



**Catalog of
State and Federal Programs Aiding
New York's Local Governments**

**New York State Legislative
Commission on State-Local Relations**

2007 Edition

State of New York

NEW YORK STATE ASSEMBLY

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FOREWORD

It is our pleasure to introduce you to the 2007 edition of the Catalog of State and Federal Programs Aiding New York's Local Governments. The Catalog is used as a tool for understanding the complex flows of aid dollars and program responsibilities in New York State. The Catalog would not have been possible without the cooperation and support of many program managers, budget analysts, bureau chiefs and commissioners of State and Federal agencies. Although it is not possible to list every individual's name, we are grateful to everyone involved for their assistance and patience.

With millions of dollars in grants and low-cost loans made available annually to municipalities, this Catalog provides a one-stop resource for local officials to view funding sources from both the State and Federal government. By providing this information, the Commission hopes to encourage village, town, city, and county officials to explore the multitude of available options and to take advantage of funding and technical assistance opportunities that may allow them to increase allowable dollars, lower tax rates, and provide increased services to their constituents.

In December 1986, the Commission on State-Local Relations issued its first catalog of State and federal programs aiding communities in New York. The information in the catalog was essential to the Commission's fulfillment of its interrelated goals of conducting an ongoing evaluation of: 1) New York State's system of aid to localities; 2) the division of responsibilities among the State and local governments; 3) State mandates on local governments; and, 4) State imposed limits on local taxing and borrowing.

In the years since, subsequent editions of the Catalog have provided State and local officials and the public with a valuable reference tool for understanding the complex flow of aid dollars and program responsibilities in New York. With each new edition, the Commission has worked to enhance and improve the content, organization and presentation of information in the Catalog. That work was formally recognized when the Fourth Edition of the Catalog was chosen by the Government Information Roundtable of the New York State Library Association to receive its Notable Documents Award. This award is presented to outstanding documents produced by New York State government agencies.

The Catalog is revised every two years in an effort to produce the most complete, up-to-date printed guide to local aid in New York. It is hoped that this new edition will help users gain a greater understanding of intergovernmental relations here in New York.

Sheldon Silver
Speaker

Darrel J. Aubertine
Chair

ACKNOWLEDGEMENTS

This volume of information was compiled by the staff of the Legislative Commission on State-Local Relations from a comprehensive survey of New York State agencies. Special note must be made of the agencies' commitment to producing the most complete printed guide to local aid in New York.

Thanks are given to Linda Braun and Christine Szczerba of the New York State Library for contributing the updated chapter on grant funding. Special thanks are also given to Assembly Graphics for the cover design as well as the Assembly Print Shop for their efforts in assembling this information.

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INTRODUCTION

The Commission on State-Local Relations was created to foster a strong relationship between the State and its counties, cities, towns, villages, school districts and other units of government. In order to accomplish this goal, policies and procedures may need to be adjusted during periods of economic and social change so as to maintain their effectiveness. Federal and State programs to assist local governments are initiated, modified or ended in response to changes. To aid local governments in tracking and taking advantage of these programs, the Commission produces the Catalog of State and Federal Programs Aiding New York's Local Governments. The catalog may be accessed on the assembly web site at the following location: <http://assembly.state.ny.us/comm/> under Legislative Commissions.

The catalog profiles programs of intergovernmental aid generated from the federal government to the State government, from the federal government to local jurisdictions within the State (with or without State participation), and from the State to local jurisdictions. Compiled from information provided by both State and Federal agency sources, the catalog is among the most comprehensive publications of local aid programs available for New York. The catalog complements other publications of aid programs that provide more detailed information.

A publication that the Commission has found useful in preparing its catalog is the Catalog of Federal Domestic Assistance (CFDA). It is a compilation of Federal programs which provide assistance to the public. "The primary purpose of the catalog is to assist users in identifying programs which meet specific objectives of the potential applicant, and to obtain general information on Federal assistance programs. In addition, the intent of the catalog is to improve coordination and communication between the Federal government and its State and local governments."¹ The catalog contains programs available to, among others, State and local governments, profit and nonprofit organizations, specialized groups and individuals. The Commission's catalog used the CFDA as a model in compiling the information contained for each program.

The Commission's catalog is updated on a two-year cycle, and this revision reflects the status of programs as of June 2006. This edition of the catalog provides information on financial assistance as well as technical assistance. Several agencies do not provide financial assistance, but nonetheless provide a very useful service to local governments by providing much needed technical assistance. It should be noted that in this edition of the catalog the chapter entitled "Finding Funding For Local Projects" has been relocated to the front of the book.

Although the Office of the State Comptroller is officially designated as the Department of Audit and Control in State statute, this edition of the Catalog refers to it by its more commonly known name. This is also true of Empire State Development which is designated as the Department of Economic Development in State statute.

¹ Catalog of Federal Domestic Assistance, US General Services Administration, Washington, DC, 1994, pg. 6-94.

This publication also provides the following indexing: (1) agency/program, (2) program, (3) object code, and (4) key word.

Catalog Terms

The individual program profiles, the main emphasis of this publication, consist of information about program administration, the award process and method of apportionment, and annual appropriations and disbursements. Within these program profiles, the following terms are used.

Objective - The main purpose or objective of the aid program.

Administering Agency - The New York State and/or the United States government agency which has primary responsibility for administering the program.

Specific Program URL - Web site for particular program.

Object Code - All object codes used by reporting agencies to process payments of grants to local governments are listed for each program. These are the codes used by the State Comptroller's Office to process aid payment information in the State's central accounting system. Note that some programs use multiple object codes, and some object codes are used by more than one program.

Federal CFDA Number - The identification number assigned to Federal programs in the Catalog of Federal Domestic Assistance.

Year Established - The first calendar year in which the program was in operation.

Legal Authority - The State and Federal laws specific to the program, and any regulations governing program operation as reported by State agencies.

Program and/or Fiscal Contacts - Agency staff to be contacted for further information concerning program particulars, including application, rules and funding. When available, E-Mail addresses were added.

Eligibility - Lists governmental (State, counties, cities, towns, villages, school districts) or other recipients (non-profits, private contractors, individuals) which qualify for aid. Stipulations that pertain to applicants for the funding activity are also included.

Type of Program and Use Restrictions - Indicates whether the type of activity supported by the program, at its inception, is a mandated local government activity, or whether participation is optional, with or without subsequent mandatory requirements. Further stipulates any limitations which may be imposed on the recipient as to how program funds may be spent.

Action Required to Receive Aid - Describes the action(s) required by the local government or non-profit to receive aid.

Flow of Funds - Identifies money flow patterns used in the program: (1) Federal to State; (2) Federal to State to Local (Pass-through); (3) Federal to Local; (4) State to Local; or (5) Federal and State to Local.

Type of Aid - Indicates whether funding is for a project grant of limited duration or if it is for an ongoing program.

Aid Formula - If money is allocated among recipients based on arithmetic calculations, describes formula prescribed by law or administrative regulations and formula factors. Note that matching requirements are not treated as "formulas."

Matching Requirement - Where applicable, lists the percentage of program costs which must be provided by the recipient in order to receive aid and the percentage provided by the State and/or Federal governments. Any special requirements or qualifications to matching requirements are also given.

