

## BROWNFIELD OPPORTUNITY AREAS PROGRAM

**Objective:** Provides municipalities and community based organizations with assistance, up to 90 percent of the eligible costs, to complete area-wide brownfield redevelopment plans.

**Administering Agency:** NYS Department of Environmental Conservation; NYS Department of State

**Specific Program URL:** <http://www.dec.state.ny.us/> and <http://www.dos.state.ny.us/cstl/cstlwww.html>

**NYS Object Code:** NA

**Year Established:** 2003

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY General Municipal Law, 970-r

**Regulation:** To be developed.

**Program Contacts:**

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**Eligibility:** Counties, Cities, Towns, Villages, School Districts, Local Public Authorities, Public Benefit Corporations, Special Improvement Districts, Indian Nations or Tribes, and Community Based Organizations. Two or more eligible applicants may, and are encouraged to, submit a joint application.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Competitive application for aid required. Annual solicitation.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided partially as an advance and partially as a reimbursement.

**Type of Aid:** Project grant via State Assistance Contract.

**Formula:** NA

**Matching Requirement:** State 90%; Local 10%

**Maintenance of Effort:** NA

**Amounts Appropriated and Disbursed:** New Program.

## ENVIRONMENTAL RESTORATION PROGRAM

**Objective:** Provides State financial assistance to municipalities for the investigation and/or remediation of properties which are either contaminated or suspected of being contaminated.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** <http://www.dec.state.ny.us/der>

**NYS Object Code:** 71210

**Year Established:** 1996

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 56

**Regulation:** 6 NYCRR 375; 6 NYCRR 597

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**Eligibility:** Counties, Cities, Towns, Villages, School Districts, Public Authorities, Public Benefit Corporations, Supervisory Districts, District Corporations, Improvement Districts, and Indian Nations or Tribes recognized by the State or the US with a reservation within New York State. Additionally, a Community Based Organization (CBO) can partner with a municipality to be eligible, but a CBO cannot apply on its own. Requirements: (1) municipality must own the property that is the subject of the Brownfields application by the time the State Assistance Contract is executed in all instances except for investigation projects where a municipality pursuing a tax foreclosure may qualify as a "temporary owner" for purposes to obtain State funding conducting an investigation; (2) the municipality is not responsible for the contamination at the site; (3) the municipality has not, and will not undertake any indemnification obligation respecting a party responsible under the law for the remediation of the property; (4) the property is not a Class 1 or 2 site listed on the NYS Registry of Inactive Hazardous Waste Disposal Sites; and (5) the project must satisfy the criteria of ECL 56-0505.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Application for aid required. Applications are accepted continuously. Remediation applications are evaluated based upon five criteria and ranked for funding purposes.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project grant via State Assistance Contract.

**Formula:** NA

**Matching Requirement:** State 90%; Local 10% - on-site eligible costs; State 100% - off-site eligible costs; for site investigation and remediation activities. State 50%; Local 50% - Demolition/Asbestos.

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	75,000,000	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	3,490,871	NA
SFY 03-04	NA	4,225,708	NA

## HABITAT/ACCESS STAMP GRANT

**Objective:** Provides funding for Fish and Wildlife Habitat. Improvement and management and access to those resources for hunting, fishing and trapping and Fish and Wildlife recreation.

**Administering Agency:** NYS Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 2001

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Section 11-0305 and State Finance Law, Section 83.

**Regulation:** NA

**Program Contact:**

Jack Cooper  
Biologist 3  
Division of Fish, Wildlife and Marine Resources  
NYS Department of Environmental  
Conservation  
625 Broadway  
Albany, NY 12233  
(518) 402-8907  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** Local, State and Federal Municipalities and NFP Organizations.

**Type of Program and Special Restrictions:** Optional without any mandates. Reimbursement grants will be awarded in amounts between \$1,500 and \$14,999.

**Action Required to Receive Aid:** Competitive application for aid required. Must be an acceptable application that meets eligibility requirements.

**Description of Aid:** State Aid for Habitat Fund of Conservation Fund

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project grant. Funding for up to three years for project completion.

