- **Part NN – Personal Income Tax Reform:** The Assembly proposes to reduce tax rates for middle income taxpayers and increase tax credits for working families by raising the state share of the federal Earned Income Tax Credit from 30 percent to 35 percent. Additionally, the current highest rate of 8.82 percent will apply to all those earning from \$1 million to \$5 million. Taxpayers earning between \$5 million to \$10 million will pay a 9.32 percent tax rate, and the highest tax rate of 9.82 percent will apply to all those earning over \$10 million annually.
- **Part OO – QEZE Credit Allocation amendments:** The Assembly proposes to modify qualifying income allocation for credit calculation to allow for income derived from out-of-state receipts.
- **Part PP – Downtown Revitalization Tax Credit:** The Assembly proposes to create a tax credit for those that purchase underutilized structures in upstate cities.
- **Part QQ – Geothermal Tax Credit:** The Assembly proposes to create a geothermal tax credit for individuals that install or purchase a geothermal energy system in their residence.
- **Part RR – Fuel Cell Sales Tax Exemption:** The Assembly proposes to exempt from sales tax fuel cells purchased for use at commercial facilities.
- **Part SS – Farmers’ Savings Accounts:** The Assembly proposes to create tax free savings accounts for farmers.

- **Part TT – Authorize Towns and Villages to Impose Hotel and Motel Taxes:** The Assembly proposes to allow towns and villages to impose hotel and motel taxes.
- **Part UU – Voluntary Contribution Reporting Technical Amendment:** The Assembly proposes to make technical corrections to the voluntary contribution annual reporting law.
- **Part VV – Anaerobic Digestion Facility Tax Exemption:** The Assembly proposes to modify a farm waste energy system real property tax exemption by eliminating a one thousand kilowatt rated capacity eligibility limitation, and by authorizing localities to either require payments in lieu of taxes upon the expiration of the current fifteen-year benefit, or make the exemption permanent.
- **Part WW – SCRIE and DRIE Extension:** The Assembly proposes to extend the current SCRIE and DRIE income limitations for another two years, including the partial reimbursement of additional costs to New York City.
- **Part XX – New York Jockey Fund:** The Assembly proposes to allow the partial use of the unpaid purse cushion account for jockey workers compensation.
- **Part YY – New York Jockey Health Insurance:** The Assembly proposes to allow jockeys to purchase a health insurance plan that is suitable for their needs.
- **Part ZZ – Music Production Tax Credit:** The Assembly proposes to create a music production tax credit.
- **Part AAA – Upfront Sales Tax Exemption for Purchases of School Buses:** The Assembly proposes to allow purchasers of school buses to be exempt from sales taxes.
- **ELFA Part L – Enhances the Urban Youth Program:** The Assembly accepts the Executive proposal to provide additional monies to the program and expand to areas across the state.

#### **Executive Tax Revenue Proposals Rejected**

- **Part A – Convert STAR Exemption to a Personal Income Tax Credit:** The Assembly rejects the Executive proposal to close the STAR program to new applicants and establish a refundable PIT tax credit.
- **Part B – Cap STAR Growth:** The Assembly rejects the Executive proposal to cap the amount of tax savings at the 2015-16 levels beginning with the 2016-17 school year.

- **Part S – Education Tax Credits:** The Assembly rejects the Executive proposal.
- **Part AA – Allow Equine Testing to be Competitively Bid:** The Assembly rejects the Executive proposal to open the equine testing contract to competitive bidding.
- **Part BB – Increase the VLT Purse and Raise the Racing Regulatory Fee:** The Assembly rejects the Executive proposal.

**All Funds Fiscal Impact - Assembly Article VII Revenue Proposals (\$ Millions)**

<b>PART</b>	<b>DESCRIPTION</b>	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2019-20</b>
C	Income Verification Procedure for STAR	\$0	\$0	\$0	\$0
D	Authorize late filing for Enhanced STAR and Senior Citizen Property tax exemptions	\$0	\$0	\$0	\$0
E	Convert New York City STAR to a personal income tax credit	\$0	(\$87)	(\$284)	(\$286)
F	STAR payment procedures due to administrative error	\$0	\$0	\$0	\$0
G	Make E-filing requirements permanent	\$0	\$0	\$0	\$0
H	Extend the low-income housing credit	\$0	(\$8)	(\$16)	(\$24)
I	Extend the Hire-A-Veteran credit	\$0	\$0	(\$37)	(\$37)
J	Extend the Empire State commercial production credit	\$0	\$0	(\$7)	(\$7)
K	Extend the credit for transportation to individuals with disabilities	\$0	\$0	(\$5)	(\$5)
L	Make permanent the non-custodial Earned Income Tax Credit	\$0	\$0	(\$4)	(\$4)
M	Make permanent tax shelter reporting laws	\$18	\$18	\$18	\$18
N	Extend the current clean heating fuel credit	\$0	\$0	(\$3)	(\$3)
O	Extend the Excelsior Jobs Program	\$0	\$0	\$0	\$0
P	Corporate reform technical amendments and special additional MRT refundability	\$0	(\$21)	(\$11)	(\$11)
Q	Corporate tax filing dates conformity	\$0	\$0	\$0	\$0
R	Enhance small business tax incentives	\$0	(\$298)	(\$298)	(\$298)
T	Thruway toll tax credit for farmers	\$0	(\$5)	(\$5)	(\$5)
U	Extend the alternative fuel tax exemptions	(\$2)	(\$4)	(\$4)	(\$4)
V	Enhance the alcohol beverage tasting exemption and the beer production credit	(\$1)	(\$3)	(\$3)	(\$3)
W	Cigarette and tobacco tax collection procedures	\$0	\$0	\$0	\$0
X	Simplify sales tax collection for online remarketers	\$0	\$0	\$0	\$0
Y	Eliminate charitable giving as a factor for estate tax domicile determination	\$0	\$0	\$0	\$0
Z	Comply with federal regulations for revenue generated from aviation fuel	\$0	\$0	\$0	\$0
CC	Reimbursement to Gaming Commission for harness racing judges and starters	\$0	\$0	\$0	\$0
DD	Provide commission for VLT facilities in Ontario County	\$0	\$0	\$0	\$0
EE	Extend Monticello Video Lottery Terminal rate	(\$3)	\$0	\$0	\$0
FF	Extend miscellaneous racing pari-mutuel wagering and breeding laws	\$0	\$0	\$0	\$0
GG	Extend Video Lottery Terminal vendor's capital award program	\$0	\$0	\$0	\$0
HH	Technical amendments to Upstate New York Gaming and Economic Development Act	\$0	\$0	\$0	\$0
II	Amendments to medical marihuana reporting	\$0	\$0	\$0	\$0
JJ	STAR recoupment technical amendment	\$0	\$0	\$0	\$0
KK	Reduction of highway use fees	(\$59)	(\$6)	(\$17)	(\$6)
ELFA Part L	Enhance the Urban Youth Jobs Program	\$0	(\$30)	(\$30)	\$0
LL	Empire State Apprenticeship Program	\$0	(\$5)	(\$8)	(\$10)
MM	Visitability tax credit	\$0	\$0	(\$1)	(\$1)
NN	Personal income tax middle-class tax cut, EITC enhancement and millionaires tax	\$0	\$942	\$4,190	\$5,528
OO	QEZE tax factor amendment for personal income tax filers	(\$17)	(\$12)	(\$8)	(\$8)
PP	Downtown revitalization tax credit	\$0	\$0	(\$10)	(\$10)
QQ	Geothermal energy system tax credit	\$0	(\$3)	(\$3)	(\$3)
RR	Fuel cell sales tax exemption	\$0	(\$5)	(\$5)	(\$5)
SS	Farmers' savings accounts	(\$1)	(\$2)	(\$3)	(\$3)
TT	Authorize local governments to impose occupancy taxes	\$0	\$0	\$0	\$0
UU	Technical amendments for voluntary donations reporting	\$0	\$0	\$0	\$0
VV	Enhance property tax benefits for anaerobic digestion systems	\$0	\$0	\$0	\$0
WW	Extend higher income thresholds for SCRIE/DRIE programs for two years	\$0	\$0	\$0	\$0
XX	NY jockey injury compensation fund	\$0	\$0	\$0	\$0
YY	NY jockey health insurance program	\$0	\$0	\$0	\$0
ZZ	Provide for a music tax credit	\$0	(\$25)	(\$25)	(\$25)
AAA	Sales tax exemption for school buses	(\$5)	(\$5)	(\$5)	(\$5)
<b>Total All Funds Fiscal Impact</b>		<b>(\$70)</b>	<b>\$441</b>	<b>\$3,417</b>	<b>\$4,784</b>

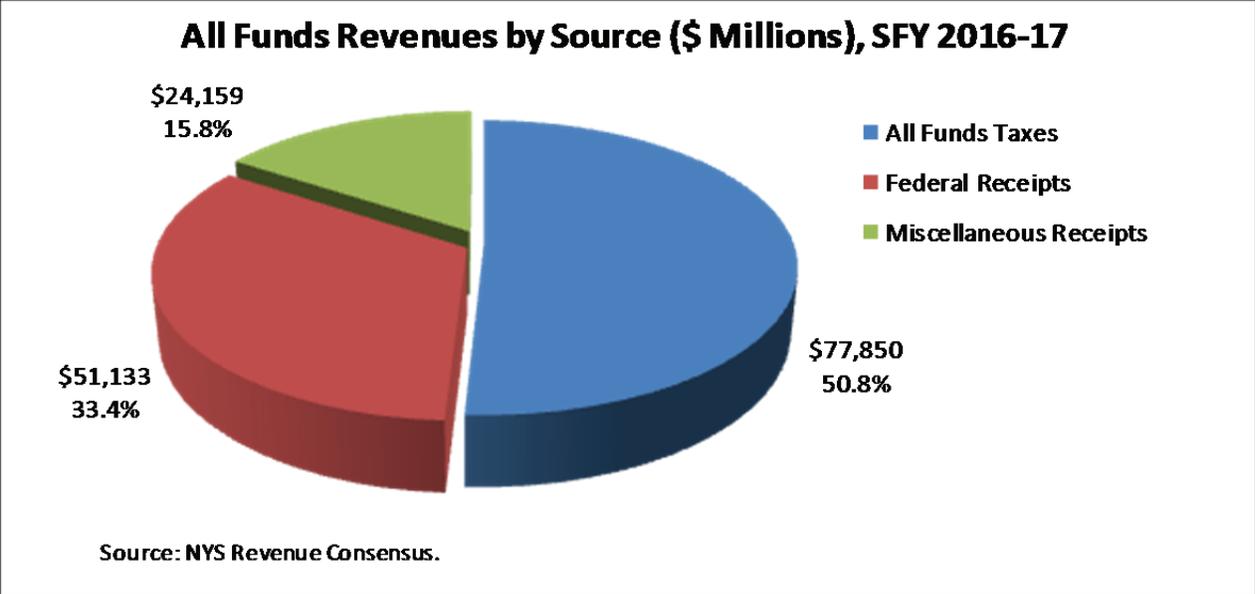


Figure 1

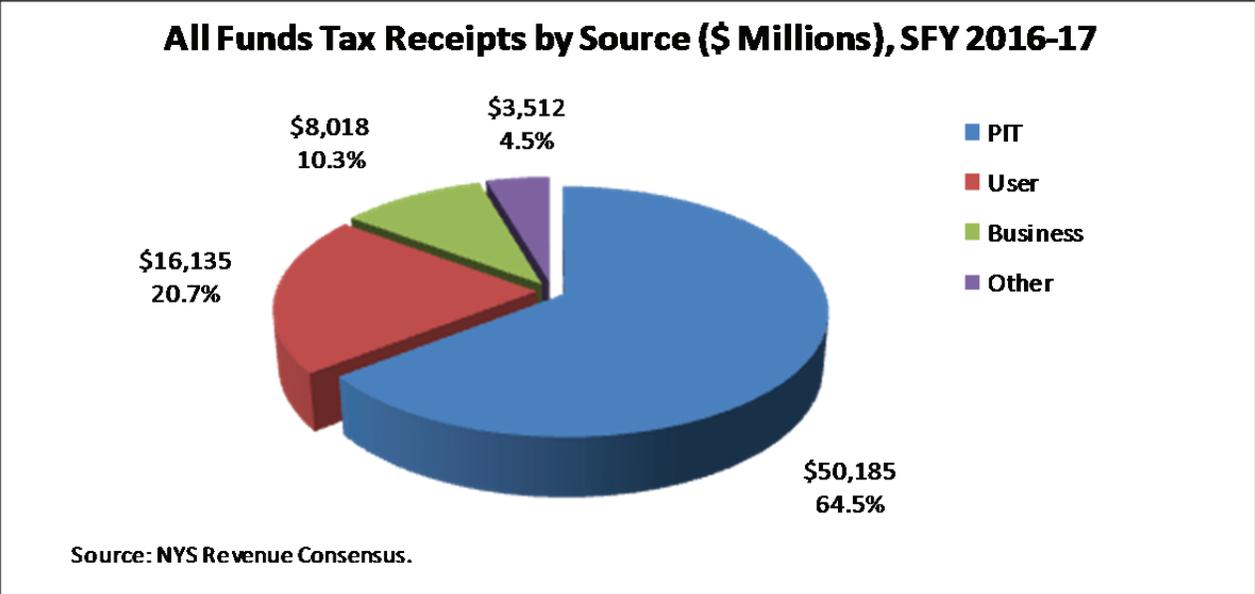


Figure 2

# **List of Proposed Modifications**



**Assembly Budget Proposal  
Budget Actions  
State Fiscal Year 2016-17**

<b>Agency</b>	<b>Purpose</b>	<b>Fund</b>	<b>Title</b>	<b>Amount</b>
AGMKTS	Aid to Localities	GEN	Agribusiness Child Development	(8,275,000)
AGMKTS	Aid to Localities	GEN	Core Diagnostic Lab	500,000
AGMKTS	Aid to Localities	GEN	Cornell University Future Farmers of America (FFA)	100,000
AGMKTS	Aid to Localities	GEN	Cornell University Maple Research	50,000
AGMKTS	Aid to Localities	GEN	Cornell University Pro-Dairy	224,000
AGMKTS	Aid to Localities	GEN	Cornell University Rabies Program	200,000
AGMKTS	Aid to Localities	GEN	Farm Family Assistance (Farm Net)	416,000
AGMKTS	Aid to Localities	GEN	Farm to School	300,000
AGMKTS	Aid to Localities	GEN	Farm Viability Institute	1,100,000
AGMKTS	Aid to Localities	GEN	Local Fairs	80,000
AGMKTS	Aid to Localities	GEN	Maple Producers Association	75,000
AGMKTS	Aid to Localities	GEN	NYS Apple Growers Association	272,000
AGMKTS	Aid to Localities	GEN	Taste New York	(1,000,000)
AGMKTS	Aid to Localities	GEN	Tractor Rollover Protection Program	125,000
AGMKTS	Capital	CAP	County Fairs	5,000,000
CUNY	State Operations	FID	Additional Search for Education, Elevation and Knowledge (SEEK)	4,680,000
CUNY	State Operations	GEN	CUNY Pipeline Program at the Graduate Center	250,000
CUNY	State Operations	FID	CUNY School of Medicine at City College	2,800,000
CUNY	State Operations	GEN	Deny CUNY Tuition Increase	51,500,000
CUNY	State Operations	GEN	Joseph Murphy Institute	1,000,000
CUNY	Aid to Localities	GEN	Reject 30% Cost Shift to NYC (AY)	485,000,000
CUNY	Aid to Localities	GEN	Accelerated Study in Associates Program (ASAP)	2,500,000
CUNY	Aid to Localities	GEN	College Discovery	225,000
CUNY	Aid to Localities	GEN	CUNY Child Care	902,000
CUNY	Aid to Localities	GEN	CUNY Community College Base Aid	8,364,000
CUNY	Capital	CAP	CUNY Critical Expansion	200,000,000
CUNY	Capital	CAP	CUNY Critical Maintenance	137,000,000
CUNY	Capital	CAP	Queensborough Chiller Plant	8,982,000
DCJS	Aid to Localities	GEN	ATI Programming Restoration	1,715,300
DCJS	Aid to Localities	GEN	ATI, Re-entry, Treatment, and Prevention Programs	3,326,733
DCJS	Aid to Localities	SRO	CJIA - Increase Fund Sweep	(2,830,000)
DCJS	Aid to Localities	GEN	Criminal Justice Cash Re-estimate	(6,638,000)
DCJS	Aid to Localities	GEN	Domestic Violence Legal Services	609,000
DCJS	Aid to Localities	GEN	Immigrant Legal Services	600,000
DCJS	Aid to Localities	SRO	LSAF - Additional Civil and Criminal Legal Services	1,550,000
DCJS	Aid to Localities	SRO	LSAF - Eliminate Unallocated Civil Legal Services Funding	(4,380,000)
DCJS	Aid to Localities	SRO	LSAF - Reject Fund Sweep	2,830,000
DCJS	Aid to Localities	SRO	LSAF - Restore Civil and Criminal Legal Services	2,830,000
DCJS	Aid to Localities	GEN	NYS Defenders Association	1,000,000
DCJS	Aid to Localities	GEN	Operation SNUG	4,000,000
DCJS	Aid to Localities	SRF	Reduce Executive Byrne/JAG Allocation	(300,000)
DCJS	Aid to Localities	SRF	Restore Legislative Byrne/JAG Allocation	300,000
DCJS	Capital	CAP	Unallocated Special Infrastructure Account Funds	(4,000,000)
DEC	Capital	CAP	Additional Water Infrastructure Grants	50,000,000
DED	Aid to Localities	GEN	Additional Support: Technology Development Organization Matching Grants	1,218,000
DED	Aid to Localities	GEN	Additional Support: Centers of Excellence	1,276,670
DED	Aid to Localities	GEN	Aid to Localities	250,000
DED	Aid to Localities	GEN	Innovation Hot Spots and Incubators	(5,000,000)
DED	Aid to Localities	GEN	Market NY - Competitive Tourism Advertising Funds	(5,000,000)
DHCR	Aid to Localities	GEN	OHP - Tenant Pilot Program	1,000,000
DOCS	State Operations	GEN	Additional Parole Officer Classes	4,000,000
DOCS	State Operations	GEN	Medicaid Coverage for Inmates Awaiting Discharge From Prisons or Local Jails	(7,000,000)
DOCS	State Operations	GEN	NYS COBA Equipment Purchases	2,200,000
DOH	Aid to Localities	GEN	Community Health Advocates	500,000
DOH	Aid to Localities	GEN	Diagnostic & Treatment Center Uncompensated Care Pool (D&TCUCP)	54,400,000
DOH	Aid to Localities	GEN	Family Planning Services	750,000
DOH	Aid to Localities	GEN	Finger Lakes Health Systems Agency	1,209,000
DOH	Aid to Localities	GEN	HIV/AIDS - Community Service Programs (CSP)	525,000
DOH	Aid to Localities	GEN	HIV/AIDS - Multi-Service Agencies (MSA)	525,000
DOH	Aid to Localities	GEN	MA - Accelerate ACA Managed Care Organization Tax Delay Savings	(17,500,000)
DOH	Aid to Localities	GEN	MA - Additional Rebate Collections	(29,400,000)
DOH	Aid to Localities	GEN	MA - Eliminate Managed Care Encounter Reporting Bonus	(10,000,000)
DOH	Aid to Localities	GEN	MA - Essential Plan Coverage for Legally Present Immigrants	10,300,000