Maintenance of Effort - Indicates whether recipients must show evidence that program funds are being used to expand pre-existing local spending or to provide higher levels of service.

Amounts Appropriated and Disbursed - (1) Program appropriations are listed for State Fiscal Years 2004-05, 2005-06 and 2006-07. (2) Program disbursements are listed for State Fiscal Years 2004-05 and 2005-06 as reported by the administering agencies. (3) Figures should represent amounts paid to recipients, and should not include State costs for operation or administration. (4) Totals reflect "governmental" recipients and "all other" recipients. (5) Amounts appropriated and paid from the State's General Fund are shown separately from amounts appropriated and paid from Federal Funds and other State Special Revenue Funds.

Comments - Any significant additional information provided by the agency.

Limitations

Careful attention has been paid to ensure accuracy. However, inconsistent terminology, differences in agency accounting systems, the potential for miscoding of vouchers, restrictions of the current central accounting system code structure, and the Commission's focus on local government entities all combine to limit the catalog's applications. These limitations are most apparent in the number of programs included and the financial data presented.

For purposes of this catalog, activities are defined as "programs" by each separate administering agency. Since there are no universally accepted rules for determining when an activity constitutes a separate program, identifying the number of aid programs is necessarily somewhat arbitrary. Often, for instance, one statute may authorize a number of distinct but very closely related programs, while other statutes combine programs which differ significantly in funding, operation, or administration. To gain some degree of consistency, the catalog relies on the identification of aid programs generated from a comprehensive, and uniformly administered survey of New York State agency officials. One result of using this process to define "aid programs" is identification of a larger set of programs, often with different titles than may be found in other published sources.

The catalog is primarily concerned with current, statutorily based programs of assistance that channel funds and technical assistance to recipients throughout New York State. As a consequence, programs which are the result of an annual appropriation constituting legislative grants-in-aid are not included.

All of the disbursements, whether to a governmental or non-governmental recipient, are made by the State Comptroller's Office, pursuant to a voucher, and charged to a specific "object" of expenditure in the central accounting system. Recognizing the importance of developing program-related payment data for effective legislative oversight and analysis, the catalog is keyed to this object coding system. Although every effort has been made to properly associate the flow of funds between the various levels of government to the appropriate program, the user must exercise extreme caution when trying to manipulate the disbursement figures or compare them with appropriation or expenditure data from other sources.

For these reasons, before any use -- and especially if comparative analysis among programs is contemplated -- the user is cautioned to contact the agency.

AGENCY WEB SITES

Adirondack Park Agency
<http://www.apa.state.ny.us/>

Aging, Office for the
<http://www.aging.state.ny.us/>

Agriculture and Markets, Department of
<http://www.agmkt.state.ny.us/>

Alcoholism and Substance Abuse, Office of
<http://www.oasas.state.ny.us/>

Arts, Council on the
<http://www.nysca.org/>

Division of the Budget
<http://www.budget.state.ny.us/>

Children and Family Services, Office of
<http://www.ocfs.state.ny.us/>

Civil Service, Department of
<http://www.cs.state.ny.us/>

Correction, Commission of
<http://www.scoc.state.ny.us/>

Correctional Services, Department of
<http://www.docs.state.ny.us/>

Court Administration, Office of
<http://www.nycourts.gov/>

Crime Victims Board
<http://www.cvb.state.ny.us/>

Criminal Justice Services, Division of
<http://www.criminaljustice.state.ny.us/>

Dormitory Authority
<http://www.dasny.org>

Education Department
<http://www.nysed.gov/>

Empire State Development
<http://www.empire.state.ny.us/>

Energy Research and Development Authority
<http://www.nyserda.org>

Environmental Conservation, Department of
<http://www.dec.ny.gov/>

Environmental Facilities Corporation, NYS
<http://www.nysefc.org/>

General Services, Office of
<http://www.ogs.state.ny.us/>

Health, Department of
<http://www.nyhealth.gov/>

Higher Education Services Corporation
<http://www.hesc.com/>

Homeland Security, Office of
<http://www.security.state.ny.us/>

Housing and Community Renewal, Division of
<http://www.dhcr.state.ny.us/>

Housing and Urban Development, US Department of
<http://www.hud.gov/>

Labor, Department of
<http://www.labor.state.ny.us/>

Mental Health, Office of
<http://www.omh.state.ny.us/>

Mental Retardation and Developmental Disabilities, Office of
<http://www.omr.state.ny.us/>

Motor Vehicles, Department of
<http://www.nysdmv.com/>

Parks, Recreation and Historic Preservation, Office of
<http://www.nysparks.state.ny.us/>

Probation and Correctional Alternatives, Division of
<http://www.dpca.state.ny.us/>

Public Employment Relations Board
<http://www.perb.state.ny.us/>

Quality of Care and Advocacy for Persons with Disabilities, Commission on
<http://www.cqcapd.state.ny.us/>

Racing and Wagering Board, New York State
<http://www.racing.state.ny.us/>

Real Property Services, Office of
<http://www.orps.state.ny.us/>

State, Department of
<http://www.dos.state.ny.us/>

State Comptroller, Office of the
<http://www.osc.state.ny.us/>

State Emergency Management Office
<http://www.semo.state.ny.us/>

State Police, Division of
<http://www.troopers.state.ny.us/>

State University of New York
<http://www.suny.edu/>

Taxation and Finance, Department of
<http://www.tax.state.ny.us/>

Temporary and Disability Assistance, Office of
<http://www.otda.state.ny.us/>

Transportation, Department of
<http://www.nysdot.gov/>

Veterans' Affairs, Division of
<http://www.veterans.state.ny.us/>

Workers' Compensation Board
<http://www.wcb.state.ny.us>

Source:<http://www.nysegov.com/>

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FINDING FUNDING FOR LOCAL PROJECTS¹

There are so many sources of information available online and in print that it can be difficult to decide where to begin when seeking grants for funding. This chapter is provided as a resource guide to make the search a little easier. New York State is home to many of the largest foundations in the United States. Private funding should not be overlooked as a source for grantseekers in all fields. Grant resources covered in this chapter are organized by type of funding; private giving, government grants, and other sources of information that can be found online or CD-ROM.

PRIVATE GIVING

The Foundation Center was created in 1956 by already existing foundations as a response to an era of suspicion and public scrutiny. The Foundation Center was created to provide transparency and comprehensive, accurate information about private foundations and their giving practices. The Foundation Center provides information that is current, accurate, reliable and available to anyone who wants or needs it. A network of field office libraries and cooperating collections is located across the country to provide service. The list of participating libraries is always growing to meet the needs of grant seekers on a national level. There are 19 cooperating collections in New York State. Each collection is headed by a supervisor who works with local grantseekers to use the resources of the collection more effectively. Because these collections vary widely in their hours, materials and services, it's advised to call in advance before a visit. To check new locations, or current holdings, you may call toll free 1-800-424-9836, or visit the Foundation Center Website at <http://fdncenter.org/collections/index.html>.