**Formula:** Minimum \$1,500 and maximum \$14,999.

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>Conservation Fund</u>
SFY 04-05	NA	NA	100,000

**Amounts Disbursed:** New Program

**HAZARDOUS WASTE SITE  
REMEDATION PROJECTS  
(TITLE 3)**

**Objective:** Provides aid for the development and implementation of inactive hazardous waste site remediation projects.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** <http://www.dec.state.ny.us/der>

**NYS Object Code:** 63909

**Year Established:** 1986

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 52, Sections 52-0105, 52-0107, 52-0301 and 52-0303, and Environmental Quality Bond Act

**Regulation:** 6 NYCRR Part 375

**Program Contact:**

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Director  
Division of Environmental Remediation  
NYS Department of Environmental  
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**Eligibility:** Counties, Cities, Towns, Villages, School Districts, Public Authorities and Indian Tribes within New York State.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Application for aid required. Applicant required to have signed an order on consent before obtaining State assistance contract.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement. Funding limited.

**Type of Aid:** Project Grant via State Assistance Contract.

**Formula:** NA

**Matching Requirement:** State 75%, Local 25%

**Maintenance of Effort:** NA

**Amounts Appropriated and Disbursed:** Not Provided

## HUDSON RIVER ESTUARY PROGRAM ENVIRONMENTAL PROTECTION FUND

**Objective:** Assists in implementing Governor George E. Pataki's Hudson River Action Plan by addressing priority problems affecting the health of the Hudson River estuary.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1999

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article II, Title 3

**Regulation:** NA

**Program Contact:**

Fran Dunwell  
Hudson River Estuary Program  
NYS Department of Environmental  
Conservation  
21 S. Putt Corners Road  
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**Eligibility:** Municipalities and non-for-profit corporations.

**Type of Program and Special Restrictions:** Projects must be located within geographic boundaries of the Hudson River Estuarine District and associated shore lands.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided partially as an advance and partially as a reimbursement.

**Type of Aid:** Project Grant via State Assistance Contract.

**Formula:** NA

**Matching Requirement:** State assistance may fund up to 75% of the approved project costs; matching share to be provided by the applicant is 25% of approved project costs.

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	NA	2,200,000
SFY 03-04	NA	NA	1,000,000
SFY 04-05	NA	NA	1,300,000

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	NA	640,636
SFY 03-04	NA	NA	88,788

## LANDFILL CLOSURE STATE ASSISTANCE TITLE 5 LANDFILL CLOSURE

**Objective:** Assists eligible municipalities in meeting the costs of properly closing municipal landfills.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 63803

**Year Established:** 1990

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 54, Title 5 and Article 56, Title 4

**Regulation:** 6 NYCRR Subpart 360-9

**Program Contact:**

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**Eligibility:** State Governments, Counties, Cities, Towns, Villages, Public Authorities and Native American Tribes residing within New York State.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Grants up to \$2 million

**Formula:** NA

**Matching Requirement:** Populations of 3,500 or more: State 50%, Local 50%; All others: State 90%, Local 10%

**Maintenance of Effort:** NA

**Amounts Appropriated and Disbursed:** Not Provided

## MUNICIPAL RECYCLING GRANTS PROGRAM (1972 EQBA)

**Objective:** Supports acquisition of municipal recycling equipment and facilities.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 63803<sup>1</sup>

**Year Established:** 1972

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law 51-0905

**Regulation:** NA

**Program Contact:**

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Division of Solid & Hazardous Materials  
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**Eligibility:** Counties, Cities, Towns, Villages and Public Authorities.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to State mandated requirements.

**Action Required to Receive Aid:** Non-competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** State 50%, Local 50%

**Maintenance of Effort:** Municipality must continue to operate equipment/facility if purchased with State funds.

**Amounts Appropriated and Disbursed:** Not Provided

<sup>1</sup>Includes DEC capital funds and local assistance funds, and acquisition of low technology equipment.