DOH	Aid to Localities	SRF	MA - Federal Share of Medicaid Actions	(10,350,000)
DOH	Aid to Localities	GEN	MA - Improve OMIG/Managed Care Collaborations	(19,830,000)
DOH	Aid to Localities	GEN	MA - Reduce Medicaid Managed Care Profit Cap	(73,530,000)
DOH	Aid to Localities	GEN	MA - Re-estimate Medicaid Expenditures	(20,900,000)
DOH	Aid to Localities	GEN	MA - Reject Brand Name Drug Price Ceilings	6,000,000
DOH	Aid to Localities	GEN	MA - Reject CDRP Prior-Authorization Without DUR Board Meeting	160,000
DOH	Aid to Localities	GEN	MA - Reject Early Intervention Program Changes	3,600,000
DOH	Aid to Localities	GEN	MA - Reject Generic Drug Price Ceilings	23,800,000
DOH	Aid to Localities	GEN	MA - Reject Medicare Part C Cost Sharing Changes	11,450,000
DOH	Aid to Localities	GEN	MA - Reject Reduction in Ambulette Fees	5,400,000
DOH	Aid to Localities	GEN	MA - Reject Specialty Pharmacy Reimbursement Reduction	1,800,000
DOH	Aid to Localities	GEN	MA - Reject the Elimination of Spousal Refusal	10,000,000
DOH	Aid to Localities	GEN	MA - Reject Reduction of Community Spouse Resource Levels	5,750,000
DOH	Aid to Localities	GEN	MA - Reject Transportation Carve-Out from Adult Day Health Care	3,200,000
DOH	Aid to Localities	GEN	MA - Reject Transportation Carve-Out from Managed Long Term Care	8,000,000
DOH	Aid to Localities	GEN	MA - Reject Transportation Carve-Out from Nursing Home	6,000,000
DOH	Aid to Localities	GEN	MA - Restore Prescriber Prevails in Fee for Service	8,800,000
DOH	Aid to Localities	GEN	MA - Restore Prescriber Prevails in Managed Care	11,900,000
DOH	Aid to Localities	GEN	MA - Supplemental Payments for Major Public Hospitals	50,000,000
DOH	Aid to Localities	GEN	Nurse-Family Partnership	1,000,000
DOH	Aid to Localities	GEN	Public Health Programs	1,589,000
DOH	Aid to Localities	SRO	Reject Early Intervention Program Changes	5,000,000
DOH	Aid to Localities	SRO	Reject Excess Medical Malpractice Program Changes	25,000,000
DOH	Aid to Localities	GEN	Restore New York City Medicaid Growth Takeover	180,000,000
DOH	Aid to Localities	SRO	Support SHIN-NY with Bonded Capital Funding	(30,000,000)
DOL	Aid to Localities	GEN	Displaced Homemakers Program	1,630,000
DOL	Aid to Localities	GEN	Empire Apprenticeship Act of 2016 Grant Program	2,500,000
DOL	Aid to Localities	GEN	New York Committee on Occupational Safety and Health	350,000
DOS	State Operations	GEN	Constitutional Convention Commission	(500,000)
DOS	State Operations	GEN	Intervener Funding	1,000,000
DOS	State Operations	GEN	State Office of the Utility Consumer Advocate	500,000
DOS	Aid to Localities	GEN	NYS Women's Suffrage Centennial Commission	1,000,000
DOS	Aid to Localities	GEN	Public Utilities Law Project	505,000
DOSP	State Operations	GEN	Reallocate Troop NYC Funding to Support Other Criminal Justice Initiatives	(14,000,000)
DOT	Aid to Localities	GEN	Non-MTA Downstate Transit Operating Assistance	5,000,000
DOT	Aid to Localities	GEN	Upstate Transit Operating Assistance	15,000,000
DOT	Capital	CAP	Aviation	55,000,000
DOT	Capital	CAP	CHIPs	200,000,000
DOT	Capital	CAP	Non-MTA Transit Capital	250,000,000
DOT	Capital	CAP	Public Use Airport Revitalization Competition	(200,000,000)
DOT	Capital	CAP	Rail Capital	100,000,000
Elect	State Operations	GEN	Elections - Personal Service	340,000
GSC	State Operations	GEN	Cash Reduction	(33,000,000)
GSC	State Operations	GEN	IRMAA Restoration	6,231,000
GSC	State Operations	GEN	Reject Differential Healthcare Premiums for Retirees	2,959,000
GSC	State Operations	GEN	Reject Freeze of Reimbursement for Medicare Part B	942,000
GSC	State Operations	GEN	Retirement Service Credit for Military Service	34,663,000
HESC	Aid to Localities	GEN	On Point for College	500,000
HESC	Aid to Localities	GEN	Tuition Assistance Program	18,210,000
HSES	Aid to Localities	GEN	Anti-Terrorism Grants to NYC	6,000,000
HSES	Aid to Localities	SRO	Public Safety Communications Account Reserves	(30,000,000)
JUD	State Operations	GEN	Establish New Court Part at Rikers Island	1,000,000
JUD	State Operations	GEN	Judicial Salary Increase	27,200,000
LGA	State Operations	GEN	Aid and Incentive to Municipalities Increase	100,000,000
LGA	Aid to Localities	GEN	Local Government Consolidation Program	(39,000,000)
LGA	Aid to Localities	GEN	Mastic Beach	75,000
LGA	Aid to Localities	GEN	Sagaponack	2,000
LGA	Aid to Localities	GEN	South Blooming Grove	19,000
LGA	Aid to Localities	GEN	Village Per Capita Aid	2,000,000
LGA	Aid to Localities	GEN	Woodbury	27,000
MISC	Capital	CAP	Nonprofit Infrastructure Capital Investment Program	50,000,000
MTA	Capital	ALL	Second Avenue Subway	200,000,000
NYSSIA	Capital	CAP	Local Police Department Equipment Purchases	2,500,000
NYSSIA	Capital	CAP	Municipal Consolidation and Efficiency Competition	(20,000,000)
NYSSIA	Capital	CAP	Parole Officer Vehicles	1,500,000
NYSSIA	Capital	CAP	Thruway Stabilization	(390,000,000)
OASAS	State Operations	SRO	Transfer of funds for PS and NPS costs supported through Capital Projects	(850,000)
OASAS	Aid to Localities	SRO	Chemical Dependence Services Account - Opiate Abuse Prevention and Treatment Funding	30,000,000

OASAS	Aid to Localities	GEN	Substance Abuse Prevention Intervention Specialists (SAPIS)	2,000,000
OASAS	Capital	CAP	Transfer of funds for PS and NPS costs supported through Capital Projects	850,000
OCFS	Aid to Localities	GEN	Advantage Afterschool	7,000,000
OCFS	Aid to Localities	GEN	Bedford Stuyvesant Restoration Corporation	150,000
OCFS	Aid to Localities	GEN	Broadway Housing Communities	50,000
OCFS	Aid to Localities	GEN	Child Care Subsidies	75,000,000
OCFS	Aid to Localities	GEN	Child Protective Services Caseload Reduction	757,200
OCFS	Aid to Localities	GEN	Childcare Funding Offset	55,081,000
OCFS	Aid to Localities	GEN	Kinship Care	2,000,000
OCFS	Aid to Localities	GEN	Migrant Worker Child Care	9,275,000
OCFS	Aid to Localities	GEN	Safe Harbour	3,000,000
OCFS	Aid to Localities	GEN	Settlement Houses	2,450,000
OCFS	Aid to Localities	GEN	Youth Development Program	1,286,000
OFA	Aid to Localities	GEN	Foundation for Senior Citizens	86,000
OFA	Aid to Localities	GEN	Naturally Occurring Retirement Community (NORC) and Neighborhood NORCS	951,000
OFA	Aid to Localities	GEN	Statewide Senior Action Council	31,500
OILS	State Operations	SRO	Transfer 18-B Oversight from the Judiciary to ILS	100,000
OILS	Aid to Localities	SRO	Upstate Caseload Relief	5,000,000
OMH	State Operations	SRO	Reject the Establishment of Jail-Based Restoration Units	2,100,000
OMH	State Operations	SRO	Reject the Transfer of OMH Hospital Patients to Nursing Home or MLTC	2,428,000
OMH	State Operations	SRO	Transfer of funds for PS and NPS costs supported through Capital Projects	(25,500,000)
OMH	Aid to Localities	SRO	Mental Health Crisis Intervention Programs	1,000,000
OMH	Capital	CAP	Transfer of funds for PS and NPS costs supported through Capital Projects	25,500,000
OPR	Aid to Localities	GEN	Historic Hudson-Hoosic Rivers Partnership	250,000
OPR	Aid to Localities	GEN	Navigation Law & Sheriff Boat Patrol	900,000
OPWDD	State Operations	SRO	Additional Reporting Requirements	500,000
OPWDD	State Operations	SRO	State-Operated Service Opportunities	2,500,000
OPWDD	State Operations	SRO	Transfer of funds for PS and NPS costs supported through Capital Projects	(7,700,000)
OPWDD	Aid to Localities	SRO	Institute for Basic Research	600,000
OPWDD	Capital	CAP	Transfer of funds for PS and NPS costs supported through Capital Projects	7,700,000
OSC	State Operations	GEN	Small Business Vendor Compliance	350,000
OTDA	Aid to Localities	GEN	AIDS Housing Program	982,000
OTDA	Aid to Localities	GEN	Anti-Poverty Task Force	37,500,000
OTDA	Aid to Localities	SRF	Child Care	(55,081,000)
OTDA	Aid to Localities	GEN	Disability Advocacy Program	1,000,000
OTDA	Aid to Localities	GEN	New York State Supportive Housing Program	(6,471,000)
OTDA	Aid to Localities	SRF	Public Assistance	35,600,000
OTDA	Aid to Localities	GEN	Safety Net	8,300,000
OTDA	Aid to Localities	GEN	Solutions to End Homelessness Program	14,989,000
OTDA	Aid to Localities	GEN	TANF Initiatives	19,469,000
SED	State Operations	GEN	Data Collection Review and Analysis (MBK)	100,000
SED	State Operations	GEN	Office of Family and Community Engagement (MBK)	365,000
SED	State Operations	GEN	Professional Development - General	4,000,000
SED	Aid to Localities	GEN	Additional Community Schools Enhancement Aid	35,000,000
SED	Aid to Localities	GEN	Additional General Support for Public Schools (SY) including Community Schools	1,197,639,821
SED	Aid to Localities	GEN	Additional Spring Tail	47,000,000
SED	Aid to Localities	GEN	Adult Literacy Education	1,000,000
SED	Aid to Localities	GEN	Aid to Public Libraries	5,000,000
SED	Aid to Localities	GEN	Assistance for Specialized High School Admissions Tests	1,000,000
SED	Aid to Localities	GEN	Bilingual Education	1,000,000
SED	Aid to Localities	GEN	Career and Technical Education (MBK)	6,500,000
SED	Aid to Localities	GEN	Charter School Direct Grants	(27,410,000)
SED	Aid to Localities	GEN	Collegiate Science and Technology Entry Program	1,997,000
SED	Aid to Localities	GEN	Community Engagement Grants (MBK)	12,500,000
SED	Aid to Localities	GEN	East Ramapo Monitor	225,000
SED	Aid to Localities	GEN	English Language Learner Grants	10,000,000
SED	Aid to Localities	GEN	Executive Leadership Institute	475,000
SED	Aid to Localities	GEN	Exemplary School Models (MBK)	5,500,000
SED	Aid to Localities	GEN	Foster Youth Initiative	1,500,000
SED	Aid to Localities	GEN	Henry Viscardi School	903,000
SED	Aid to Localities	GEN	Higher Education Opportunity Program	5,921,000
SED	Aid to Localities	GEN	Homeless Pupils Grants	10,000,000
SED	Aid to Localities	GEN	Langston Hughes Community Library	75,000
SED	Aid to Localities	GEN	Liberty Partnership Program	3,060,000
SED	Aid to Localities	GEN	Math and Science High Schools	500,000
SED	Aid to Localities	GEN	My Brother's Keeper School Challenge Grants (MBK)	7,000,000
SED	Aid to Localities	GEN	NY Public Library - Schomburg Center for Research in Black Culture	250,000
SED	Aid to Localities	GEN	Professional Development Grants (MBK)	10,000,000