Of the five Center libraries, one is located in New York, at 79 Fifth Avenue in New York City. It is open to the public, and offers educational programs on the funding research process from start to finish. Visitors have free access to Center publications, supplemental materials, periodicals, how-to guides, foundation annual reports, 990-PF IRS returns, and CD-ROM and internet resources. Those who cannot visit a Center library can usually find a Foundation Center cooperating collection nearby. (Table 1 shows the cooperating collections in New York State).

The Foundation Center has several print resources that they recommend for beginners just starting to seek grants and fundraising:

Foundation Fundamentals provides insight into how foundations work along with the full array of electronic and print resources available to help grantseekers develop their prospect lists.

The Foundation Center's Guide to Proposal Writing covers the basics and the finer points of preparing grant proposals. Included are sample proposals, insider information from grantmakers, and pre-proposal planning tips.

The Foundation Center's Guide to Grantseeking on the Web presents insight into developing an organized, focused approach to funding research online.

¹ This chapter was prepared by Christine Szczerba in cooperation with Linda Braun, the former Supervisor of the cooperating collection at the New York State Library.

TABLE 1

NEW YORK STATE COOPERATING COLLECTIONS	
New York State Library Cultural Education Center Empire State Plaza Albany, NY 12230 (518) 474-5355	Foundation Center Office and Library 79 Fifth Avenue, 2 nd Floor New York, NY 10003-3076 (212) 620-4230
Broome County Public Library 185 Court Street Binghamton, NY 13901 (607) 778-6400	Adriance Memorial Library Special Services Department 93 Market Street Poughkeepsie, NY 12601 (845) 485-3445
New York Public Library Bronx Library Center Bronx, NY 10458 (718) 579-4244	Riverhead Free Library 330 Court Street Riverhead, NY 11901 (631) 727-3228
Brooklyn Public Library Social Sciences/Philosophy Division Grand Army Plaza Brooklyn, NY 11238 (718) 230-2122	Rochester Public Library Grants Information Center 115 South Avenue Rochester, NY 14604 (585) 428-8120
Buffalo and Erie County Public Library Business, Science & Technology Dept. One Lafayette Square Buffalo, NY 14203 (716) 858-8900	New York Public Library St. George Library Center 5 Central Avenue Staten Island, NY 10301 (718) 442-8560
Southeast Steuben County Library 300 Nasser Civic Center Plaza Corning, NY 14830 (607) 936-3713	Onondaga County Public Library 447 S. Salina Street Syracuse, NY 13202 (315) 435-1900
Sachem Public Library 150 Holbrook Road Holbrook, NY 11741 (631) 588-5024	Utica Public Library 303 Genesee Street Utica, NY 13501 (315) 735-2279
Huntington Public Library 338 Main Street Huntington, NY 11743 (631) 427-5165	White Plains Public Library 100 Martine Avenue White Plains, NY 10601 (914) 422-1480
Queens Borough Public Library Social Sciences Division 89-11 Merrick Boulevard Jamaica, NY 11432 (718) 990-0700	Yonkers Public Library Riverfront Library One Larkin Center Yonkers, NY 10701 (914) 337-1500
Levittown Public Library One Bluegrass Lane Levittown, NY 11756 (516) 731-5728	

Foundation Center resources are a good starting point for identifying likely funding sources. Grantmaker directories are well-indexed with many ways to search. They answer a full range of questions including names and affiliations of local grantmakers, projects that were recently funded, and size of budgets earmarked for projects. Center directories can help local governments find private funders close to home that are more likely to have a greater interest in local problems. Some of these print directories include:

Foundation 1000. Foundation Center, 2006.

Grant programs of the 1,000 largest foundations in the country.

Foundation Directory. Foundation Center, 2006.

The classic guide in three volumes.

Guide to U.S. Foundations, Their Trustees, Officers, and Donors. Foundation Center, 2006.

Master list of the decision-makers who direct America's foundations. Lists over 70,000 private, corporate, operating and community foundations, many not described elsewhere. Two volumes and a comprehensive name index. Source of data disclosing foundation affiliations of local families, community leaders, board members, donors and volunteers.

Of particular interest:

New York State Foundations. 7th ed. Foundation Center, 2001.

Comprehensive guide to active grantmaking foundations in New York State, with multiple ways to search including by county.

CORPORATE PHILANTHROPY

Most corporations provide funds for local projects in areas where they have headquarters or plants. Many are aware that targeted funding can enhance their image, build business-community relationships, or capture stockholder interest. Corporations are not required to make public their in-house giving programs. Despite this, there are several good sources for searching corporate information:

National Directory of Corporate Giving. 12th ed. Foundation Center, 2006.

Provides background information on sponsoring companies and their corporate giving programs.

Foundation Reporter. 39th ed. Taft, 2006.

Comprehensive profiles and gift analysis of the nation's major private foundations.

Most of these sources will be found in local cooperating collections or in business collections at local colleges.

GOVERNMENT FUNDING

Despite the decline in available dollars, federal and state financial assistance to local governments remains an important component of local program funding.

Federal Aid Resources

The following sources provide insight into agency giving trends and assist in finding the correct person to clarify information.

Catalog of Federal Domestic Assistance. Washington, DC: General Services Administration. Loose leaf service and available online at www.cfda.gov. Single best resource for leads on federal assistance administered by federal agencies.

Federal Funds Express at http://www.house.gov/ffr/resources_all.shtml. The Federal Funds Express provides summary and detailed information by type of grant, type of contract award, recipient, and geographic location, including congressional district.

Federal Register. Washington, DC: National Archives (NARA). Online at http://www.access.gpo.gov/su_docs/aces/aces140.html. This source can be used to update the information found in the CFDA. It is published Monday through Friday except official holidays. It makes available public regulations and legal notices issued by federal agencies. These include agency documents of public interest, such as notices of grants by various agencies.

Other Federal Sources Include:

Federal Grants and Contracts Weekly. The inside guide to finding and winning federal funds. Alexandria, VA: Aspen Publishers. Announces grants and project opportunities in research, training and services.

Congressional Yellow Book. Who's who in congress, including committees and key staff. Washington, DC: Leadership Directories, Published quarterly.

Federal Yellow Book. Who's who in federal departments and agencies. Washington, DC: Leadership Directories, Published quarterly. Accesses more than 39,000 top people in the Executive Branch of the Federal government.

Guide to Federal Funding for Governments and Nonprofits. Washington, DC: Thompson Publishing Group, Government Information Services.

The United States Government Internet Manual, 2003-2004. Lanham, MD: Bernan Press, 2004. An annotated guide to government sources on the internet.

United States Government Manual. 2003/2004. Washington, DC: Presidential and Legislative Publications, Federal Register, NARA. Official handbook for the Federal government. Provides comprehensive information on the legislative, judicial and executive branches of the United States government.

State Resources

The Catalog of State and Federal Programs Aiding New York's Local Governments.

Grants Action News. Information on available state and federal grants. Albany, NY: New York State Assembly Speaker's Office, Communication and Information Services. Identifies new and existing State and federal grant opportunities. Listed at <http://www.assembly.state.ny.us>.

Local/State Funding Report. Washington DC: Thompson/GIS. Published weekly except last week in August and last week in December. This newsletter is useful for its notices of Federal funding and for the occasional notice of funding available in a particular state.