**MUNICIPAL WASTE REDUCTION & RECYCLING  
PROGRAM/HOUSEHOLD HAZARDOUS WASTE STATE  
ASSISTANCE PROGRAM (EPF TITLE 7)**

**Objective:** Assists eligible municipalities in meeting the costs of implementing waste reduction, recycling and household hazardous waste collection programs. Also assists with funding recycling coordinators.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 71210

**Year Established:** 1993

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 54, Title 7 and 56-0405

**Regulation:** 6 NYCRR Part 369 (MWRR Program); 6 NYCRR Subpart 373-4.6 (HHW SAP)

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**Eligibility:** Counties, Cities, Towns, Villages, Public Authorities, Local Public Benefit Corporations, School Districts, Supervisory Districts, Improvement Districts and Native American Tribes residing within New York State.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Non-competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project grants up to \$2,000,000.

**Formula:** NA

**Matching Requirement:** Fifty percent matching grants up to \$2,000,000.

**Maintenance of Effort:** Municipality must continue to operate equipment and facility if purchased with State funds.

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	NA	10,255,000
SFY 03-04	NA	NA	6,000,000
SFY 04-05	NA	NA	6,500,000

**Amounts Disbursed:\***

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	NA	8,308,298
SFY 03-04	NA	NA	1,243,191

\*Disbursements reflect total amount disbursed that SFY appropriation, to date.

## NONPOINT SOURCE IMPLEMENTATION PROGRAM SUPPORT

**Objective:** Assists in implementing nonpoint source management programs.

**Administering Agency:** NYS Department of Environmental Conservation; US Environmental Protection Agency

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1988

**Catalog of Federal Domestic Assistance No.:** 66.460

**Legal Authority:**

**Law:** US Clean Water Act, Title III, Section 319(h)

**Regulation:** 40 CFR Parts 31 and 35 Subpart A

**Program Contact:**

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Partnership Section  
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**Eligibility:** State as defined by Federal law.

**Type of Program and Special Restrictions:** Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). Funds must be used based upon the requirements cited above. Associated water management/administration costs are limited to ten percent of the grant. Tasks to carry out nonpoint projects and programs include support of state and local actions to abate nonpoint sources of pollution including non-regulatory or regulatory programs for enforcement, technical assistance, financial assistance, education, training, technology transfer and demonstration projects to abate or prevent nonpoint source pollution that produce early demonstrable water quality results.

**Action Required to Receive Aid:** Both non-competitive State application for aid and competitive local applications for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to State and Federal to State to Local. Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

**Type of Aid:** Ongoing program grant (State) and competitive project of a limited duration (Locals).

**Formula:** NA

**Matching Requirement:** Nonfederal match of project or program costs is required.

**Maintenance of Effort:** At or above average of nonpoint source activities in FY 1985 and 1986; NYS is approximately \$400,000.

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	2,268,867	NA	NA
SFY 03-04	2,268,867	NA	NA
SFY 04-05	2,268,867	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	1,592,453	NA	NA
SFY 03-04	1,212,366	NA	NA

**NON-POINT SOURCE POLLUTION  
ABATEMENT AND CONTROL  
(ENVIRONMENTAL PROTECTION FUND AND  
CLEAN WATER/CLEAN AIR BOND ACT)**

**Objective:** Assists in implementing non-point source management programs (non-agricultural projects.)

**Administering Agency:** NYS Department of Environmental Conservation. For agricultural non-point source projects see NYS Department of Agriculture and Markets

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1989

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 17-14

**Regulation:** NA

**Program Contact:**

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**Eligibility:** All municipal entities.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements. Projects must comply with the intent of the Environmental Conservation Law, Article 17-14.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided partially as an advance and partially as a reimbursement.