SED	Aid to Localities	GEN	Rochester and Buffalo School Health Services	2,400,000
SED	Aid to Localities	GEN	Schools for the Blind and Deaf (4201)	1,970,000
SED	Aid to Localities	GEN	Science and Technology Entry Program	2,635,000
SED	Aid to Localities	GEN	Smart Scholars Early College High School Program	535,000
SED	Aid to Localities	GEN	Special Act and 853 Rate Increase	15,000,000
SED	Aid to Localities	SRO	STAR Change Rejection	154,000,000
SED	Aid to Localities	GEN	Supplemental Valuation Impact Grant	450,000
SED	Aid to Localities	GEN	Teacher Centers	9,982,000
SED	Aid to Localities	GEN	Teacher Opportunity Corps Program (MBK)	8,000,000
SED	Aid to Localities	GEN	Transportation After 4	2,000,000
SED	Capital	SRO	eLicensing System	4,300,000
SED	Capital	CAP	Library Construction Grants	10,000,000
SED	Capital	CAP	SED Facilities Management System Update	350,000
SED	Capital	CAP	State Aid Computer System	2,000,000
SUNY	State Operations	SRO	ATTAIN Labs	2,000,000
SUNY	State Operations	SRO	Cornell Veterinary School	250,000
SUNY	State Operations	SRO	Deny SUNY Tuition Increase	89,300,000
SUNY	State Operations	SRO	Educational Opportunity Centers	5,000,000
SUNY	State Operations	SRO	Educational Opportunity Program	5,362,000
SUNY	State Operations	SRO	Graduate Diversity Fellowships	600,000
SUNY	State Operations	SRO	SUNY Hospitals	18,600,000
SUNY	Aid to Localities	GEN	SUNY Child Care	1,098,000
SUNY	Aid to Localities	GEN	SUNY Community College Base Aid	17,774,000
SUNY	Capital	CAP	Adirondack Community College	2,653,000
SUNY	Capital	CAP	Education Opportunity Centers Capital	20,000,000
SUNY	Capital	CAP	SUNY Critical Expansion	200,000,000
SUNY	Capital	CAP	SUNY Critical Maintenance	200,000,000
SUNY	Capital	CAP	SUNY Downstate University	50,000,000
SUNY	Capital	CAP	Ulster Community College	57,000
TEE	Capital	CAP	Additional Upstate Revitalization Initiative Funding	(70,000,000)
TEE	Capital	CAP	Economic Development or Infrastructure Projects	(85,000,000)
UDC	Aid to Localities	GEN	Additional Community Development Financial Institutions	300,000
UDC	Aid to Localities	GEN	Empire State Economic Development Fund	(31,180,000)
UDC	Aid to Localities	GEN	Support for Minority- and Women-Owned Business Enterprise compliance efforts	365,000
UDC	Aid to Localities	GEN	Tourism / Economic Development Promotion	(53,000,000)
UDC	Capital	CAP	Commercialization Center in Chautauqua County	(200,000,000)
UDC	Capital	CAP	Economic Development at Nano Utica	(100,000,000)
UDC	Capital	CAP	Market NY	(8,000,000)
UDC	Capital	CAP	NY Works Economic Development Fund	(99,000,000)
UDC	Capital	CAP	Regional Economic Development Councils	(150,000,000)
UDC	Capital	CAP	Research and Development Facility in Clinton County	(125,000,000)
UDC	Capital	CAP	RESTORE NY Communities Initiative	100,000,000
UDC	Capital	CAP	Statewide Nano Initiatives	250,000,000
UDC	Capital	CAP	SUNY College of Nanoscale Science & Engineering (SUNY Poly)	(15,000,000)
UDC	Capital	CAP	Upstate Revitalization Initiative Funding	(30,000,000)
VET	Aid to Localities	GEN	Helmets-to-Hardhats	200,000
VET	Aid to Localities	GEN	SAGE Veterans Project	100,000
VET	Aid to Localities	GEN	Veterans Defense Program	250,000
VET	Aid to Localities	GEN	Veterans Justice Project	100,000
VET	Aid to Localities	GEN	Veterans Miracle Center	25,000

# **PUBLIC PROTECTION & GENERAL GOVERNMENT**

**By Agency**



**Assembly Budget Proposal SFY 2016-17  
Division of Alcoholic Beverage Control**

The Assembly provides an All Funds appropriation of \$13.31 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17**

### **Department of Audit and Control**

The Assembly provides an All Funds appropriation of \$329.22 million, an increase of \$350,000 over the Executive proposal.

#### **State Operations**

- The Assembly provides \$350,000 for implementation of a recently enacted statute that requires prompt payment of small business invoices.

#### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

#### **Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

#### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Division of the Budget**

The Assembly provides an All Funds appropriation of \$50.18 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17  
Department of Civil Service**

The Assembly provides an All Funds appropriation of \$55.43 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17**  
**Department of Corrections and Community Supervision**

The Assembly provides an All Funds appropriation of \$3.18 billion, a net decrease of \$800,000 from the Executive proposal.

**State Operations**

- The Assembly provides \$4 million to support additional parole officer classes to 100 new full-time equivalent positions.
- The Assembly provides \$2.2 million to support correctional officer equipment purchases.
- The Assembly reduces correctional facility health care expenditures by \$7 million, related to an increase in services covered by Medicaid for eligible inmates.

**Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

**Capital Projects**

- The Assembly reallocates \$1.5 million in prior year funding to support the purchase of approximately 120 new vehicles for use by parole officers.

**Article VII**

- The Assembly proposes new legislation to require the State to seek a Medicaid waiver to provide coverage for eligible inmates in prison or local jails for services provided within 30 days of release.
- The Assembly proposes new legislation to establish a body camera pilot program for use by correctional officers.



## **Assembly Budget Proposal SFY 2016-17 Commission of Correction**

The Assembly provides an All Funds appropriation of \$2.89 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17**

### **Division of Criminal Justice Services**

The Assembly provides an All Funds appropriation of \$284.04 million, a net increase of \$11.25 million from the Executive proposal.

#### **State Operations**

- The Assembly adds appropriation language that would require the Division of Budget to provide quarterly reports on the receipt and distribution of asset forfeiture funds collected through federal equitable sharing agreements with the U.S. Departments of Justice and the Treasury.

#### **Aid to Localities**

- The Assembly provides an additional \$4 million in support for regional Operation SNUG programs.
- The Assembly restores \$3.3 million in General Fund support of various criminal justice programs, including re-entry initiatives, civil legal services, and other crime control and prevention programs.
- The Assembly provides an additional \$1.72 million to support Alternative to Incarceration (ATI) programming.
- The Assembly restores \$1 million in support for the New York State Defenders Association.
- The Assembly restores \$609,000 for domestic violence related civil and criminal legal services programming.
- The Assembly restores \$600,000 in support for immigrant legal services.
- The Assembly restores \$2.83 million in Legal Services Assistance Fund (LSAF) allocations for civil and criminal legal service grants, and reprograms \$1.55 million in proposed LSAF funds to support additional civil or criminal legal services.
- The Assembly rejects a \$2.83 million transfer from the LSAF to the General Fund, and instead transfers \$2.83 million from the Criminal Justice Improvement Account.

- The Assembly provides \$300,000 to restore a legislative allocation of Federal Edward Byrne/Justice Assistance Grant assistance.

### **Capital Projects**

- The Assembly reallocates \$2.5 million in prior year funding to support grants to local law enforcement agencies for equipment purchases.

### **Article VII**

- The Assembly modifies the Executive proposal to create an independent monitor to review cases when a grand jury does not indict a police officer who used deadly force against an unarmed person, and includes a proposal to make permanent the Governor's Executive Order appointing the Attorney General as special prosecutor in such cases.
- The Assembly modifies the Executive proposal to require law enforcement to report certain demographic information to DCJS concerning non-criminal violations and misdemeanors, to include a more comprehensive reporting requirement.
- The Assembly accepts the Executive proposal to extend, for one year, the existing formula for distribution of certain monies recovered by county district attorneys in pre-indictment settlements.

## **Assembly Budget Proposal SFY 2016-17 State Board of Elections**

The Assembly provides an All Funds appropriation of \$11.8 million, an increase of \$340,000 over the Executive proposal.

### **State Operations**

- The Assembly provides an increase of \$340,000 for personal service costs.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly does not include the Executive proposals to provide for optional public financing of campaigns, early voting, closing the LLC loophole and expanded voter registration through the Department of Motor Vehicles. Instead, the Assembly will advance modified and improved versions of these proposals as standalone legislation.



## **Assembly Budget Proposal SFY 2016-17 Office of Employee Relations**

The Assembly provides an All Funds appropriation of \$4.81 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17**  
**Executive Chamber and the Office of the Lieutenant Governor**

The Assembly provides an All Funds appropriation of \$18.48 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Department of Financial Services**

The Assembly provides an All Funds appropriation of \$435.96 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly modifies the proposed language related to the Excess Medical Malpractice program to eliminate provisions that would have modified the program's eligibility standards, and instead extends the existing program for one year.
- The Assembly adds language to extend the Entertainment Workers Demonstration Program for one year, and continues the program within the \$250,000 appropriation that was included in the Executive Budget.
- The Assembly requires the Department of Financial Services to work with the Department of Health and the New York State of Health to address issues related to the closure of Health Republic in November of 2015, including but not limited to: determining the value of existing assets and liabilities of Health Republic; developing policies and procedures to prevent similar closures during a policy term; and evaluating the options available to providers that are impacted by such closures.



**Assembly Budget Proposal SFY 2016-17**  
**Office of General Services**

The Assembly provides an All Funds appropriation of \$1.13 billion.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

**Article VII**

- The Assembly proposal would modify the Executive proposal to make permanent the Procurement Lobbying Act and the Procurement Stewardship Act to provide a five-year sunset for each Act and to advance improvements related to enhanced debriefings, alternative procurement methods, non-material deviations, and to clarify the restricted period.



**Assembly Budget Proposal SFY 2016-17**  
**Division of Homeland Security and Emergency Services**

The Assembly provides an All Funds appropriation of \$1.52 billion, a net increase of \$6 million from the Executive proposal.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly reprograms a \$6 million transfer from the Division of State Police to the Division of Homeland Security and Emergency Services (DHSES) to instead support anti-terrorism grants to New York City.

**Capital Projects**

- Not applicable.

**Article VII**

- The Assembly accepts the Executive proposal to suspend the annual \$1.5 million transfer from the Public Safety Communications Account to the Emergency Services Revolving Loan Fund for two additional years.
- The Assembly modifies the Executive proposal to transfer certain counter-terrorism functions from DHSES to the Division of State Police, and adds language to the statutory duties and powers of the State Police requiring the Division to conduct the counter-terrorism associated law enforcement activities that are being transferred.



## **Assembly Budget Proposal SFY 2016-17 Office of Indigent Legal Services**

The Assembly provides an All Funds appropriation of \$104.5 million, a net increase of \$5.1 million from the Executive proposal.

### **State Operations**

- The Assembly provides an additional \$100,000 to support the assumption of assigned counsel program oversight activities from the Office of Court Administration.

### **Aid to Localities**

- The Assembly provides an additional \$5 million to reduce public defense caseloads in upstate counties.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly proposes new legislation to transfer the authority to approve bar association plans for the operation of an assigned counsel program or an office of conflict defender from the Chief Administrator of the Courts to the Office of Indigent Legal Services.
- The Assembly proposes new legislation to amend the County Law to phase in, over a period of seven years, a state assumption of local costs related to indigent defense services, beginning in 2017. The legislation would also establish statewide caseload standards for indigent defense services.



**Assembly Budget Proposal SFY 2016-17**  
**Office of the Inspector General**

The Assembly provides an All Funds appropriation of \$7.24 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 New York Interest on Lawyer Account**

The Assembly provides an All Funds appropriation of \$46.84 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17  
Department of Law**

The Assembly provides an All Funds appropriation of \$236.78 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Division of Military and Naval Affairs**

The Assembly provides an All Funds appropriation of \$129.51 million.

### **State Operations**

- The Assembly adds appropriation language that would require the Division of Budget to provide quarterly reports on the receipt and distribution of asset forfeiture funds collected through federal equitable sharing agreements with the United States Departments of Justice and Treasury.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Article VII**

- The Assembly accepts the Executive proposal to extend for five years the Recruitment Incentive and Retention Program, a tuition benefit program for members of the New York Army and Air National Guard and the Naval Militia.



**Assembly Budget Proposal SFY 2016-17**  
**Office for the Prevention of Domestic Violence**

The Assembly provides an All Funds appropriation of \$5.14 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17  
Public Employment Relations Board**

The Assembly provides an All Funds appropriation of \$3.98 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17  
Joint Commission on Public Ethics**

The Assembly provides an All Funds appropriation of \$5.58 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Judicial Commissions**

The Assembly provides an All Funds appropriation of \$5.65 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Division of State Police**

The Assembly provides an All Funds appropriation of \$835.64 million, a net decrease of \$14 million from the Executive proposal.

### **State Operations**

- The Assembly denies the Executive proposal to provide \$14 million in support for the establishment of a permanent State Police presence in New York City, and would reprogram \$6 million of these funds to the Division of Homeland Security and Emergency Services to support anti-terrorism grants to New York City.
- The Assembly adds appropriation language that would require the Division of Budget to provide quarterly reports on the receipt and distribution of asset forfeiture funds collected through federal equitable sharing agreements with the United States Departments of Justice and Treasury.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Statewide Financial System**

The Assembly provides an All Funds appropriation of \$30.14 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17  
Office of Information Technology Services**

The Assembly provides an All Funds appropriation of \$839.13 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

**Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17**  
**Office of Victim Services**

The Assembly provides an All Funds appropriation of \$119.42 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Workers' Compensation Board**

The Assembly provides an All Funds appropriation of \$189.06 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Article VII**

- The Assembly rejects an Executive proposal for workers' compensation reform, but authorizes payment of workers' compensation benefits for volunteers who served on September 11, 2001 and authorizes transfers that support State workers' compensation obligations.



## **Assembly Budget Proposal SFY 2016-17 General State Charges**

The Assembly provides an All Funds appropriation of \$3.64 billion, a decrease of \$2.16 billion from the Executive proposal. This significant decrease is primarily related to a technical adjustment in the structure of appropriations made for General State Charges.

### **State Operations**

- The Assembly includes \$34.7 million to support the costs at providing veterans with pension credit based on years of military service.
- The Assembly rejects Executive proposals to eliminate reimbursement of the Income Related Monthly Adjustment Amount (IRMAA) and to institute a freeze in Medicare Part B premium support, and instead restores \$7.2 million.
- The Assembly rejects the Executive proposal to implement differential healthcare premiums based on years of service, and instead restores \$3 million.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly rejects the Executive proposal to cease reimbursement of IRMAA and to cap reimbursement of Medicare Part B premium support.
- The Assembly rejects the Executive proposal to implement differential health care premiums based on years of service.
- The Assembly includes legislation to grant pension credit to veterans based on years of military service.



**Assembly Budget Proposal SFY 2016-17**  
**Miscellaneous: Public Protection and General Government**

**Local Governments**

The Assembly provides an All Funds appropriation of \$876.7 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly increases AIM funding by \$100 million for cities, towns, and villages. Each municipality will receive a pro-rata share of the increase, with a maximum increase of \$10 million per municipality.
- The Assembly restores funding for four villages that were not included in the SFY 2016-2017 Executive AIM runs, including:
  - the Village of Mastic Beach;
  - the Village of Woodbury;
  - the Village of South Blooming Grove; and
  - the Village of Sagaponack.
- The Assembly restores \$2 million in village per capita aid.
- The Assembly rejects a \$39 million appropriation for the continuation of Citizen Empowerment Tax Credits, Citizens Reorganization Empowerment Grants, and Local Government Efficiency Grants.

**Capital Projects**

- The Assembly rejects \$20 million in support for a new Municipal Consolidation and Efficiency Competition.
- The Assembly rejects \$25 million for the Municipal Restructuring Fund.

- The Assembly rejects a \$2.4 million appropriation for Citizen Reorganization Empowerment Grants and Citizen Empowerment Tax Credits.
- The Assembly rejects a \$16.7 million appropriation for the Local Government Performance and Efficiency Program and the Financial Restructuring Board.
- The Assembly rejects \$5.5 million for the Local Government Efficiency Grant Program.

#### **Article VII**

- The Assembly adds language to allocate an additional \$100 million in AIM and makes permanent the inclusion of four new villages in the AIM program.
- The Assembly proposes language to extend the current statutory distribution to the cities of Buffalo and Niagara Falls from the Tribal State Compact Revenue Account.
- The Assembly accepts the Executive proposal to extend binding arbitration, including provisions for the fiscally eligible municipalities, for three years.
- The Assembly accepts the Executive proposal to advance Payment in Lieu of Taxes (PILOTS) for the City of Albany.

# **EDUCATION, LABOR & FAMILY ASSISTANCE**

**By Agency**



## **Assembly Budget Proposal SFY 2016-17 Division of Veterans' Affairs**

The Assembly provides an All Funds appropriation of \$18.11 million, an increase of \$575,000 over the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly restores \$250,000 for the Veterans Defense Program and \$100,000 for SAGE Veterans' Project.
- The Assembly provides \$200,000 for Helmets-to-Hardhats and \$25,000 for the Veterans Miracle Center.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Council on the Arts**

The Assembly provides an All Funds appropriation of \$46.88 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 City University of New York (CUNY)**

The Assembly provides an All Funds appropriation of \$5.08 billion.