New York Directory of City and Village Officials. Albany, NY: New York State Conference of Mayors and Municipal Officials (NYCOM), 2005. Contact NYCOM, Tel: 518-463-1185.

New York State Directory. 2004/2005. Walker's Research, LLC. Guide to accessing public officials and private sector groups and individuals who influence public policy in the State of New York.

New York State Register. Albany, NY: New York State Department of State, Office of Information Services, 41 State Street or call 518-474-6785 for further information.

Electronic Resources

FC Search

Covers over 78,000 foundations, corporate givers, and grantmaking public charities with advanced searching capability. Updates twice yearly. Offered by the Foundation Center.

Foundation Grants Index

Designed by the Foundation Center to be user-friendly.

Foundation Grants to Individuals Online

Source for individual grantseekers is searchable for support for educational goals, research projects, arts and cultural funding, and giving for special needs.

Foundation Center Website

This website is located at <http://foundationcenter.org/> and serves as a gateway to grantmaker websites, provides tutorials on proposal writing and grantseeking. It provides a calendar of events and courses and access to the Foundation Center shop of books, guides and newsletters.

BigOnLine

A database of North American foundations in Canada and the United States. The database contains items from 1997 to present. It has a fully searchable archive of 990-PFs; summary information on foundations, directors and officers; a visual map tool that shows the distribution of grantmakers and their grants; and contains contact information for newly established foundations.

Electronic Subscription:

The Foundation Directory and Foundation Grants Index are both available through DIALOG database searching. The State Library will conduct online, work related searches for New York State employees at no charge. Database searches are available to New York State residents for a \$40.00 fee. Library users with a P card can access grant related databases through the New York State Library webpage. Call Circulation at (518) 473-7895 for further information and ask for "Remote Access."

Additional sites are available at the New York State Library homepage, <http://www.nysl.nysed.gov>. Check the site map under "Grants" for more information or visit the Internet Bibliographies.

GovBenefits.gov

Helps citizens find the benefits for which they are eligible. Provides program descriptions and contact names through screening questions answered by users.

Grants.gov

Grants.gov allows users to find and apply for federal grant opportunities from federal grant making agencies. Users can limit searches by agencies, topics, dates or types of grants.

GrantsNet (www.hhs.gov/grantsnet/)

This site has useful information, although its main emphasis is on HHS grants. There are standard forms to download, and users can explore sections on the grants application process.

TGCI.com

The Grantsmanship Center has been around for over thirty years. It is a national training organization for nonprofits.

The New York State Library homepage is located at <http://www.nysl.nysed.gov/>.

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LOCAL GOVERNMENT SERVICES

(Technical Assistance Program)

Objective: Supports development and implementation of local land use programs within the Adirondack Park.

Administering Agency: NYS Adirondack Park Agency (APA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Adirondack Park Agency Act, Article 27, Executive Law

Regulation: APA Rules and Regulations, Section 582

Program Contact:

Richard H. Lefebvre
Executive Director
NYS Adirondack Park Agency
P.O. Box 99, Route 86
Ray Brook, NY 12977
(518) 891-4050
E-Mail: NA

Fiscal Contact:

NA

Eligibility: All towns and villages located partially or entirely within the Adirondack Park.

Type of Program and Special Restrictions: Optional; general staff assistance in development and review of a land use program.

Action Required to Receive Aid: Assistance is provided on request dependent upon the availability of staff resources.

Description of Aid:

Staff provides help in setting up a planning program, including providing guidance or sources of information, drafting specifications for new programs, and assistance in consultant selection. Staff is available to review planning elements as they are developed by others, and to coordinate review of elements for Agency approval. Staff also designs and conducts community workshops to provide guidance in land use implementation.

CAREGIVER ASSISTANCE PROGRAM (CAP); CAREGIVER RESOURCE CENTERS (CRC)

Objective: Establishes local resource centers designed to address the multitude of needs of family caregivers responsible for caring for older persons.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 206

Regulation: NA

Program Contact:

Andrea Hoffman
Program Director
Bureau of Community Based Long
Term Care Services
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-0484
E-Mail: Andrea.Hoffman@ofa.state.ny.us

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808
E-Mail: Jack.Lynch@ofa.state.ny.us

Eligibility: Counties and Private Non-Profit Groups. Restricted to Area Agencies on Aging as defined in the US Older Americans Act and subsequent amendments.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Initially a competitive application for aid was required. Renewal funding is provided through a non-competitive application.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	360,000	NA
SFY 05-06	NA	360,000	NA
SFY 06-07	NA	360,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	349,943	NA
SFY 05-06	NA	371,592	NA

¹Refers to Office for the Aging unclassified grants.

COMMUNITY SERVICES FOR THE ELDERLY (CSE)

Objective: Facilitates coordination of the various programs and services provided to the elderly, and provides services which enable the elderly (especially the frail) to remain in their homes and to participate in family and community life.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69446

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 214

Regulation: NA

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Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for specific planning and implementation of community service projects.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on population over age 60. \$4.20 per capita with \$33,600 minimum base allocation. If available funds exceed the statutory per capita level, the excess funds will be made available in a uniform manner consistent with the statutory allocations.

Matching Requirement: Planning and implementation expenditures are 100 percent State aid reimbursable. Services expenditures are reimbursed at 75 percent State aid and 25 percent Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	16,371,000	NA
SFY 05-06	NA	16,621,000	NA
SFY 06-07	NA	16,621,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	17,068,110	NA
SFY 05-06	NA	16,270,678	NA

CONGREGATE SERVICES INITIATIVE (CSI)

Objective: Provides congregate services for the well elderly to promote socialization and health promotion.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69444

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 217

Regulation: NA

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Eligibility: Counties, City of New York, Private Non-Profit Groups and Indian Tribal Organizations designated as an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. No more than five percent of State funding may be spent on administrative costs.

Action Required to Receive Aid: County Area Agency on Aging must file a non-competitive application for aid with the NYS Office for the Aging.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on persons over age 60 with \$1,250 minimum allocation.

Matching Requirement: State 75%, Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	866,000	NA
SFY 05-06	NA	866,000	NA
SFY 06-07	NA	866,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	717,239	NA
SFY 05-06	NA	909,997	NA

EXPANDED IN-HOME SERVICES FOR THE ELDERLY PROGRAM (EISEP)

Objective: Provides a uniform Statewide program of case managed in-home, ancillary and non-institutional respite services for functionally impaired elderly in the community who need community based long-term care but who are not eligible for similar services from another program such as Medicaid.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69407

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 214

Regulation: 9 NYCRR 6654.6 and 6654.15 et seq.

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Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Recipients of services subject to sharing the cost of non-case management services if adjusted income exceeds 150 percent of poverty level.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing, but based on a yearly appropriation and distributed to Area Agencies on a formula basis.

Formula: \$7.30 per capita for those over 60 years of age with a statutory \$91,250 minimum base allocation. If available funds exceed the statutory per capita level, the excess funds will be made available in a uniform manner consistent with the statutory allocations.

Matching Requirement: State 75% Local 25% for service expenditures. (For SFY 2006-07, budget implementation language has made the last \$25,000,000 of services funding 90% State and 10% Local.) Planning/implementation expenditures are 100 percent State aid reimbursable.