**Type of Aid:** Project grant of limited duration.

**Formula:** NA

**Matching Requirement:** 50%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	350,000	4,900,000
SFY 03-04	NA	NA	3,500,000

**Amounts Disbursed:** Not Provided

## TECHNICAL ASSISTANCE GRANTS

**Objective:** A citizen participation tool that is available to eligible community groups to increase public awareness and understanding of remedial activities taking place in their community at sites in the State's Superfund Program and Brownfield Cleanup Program.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** [www.dec.state.ny.us/der/bcp/TAGfactsheet.pdf](http://www.dec.state.ny.us/der/bcp/TAGfactsheet.pdf)

**NYS Object Code:** NA

**Year Established:** NA

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 27, Titles 13 and 14

**Regulation:** To be developed.

**Program Contact:**

TAG Coordinator  
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Conservation  
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**Eligibility:** Eligible Sites – Significant threat sites determined by the DEC in the State Superfund Program or Brownfield Cleanup Program. Eligible Groups - Parties not responsible for the contamination and Domestic not-for-profit corporations under Internal Revenue Code 501(c)(3). Group members must be potentially affected by the site and represent the interest of their community.

**Type of Program and Special Restrictions:** Optional.

**Action Required to Receive Aid:** Applications accepted continuously. Must be an approved TAG application followed by an executed State Assistance Contract.

**Description of Aid:**

**Flow of Funds:** State to Local.

**Type of Aid:** State Assistance Contract – Funding limited to \$50,000 per site.

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**Amounts Appropriated & Disbursed:** Amount of appropriation subject to an annual MOU between the Governor and Legislature. No applications have been solicited since funding is pending.

# URBAN AND COMMUNITY FORESTRY GRANT PROGRAM

**Objective:** Encourages communities to undertake Urban and Community Forestry projects including community Forestry education, management planning, tree planting, tree care and maintenance.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1995

**Catalog of Federal Domestic Assistance No.:** 10.664 - Cooperative Forestry Assistance

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 53: Urban Forestry; PL 95-313 Federal Forestry Cooperative Assistance Act; and NYS Budget Bill, Article VII, Environmental Protection Fund, Urban Forestry Grant Program

**Regulation:** NA

**Program Contact:**

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**Eligibility:** Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State and Federal requirements and guidelines.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to State to Local (Pass-through); State to Local

**Type of Aid:** Project grant via State Assistance Contract

**Formula:** NA

**Matching Requirement:** Federal 50% or State 50% - Local 50%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	63,500	NA	150,000
SFY 03-04	518,460	NA	NA
SFY 04-05	137,000	NA	150,000

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	45,449	NA	44,238
SFY 03-04	6,975	NA	72,500

## WATER QUALITY MANAGEMENT PLANNING PROGRAM SUPPORT

**Objective:** Assists in carrying out water quality and watershed management planning.

**Administering Agency:** NYS Department of Environmental Conservation; US Environmental Protection Agency

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1982

**Catalog of Federal Domestic Assistance No.:** 66.454

**Legal Authority:**

**Law:** US Clean Water Act, Sections 205j and 604b, as amended, PL 97-117, Water Quality Act of 1987, PL 100-4

**Regulation:** 40 CFR Parts 31 and 35, Subpart A

**Program Contact:**

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**Eligibility:** State Water Quality Management Agencies.

**Type of Program and Special Restrictions:** Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). States are required to allocate at least 40 percent of funds awarded to eligible Regional Public Comprehensive Planning Agencies and Interstate Organizations. Funds must be used based upon the requirements cited above. Initiatives include administering both the point source and nonpoint source elements of the State's water program; developing and administering the State's sewage sludge disposal and pretreatment programs and implementation of the State's nonpoint source program. Tasks include permit development and review, compliance review and inspection, enforcement, water quality planning and standards, monitoring, facility personnel training, and associated program regulation development.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to State; Federal to State to Local (40% pass-through portion). Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

**Type of Aid:** Non-competitive ongoing program grant (State) and competitive pass-through to locals for projects of a limited duration.

**Formula:** Each fiscal year the Administrator shall reserve under Section 604g an amount not to exceed one percent of the amount allotted and available for obligation for construction grants or \$100,000, whichever is greater.

**Matching Requirement:** Non-Federal match of at least 25% of project or program cost is required.

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	594,540	NA	NA
SFY 03-04	590,676	NA	NA
SFY 04-05	603,692	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	275,161	NA	NA
SFY 03-04	173,185	NA	NA