### **State Operations**

- The Assembly rejects the Executive proposal to allow CUNY to increase New York resident tuition in each of the next five academic years. Instead, the Assembly freezes tuition for the next two years and provides CUNY with \$51.5 million in additional state support for AY 2016-17 and AY 2017-18.
- The Assembly rejects the Executive proposal to shift \$485 million, in state costs for CUNY operating expenses to the City of New York.
- The Assembly accepts a \$240 million appropriation to support the costs of future collective bargaining agreement, but rejects language that makes these funds contingent upon the previously-noted cost shift to the City of New York.
- The Assembly provides \$28.1 million for the Search for Elevation, Education and Knowledge (SEEK) opportunity program, an increase of \$4.7 million over the Executive.
- The Assembly provides \$2.8 million for the establishment of the CUNY Medical School at City College.
- The Assembly restores \$1 million for the Joseph Murphy Institute.
- The Assembly restores \$250,000 for the CUNY Pipeline at the Graduate Center.

### **Aid to Localities**

- The Assembly provides \$8.36 million to increase base aid for CUNY Community Colleges by \$100 per Full-Time Equivalent (FTE) student. Under the Assembly proposal, base aid would be funded at \$2,697 per FTE.
- The Assembly restores \$2.5 million in funding to the Accelerated Study in Associates (ASAP) program.
- The Assembly restores \$902,000 to CUNY Child Care Centers, for total funding of \$1.7 million.

- The Assembly provides \$225,000 for the College Discovery Program, for total funding of \$1.35 million.

### **Capital Projects**

- The Assembly provides an additional \$137 million for critical maintenance efforts, and \$200 million in critical expansion capital to pursue regionally significant projects.
- The Assembly provides the state's 50 percent match for the CUNY Queensborough Community College Chiller Plant at \$8.98 million.

### **Article VII**

- The Assembly rejects the Executive proposal to shift CUNY operating funds to the City of New York.
- The Assembly proposal includes an enhanced maintenance of effort provision for CUNY which would provide state support for increases in mandatory costs.
- The Assembly would require the Executive to fund five year capital plans for CUNY beginning in AY 2017-18.
- The Assembly accepts the Executive proposal to extend for an additional five years the various procurement changes made in the 2011-12 State Budget for CUNY relating to entering into certain contracts within a defined monetary threshold without pre-approval from any state officer or agency.

## **Assembly Budget Proposal SFY 2016-17 Education Department**

The Assembly provides an All Funds appropriation of \$34.82 billion.

### **State Operations**

- The Assembly provides \$4 million for professional development and training programs.
- As part of the My Brother's Keeper initiative, the Assembly provides the following:
  - \$365,000 to establish the Office of Family and Community Engagement within the State Education Department (SED) to create statewide policies, provide guidance, and coordinate funding to school districts to implement the recommendations of the workgroup; and
  - \$100,000 to create the Council to Improve Outcomes for Boys and Young Men of Color, an interagency council to identify, review, and analyze critical data elements necessary to assess and address issues related to the impact of racial disparities in education.
- The Assembly provides \$225,000 to support a monitoring team appointed by the Commissioner to continue its work with the East Ramapo School District.

### **Aid to Localities**

- The Assembly modifies the Executive proposal to include appropriations for a single year only.

### ***Office of Prekindergarten through Grade Twelve Education***

- The Assembly provides an overall increase to General Support for Public Schools (GSPS) of \$2.13 billion over School Year (SY) 2015-16, for a total of \$25.4 billion. This is an increase in formula based aids of \$2.1 billion or 9.2 percent for the 2016-17 School Year, and reflects an increase of \$1.2 billion over the Executive Budget.
  - The Assembly rejects the Executive's link between school aid increases and the Commissioner's approval of school districts' annual teacher and principal evaluation plans.

- The Assembly increases Foundation Aid by \$1.1 billion for the 2016-17 SY. This reflects an increase of \$875 million over the Executive's proposed increase of \$266 million. This distribution takes into account factors including growth in enrollment and students eligible for the free and reduced priced lunch program. Additionally, the Assembly proposes to fully phase-in the remaining \$3.3 billion in Foundation Aid by the 2019-20 SY.
- The Assembly fully restores the GEA of \$434 million. This would be an additional \$244 million above the Executive's restoration of \$189 million.
- The Assembly adds an additional \$65 million for Community Schools Aid for school districts with struggling or persistently struggling schools. Additionally, the Assembly would require funding generated by students in struggling or persistently struggling schools to be used by those schools to implement community schools programs. An additional \$35 million is provided exclusively to struggling schools for a total of \$200 million in aid to community schools.
- The Assembly provides full reimbursement of expense based aids, costing \$47 million.
- Also, \$10 million is provided for additional Special Services Aid for Career and Technology Education Programs for non-component districts that do not benefit from BOCES programs.
- The Assembly increases the BOCES aidable salary cap from \$30,000 to \$34,000, generating an additional \$10 million.
- The Assembly makes the following changes to Executive grant provisions:
  - An Executive proposal to create a three-person board to govern prekindergarten grants is rejected. The Assembly would redirect a new \$22 million to increase funding for the Priority Prekindergarten Program for four-year-olds;
  - The Assembly modifies the \$3 million Early College High School grant to clarify that these funds are intended to support both new and existing programs;
  - The Assembly modifies the \$1 million for Career and Technical Education to clarify that this funding is intended to reduce barriers that prevent English Language Learners and Students with Disabilities from participating in CTE programs, as well as to promote gender diversity in such programs; and
  - The Assembly modifies the \$2 million grant for QUALITYstarsNY to instead provide this funding to enable the State Education Department (SED) to work with prekindergarten programs to improve quality.

- The Assembly provides \$50 million to implement the Board of Regent's recommendations from its Blue Ribbon Committee and Workgroup to Improve Outcomes for Boys and Young Men of Color, which includes:
  - \$12.5 million in funding to support strategies for the engagement of families and communities;
  - \$10 million to support professional development programs;
  - \$7 million in grants to incentivize school districts to implement strategies aimed at improving life outcomes for boys and young men of color;
  - \$6.5 million for a new round of P-TECH programs, specifically targeted at meeting the goals of My Brother's Keeper;
  - \$5.5 million to support the expansion and development of exemplary school models and practices; and
  - an additional \$465,000 appropriation for SED State Operations and \$8 million in the Office of the Professions to fully implement the Committee's recommendations.
  
- The Assembly also includes the following:
  - \$14.3 million to fully restore funding for Teacher Resources and Computer Training Centers;
  - \$10 million in grants for school districts with growing English Language Learner populations;
  - \$10 million in grants to help districts provide additional services to homeless students;
  - \$1 million in additional funding for Bilingual Education services, for a total of \$15.5 million in 2016-17;
  - \$4 million for SED to initiate professional development statewide;
  - a 2 percent increase for both 853 and Special Act Districts;
  - \$2 million, or a 2 percent, increase for 4201 Schools for the Blind and Deaf;
  - \$903,000 restoration for the Henry Viscardi School;

- \$2.4 million to restore funding for school health services for the Rochester and Buffalo City School Districts;
- a \$1.5 million restoration for the Consortium for Worker Education (CWE), for a total of \$13 million;
- \$1 million in additional Adult Literacy Education funding, for a total of \$7.29 million;
- \$1 million in assistance for economically disadvantaged middle school students preparing for the Specialized High School Admissions Test;
- a \$535,000 restoration for the Smart Scholars Early College High School programs;
- a \$500,000 increase for Math and Science High Schools;
- \$475,000 to restore funding for the Executive Leadership Institute;
- a \$450,000 restoration of the Supplemental Valuation Impact Grant for the North Rockland School District;
- a \$350,000 planning grant for the replacement of the Facilities Planning Project Management System at SED; and
- a rejection of the Executive's proposed \$27.4 million in direct funding to charter schools.

### ***Cultural Education***

The Assembly proposal provides:

- an additional \$5 million for aid to public libraries, for a total of \$96.63 million;
- \$250,000 to restore support for the Schomburg Center for Research in Black Culture; and
- a \$75,000 restoration of the Langston Hughes Community Library and Cultural Center of the Queens Library.

### ***Office of Higher Education and the Professions***

- The Assembly provides an additional \$8 million for the Teacher Opportunity Corps Program as a part of the My Brother's Keeper initiative, for total funding of \$8.5 million.
- The Assembly provides \$5.9 million for the Higher Education Opportunity Program (HEOP), for total funding of \$35.5 million.
- The Assembly provides \$3.1 million for the Liberty Partnership Program, for total funding of \$18.4 million.
- The Assembly provides \$2.6 million for the Science Technology and Entry Program (STEP), for total funding of \$15.8 million.
- The Assembly provides \$1.9 million for the Collegiate Science Technology and Entry Program (CSTEP), for total funding of \$11.9 million.
- The Assembly provides an additional \$1.5 million to support a second cohort within the Foster Youth Initiative program, for total funding of \$3 million.

### **Capital Projects**

- The Assembly authorizes SED to spend \$4.3 million that has been previously collected to begin development on an eLicensing system for the professions.
- The Assembly provides \$2 million to allow SED to replace its out-of-date State Aid system.
- The Assembly provides a \$350,000 planning grant for the replacement of the Facilities Planning Project Management System at SED to more efficiently process school district capital projects.

### **Article VII**

- The Assembly makes SED's administration of the \$340 million Full Day Prekindergarten program permanent, and rejects an Executive proposal that sought to shift administration of the program to the Office of Children and Family Services.
- The Assembly extends the turnaround deadline for persistently struggling schools to one year school after such schools received Transformation Grants from the State.

- The Assembly expands reimbursement for capital projects related to the implementation of the community schools model in struggling and persistently struggling schools within Building Aid.
- The Assembly provides a five-year financial assistance step-down for school districts newly offering full day kindergarten.
- The Assembly suspends changes to the building aid interest rate for certain capital projects by one year to allow districts more time to refinance or apply for waivers.
- The Assembly requires SED to produce a report on how to accurately represent the enrollment of children that qualify for free and reduced price lunch in school districts that provide universal free lunches under the federal Community Eligibility Provision program.
- The Assembly requires SED to collect data on the number of 9th graders in vocational education programs in districts receiving Special Services Aid.
- The Assembly modifies the provision to extend Mayoral Control of schools in New York City for seven years until June 30, 2023. The Executive proposal would have extended this provision for three years.
- The Assembly rejects the Executive proposal to allow any school district to apply for waivers from certain special education requirements.
- The Assembly discontinues the Contract for Excellence program starting in the 2016-17 SY.
- The Assembly increases the aidable cap for transportation after 4 p.m. by \$2 million, and makes a technical correction as well.
- The Assembly restores a carve-out of the EPE appropriation to support education for high school diploma holders who lack skills necessary for employment.
- The Assembly modifies the Executive's proposal relating to school safety by maintaining a student representative on the district-wide school safety team; providing school districts flexibility in conducting annual staff training and reviewing the ratio of support staff to students in schools including school counselors, social workers, psychologists and others.
- The Assembly modifies the Executive's proposal relating to fire and emergency drills by providing school districts the option to apply for a hardship waiver from the commissioner for the number and manner of lock-down drills.

- The Assembly accepts the Executive's proposal relating to state aid forgiveness for a credible threat to student safety.
- The Assembly rejects the Executive's proposal relating to charter schools and proposes charter school language in relation to transparency and oversight, enrollment targets and preferences, discipline, reporting requirements and teacher certification.
- The Assembly ensures that employees of bus contractors would retain their labor status under certain conditions.
- The Assembly allows school districts to extend bus contracts using the Employment Cost Index rather than the Consumer Price Index.
- The Assembly allows the Rochester City School District to create a transportation pilot program for up to five schools that are included within the City's anti-poverty initiative.
- The Assembly provides a five year stretch out for repayments owed by the Lackawanna School District.
- The Assembly modifies the distribution of funds through the Foster Youth Initiative and clarifies that program funds can be used for housing.
- The Assembly rejects the Executive proposal to allow public accountancy firms to have minority ownership of up to 49 percent by individuals who are not licensed as certified public accountants or public accountants.



## **Assembly Budget Proposal SFY 2016-17 Office of Children and Family Services**

The Assembly provides an All Funds appropriation of \$4.04 billion, an increase of \$156.1 million over the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly provides an additional \$75 million to support 10,000 new child care slots, and includes appropriation language to prioritize child care assistance for homeless families and to ensure this funding is used to maintain existing slots in the event of federal regulatory changes.
- The Assembly reduces TANF support for child care by \$55.1 million to allow TANF funds to support a variety of restorations and new initiatives. The Assembly includes \$55.1 million in child care funding in Office of Children and Family Services (OCFS) to offset this reduction.
- The Assembly provides an increase of \$7 million for the Advantage Afterschool program, including a \$2 million restoration of prior-year funding and \$5 million in new program support.
- The Assembly provides \$1.9 million for Kinship Care, including a \$1 million restoration of prior-year funding and \$900,000 in new program support. In addition, the Assembly provides \$100,000 to support the Kinship Navigator.
- The Assembly provides \$7.74 million in additional restorations including the following programs that were eliminated by the Executive:
  - Safe Harbour - \$3,000,000;
  - Settlement Houses - \$2,450,000;
  - Youth Development Program - \$1,286,000;
  - Child Protective Caseload Reduction - \$757,200;
  - Bedford Stuyvesant Restoration Corporation - \$150,000;
  - Broadway Housing Communities - \$50,000; and
  - Wyandanch Family Life Center - \$50,000.

- The Assembly rejects the proposed transfer of the Migrant Worker Child Care appropriation to the Department of Agriculture and Markets and would instead transfer the funding from Agriculture and Markets to OCFS and restore \$1 million in prior year funding.
- The Assembly modifies the Executive proposal to reinvest adoption assistance savings, to ensure that the savings are used exclusively for post-adoption and preventative services.
- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, Office for People With Developmental Disabilities, Office of Alcoholism and Substance Abuse Services, Department of Health, Office of Children and Family Services, Office of Temporary and Disability Assistance, State Office for the Aging, and the Department Of Labor.

### **Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Article VII**

The Assembly proposes to:

- Modify the Executive proposal related to the federal Preventing Sex Trafficking Act to clarify foster parent immunity when allowing the foster child to participate in age appropriate activities, and to modify provisions related to a federal audit to provide prospective foster and adoptive parents with a copy of their background check results.
- The Assembly proposes to modify the Executive proposal to raise the age of juvenile jurisdiction to:
  - Increase the standards for placing PINS in foster care and enhance services for juvenile delinquents and PINS;
  - Narrow the list of juvenile offenses for 16 and 17 year olds to certain felonies under current law as well as certain other serious violent felony offenses, and allow for the removal of juvenile offenders to Family Court under certain circumstances;

- Include youths charged with misdemeanor and felony vehicle and traffic offenses with the non-juvenile offenses processed in Family Court;
- Expand Youthful Offender eligibility to anyone under the age of 21 and create a presumption for granting such status; and
- Provide opportunities for the sealing of certain convictions.



## **Assembly Budget Proposal SFY 2016-17 Office of Temporary and Disability Assistance**

The Assembly provides an All Funds appropriation of \$5.64 billion, an increase of \$18.8 million over the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly provides \$19.47 million in TANF funding to provide support for the following legislative initiatives that were eliminated in the Executive Budget:
  - ATTAIN - \$4 million;
  - BRIDGE - \$102,000;
  - Career Pathways - \$2.55 million;
  - Centro of Oneida - \$25,000;
  - Community Solutions to Transportation - \$112,000;
  - Facilitated Enrollment - \$8.41 million;
  - Fatherhood Initiative - \$200,000;
  - Non-residential Domestic Violence - \$1 million;
  - Preventative Services Initiative - \$1.57 million;
  - Rochester Genesee Regional Transportation Authority - \$82,000;
  - SUNY/CUNY Child Care - \$334,000;
  - Wage Subsidy Program - \$950,000; and
  - Wheels for Work - \$144,000.
- The Assembly restores an additional \$1 million for the Disability Advocacy Program (DAP), consistent with the SFY 2015-16 funding level.
- The Assembly reduces TANF support for child care by \$55.1 million to allow TANF funds to support a variety of restorations and new initiatives. The Assembly includes \$55.1 million in child care funding in Office of Children and Family Services (OCFS) to offset this reduction.
- The Assembly rejects the Executive proposal to fund 1,000 emergency shelter beds out of existing public assistance appropriations.

- The Assembly provides a funding increase of \$9.5 million for the New York State Supportive Housing Program (NYSSHP) and Solutions to End Homelessness Program (STEHP).
- The Assembly provides \$50 million, over five years, in funding for emergency shelter capital, through the Division of Homes and Community Renewal (DHCR), to support needed upgrades and repairs in shelters throughout the State.
- The Assembly includes \$30 million over two years to support scatter site supportive housing units in upstate.
- The Assembly provides \$43.9 million to authorize rental subsidies through the Family Eviction Prevention Supplement (FEPS) to allow a maximum subsidy equivalent to the HUD Fair Market Rent in the City of New York, and to assist survivors of domestic violence facing eviction or homelessness.
- The Assembly provides an additional \$37.5 million in Special Infrastructure Program funding for the anti-poverty initiative to expand these programs to additional high needs areas of the State, including but not limited to Albany, Amityville, Binghamton, Brentwood, Buffalo, Elmira, Freeport, Hempstead, Jamestown, New York City, Oneonta, Oswego, Rochester, Syracuse, Troy, Uniondale, Watertown, and Wyandanch. Of the \$62.5 million in funding for these initiatives, \$25 million would be made available for New York City.