Maintenance of Effort: Recipients are required to maintain total expenditures for the Community Services for the Elderly Program service projects at the SFY 1985-86 levels unless waived or reduced.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	24,972,000	NA
SFY 05-06	NA	34,972,000	NA
SFY 06-07	NA	49,972,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	25,548,962	NA
SFY 05-06	NA	30,883,236	NA

FOSTER GRANDPARENT PROGRAM (FGP)

Objective: Provides low income persons 60 years and over with opportunities to render supportive services to children aged 17 and under who have special needs and are deprived of normal relationships with adults.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69448

Year Established: 1973

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 203; 42 USC 5011, Title II-B, PL 93-113

Regulation: NA

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Eligibility: Counties, Cities and Private Non-Profit Groups. Must be a Corporation for National and Community Service certified agency receiving Federal funding.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Administrative costs may not exceed 15 percent of funds. Funds may not be used as a match for other State funds or as a substitute for Federal funds. Grants may be used to match Federal funds but must be used for expansion of existing Federal programs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Allocations were originally based on the number of Federally funded slots.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	200,000	NA
SFY 05-06	NA	200,000	NA
SFY 06-07	NA	200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	234,882	NA
SFY 05-06	NA	209,659	NA

LONG TERM CARE INSURANCE EDUCATION AND OUTREACH PROGRAM (LTCIEOP)

Objective: Provides direct assistance to the general public in choosing and obtaining long term care insurance.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 217-a

Regulation: NA

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Eligibility: Counties, City of New York, Private Non-Profit Groups and Indian Tribal Organizations designated as an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. No more than five percent of State funding may be spent on administrative costs.

Action Required to Receive Aid: County Area Agency on Aging must file a non-competitive application for aid with the NYS Office for the Aging.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Each designated area agency receives \$50,000 except for NYC which shall receive \$100,000.

Matching Requirement: State 100%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	3,000,000	NA
SFY 06-07	NA	3,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	775,162	NA

¹Refers to Office for the Aging unclassified grants.

LONG TERM CARE OMBUDSMAN PROGRAM State LTCOP

Objective: Receives, investigates and resolves complaints and concerns regarding skilled nursing and adult care facilities. Volunteers are recruited and trained as certified ombudsmen who advocate on behalf of residents, assisting them, their families and the facilities in the resolution of problems.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 218

Regulation: NA

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Eligibility: Counties and Private Non-Profit Groups designated to operate local ombudsman program.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Funding was allocated to Area Agencies on Aging (AAA) or Private Non-Profit Group based on the percentage of long term care beds in their county/facility, with \$3,900 minimum base allocation.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	746,000	NA
SFY 05-06	NA	746,000	NA
SFY 06-07	NA	746,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	725,463	NA
SFY 05-06	NA	745,031	NA

¹Refers to Office for the Aging unclassified grants.

**NATIONAL FAMILY CAREGIVER
SUPPORT PROGRAM (NFCSP)
Title III-E**

Objective: Provides aging caregivers with information on: how to access information about available services; assistance to caregivers in gaining access to the services; individual counseling; organization of support groups; and training for caregivers to assist in decision making.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 2000

Catalog of Federal Domestic Assistance No.: 93.052

Legal Authority:

Law: US Older Americans Act of 1965, PL 106-501

Regulation: NA

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Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. State must have an approved plan. Local recipient must be a designated Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No more than 10 percent of funds may be used for area plan administration, and no more than five percent may be used for State plan administration. No more than 10 percent of the service expenditures may be used for Grandparents Caring for Children activities. No more than 20 percent of the service expenditures may be used for supplemental services.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over 75, minorities 60 plus, low income 60 plus, living with others 60 plus, mobility limitation 60 plus.

Matching Requirement: 75% Federal, 25% Local

Maintenance of Effort: Those Area Agencies that operate Caregiver Resource Centers (CRCs) must maintain the CRCs at that level of funding.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	11,600,000	NA	NA
SFY 05-06	12,000,000	NA	NA
SFY 06-07	12,000,000	NA	NA

NATIONAL FAMILY CAREGIVER SUPPORT PROGRAM (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	12,970,816	NA	NA
SFY 05-06	9,916,288	NA	NA

¹Refers to Office for the Aging unclassified grants.

NUTRITION SERVICES FOR THE AGING, CONGREGATE MEALS (TITLE III-C-1)

Objective: Provides a hot, nutritious meal in a congregate setting five or more days a week for any person aged 60 or older (and spouse of any age), as well as allied services, such as nutrition, education, outreach, escort, transportation, information.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 69422

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 93.045

Legal Authority:

Law: US Older Americans Act of 1965, PL 89-73, PL 100-175 and PL 106-501

Regulation: 9 NYCRR 6651-6655; 45 CFR 1321

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Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. State must have an approved plan. Local recipient must be a designated Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No more than ten percent of funds may be used for area plan administration, and no more than five percent may be used for State administration. Funds may not be used for home delivered meals. Escort, transportation, information and referral and recreation services may only be funded from program income.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over age 60, below poverty level, minorities, minorities below poverty level, frail elderly. Complex formula. See CFDA listing.

Matching Requirement: Service expenditures: State 5%, Federal 85%, Local 10%. Administrative expenditures: Federal 75% State/Local 25%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*State General Funds</u>		<u>State Spec. Rev.</u>
SFY 04-05	40,000,000	NA	NA
SFY 05-06	41,000,000	NA	NA
SFY 06-07	41,000,000	NA	NA

*There is a single appropriation for Congregate Meals and Home Delivered Meals.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	38,888,990	NA	NA
SFY 05-06	41,089,662	NA	NA

NUTRITION SERVICES FOR THE AGING, HOME-DELIVERED MEALS (TITLE III-C-2)

Objective: Provide home delivered meal(s), five or more days per week, to homebound elderly.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 69423

Year Established: 1979

Catalog of Federal Domestic Assistance No.: 93.045

Legal Authority:

Law: US Older Americans Act of 1965, Title III-C-2, PL 89-73, PL 100-175 and PL 106-501

Regulation: 9 NYCRR 6651-6655; 45 CFR 1321

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Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. State must have an approved plan. Local recipient must be a designated Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Not more than ten percent of aid may be used for area plan administration and not more than five percent for State administration. Funds cannot be used for congregate meals. Escort, transportation, information, referral and recreation services may only be funded with program income.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over age 60, below poverty level, minorities, minorities below poverty level, frail elderly. Complex formula. See CFDA listing.

Matching Requirement: Service expenditures: Federal 85%, State 5%, Local 10%. Administrative expenditures: Federal 75%, State/Local 25%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*State General Funds</u>		<u>State Spec. Rev.</u>
SFY 04-05	40,000,000	NA	NA
SFY 05-06	41,000,000	NA	NA
SFY 06-07	41,000,000	NA	NA

*There is a single appropriation for Congregate Meals and Home Delivered Meals.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	38,888,990	NA	NA
SFY 05-06	41,089,662	NA	NA

NUTRITION SERVICES INCENTIVE PROGRAM (NSIP)

Objective: Provides commodity foods and/or cash reimbursement to Area Agency on Aging for serving meals to individuals 60 and over.

Administering Agency: NYS Office for the Aging; US Department of Agriculture; US Department of Health and Human Services¹

Specific Program URL: NA

NYS Object Code: 69421²

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 93.053

Legal Authority:

Law: US Older Americans Act of 1965, PL 89-73, PL 100-175 and PL 106-501

Regulation: See CFDA Listing

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Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Notifications of grant award are issued based on the number of eligible meals served.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Actual reimbursement for FFY 03-04, as set by AOA, of 58.60 cents per meal served to eligible participant.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	16,000,000	NA	NA
SFY 05-06	16,000,000	NA	NA
SFY 06-07	16,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	14,050,527	NA	NA
SFY 05-06	13,003,744	NA	NA

¹Funds received from Department of Health and Human Services.

²Refers to Title VII elderly feeding programs.

**OLDER AMERICANS ACT, TITLE III-B, (OAA)
SUPPORTIVE SERVICES AND MULTI-PURPOSE
SENIOR CENTERS**

Objective: Supports comprehensive planning, coordination and the provision of supportive services for the elderly, i.e., transportation, information and referral, outreach, in-home services, legal services, and multi-purpose senior citizen centers.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 69403, 69404

Year Established: 1979

Catalog of Federal Domestic Assistance No.: 93.044

Legal Authority:

Law: US Older Americans Act of 1965, PL 89-73 and PL 100-175

Regulation: 9 NYCRR 6651-6655; 45 CFR 1321

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Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging. State must have an approved plan and have an Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No more than 10 percent of funds may be used for area plan administration. Specified minimum percentages must be used for priority services and at least one percent must be used for a long-term care ombudsman program. No more than five percent of aid to State may be used for administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over age 60, below poverty level, minorities, minorities below poverty level, functionally impaired. Complex formula. Consult CFDA listing.

Matching Requirement: Federal 85%, State 5%, Local 10% for service expenditures. For administrative expenditures reimbursement is 75% Federal with 25% State/Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	26,000,000	NA	NA
SFY 05-06	26,000,000	NA	NA
SFY 06-07	26,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	24,472,359	NA	NA
SFY 05-06	23,447,257	NA	NA

OLDER AMERICANS ACT, TITLE III-D, PREVENTIVE HEALTH SERVICES

Objective: Promotes health and well being among elderly persons and establishes Disease Prevention programs for the most vulnerable and low-income elderly.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 69434

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 93.043

Legal Authority:

Law: US Older Americans Act of 1965, as amended, PL 100-175 and PL 106-501

Regulation: 45 CFR 1321

Program Contact:

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Eligibility: Counties, City of New York, Private Non-Profit Groups and Indian Tribal Organizations designated as an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Federal funds may not be used for administrative costs at the local level. A maximum of five percent of funds may be used for administration at the State level. Each grantee must spend a specified amount on medication management activities.

Action Required to Receive Aid: County Area Agency on Aging must file a non-competitive application for aid with the NYS Office for the Aging.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on persons over age 60, low income, minority, low-income minority and functionally impaired. Priority is given to medically underserved areas.

Matching Requirement: Federal 85%, State 5%, Local 10%. Matching funds may not be used for any other Federal or State programs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>*State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,900,000	NA	NA
SFY 05-06	5,000,000	NA	NA
SFY 06-07	5,000,000	NA	NA

*The appropriations cover other miscellaneous Federal programs in addition to Title III-D.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	868,567	NA	NA
SFY 05-06	2,273,282	NA	NA

RETIRED AND SENIOR VOLUNTEER PROGRAM (RSVP)

Objective: Recruit, train and place senior volunteers, 55+.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Elder Law, Section 203

Regulation: NA

Program Contact:

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Eligibility: Must be current RSVP grant recipient.

Type of Program and Special Restrictions: Subject to mandated State and Federal requirements.
Administrative costs may not exceed 10 percent of funds.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	442,000	NA
SFY 05-06	NA	442,000	NA
SFY 06-07	NA	442,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	466,545	NA
SFY 05-06	NA	419,968	NA

¹Refers to Office for the Aging unclassified grants.

SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM (TITLE V)

Objective: Provides low income older persons age 55 and older with training and part-time employment opportunities in public and private non-profit organizations.

Administering Agency: NYS Office for the Aging; US Department of Labor Employment and Training Administration.

Specific Program URL: NA

NYS Object Code: 69409

Year Established: 1984

Catalog of Federal Domestic Assistance No.: 17.235

Legal Authority:

Law: US Older Americans Act of 1965, Title V; PL 89-73, PL 100-175 and PL 106-501

Regulation: 20 CFR Part 641

Program Contact:

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Eligibility: Local Area Agencies on Aging, Counties, Private Non-Profit Groups and Cities providing training and employment services to 55+ older workers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. At least 75 percent of Federal funds must be spent on enrollee wages and fringe benefits. Not more than 9.45 percent of Federal funds may be spent on area agency administration; not more than 13.5 percent on State and area administration.

Action Required to Receive Aid: SOFA approved subsponsor submits application for annual renewal of aid.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing, renewable annually.

Formula: Population over age 55 and number of positions funded with a provision for a minimum allocation. Complex formula. Consult CFDA listing.

Matching Requirement: Federal 90%, Local 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	7,000,000	NA	NA
SFY 05-06	7,000,000	NA	NA
SFY 06-07	7,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,662,229	NA	NA
SFY 05-06	5,022,297	NA	NA

SOCIAL ADULT DAY SERVICES (SADS)

Objective: To operate social adult day services programs that provide a structured, comprehensive program of socialization, personal care and nutrition in a protective, congregate setting with appropriate supervision and monitoring to a group of individuals possessing functional impairments, whether due to physical or cognitive impairments.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19J, Section 541a

Regulation: 9 NYCRR 6654.20

Program Contact:

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 Term Care Services
 NYS Office for the Aging
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Fiscal Contact:

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Eligibility: Incorporated Not-for-Profit or a local government operating a social adult day services program for functionally impaired elderly (60 or older).

Type of Program and Special Restrictions: Subject to mandated State requirements. Funding is primarily to cover operating expenses, rather than capital expenses. Required services include socialization, supervision and monitoring, personal care and nutrition. Applications cannot be fully subcontracted.

Action Required to Receive Aid: Initially a competitive proposal for aid was required. Renewal funding is provided through a non-competitive application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing.

Formula: NA

Matching Requirement: Expenditures are reimbursed 75 percent State and 25 percent Local. The State may waive the matching requirements based on demonstrated financial need.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	946,300	NA
SFY 05-06	NA	946,300	NA
SFY 06-07	NA	946,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,051,041	NA
SFY 05-06	NA	908,942	NA

¹Refers to Office for the Aging unclassified grants.

**STATE HEALTH INSURANCE ASSISTANCE PROGRAM
(SHIP), HEALTH INSURANCE INFORMATION
COUNSELING AND ASSISTANCE PROGRAM (HIICAP)**

Objective: Provides free, confidential, accurate and unbiased health insurance information, counseling and assistance.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: <http://www.hiicap.state.ny.us>

NYS Object Code: 69490¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 93.779

Legal Authority:

Law: NA

Regulation: Section 4360 OBRA 1990, PL 101-508

Program Contact:

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Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Restricted to Area Agencies on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Weighted population percentages with a minimum allocation level of \$15,000 subject to revision each year. (\$7,500 for the Indian Reservations.)