### **Capital Projects**

- The Assembly restores \$50 million for the Nonprofit Capital Infrastructure Grant program to help improve the quality and accessibility of essential human services provided by nonprofit organizations statewide, and would allow organizations located on state or municipally owned property to apply for funding.

### **Article VII**

- The Assembly accepts the Executive proposal to allow the continuation of the current Federal cost of living adjustment in 2017 for individuals receiving SSI, who reside in residential care, family care or enhanced residential care settings.
- The Assembly rejects the Executive proposal to establish state authority to appoint temporary operators of emergency shelters with alleged financial, health, or safety problems, above and beyond the existing oversight procedures.

- The Assembly includes language that would require a commissioner of a social services district that is unable to provide a child care subsidy to all eligible working families under 200 percent of the poverty level to offer a 12 month work exemption to households receiving temporary assistance with an infant under the age of one.
- The Assembly includes language that would expand the conciliation process to the rest of the state, requiring local social services districts to confirm that the recipient is not exempt from the mandatory work requirement, and that the recipient had appropriate child care, transportation, and disability accommodations, prior to imposing a sanction on a public assistance recipient for failure to comply with work rules.
- The Assembly includes language that would require local social services districts to allow four year college and homework completed in post-secondary education for the purposes of education and training activities to count toward work participation requirements for public assistance recipients.
- The Assembly includes language that would amend the current resource limits to allow applicants to retain certain vital resources; including a car, retirement and college savings accounts, in order to decrease the amount of time a person remains on public assistance.
- The Assembly includes language that would eliminate the requirement to have a lien placed on a home as condition of eligibility for public assistance.
- The Assembly extends, for two years, language that would require districts with a population of one million or more to continue the Savings Plan Demonstration Project, which allows individuals with earned income residing in temporary housing assistance to contribute to the Savings Plan in lieu of paying rent to the shelter.
- The Assembly includes public assistance language that would require local social services physicians who examine public assistance applicants or recipients for possible work limitations or exemption due to a potential disability, to consider the recipient's/applicants treating health care practitioner's diagnosis when making a determination. If the determinations differ, the social services physician would be required to state the reasons in writing. This proposal reflects the same consideration that is allowed when determining SSI eligibility.
- The Assembly includes language that would better ensure that individuals with disabilities receive appropriate accommodation to successfully navigate the public assistance system, including language to expand permitted current work activities to assist individuals in gaining employment and self-sufficiency.

- The Assembly includes language that would enhance the Integrated Eligibility System (IES) database to allow gathering of information regarding program and service outcomes within public assistance.
- The Assembly includes language that would expand the FEPS program to include survivors of domestic violence in New York City
- The Assembly includes language that would create a Nonprofit Infrastructure Capital Investment Program to help improve the quality and accessibility of essential human services provided by nonprofit organizations statewide, and allows organizations located on state or municipally owned property to apply for funding.
- The Assembly includes language that would modify the Executive's Anti-Poverty Taskforces by expanding their functions to include the implementation of programs to assist individuals in complying with the requirements of public assistance to avoid deep poverty and further assist individuals in getting off of public assistance all together. Language was also added to allow for more municipalities to the opportunity to have a taskforce in their area.

**Assembly Budget Proposal SFY 2016-17**  
**New York State Higher Education Services Corporation (HESC)**

The Assembly provides an All Funds appropriation of \$1.22 billion.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly advances its own DREAM Act proposal, which includes the DREAM Fund Commission as well as other technical changes.
- The Assembly proposes to increase the maximum Tuition Assistance Program award by \$100 per Full-Time Equivalent (FTE) student to \$5,265, at a cost of \$18.21 million.
- The Assembly provides \$500,000 for programs that were previously funded by a Federal College Choice grant.

**Capital Projects**

- Not applicable.

**Article VII**

- The Assembly modifies the Executive proposal to make the New York State Licensed Social Worker Loan Forgiveness Program, the Regents Physician Loan Forgiveness Program, the Senator Patricia K. McGee Nursing Faculty Scholarship Program, and the New York State Nursing Faculty Loan Forgiveness Incentive Program permanent.



## **Assembly Budget Proposal SFY 2016-17**

### **Division of Housing and Community Renewal**

The Assembly provides an All Funds appropriation of \$2.3 billion, an increase of \$1 million over the Executive proposal.

#### **State Operations**

- The Assembly provides \$5.8 million for the Tenant Protection Unit in a discrete appropriation.

#### **Aid to Localities**

- The Assembly provides \$1 million for the NYCHA Tenant Watch Program and modifies the program to target those developments most afflicted by crime.

#### **Capital Projects**

- The Assembly modifies the Executive proposal for a \$2.47 billion, five-year housing program, House NY 2020, by reprogramming this funding as follows:

##### **Affordable Housing:**

- \$500 million, \$100 million per year, for NYCHA Capital Repairs in consultation with DHCR;
- \$250 million, \$50 million per year, for the Mitchell-Lama Repair and Revitalization program;
- \$150 million, \$30 million per year, for the Developing Affordable Senior Housing program, which would provide financial assistance to support the construction and renovation of affordable housing for seniors with low incomes;
- \$125 million, \$25 million per year, for a State version of the Federal Housing Choice Voucher Program (Section 8);
- \$125 million, \$25 million per year, for the New York State Community and Housing Stabilization Fund which provides financial assistance: for homeowners facing foreclosure; for purchasing and converting abandoned properties into

affordable housing; and for demolishing homes that are dilapidated or reasonably beyond repair;

- \$125 million, \$25 million per year, for the Low Income Housing Trust Fund; and
- \$50 million over five years, for the Public Housing Modernization program, to provide capital repairs to upstate housing authorities.

**Supportive Housing:**

- \$500 million over five years to construct 6,000 new supportive units;
- \$100 million over five years for the capital costs of housing for the developmentally disabled;
- \$30 million over two years to support scatter site supportive housing units upstate; and
- \$20 million over the first two years for HIV/AIDS rental assistance and housing support.

**Emergency Shelters:**

- \$50 million over the first three years for emergency shelter capital costs, including enhanced security.
- The Assembly rejects language expanding PACB oversight of this funding and maintains the current scope of PACB oversight.

**Article VII**

- The Assembly modifies the Executive proposal to utilize \$150 million in excess Mortgage Insurance Fund (MIF) reserves in the following manner:
  - An additional \$9.5 million for the New York State Supportive Housing Program;
  - Provides \$22.7 million for the Neighborhood Preservation Programs and the Rural Preservation Programs (NPP/RPP), an increase of \$4.5 million from the Executive proposal. The NPP/RPP language is also modified to include a carve-out for housing coalitions;
  - Provides \$1 million for Naturally Occurring Retirement Communities and \$1 million for Neighborhood Naturally Occurring Retirement Communities; and

- Provides \$19.3 million for the Rural and Urban Community Investment Fund, a \$16 million reduction from the Executive proposal.
- The Assembly modifies the Executive proposal to permanently extend the State's process for allocating tax exempt private activity bonding authority to instead extend the program for two years, and to reject additional approval requirements by the Public Authorities Control Board and the Department of Economic Development.

### **New Programs in the Assembly Proposal**

- The Assembly would establish the New York State Community and Housing Stabilization Fund, which would authorize community development financial institutions (CDFIs) to purchase mortgage notes and properties at discounted rates through auctions, from financial institutions, and other sources to:
  - help homeowners modify their home loans to help them stay in their properties;
  - rent or sell back a home to homeowners with an affordable loan;
  - fund not-for-profit development entities who are developing abandoned properties into affordable housing;
  - rehabilitate distressed properties for new owners; and/or
  - demolish homes that are dilapidated or reasonably beyond repair.
- The Assembly would establish a program of rental assistance for low-income households (i.e., households earning up to 50 percent area median income) and very-low income households (i.e., households earning up to 30 percent of area median income) in privately-owned housing.
- The Assembly would establish a program to provide grants or loans to eligible applicants and/or private developers to develop affordable senior housing projects for persons age 62 years and older with an area median income of 60 percent or less, and require that rents be no more than 30 percent of a unit's household gross income.



## **Assembly Budget Proposal SFY 2016-17 Division of Human Rights**

The Assembly provides an All Funds appropriation of \$18.01 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Department of Labor**

The Assembly provides an All Funds appropriation of \$3.79 billion an increase of \$4.48 million over the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly restores \$1.63 million in funding for the Displaced Homemakers Program, which was eliminated in the Executive proposal.
- The Assembly restores \$350,000 in funding for the New York Council on Occupational Safety and Health, which was eliminated in the Executive proposal.
- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, Office for People With Developmental Disabilities, Office of Alcoholism and Substance Abuse Services, Department of Health, Office of Children and Family Services, Office of Temporary and Disability Assistance, State Office for the Aging, and the Department Of Labor.
- The Assembly provides \$2.5 million for a new Empire Apprenticeship Training grant program.

### **Capital Projects**

- Not applicable.

## Article VII

- The Assembly modifies the minimum wage increases in the Executive’s budget proposal to:
  - include Nassau, Suffolk, and Westchester County in the New York City wage schedule;
  - include state and local governmental employees and index the wages to inflation thereafter;
  - provide for exemptions of increases in the minimum wage from the calculation of the global cap on Medicaid;
  - provide that home health aides in New York City, Nassau County, Suffolk County, and Westchester County shall have the cash portion of their wage increased in response to a minimum wage increase; and
  - require that programs, including those provided through not-for-profits, funded by certain state agencies be adjusted to reflect the increase in labor costs related to the minimum wage.
  
- The Assembly modifies the Executive proposal to provide 12 weeks of paid family leave of two-thirds of the employee’s salary, up to 67 percent of the statewide average weekly wage by April 1, 2020, to:
  - increase the existing Temporary Disability Insurance (TDI) benefit by the same amount;
  - provide that employers’ must maintain health benefits while an employee is out on family leave;
  - provide anti-retaliation and job protection for all employees who take leave for family care;
  - allow employees to use family care leave intermittently;
  - authorize a \$0.45 payroll deduction for the first year and then authorize Department of Financial Services, with the Family Care Advisory Council, to determine the payroll deduction thereafter for the cost of paid family leave; and
  - create a Family Care Advisory Council to issue recommendations or reports on the family care benefit.

- The Assembly budget proposal rejects the Executive proposal for workers' compensation reform except for the payment of workers compensation benefits for volunteers who served on September 11, 2001 and the transfers that support the State's worker's compensation obligations.
- The Assembly budget proposal accepts the Executive budget proposal to increase funding for the Urban Youth Jobs Program tax credit to \$50 million for the 2016 and 2017 program years and to allow for \$10 million in new authorizations to be used anywhere in New York State.
- The Assembly budget proposal modifies the Executive budget proposal to expand the Apprenticeship Training Council to include representatives from New York public colleges, community colleges, or boards of cooperative educational services by reducing the number of added positions from three to two.
- The Assembly budget proposal clarifies that labor protections, including prevailing wage, shall apply to certain capital projects that are funded or authorized by certain enumerated funds or programs.
- The Assembly budget proposal creations the Empire Apprenticeship Tax Credit and Grant program to provide tax incentives to employers for employing apprentices. The Assembly provides \$10 million for the tax credit and \$2.5 million for the grant for the SFY 2016-17.



## **Assembly Budget Proposal SFY 2016-17 State of New York Mortgage Agency**

The Assembly provides an All Funds appropriation of \$192.31 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 State University of New York (SUNY)**

The Assembly provides an All Funds appropriation of \$10.52 billion.

### **State Operations**

- The Assembly rejects the Executive proposal to allow SUNY to increase New York resident tuition in each of the next five years. Instead, the Assembly freezes tuition for the next two years and provides SUNY with \$89.3 million in additional state support for AY 2016-17 and AY 2017-18.
- The Assembly restores \$18.6 million in support for SUNY Hospitals, for total funding of \$87.9 million.
- The Assembly provides \$5.4 million in additional support for the Educational Opportunity Program (EOP), for total funding of \$32.2 million.
- The Assembly provides \$5 million in additional support for Educational Opportunity Centers (EOC's), for total funding of \$60 million.
- The Assembly provides \$2 million to ATTAIN Labs, for total funding of \$6.5 million.
- The Assembly restores \$600,000 for Graduate Diversity Fellowships, for total funding of \$6.6 million.
- The Assembly restores \$250,000 to the Cornell Veterinary School, for total funding of \$500,000.

### **Aid to Localities**

- The Assembly provides \$17.77 million to increase base aid for SUNY Community Colleges by \$100 per Full-Time Equivalent (FTE) student. Under the Assembly proposal, base aid would be funded at \$2,697 per FTE.
- The Assembly provides \$1.1 million to SUNY Child Care centers, for total funding of \$2.1 million.

## Capital Projects

- The Assembly provides an additional \$200 million for critical maintenance efforts.
- The Assembly provides \$200 million in critical expansion capital to pursue regionally significant projects.
- The Assembly provides \$50 million in capital funding for SUNY Downstate University Hospital.
- The Assembly provides \$20 million in capital funding for Educational Opportunity Centers.
- The Assembly provides the state's 50 percent match for projects at Adirondack Community College (\$8.98 million) and Ulster Community College (\$57,000).
- The Assembly modifies certain reappropriations for:
  - SUNY Binghamton's renovation to "The Castle";
  - Sullivan County Community College's Healthy World Studies and Technology Institute; and
  - Stony Brook Southampton's renovation of classroom buildings, laboratories, and new student learning spaces.

## Article VII

- The Assembly proposal includes an enhanced maintenance of effort provision for SUNY which would provide state support for increases in SUNY's mandatory costs.
- The Assembly would require the Executive to fund five year capital plans for SUNY beginning in AY 2017-18.
- The Assembly accepts the Executive proposal to extend for an additional five years various procurement changes to allow SUNY to enter into certain contracts within a defined monetary threshold without pre-approval from any state officer or agency.
- The Assembly accepts the Executive proposal to establish a Stony Brook Affiliation Escrow Fund to support Stony Brook at Southampton Hospital.

- The Assembly accepts the Executive proposal to transfer \$15 million from the Regional Greenhouse Gas Initiative (RGGI) to support a SUNY Clean Energy Workforce Opportunity Program, but modifies language to clarify that the funds can be used for the offering of additional courses, hiring of faculty, and purchasing or upgrading of machinery and lab equipment. Funding could also support programs that integrate training with the installation of solar arrays that are to be connected to low-income housing. In addition, \$2.5 million of these funds can be used for training programs for individuals who have been discharged from state correctional facilities and local jails.



**Assembly Budget Proposal SFY 2016-17  
Office of the Welfare Inspector General**

The Assembly provides an All Funds appropriation of \$1.26 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17**  
**Miscellaneous: Education, Labor & Family Assistance**

**Nonprofit Infrastructure Capital Investment Program**

The Assembly provides an All Funds appropriation of \$50 million.

**Capital**

- The Assembly restores \$50 million for the Nonprofit Infrastructure Capital Investment Program, and includes Article VII language that would establish a program to help improve the quality and accessibility of essential human services provided by nonprofit organizations statewide and allow organizations located on state or municipally owned property to apply for funding.

**Anti-Poverty Initiative**

The Assembly provides an All Funds appropriation of \$62.5 million.

**Aid to Localities**

- The Assembly increases funding for the anti-poverty task forces by \$37.5 million, for a total of \$62.5 million to expand the program to more high need areas of the state including but not limited to Albany, Amityville, Binghamton, Brentwood, Buffalo, Elmira, Freeport, Hempstead, Jamestown, Oneonta, Oswego, Rochester, Syracuse, Troy, Uniondale, Watertown, and Wyandanch, and \$25 million for New York City.

**Article VII**

- The Assembly proposes Article VII language that would modify the Executive's Anti-Poverty Taskforces by expanding their functions to include the implementation of programs to assist individuals in complying with the requirements of public assistance to avoid deep poverty and further assist individuals in getting off of public assistance. Language is also included to allow for more municipalities to the opportunity to have a taskforce in their area.