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,900,000	NA	NA
SFY 05-06	5,000,000	NA	NA
SFY 06-07	5,000,000	NA	NA

*The appropriation includes other Miscellaneous Federal programs in addition to SHIP.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	369,968	NA	NA
SFY 05-06	931,560	NA	NA

¹Refers to Office for the Aging unclassified grants.

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM (SNAP)

Objective: Provides nutritional services to individuals 60 and over deemed to be at high nutritional risk.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69424

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.
Not more than five percent of the funds may be used for administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors including: over age 60 minorities, below 150 percent poverty, persons over age 85, over age 60 living alone, over age 60 hospital discharged.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	17,209,000	NA
SFY 05-06	NA	18,209,000	NA
SFY 06-07	NA	18,209,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	17,413,566	NA
SFY 05-06	NA	17,507,036	NA

**TITLE VII; CHAPTER 2 - LONG TERM CARE
OMBUDSMAN SERVICES FOR OLDER
INDIVIDUALS PROGRAM (LTCOP)**

Objective: Receives, investigates and resolves complaints and concerns regarding skilled nursing and adult care facilities. Volunteers are recruited and trained as certified ombudsmen who advocate on behalf of residents, assisting them, their families and the facilities in the resolution of problems.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: www.ombudsman.state.ny.us

NYS Object Code: 69490¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 93.042

Legal Authority:

Law: US Older Americans Act of 1965, PL 100-175 and PL 106-501

Regulation: NA

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups designated to operate local ombudsman program.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds may not be used for administrative costs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Funding was allocated to the Area Agencies on Aging (AAA) or Private Non-Profit Group based on the percentage of long term care beds in their county/facility, with \$8,000 minimum base allocation.

Matching Requirement: NA

Maintenance of Effort: The amount expended on LTCOP services cannot be less than the total amount expended by the agency in FY 2000.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,900,000	NA	NA
SFY 05-06	5,000,000	NA	NA
SFY 06-07	5,000,000	NA	NA

*The appropriations cover other miscellaneous Federal programs in addition to LTCOP.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	786,919	NA	NA
SFY 05-06	1,033,292	NA	NA

¹Refers to Office for the Aging unclassified grants.

TRANSPORTATION OPERATING EXPENSES (AAA TRANSPORTATION PROGRAM)

Objective: Provides transportation operating expenses related to serving the elderly through the 59 designated Area Agencies on Aging.

Administering Agency: NYS Office for the Aging

Specific Program URL: NA

NYS Object Code: 69490¹

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2006, Chapter 54

Regulation: NA

Program Contact:

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Eligibility: Counties, City of New York, Private Non-Profit Groups and Indian Tribal Organizations designated as an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds must be spent on transportation operating expenses, no administration or vehicle purchases are allowed.

Action Required to Receive Aid: County Area Agency on Aging must file a non-competitive application for aid with the NYS Office for the Aging.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on persons over age 60 with \$5,000 minimum allocation.

Matching Requirement: State 100%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	1,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

¹Refers to Office for the Aging unclassified grants.

WEATHERIZATION REFERRAL AND PACKAGING PROGRAM (WRAP)

Objective: Limited energy case management services for low income, energy vulnerable elderly.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 69412

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.568

Legal Authority:

Law: US Low Income Home Energy Assistance Act of 1981

Regulation: NA

Program Contact:

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Eligibility: Area Agencies on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds are used for cost of programmatic services and assistance to beneficiaries.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: Based on population over age 60 and local performance.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>*State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

*Appropriations are included in the NYS Office of Temporary and Disability Assistance budget.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,810,271	NA	NA
SFY 05-06	3,651,152	NA	NA

*Disbursements were made through NYS Office of Temporary and Disability Assistance accounts.

AGRICULTURAL BUSINESS DEVELOPMENT

(Technical Assistance Program)

Objective: Provides technical assistance for agricultural business development in accessing conventional and/or public financing for the purpose of establishing a new agri-business or the expansion of an existing agri-business.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

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NYS Department of Agriculture
and Markets
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Fiscal Contact:

NA

Eligibility: Municipal economic developers and agricultural economic developers, corporations, cooperatives, partnerships, LLC's, etc.

Type of Program and Special Restrictions: None

Action Required to Receive Aid: None

Description of Aid:

Department staff assists agri-businesses in obtaining access to conventional and public financing sources. Assistance is also provided to municipal economic developers in obtaining access to various State financing programs.

Comments:

Division staff provide technical assistance primarily to municipal economic developers and agri-businesses who are seeking financing to complete an expansion of an existing agri-business or the creation of a new agri-business. Assistance is provided in the form of guidance on accessing conventional and public financing. Staff is available to meet with local officials or businesses to discuss business development or expansion plans.

AGRICULTURAL DISTRICTS PROGRAM

(Technical Assistance Program)

Objective: Agricultural districts are created by county legislative bodies pursuant to local landowner initiative. Participating landowners are eligible for a number of benefits intended to encourage the maintenance of land in active agricultural production including: agricultural assessment, limitations on improvement district assessments, right to farm support, protections against unwanted public acquisitions of farmland or advance of public funds for non-farm development within farm areas and limitation on local laws or regulations that would unreasonably restrict or regulate farm practices or structures.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25-AA

Regulation: NA

Program Contact:

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Districts Program Manager
NYS Department of Agriculture and Markets
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Fiscal Contact:

NA

Eligibility: Counties.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Department staff assist counties in the formation of districts and the renewal of existing districts. They also assist all levels of local government in complying with those provisions of the Agricultural Districts Law (Article 25-AA) which may be applicable.

Comments:

Division staff provide technical assistance primarily to county planners and cooperative extension agents who have a significant role in the creation and review of agricultural districts. Technical assistance is primarily in the form of guidance on State statutory requirements. Assistance is provided by telephone or in person, as needed. Staff also are available to meet with county legislative bodies and county agricultural and farmland protection boards to discuss agricultural district issues.

AGRICULTURAL NONPOINT SOURCE ABATEMENT AND CONTROL GRANT PROGRAM

Objective: Supports projects on watershed planning activities that will reduce, identify, and/or prevent nonpoint source contributions from agricultural activities.

Administering Agency: NYS Department of Agriculture and Markets and NYS Soil and Water Conservation Committee*

Specific Program URL: NA

NYS Object Code: 56020

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Soil & Water Conservation District Law, Section 11-b

Regulation: Guidelines have been written.

Program Contact:

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NYS Department of Agriculture
and Markets
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Fiscal Contact:

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Eligibility: County Soil and Water Conservation Districts.

Type of Program and Special Restrictions: Funding may be used in watershed-based assessments of Agricultural Nonpoint Source Pollution problems and water quality management projects. Implementation projects must propose to use Best Management Practices as defined in Section 3 of the Soil & Water Conservation Districts Law.

Action Required to Receive Aid: Proposals for funding will be accepted from soil and water conservation districts, or a group of districts acting jointly.

Description of Aid:

Flow of Funds: State to Soil and Water Conservation Districts to agricultural landowners.