# **HEALTH & MENTAL HYGIENE**

**By Agency**



## **Assembly Budget Proposal SFY 2016-17 Office for the Aging**

The Assembly provides an All Funds appropriation of \$257.28 million, an increase of \$117,500 over the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly rejects the Executive proposal to reduce support for Naturally Occurring Retirement Communities (NORCs) and Neighborhood NORCs, restoring \$951,000.
- The Assembly provides an additional \$2 million in new funding for NORC and NNORC programs, to be allocated 50 percent to current programs and 50 percent for new programs.
- The Assembly restores \$86,000 for the Foundation for Senior Citizen Home Sharing and Respite, and \$31,500 for the New York Statewide Senior Action Council, Inc. for the patients' rights hotline and advocacy project.
- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, Office for People With Developmental Disabilities, Office of Alcoholism and Substance Abuse Services, Department of Health, Office of Children and Family Services, Office of Temporary and Disability Assistance, State Office for the Aging, and the Department Of Labor.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly adds language to modify the program eligibility requirements for NORC and NNORC programs, including language that:

- makes changes to the required minimum and maximum senior population for NORCs and Neighborhood NORCs;
  - makes revisions to types of buildings that can participate as a NORC or in a Neighborhood NORC;
  - allows programs to supplement services provided by other entities in community when program residents' need for such service is not being met;
  - lowers the match requirement to 25 percent of state grant funding, with half of the match to be raised from the community served; and
  - requires a report on or before March 1, 2018, and every five years thereafter.
- The Assembly adds language to increase the maximum grant size for NORCs from \$100,000 to \$200,000.
  - The Assembly adds language to grandfather current contracts and exempts them from any competitive bid requirement for their existing contracts.

**Assembly Budget Proposal SFY 2016-17  
Developmental Disabilities Planning Council**

The Assembly provides an All Funds appropriation of \$4.76 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Department of Health**

The Assembly provides an All Funds appropriation of \$115.58 billion, a decrease of \$25.3 billion from the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly removes the two-year Medicaid appropriation proposed by the Executive and instead provides one year appropriations, reducing overall Medicaid appropriation by \$63.9 billion.
- The Assembly rejects the Executive proposal to reinstate the annual growth rate in New York City's local Medicaid share, restoring \$180 million.
- The Assembly rejects the Executive proposal to reduce reimbursement rates for specialty drugs in fee-for-service (FFS) to match the rates in Medicaid Managed Care (MMC), restoring \$1.8 million.
- The Assembly rejects the Executive proposal to eliminate prescriber prevails in FFS and managed care, restoring \$8.8 million and \$11.9 million, respectively.
- The Assembly rejects the Executive proposal to allow the Clinical Drug Review Program (CDRP) to require prior authorization for drugs meeting CDRP criteria prior to the Drug Utilization Review Board's recommendation, restoring \$160,000.
- The Assembly rejects the Executive proposal of generic drug ceilings that authorizes the Department of Health (DOH) to set a ceiling price for drugs in FFS and MMC, based on annual consumer price index (CPI) growth, restoring \$23.8 million.
- The Assembly rejects the Executive proposal of brand name drug ceilings that authorizes DOH to set a ceiling price for new "high cost blockbuster drugs", as determined by the State's actuary, restoring \$6 million.

- The Assembly rejects the Executive proposal of transferring the management of Managed Long Term Care and Adult Day Health Care transportation to the State's transportation broker, restoring \$8 million and \$3.2 million, respectively.
- The Assembly rejects the Executive proposal of the removal of transportation direct cost component from nursing home rate to reimburse transportation providers directly, restoring \$6 million.
- The Assembly rejects the Executive proposal to eliminate spousal refusal, restoring \$10 million.
- The Assembly rejects the Executive proposal of the reduction in the minimum amount of resources a community spouse is allowed to retain from \$74,820 to \$23,844, restoring \$5.75 million.
- The Assembly rejects the Executive proposal on cost-sharing limits to Medicare Part C claims, restoring \$11.45 million.
- The Assembly rejects the Executive proposal of fee increases to the Medicaid Long Term Care ambulette ambulatory transportation in New York City and Long Island, restoring \$5.4 million.
- The Assembly rejects the Executive proposal related to commercial insurance reimbursement for Early Intervention (EI) services, restoring \$2.1 in Medicaid and \$4.6 million in public health.
- The Assembly rejects the Executive proposal to modify EI screening and evaluation requirements, restoring \$2.7 million in Medicaid and \$800,000 in public health.
- The Assembly reprograms the funding proposed by the Executive to support an increase in the EI provider administrative fee, to support other EI restorations, a savings of \$1.2 million in Medicaid and \$400,000 in public health.
- The Assembly includes \$166.16 million in alternative Medicaid savings proposals to offset the Medicaid restorations noted above, including:
  - \$75.53 million related to a further reduction in the mainstream Managed Care premium profit cap, from the proposed 3 percent level, to 1.75 percent. The Assembly would exclude Managed Long Term Care from any premium reduction under this or the Executive proposal;
  - \$19.83 million in improving Office of Medicaid Inspector General (OMIG) activities related to MMC plans;

- \$17.5 million by accelerating the savings related to a delay of the federal managed care organization tax;
  - \$29.4 million related to enhanced pharmacy rebate collections;
  - \$10 million related to eliminating MMC pharmacy encounter data reporting bonus payments; and
  - \$15.9 million in other Medicaid spending re-estimates related to Medicaid Redesign Team initiatives.
- The Assembly provides \$10.3 million in additional funding to support coverage through the Essential Plan for certain immigrant groups that are currently ineligible for federal health care subsidies.
  - The Assembly provides \$50 million in additional funding to support enhanced payments to Major Public Hospitals.
  - The Assembly provides a \$54.4 million contingency appropriation to support clinic indigent care payment in the event federal matching funds are unavailable for such a purpose.
  - The Assembly rejects the Executive proposal to reduce support for the Excess Medical Malpractice program and to modify the program's eligibility standards, restoring \$25 million.
  - The Assembly provides \$525,000 to support HIV/AIDS community service programs and \$525,000 to support HIV/AIDS multi-service agencies.
  - The Assembly provides an additional \$20 million over two years in rental subsidies and other housing supports for individuals with HIV/AIDS.
  - The Assembly provides \$1.21 million to support the Finger Lakes Health Systems Agency.
  - The Assembly provides a \$1 million increase to the Nurse-Family Partnership program.
  - The Assembly restores \$750,000 in support for family planning services.
  - The Assembly provides an additional \$1.6 million to restore other public health programs that were eliminated by the Executive.

- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, the Office for People With Developmental Disabilities, the Office of Alcoholism and Substance Abuse Services, the Department of Health, the Office of Children and Family Services, the Office of Temporary and Disability Assistance, the State Office for the Aging, and the Department of Labor.

### **Capital Projects**

- The Assembly restores \$300 million in capital support for the construction of a consolidated hospital in Utica.
- The Assembly accepts the Executive proposal to provide \$195 million in support for a Health Care Facility Transformation Program.
- The Assembly provides \$25 million in capital support for clinics and other community-based providers.

### **Article VII**

#### Part A – New York City Local Share

- The Assembly rejects the Executive proposal to reinstate the annual growth rate for New York City's local Medicaid share.

#### Part B – Medical Assistance Program

- The Assembly accepts the Executive proposal to authorize the Commissioner to issue lump sum payments and adjust payment rates in relation to the criminal justice health homes.
- The Assembly modifies the Executive proposal to limit managed long term care eligibility to enrollees who require nursing home level of care, to allow individuals eligible for long term care services to continue to enroll in a plan if services are only available through a managed long term care program.
- The Assembly modifies the Executive proposal to require managed care organizations to implement prior authorization for opioid analgesic exceeding four prescriptions in thirty days, to exempt individuals receiving hospice care or diagnosed with cancer or sickle cell disease.

- The Assembly rejects the Executive proposal to carve out transportation from managed long term care rates, and includes language to allow nursing homes and adult day health care programs to continue to provide transportation services.
- The Assembly rejects the Executive proposal to eliminate spousal refusal for spouses living together in the community.
- The Assembly rejects the Executive proposal to reduce the maximum community spouse resource allowance.
- The Assembly rejects the Executive proposal to authorize the Commissioner of Health to set a ceiling price for blockbuster drugs.
- The Assembly rejects the Executive proposal to reduce reimbursement rates for specialty drugs in FFS to align with rates under MMC.
- The Assembly rejects the Executive proposal to authorize the Commissioner of Health to require prior authorization for fee-for-service drugs under Clinical Drug Review Program prior to the Drug Utilization Review Board's recommendation.
- The Assembly rejects the Executive proposal to eliminate prescriber prevails FFS Medicaid except for anti-psychotic and anti-depressant medications.
- The Assembly rejects the Executive proposal to authorize the Commissioner of Health to require drug manufacturers to provide rebates for generic drug price increases that exceed inflation.
- The Assembly rejects the Executive proposal to make technical corrections to allow a pharmaceutical manufacturer to enter into supplemental rebates for HIV/Hepatitis-C drugs.
- The Assembly rejects the Executive proposal to limit Medicaid payments for Medicare Part C coinsurance costs when the total payment to the provider would be greater than the Medicaid rate of payment.
- The Assembly rejects the Executive proposal to extend the Medicaid global cap for an additional fiscal year.
- The Assembly includes language that requires managed long term care plans to provide a level of care and services for the Traumatic Brain Injury and Nursing Home Transition and Diversion Waiver populations comparable to those offered under the waivers and to have adequate provider networks.

- The Assembly includes language that requires the Department of Health to study and report on need for and feasibility of repatriation of complex-needs patients in out-of-state facilities.
- The Assembly includes language that defines fiscal intermediary and fiscal intermediary services in the Consumer Directed Personal Assistance Program and requires fiscal intermediaries to be licensed by Commissioner of Health.
- The Assembly includes language that requires the Commissioner of Health to apply a positive regional adjustment to managed long term care plan rates in geographic regions where costs are increased, and requires such rates to include compensation for recruitment and retention of direct care and support staff.
- The Assembly includes language that requires the Department of Health to seek Federal authority to provide Medicaid coverage for high need inmates thirty days prior to release from incarceration.
- The Assembly includes language that would establish eligibility of immigrants permanently residing under color of law (PRUCOL) for the Essential Plan, regardless of the availability of federal premium subsidies.
- The Assembly includes language that defines school based health centers in MMC, extends the date provided by the Commissioner of Health to include school-based health centers (SBHCs) in managed care until July 1, 2017, carves out behavioral health and reproductive health care services provided in SBHCs from managed care, and establishes rates of payment.
- The Assembly includes language that requires that any funding not awarded for ambulatory care training be distributed on a per resident basis to teaching health centers.
- The Assembly includes language that modifies the funding methodology for calculating the supplement indigent care payments for major public hospitals.
- The Assembly includes language that provides for the utilization of the preferred drug program in MMC and to allow other health plans and employers to participate in the program.
- The Assembly includes language to modify the Value Based Payment Quality Improvement Program to allow certain public hospitals to participate in the program, and to support the non-federal share through intergovernmental transfer payments.

#### Part D – Programmatic Extenders

- The Assembly extends the authorization for DOH to make disproportionate share payments to major public hospitals outside of New York City, for an additional three years through March 31, 2019.
- The Assembly extends the continued operation of Special Needs Plans serving persons with mental illness or the HIV for an additional four years through March 31, 2020.
- The Assembly extends the reimbursement methodology for general hospitals regarding behavioral rates for an additional four years through March 31, 2020.
- The Assembly extends the Patient Centered Medical Home program for an additional three years through April 1, 2019.
- The Assembly extends the authorization for DOH to appoint temporary operators of adult homes for an additional three years.

#### Part E – Early Intervention (EI) Program

- The Assembly rejects the Executive proposal to modify the EI program to improve insurance collections and to streamline the program’s screening and evaluation activities.

#### Part F – Health Care Transformation Program

- The Assembly rejects the Executive proposal to eliminate the \$300 million Oneida County Health Care Facility Transformation program but accepts the creation of the Executive’s \$195 million Health Care Facility Transformation Program.

#### Part G – Retail Clinics

- The Assembly rejects Executive proposal on limited services clinics, and includes new language to:
  - authorize the establishment of retail clinics and specify the treatments that may be provided;
  - require the Commissioner of Health to issue regulations for retail clinics, including regulations to strengthen access to primary care;

- require DOH to provide an annual report, including information on locations of retail clinics in the state, analysis regarding whether retail clinics have improved access to health care in underserved areas; and
- prohibit host business entities from influencing clinical decisions.

#### Part Q – Public Health

- The Assembly includes language to authorize the use of EQUAL funds for expenses incurred at any time during the fiscal year for which the funds were appropriated.
- The Assembly includes language to streamline the Doctors Across New York program to consolidate the physician loan repayment and physician practice support award process.
- The Assembly adds language to require the Commissioner of Health to register at least five additional registered organizations that manufacture medical marijuana by January 1, 2017, require the Commissioner of Health to seek to provide dispensaries in underserved areas, and require the Commissioner of Health to consider and give appropriate weight to applicants involved in the initial review process.

**Assembly Budget Proposal SFY 2016-17  
Office of the Medicaid Inspector General**

The Assembly provides an All Funds appropriation of \$52.67 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17  
Department of Mental Hygiene**

The Assembly provides an All Funds appropriation of \$600 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17**

### **Office of Alcoholism and Substance Abuse**

The Assembly provides an All Funds appropriation of \$648.17 million, an increase of \$32 million over the Executive proposal.

#### **State Operations**

- The Assembly supports \$850,000 in additional personal service and nonpersonal service costs to be funded as capital expenditures.

#### **Aid to Localities**

- The Assembly restores \$2 million to fund Substance Abuse Prevention and Intervention Specialists (SAPIS) in New York City through the Department of Education.
- The Assembly proposes to provide \$30 million, in funding from the Chemical Dependence Services Account to support an expansion of heroin and opiate abuse treatment and support programs, to bring total spending on heroin and opiate services to \$190 million.
  - \$15 million for Treatment and Prevention Services, including an expansion of heroin treatment services to specifically target underserved areas and underserved populations;
  - \$9 million for Post-Treatment Community-Based Recovery Services, to support individuals who have recently completed treatment and to assist those who are continuing to maintain their recovery status; and
  - \$6 million for Patient Engagement Programs that would help link individuals with treatment opportunities and continue to support them on their path to recovery. This funding would support an expansion of the proposed On-Call Peers program, which would help engage individuals that are transitioning out of hospital-based detox programs.
- The Assembly provides \$2 million in the Environmental Protection Fund to support pharmacy-based drug takeback programs, including the implementation of drop boxes.

- The Assembly modifies the Executive’s Combat Heroin public service campaign, to require that it include a public awareness campaign about drug takeback programs.
- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, Office for People With Developmental Disabilities, Office of Alcoholism and Substance Abuse Services, Department of Health, Office of Children and Family Services, Office of Temporary and Disability Assistance, State Office for the Aging, and the Department Of Labor.

### **Capital Projects**

- The Assembly provides \$850,000 in capital funding to support additional personal service and nonpersonal service costs related to maintenance and other facility-related positions.

### **Article VII**

- The Assembly accepts the Executive’s proposal to authorize OASAS licensed facilities to operate a physical health care clinic and remain eligible for DASNY financing.
- The Assembly modifies the Executive’s proposal to allow the sharing of clinical records between State operated facilities and managed care organizations and other entities for the purposes of coordinating health care services to ensure patient privacy is protected.
- The Assembly makes permanent the Wraparound Services Demonstration program.
- The Assembly creates the Sober Living Task Force to establish best practice guidelines for sober living residences that detail the most appropriate and effective environment for persons recovering from substance use disorders.
- The Assembly requires OASAS to create or use existing educational materials regarding the dangers of misuse and potential for addiction to prescription drugs or opioids as well as treatment options which will be provided in hospitals, pharmacies, and schools.
- The Assembly requires hospitals to develop and implement discharge planning policies and provide educational materials to individuals with confirmed or suspected substance use disorder.

- The Assembly requires schools to designate an individual to provide substance use disorder treatment resources and educational materials to students.
- The Assembly requires OASAS to provide training materials to health care providers so they may implement the Screening, Brief Intervention and Referral to Treatment (SBIRT) program.
- The Assembly expands harm reduction measures by increasing the number of clean syringes individuals may get from pharmacies and decriminalize possession of syringes.
- The Assembly expands the availability of drug treatment diversion by expanding treatment eligibility to defendants charged with certain non-violent crimes and amending the term “substance abuse disorder” to match the DSM-V approved “substance use disorder” to determine diversion eligibility.
- The Assembly requires DCJS to promulgate best practices for law enforcement assisted diversion (LEAD) programs.
- The Assembly prohibits the receipt into evidence of possession of opioid antagonists, such as naloxone, in a criminal or civil case charging illegal possession of a controlled substance.
- The Assembly adds synthetic cannabimimetic agents to Schedule I of the State’s controlled substance list.



## **Assembly Budget Proposal SFY 2016-17 Office of Mental Health**

The Assembly provides an All Funds appropriation of \$16.88 billion, an increase of \$5.53 million over the Executive proposal.

### **State Operations**

- The Assembly supports \$25.5 million in additional personal service and nonpersonal service costs to be funded as capital expenditures.

### **Aid to Localities**

- The Assembly rejects the Executive proposal to establish county jail-based restoration units, and restores \$2.1 million.
- The Assembly rejects the Executive proposal to transfer patients from State psychiatric hospitals to nursing homes or to Managed Long Term Care Programs (MLTC), and restores \$2.43 million.
- The Assembly restores \$1 million in support for crisis intervention programs to provide mental health training to local law enforcement officers.
- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, Office for People With Developmental Disabilities, Office of Alcoholism and Substance Abuse Services, Department of Health, Office of Children and Family Services, Office of Temporary and Disability Assistance, State Office for the Aging, and the Department of Labor

### **Capital Projects**

- The Assembly provides \$25.5 million in capital funding to support additional personal service and nonpersonal service costs related to maintenance and other facility-related positions.

## Article VII

- The Assembly accepts the Executive proposal that would extend the statutory authority for the Comprehensive Psychiatric Emergency Program (CPEP) until July 1, 2020.
- The Assembly modifies the Executive proposal by extending the Office of Mental Health's (OMH) authority to recover Medicaid exempt income from providers for only one year, until June 30, 2017.
- The Assembly modifies the Executive proposal that would authorize the commissioners of OMH, the Office for People with Developmental Disabilities (OPWDD), the Office of Temporary Disability Assistance (OTDA), and the Office for Children and Family Services (OCFS) to appoint a temporary operator by removing language that would grant such authority to OTDA and OCFS.
- The Assembly modifies the Executive proposal that would permit state facilities operated by the Office of Alcoholism and Substance Abuse Services (OASAS), OPWDD, or OMH to share clinical records with managed care organizations for the purpose of providing, arrange for, or coordinating health care services for patients or clients by adding language to ensure that a patient or clients confidentiality rights are protected, and are aligned with federal regulations as it relates to substance use treatment records.
- The Assembly modifies the Executive proposals to extend the social work licensure exemption for five years, to:
  - clarify the duties and tasks that a non-licensed individual can participate in as a member of a treatment team, including a multi-disciplinary team;
  - require interaction between clinical staff and a patient prior to a diagnosis being rendered;
  - maintain the exemption for all current employees of the impacted agencies until July 1st, 2021. However, beginning July 1st, 2019 all new hires must be appropriately licensed in order to perform restricted services;
  - require, no later than July 1st, 2017, the impacted State Agencies to seek advice and guidance from the Department of Education on: scope of practice for licensed and non-licensed personnel; costs associated with replacing currently exempt employees with licensed personnel; any changes in law, rule, or regulation necessary to implement the applicable licensing laws; and an action plan to ensure the impacted agencies service providers are able to comply with licensure standards by July 1, 2019; and

- allow any individual who obtains a master's in social work (MSW) within one year of April 1, 2016 to be considered a licensed MSW if they have met employment and supervisory requirements approved by the Department of Education, and all other requirements except an examination for licensure to be a licensed social worker.
- The Assembly rejects the Executive proposal that would authorize a mental health unit within a correctional facility or local correctional facility to operate a restoration to competency program.



## **Assembly Budget Proposal SFY 2016-17 Office of People with Developmental Disabilities**

The Assembly provides an All Funds appropriation of \$4.41 billion, an increase of \$3.6 million over the Executive proposal.

### **State Operations**

- The Assembly incudes \$2.5 million to support increased opportunities for individuals to receive State-operated OPWDD services in the community.
- The Assembly restores \$500,000 to support a continuation of certain OPWDD reporting requirements.
- The Assembly supports \$7.7 million for additional personal service and nonpersonal service costs as capital expenditures.

### **Aid to Localities**

- The Assembly incudes \$600,000 to support the continued operation of the Institute for Basic Research.
- The Assembly provides \$200 million to support any labor costs related to the first year of the minimum wage increase for programs or services that are funded by the State through the Office of Mental Health, Office for People With Developmental Disabilities, Office of Alcoholism and Substance Abuse Services, Department of Health, Office of Children and Family Services, Office of Temporary and Disability Assistance, State Office for the Aging, and the Department Of Labor

### **Capital Projects**

- The Assembly provides \$100 million, over five years, for the Division of Housing and Community Renewal to support the development of additional housing opportunities that meet the specialized needs of individuals with disabilities.
- The Assembly provides \$7.7 million in capital funding to support additional personal service and nonpersonal service costs related to maintenance and other facility-related positions.

## Article VII

- The Assembly modifies the Executive proposals to extend the social work licensure exemption for five years, to:
  - clarify the duties and tasks that a non-licensed individual can participate in as a member of a treatment team, including a multi-disciplinary team;
  - require interaction between clinical staff and a patient prior to a diagnosis being rendered;
  - maintain the exemption for all current employees of the impacted agencies until July 1st, 2021. However, beginning July 1st, 2019 all new hires must be appropriately licensed in order to perform restricted services;
  - require that, no later than July 1st, 2017, the impacted State Agencies seek advice and guidance from the Department of Education on: scope of practice for licensed and non-licensed personnel; costs associated with replacing currently exempt employees with licensed personnel; any changes in law, rule, or regulation necessary to implement the applicable licensing laws; and an action plan to ensure the impacted agencies service providers are able to comply with licensure standards by July 1, 2019; and
  - allow any individual who obtains a master's in social work (MSW) within one year of April 1, 2016 to be considered a licensed MSW if they have met employment and supervisory requirements approved by the Department of Education, and all other requirements except an examination for licensure to be a licensed social worker.
- The Assembly modifies the Executive proposal that would authorize the commissioners of OMH, the Office for People with Developmental Disabilities (OPWDD), the Office of Temporary Disability Assistance (OTDA), and the Office for Children and Family Services (OCFS) to appoint a temporary operator by removing language that would grant such authority to OTDA and OCFS.
- The Assembly modifies the Executive proposal that would permit state facilities operated by the Office of Alcoholism and Substance Abuse Services (OASAS), OPWDD, or OMH to share clinical records with managed care organizations for the purpose of providing, arrange for, or coordinating health care services for patients or clients, by adding language to ensure that a patient or clients confidentiality rights are protected, and are aligned with federal regulations in regards to substance use treatment records.

- The Assembly adds language that would continue reporting requirements for OPWDD set forth in the State Fiscal Year (SFY) 2015-2016 enacted budget. The Office would also be required to provide updates on recommendations or strategies including any policy, rule, or regulation change, as well as the estimated timeframe to implement such recommendations or strategies, which would assist the Office in addressing issues identified as a result of its reporting requirements and meeting its transformational goals. The information would be included in the OPWDD Statewide Comprehensive Plan and would be provided to the legislature no later than December 15th, 2016.



## **Assembly Budget Proposal SFY 2016-17 Justice Center for People with Special Needs**

The Assembly provides an All Funds appropriation of \$54.54 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



# **TRANSPORTATION, ECONOMIC DEVELOPMENT & ENVIRONMENTAL CONSERVATION**

**By Agency**



**Assembly Budget Proposal SFY 2016-17  
Adirondack Park Agency**

The Assembly provides an All Funds appropriation of \$4.84 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 Department of Agriculture and Markets**

The Assembly provides an All Funds appropriation of \$164.7 million, an increase of \$11.47 million.

### **State Operations**

- The Assembly rejects the Executive proposal to transfer the marketing orders program to the Empire State Development Corporation and restores the associated appropriation under the Department of Agriculture and Markets by \$12.3 million.

### **Aid to Localities**

- The Assembly provides support for the following programs, totaling \$3.44 million:
  - Farm Viability Institute (\$1,100,000);
  - Core Diagnostic Lab (\$500,000);
  - Farm Net (\$416,000);
  - Farm-to-School (\$300,000);
  - New York State Apple Growers Association (\$272,000);
  - Cornell Pro-Dairy (\$224,000);
  - Cornell University Rabies Program (\$200,000);
  - Tractor Rollover Protection Program (\$125,000);
  - Cornell University Future Farmers of America (\$100,000);
  - Local Fairs (\$80,000);
  - Maple Producers Association (\$75,000); and
  - Cornell University Maple Research (\$50,000).
- The Assembly provides \$9.28 million for agribusiness child care, a restoration of \$1 million, and transfers the program to the Office of Children and Family Services.
- The Assembly provides \$100,000 for Taste New York, a decrease of \$1,000,000 from the Executive proposal.

### **Capital Projects**

- The Assembly adds \$5 million for capital improvements at county and local fairgrounds.

## **Article VII**

- The Assembly rejects the Executive proposal to transfer the marketing orders program to the Empire State Development Corporation.

## **Assembly Budget Proposal SFY 2016-17 Department of Economic Development**

The Assembly provides an All Funds appropriation of \$76.21 million, representing a net decrease of \$7.25 million from the Executive proposal.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly rejects support for the following programs in the Executive proposal:
  - \$5 million for Market NY; and
  - \$5 million for Innovation Hot Spots & Incubators.
- The Assembly restores support for the following programs which were eliminated in the Executive proposal:
  - Additional support for the Centers of Excellence (\$1.28 million), thereby allocating a total of \$10 million for this purpose; and
  - \$250,000 for the Albany Center of Excellence.
- The Assembly provides \$1.22 million in additional support for Technology Development Organization Matching grants, for a total appropriation of \$2.6 million.

### **Capital Projects**

- Not applicable.

### **Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17**  
**New York State Energy Research and Development Authority**

The Assembly provides an All Funds appropriation of \$13.45 million.

**State Operations**

- Not applicable.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

**Article VII**

- The Assembly modifies the Executive proposal to transfer \$15 million from the Regional Greenhouse Gas Initiative to support a SUNY Clean Energy Workforce Opportunity Program, by clarifying that the funds can be used to expand existing programs and provide job training in the installation of solar arrays, including \$2.5 million for a reentry program, serving individuals who have been discharged from state correctional facilities and local jails.
- The Assembly establishes a zero emissions vehicle and clean burning fuel vehicle rebate program to incentivize the purchase of such vehicles.



## **Assembly Budget Proposal SFY 2016-17 Department of Environmental Conservation**

The Assembly provides an All Funds appropriation of \$1.37 billion, an increase of \$50 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

#### ***Environment Protection Fund (EPF)***

- The Assembly accepts the Executive proposal to increase the EPF to \$300 million from \$177 million.
- The Assembly reprograms the Executive proposal for a new climate change category by providing \$25.5 million for a Climate Change Action Plan that would include funding for:
  - Land acquisition and wetland protections as part of a flood mitigation and coastal resiliency program;
  - Renewable energy;
  - A zero emission vehicles incentive program; and
  - Compliance with the goal of 100 percent renewable energy use by 2030.
- The following programs would be increased over the Executive proposal:
  - Land Acquisition is increased by \$5.98 million;
  - Zoos, Botanical Gardens and Aquaria is increased by \$2 million;
  - Hudson River Park is increased by \$1.7 million; and
  - Finger Lake/Lake Ontario Watershed is increased by \$321,000.

- The Assembly creates new suballocations of:
  - \$3 million for household hazardous waste collection events; and
  - \$2 million for a drug take-back program, including the purchase and distribution of tamper proof drug collection boxes.
- The Assembly provides language adding new eligible uses, making funding available for:
  - Marketing for productive reuse of cathode ray tubes;
  - Lead paint inspections and remediation;
  - Eco-quality initiatives;
  - Environmental justice implementation grants; and
  - Eradication of the southern pine beetle, emerald ash borers, and hydrilla.
- The Assembly returns Public Stewardship and Access and Integrated Pest Management to prior-year levels and provides language for Integrated Pest Management ensuring that pesticides are only used when other pest control methods have failed. The Assembly also rejects the Executive proposal to transfer a portion of Cornell Pro-Dairy to the EPF.

***Water Quality Improvement Act of 2015***

- The Assembly accepts the Executive Proposal to increase funding for the Water Quality Improvement Act of 2015 by \$100 million and adds \$50 million in additional funding, bringing the total amount available over SFY 2016-17 through SFY 2017-18 to \$300 million.

**Article VII**

- The Assembly modifies the Executive Proposal to extend the Waste Tire Fee by extending the fee by three years.
- The Assembly modifies the Executive Proposal to establish a permanent Climate Change Mitigation and Adaptation Account in the Environmental Protection Fund (EPF) and to amend the types and amounts of grants available from the Local Waterfront Revitalization Program (LWRP), by altering the criteria for receiving 90 percent state funding for a project.
- The Assembly includes language to make permanent the requirement for DEC to create cancer incidence maps, otherwise set to expire March 31, 2016.

## **Assembly Budget Proposal SFY 2016-17 New York State Gaming Commission**

The Assembly provides an All Funds appropriation of \$243.98 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly proposes to extend the current statutory distribution to the cities of Buffalo and Niagara Falls from the Tribal-State Account.
- The Assembly's budget proposal rejects the Executive Budget proposal to require that the New York Thoroughbred Horsemen Association expend a total of 0.5 percent of the 2 percent of purses it receives for equine drug testing research and testing equipment. The Assembly also rejects the Executive Budget proposal to remove Morrisville College as the only qualified equine testing lab in New York State.
- The Assembly's budget proposal rejects the Executive Budget proposal to increase the regulatory fee, from 0.5 percent to 0.6 percent of the total daily pools, on on-track thoroughbred, on-track harness, off-track pari-mutuel betting, and simulcast racing. The Assembly also rejects the Executive Budget proposal to increase the share of the purse enhancement, from 1 percent to 1.6 percent, which is dedicated to promoting and ensuring equine health and safety.

- The Assembly's budget proposal accepts the Executive Budget proposal to adjust the timing of billing and payment of per diem costs paid to the Gaming Commission by licensed harness racing corporations for the cost of racing judges and starters.
- The Assembly's budget proposal accepts the Executive Budget proposal to provide an additional commission and adjusted tax rate for the Finger Lakes Video Lottery Terminal (VLT) facility.
- The Assembly's budget proposal accepts the Executive Budget proposal to extend the current vendor fee rate – 41 percent – paid to the Monticello Video Lottery Terminal (VLT) facility.
- The Assembly's budget proposal accepts the Executive Budget proposal to extend the current pari-mutual tax rates and out-of-state simulcasting provisions for one year.
- The Assembly's budget proposal accepts the Executive Budget proposal to extend the video lottery gaming vendor's capital awards program for one year, including approval and completion dates.
- The Assembly's budget proposal accepts the Executive Budget proposal to amend the Upstate New York Gaming and Economic Development Act of 2013 to clarify that host community payments are to be funded from revenue attributable to a specific licensed gaming facility in that host county and host municipality.
- The Assembly's budget proposes to allow for up to \$2 million from the unpaid purse cushion account to be utilized to help pay for the workers' compensation insurance procured by the New York Jockey Injury Compensation Fund for jockeys, apprentice jockeys, and exercise riders. These funds would enable the New York Thoroughbred Horsemen Association and the New York Racing Association to reduce the unused purse cushion amount to the statutory cap (\$6 million) while also using industry funds to help cover the cost of such insurance for owners and trainers.
- The Assembly's budget also proposes to establish the Jockeys Health Trust, to be administered by the New York Racing Association for the purpose of obtaining jockey health insurance benefits through a multi-state plan. Currently, the Jockey Health Insurance Program requires that health insurance policies be obtained through New York State of Health; however, health exchange coverage is limited to New York State plans and only covers out-of-state care in emergency situations. As a result, since jockeys travel to many tracks and states in the course of the year, a majority of jockeys are unable to find health insurance that can meet their needs.

## **Assembly Budget Proposal SFY 2016-17 Department of Motor Vehicles**

The Assembly provides an All Funds appropriation of \$325.77 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Article VII**

- The Assembly modifies the Executive proposal to consolidate the DMV Seized Asset Fund, the Compulsory Insurance Fund, the Internet Point Insurance Reduction Program (IPIRP) Fund and the Motorcycle Safety Fund into the Dedicated Highway and Bridge Trust Fund by making a technical correction.
- The Assembly rejects the Executive proposal relating to commercial driver's license and commercial vehicle provisions for "covered farm vehicles" and vehicles weighing up to 26,000 pounds transporting passengers in commerce.



## **Assembly Budget Proposal SFY 2016-17 Olympic Regional Development Authority**

The Assembly provides an All Funds appropriation of \$11.54 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Article VII**

- Not applicable.



**Assembly Budget Proposal SFY 2016-17**  
**Office of Parks, Recreation, and Historic Preservation**

The Assembly provides an All Funds appropriation of \$391.51 million, an increase of \$1.15 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly rejects the Executive's proposal to reduce the reimbursement rate paid to localities for voluntary enforcement of the Navigation Law and restores the associated appropriation of \$900,000.
- The Assembly includes \$250,000 for the Historic Hudson-Hoosic Rivers Partnership.

**Capital Projects**

- The Assembly accepts the Executive proposal and recommends no changes.

**Article VII**

- The Assembly rejects the Executive's proposal to reduce the reimbursement rate paid to localities for voluntary enforcement of the Navigation Law.



**Assembly Budget Proposal SFY 2016-17**  
**Department of Public Service**

The Assembly provides an All Funds appropriation of \$90.5 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

**Capital Projects**

- Not applicable.

**Article VII**

- The Assembly accepts the Executive proposal and recommends no changes.



## **Assembly Budget Proposal SFY 2016-17 Department of State**

The Assembly provides an All Funds appropriation of \$140.76 million, an increase of \$2.03 million over the Executive proposal.

### **State Operations**

- The Assembly proposes \$21,000 for travel expenses of the New York State Commission on Uniform State Laws.
- The Assembly proposes \$1 million for the establishment of a public intervener for energy-related regulatory matters.
- The Assembly proposes \$500,000 to establish a new State Utility Consumer Advocate.
- The Assembly proposes to eliminate \$1 million for the Constitutional Convention Commission.

### **Aid to Localities**

- The Assembly proposes \$505,000 for the Public Utilities Law Project.
- The Assembly proposes \$1 million for the Women's Suffrage Convention Commission.

### **Capital Projects**

- Not applicable.

### **Article VII**

- The Assembly rejects the Executive proposal to require a plaintiff to mail a copy of service of process rather than the Department of State.
- The Assembly does not include the Executive proposal to authorize the New York State Athletic Commission (NYSAC) to regulate professional mixed martial arts (MMA) in a manner similar to how NYSAC regulates professional boxing, wrestling, and sparring.

- The Assembly accepts the Executive proposal to extend, for one year, the ability of the Secretary of State to charge fees for expediting certain documents issued by or requested from the Department of State Division of Corporations.
- The Assembly proposes the creation of the State Utility Consumer Advocate.
- The Assembly proposes intervener funding for consumer advocacy in utility matters.

## **Assembly Budget Proposal SFY 2016-17 Department of Taxation and Finance**

The Assembly provides an All Funds appropriation of \$456.41 million.

### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Aid to Localities**

- The Assembly accepts the Executive proposal and recommends no changes.

### **Capital Projects**

- Not applicable.

### **Article VII**

- **Part C – Income Verification Program for Enhanced STAR:** The Assembly accepts the Executive proposal that makes the program mandatory, but modifies the proposal to allow individuals that exceed the income limit to remain registered for Enhanced STAR.
- **Part D – Allow Late Filing for Enhanced STAR:** The Assembly accepts the Executive proposal that allows for the late filing of Enhanced STAR and the senior citizens exemptions.
- **Part F – Authorize Direct STAR Payments for Administrative Error:** The Assembly accepts the Executive proposal that allows the Department of Taxation and Finance to make STAR payments directly to taxpayers that were improperly denied benefits based on an administrative error.
- **Part G – Tax Preparation Modernization:** The Assembly accepts the Executive proposal to eliminate the sunset on the 2008 tax modernization provisions, as well as making tax preparer penalties equitable.

- **Part M – Make Tax Shelter Reporting Law Permanent:** The Assembly accepts the Executive proposal that retroactively reinstates, and makes permanent, tax shelter reporting laws.
- **Part W – Expand Jeopardy Assessments to the Cigarette and Tobacco Tax:** The Assembly accepts the Executive proposal to allow the Department of Taxation and Finance to rapidly assess unpaid tax liabilities for cigarette and tobacco taxes.
- **Part II – Medical Marijuana Tax Secrecy:** The Assembly accepts the Executive proposal that amends the medical marijuana tax secrecy provisions to allow the sharing of taxpayer information with appropriate authorities.
- **Part JJ – Allow the Recoupment of Improperly Granted STAR Benefits:** The Assembly accepts the Executive proposal to make technical changes to allow for the recapture of improperly granted exemptions by the Department of Taxation for the current and prior three school years.

**Assembly Budget Proposal SFY 2016-17**  
**Division of Tax Appeals**

The Assembly provides an All Funds appropriation of \$3 million.

**State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

**Aid to Localities**

- Not applicable.

**Capital Projects**

- Not applicable.

**Article VII**

- Not applicable.



## **Assembly Budget Proposal SFY 2016-17 New York State Thruway Authority**

The Assembly provides an All Funds appropriation of \$310 million, a decrease of \$390 million from the Executive proposal.

### **State Operations**

- Not applicable.

### **Aid to Localities**

- Not applicable.

### **Capital Projects**

- The Assembly reprograms \$390 million of State settlement funds provided to the Thruway Authority under the Executive proposal to instead support the DOT capital program.

### **Article VII**

- The Assembly rejects the Executive proposal to transfer the New York State Canal Corporation from the New York State Thruway Authority (NYSTA) to the New York Power Authority (NYPA). The Assembly will instead direct a transfer of \$70 million from NYPA to the Thruway Authority.
- The Assembly accepts the Executive proposal for a new tax credit which would reimburse Thruway tolls paid for farm vehicles only, and rejects the Executive proposal to provide new tax credit for tolls paid by frequent Thruway users.



## **Assembly Budget Proposal SFY 2016-17**

### **Department of Transportation**

The Assembly provides an All Funds appropriation of \$10.92 billion, an increase of \$117.5 million.

#### **State Operations**

- The Assembly accepts the Executive proposal and recommends no changes.

#### **Aid to Localities**

- The Assembly provides \$308.5 million for operating assistance for non-MTA downstate transit systems, an increase of \$5 million from the Executive proposal.
- The Assembly provides \$208.7 million for operating assistance for upstate transit systems, an increase of \$15 million or 8 percent from the Executive proposal.

#### **Capital Projects**

- The Assembly reprograms \$405 million of State settlement funds, including \$390 million from the Thruway Authority, into the five-year DOT capital program to increase the Executive's proposal as follows:
  - \$250 million over four years for non-MTA downstate and upstate transit systems;
  - \$100 million over four years for rail projects; and
  - \$55 million over four years for aviation, which will more than triple the size of the Air99 program and provide funding for at least 55 airports, compared to five airports in the Executive's proposal.
- The Assembly rejects the Executive proposal of \$200 million for an upstate airport revitalization competition, and reprograms these funds into the Consolidated Highway Improvement Program (CHIPS). This action will bring annual CHIPS funding up to \$488 million, an increase of \$50 million annually above the Executive's proposal.

- The Assembly identifies projects to be funded within existing appropriations, including:
  - \$3 million in New York Works capital funding for the upgrade of one diesel train engine owned by the LIRR to meet higher emissions standards;
  - Conversion of the Sheridan Expressway into a boulevard and establishing new connections to the Bronx River waterfront;
  - Improvements to Exit 131 of New York State Route 17, to improve traffic flow around Woodbury Commons;
  - A setaside of \$20 million for bicycle and pedestrian infrastructure, including sidewalks, on-street and separated bike lanes, and pedestrian and bicycle signals;
  - Resources to explore the conversion of New York State Route 33 into a parkway; and for
  - An environmental impact statement for the construction of new on/off ramps linking the Bruckner Expressway and the Hunts Point peninsula in the Bronx.
- The Assembly reallocates appropriations for engineering costs to support DOT engineers.
- The Assembly removes language which would allow all DOT funds to be interchanged between appropriations.
- The Assembly acknowledges the importance of local roads and bridges to New York State's transportation infrastructure and supports funding local road and bridge construction through a transparent and non-competitive process.

## **Article VII**

- The Assembly proposal prohibits state funds from being used to study the feasibility of the construction of a tunnel from Long Island to connect to any location in the Bronx, Westchester County, or Connecticut and instead would direct the MTA to study the reactivation of the Long Island Rail Road's Rockaway Beach rail line and to complete an environmental impact study of a light rail system along the west shore of Staten Island.

## **Assembly Budget Proposal SFY 2016-17 Urban Development Corporation**

The Assembly provides an All Funds appropriation of \$553.77 million, representing a net decrease of \$460.52 million from the Executive proposal.

### **State Operations**

- Not applicable.

### **Aid to Localities**

- The Assembly rejects a \$31.18 million appropriation for the Empire State Economic Development Fund.
- The Assembly restores additional support for the Community Development Financial Institutions (\$300,000), for a total appropriation of \$1.8 million.
- The Assembly reduces support for a lump sum appropriation for Tourism/Economic Development Promotion by \$53 million, while maintaining support of \$13.5 million for tourism promotion efforts.
- The Assembly provides support for Minority- and Women-Owned Business Enterprise compliance efforts (\$365,000), and makes \$3 million in unutilized resources available for this purpose.

### **Capital Projects**

- The Assembly rejects support for the following programs in the Executive proposal:
  - \$200 million for Commercialization Center in Chautauqua County;
  - \$150 million for the Regional Economic Development Councils;
  - \$125 million for Research & Development Facility in Clinton County;
  - \$100 million for Economic Development at Nano Utica;
  - \$99 million for the New York Works Economic Development Fund;
  - \$30 million for the Upstate Revitalization Initiative;
  - \$15 million for SUNY College of Nanoscale Sciences & Engineering; and
  - \$8 million for Market NY.

- The Assembly provides support for the following programs:
  - \$250 million for a Statewide Nanotechnology Initiatives Fund, including \$40 million for the New York Photonics Institute;
  - \$100 million for the RESTORE NY's Communities initiative; and
  - Bioscience research facilities throughout the State.

## **Article VII**

- The Assembly accepts the Executive proposal and recommends the additional proposal:
  - Part Z amends the law that enacted the Fossil Fuel Electric Generation Facility Cessation Mitigation Fund by increasing capitalization of the Fund from \$19 million to \$50 million. Additionally, this part clarifies the types of local government entities which are eligible to receive assistance from the fund, and how such assistance is calculated.

**Assembly Budget Proposal SFY 2016-17**  
**Miscellaneous: Transportation, Economic Development and Environmental**

**Greenway Heritage Conservancy for the Hudson River Valley**

- The Assembly accepts the Executive proposal and recommends no changes.

**Hudson River Valley Greenway Communities Council**

- The Assembly accepts the Executive proposal and recommends no changes.

**New York Power Authority**

- The Assembly rejects the Executive proposal to transfer the New York State Canal Corporation from the New York State Thruway Authority (NYSTA) to the New York Power Authority (NYPA). The Assembly will instead direct a transfer of \$70 million from NYPA to the Thruway Authority.

**Metropolitan Transportation Authority**

**Capital Projects**

- The Assembly adds a \$7.3 billion appropriation to support the 2015-19 MTA capital plan.
- The Assembly adds an additional \$200 million appropriation from state settlement funds for the Second Avenue Subway, to leverage \$500 million in Federal New Starts funding and \$300 million in increased Federal formula funding resulting from passage of the Fixing America's Surface Transportation Act of 2015.

**Article VII**

- The Assembly modifies the Executive proposal relating to the funding structure for the 2015-2019 MTA Capital Plan by eliminating the condition that the MTA exhaust their existing resources before any State funds are provided.

- The Assembly modifies the Executive proposal relating to changes in the MTA's procurement process, by:
  - setting monetary limits on exempt contracts (\$1 million) and property disposal (\$200,000);
  - maintaining the newspaper publishing requirement;
  - removing the proposed restrictions on Comptroller review of contracts, and
  - rejecting the expansion of owner-controlled insurance requirements to additional construction projects.
  
- The Assembly rejects the Executive proposals to authorize the MTA to enter into joint arrangements and to shift the responsibility for the cost of utility relocation work from the MTA to the utility company.

# **LEGISLATURE & JUDICIARY**

**By Agency**



## **Assembly Budget Proposal SFY 2016-17 Judiciary**

The Assembly provides an All Funds appropriation of \$2.91 billion, an increase of \$28.2 million.

### **State Operations**

- In keeping with the findings of the New York State Commission on Legislative, Judicial, and Executive Compensation, the Assembly proposal includes \$27.2 million to fully support the first phase of a multi-year adjustment in salary for members of the New York State Judiciary.
- The Assembly provides \$1 million to establish a new court part at Rikers Island Correctional Facility.

### **Aid to Localities**

- The Assembly accepts the Judiciary's proposal and recommends no changes.

### **Capital Projects**

- The Assembly accepts the Judiciary's proposal and recommends no changes.

### **Article VII**

- The Assembly proposes new legislation to extend for two years the ability of a referee and judicial hearing office to hear certain applications for Orders of Protection and Temporary Orders of Protection.



# **DEBT SERVICE**



## **Assembly Budget Proposal SFY 2016-17 Debt Service**

The Assembly accepts the All Funds appropriation of \$10.0 billion for the Debt Service bill.

### **State Operations**

- Not applicable.

### **Aid to Localities**

- Not Applicable

### **Capital Projects**

- The Assembly rejects the Executive proposal to provide support for the following initiatives:
  - \$150 million for Regional Economic Development Councils;
  - \$200 million for SUNY Poly in Chautauqua County (Athenex Rx);
  - \$125 million for SUNY Poly in Clinton County;
  - \$200 million for Nano Utica;
  - \$15 million for SUNY Poly;
  - \$34 million for SUNY Poly NY Power Electronics Manufacturing Consortium;
  - \$8 million for Market NY;
  - \$99 million for Economic Development Fund;
  - \$85 million for Other Economic Development;
  - \$20 million for a Municipal Consolidation Competition; and
  - \$200 million for a Public Use Airport Revitalization Competition.
  
- The Assembly modifies the Executive proposal to provide support for the following initiatives:
  - an additional \$50 million for Water Infrastructure Improvement Capital for a two-year total of \$300 million;
  - a decrease of \$100 million for the Upstate Revitalization Initiative for a total of \$100 million;

- an increase of \$37.5 million for the Empire State Poverty Reduction Initiative for a total of \$62.5 million; and
  - a decrease of \$390 million for Thruway Stabilization for a total of \$310 million.
- The Assembly adds the following initiatives:
    - \$250 million for a SUNY Polytech global allocation;
    - \$5 million for County Fairgrounds;
    - \$300 million restoration for Utica Hospitals;
    - \$200 million for SUNY Critical Maintenance;
    - \$20 million for SUNY Educational Opportunity Centers;
    - \$3 million for SUNY Adirondack and Ulster Community Colleges;
    - \$137 million for CUNY Critical Maintenance;
    - \$10 million for Aid to Public Libraries;
    - \$200 million for SUNY Critical Expansion;
    - \$200 million for CUNY Critical Expansion;
    - \$4 million for State Education Department eLicensing network;
    - \$150 million for Senior Housing;
    - \$50 million for Shelter Upgrades and Security Improvements;
    - \$500 million for NYCHA Capital;
    - \$100 million for RESTORE-NY;
    - \$50 million for Nonprofit Capital Infrastructure;
    - \$55 million for Aviation purposes;
    - \$250 million for non-MTA Transit;
    - \$100 million for Rail Projects;
    - \$200 million for Consolidated Highway Improvement Program (CHIPs);
    - \$280 million for SUNY and CUNY Tuition Freeze; and
    - \$200 million for Second Avenue Subway.

## Article VII

- The Assembly increases the following bond caps:
  - CHIPs from \$8.7 billion to \$9.4 billion;
  - Library Facilities from \$140 million to \$164 million;
  - Environmental Infrastructure Projects from \$1.8 billion to \$2.1 billion;
  - SUNY Upstate Community Colleges from \$839 million to \$864 million;
  - CUNY Senior and Community Colleges from \$7.4 billion to \$7.9 billion;
  - SUNY Upstate Community Colleges from 839 million to \$864 million;
  - Nonprofit Infrastructure Capital Investment Program from \$50 million to \$100 million; and
  - Capital Restructuring and Health Care Facility Transformation Program from \$2.2 billion to \$2.5 billion.

- The Assembly rejects the following:
  - o Executive proposal permitting the State to recap \$600 million over three years from New York City for savings on the refunding of the Sales Tax Asset Receivable Corporation (STARAC) bonds.