Type of Aid: Ongoing

Formula: No funding cap for projects.

Matching Requirement: State 75%, Soil and Water Conservation Districts, local agencies or landowners 25%. State will go to a maximum of 87.5 percent providing landowner contributes an equal share.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 04-05	NA	NA
SFY 05-06	6,700,000	NA
SFY 06-07	11,003,000	NA

*The NYS Soil and Water Conservation Committee is a State agency within the NYS Department of Agriculture and Markets.

ANIMAL POPULATION CONTROL PROGRAM (SPAY/NEUTER PROGRAM)

(Technical Assistance Program)

Objective: Provides oversight of the Pet Population Control Fund, determines veterinarian and shelter eligibility for participation, and provides vouchers to participants.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: www.agmkt.state.ny.us/dai/apc.html

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Section 117A

Regulation: NA

Program Contact:

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Animal Population Control Program
NYS Department of Agriculture
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Fiscal Contact:

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Eligibility: Owners of dogs and cats either on public assistance or with pets adopted from shelters.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid: Subsidized spay and neuter surgeries for animals adopted from participating shelters.

Flow of Funds: State to Veterinarians.

Type of Aid: Ongoing

Formula: Difference between reduced charge and approved fee schedule.

Matching Requirement: \$30 for owners of shelter dogs; \$20 for owners on public assistance.

Maintenance of Effort: NA

Comments:

There are qualification criteria for shelters and veterinarians who participate in this program.

COUNTY AGRICULTURAL AND FARMLAND PROTECTION PLANNING GRANTS PROGRAM

Objective: Assist county and municipal governments in developing plans and recommends strategies which address their own specific agricultural and farmland protection needs.

Administering Agency: NYS Department of Agriculture and Markets through county agricultural and farmland protection boards and municipalities.

Specific Program URL: NA

NYS Object Code: 56020

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25-AAA

Regulation: 1 NYCRR Part 372

Program Contact:

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and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2080
E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Counties and Municipalities. Funds are provided subject to the submission of an approvable application.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Monies are subject to the availability of funds. Matching grants are provided to counties and municipalities who choose to apply for them. County grants shall not exceed \$50,000 to each county, or \$100,000 to two counties applying jointly, and shall not exceed 50 percent of the cost of preparation of the plan. County Agricultural and Farmland Protection Board (AFPB) must be in place with elected chair prior to the submission of an application. Grants to municipalities shall not exceed \$25,000 to each municipality, or \$50,000 to two municipalities applying jointly, and shall not exceed 75 percent of the cost of preparation of the plan.

Action Required to Receive Aid: Non-competitive application for aid required. County AFPB must submit application for funding to Commissioner of Agriculture and Markets. Such application must meet requirements of program guidelines and/or regulations.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Local funds (cash and in-kind must equal or exceed funds provided).

Maintenance of Effort: Planning grants activity must be new.

Amounts Appropriated:

	<u>Capital (EPF)</u>
SFY 04-05	150,000
SFY 05-06	0
SFY 06-07	500,000

Amounts Disbursed:

	<u>Capital (EPF)</u>
SFY 04-05	215,910
SFY 05-06	66,091

DOG LICENSING (PROVISION OF MANDATED FORMS AND DOG CONTROL SHELTER INSPECTIONS)

(Technical Assistance Program)

Objective: Provides mandated dog licensing forms and conducts annual inspections of dog control facilities.

Administering Agency: NYS Department of Agriculture and Markets, Division of Animal Industry

Specific Program URL: www.agmkt.state.ny.us/dai/doglic.html

NYS Object Code: NA

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 7

Regulation: NA

Program Contact:

Roberta Brooks
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2728
E-Mail: pets@agmkt.state.ny.us

Fiscal Contact:

Lucy Roberson
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2080
E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Counties, Towns and Villages. All dog licensing municipalities within NYS outside of New York City.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid: Provide dog licensing forms, conduct annual inspections for mandated dog control officers and shelters, and provides Statewide dog licensing database.

Comments:

Although dog control and identification is primarily a local function, Article 7 of the Agriculture and Markets Law empowers the Department of Agriculture and Markets to set standards for the humane care of seized dogs, inspect municipal shelters, and train and assist enforcement officers. Article 7 does not apply to New York City, which has a separate dog control law. Under Article 7, the Department of Agriculture and Markets provides the following technical assistance to the 1,043 municipalities which issue dog licenses in the State: (1) Inspection of municipal shelters and establishment of standards for the care of seized dogs; (2) Municipal compliance inspections for record-keeping, licensing enforcement, procedures for handling dangerous dogs, and procedures and methods of euthanasia; (3) Receipt and accounting for license fees and tag replacement fees, (4) Assisting and training municipal dog control officials; (5) Maintaining a central registry file of all identified dogs, and a computer listing of dog owners; (6) Mailing pre-printed dog license renewal application forms directly to all dog owners throughout the State (excluding New York City); and (7) Supplying town clerks and other local dog control officers with miscellaneous forms required for enforcement of Article 7.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	503,655	NA
SFY 05-06	NA	244,000	NA
SFY 06-07	NA	250,000	NA

Amounts Disbursed:

SFY 04-05	NA	214,806	NA
SFY 05-06	NA	227,297	NA

FARMERS' MARKET GRANT PROGRAM

Objective: The purpose of this program is to encourage farmers' markets in New York by providing State assistance to municipalities and public and private agencies interested in development of new markets or expanding or reconstructing existing farmers' market operations.

Administering Agency: NYS Department of Agriculture and Markets, Division of Agricultural Protection and Development Services

Specific Program URL: www.agmkt.state.ny.us

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 22

Regulation: NA

Program Contact:

Jonathan Thomson
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-7076
 E-Mail: jon.thomson@agmkt.state.ny.us

Fiscal Contact:

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 E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Municipal Corporations, Regional Market Authorities, Public Benefit Corporations, Non-Profit Corporations and Agricultural Cooperatives. Projects for the construction, reconstruction, improvement, expansion or rehabilitation of farmers' markets will be considered. The proposed project must involve a physical improvement, whether site acquisition, preparation, construction or related architecture or engineering designs.

Type of Program and Special Restrictions: Grant Program.

Action Required to Receive Aid: Proposals for funding must be submitted in response to a request for proposals issued annually.

Description of Aid: Grants provided pursuant to this program shall not exceed \$50,000 per project in any fiscal year.

Flow of Funds: State to Local.

Type of Aid: Limited Duration

Formula: Competitive statewide

Matching Requirement: One to One applicant funding match required.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	160,000	NA
SFY 05-06	NA	100,000	NA
SFY 06-07	NA	100,000	NA

Amounts Disbursed:

SFY 04-05	NA	90,000	NA
SFY 05-06	NA	90,000	NA

FARMLAND VIABILITY PROGRAM

Objective: This program is intended to help ensure the continued economic viability of the State's agricultural industry and to preserve the environmental benefits associated with agricultural land use.

Administering Agency: NYS Department of Agriculture and Markets, Division of Agricultural Protection and Development Services

Specific Program URL: www.agmkt.state.ny.us

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25AAAA

Regulation: NA

Program Contact:

Stephen McGrattan
Agricultural Development Specialist
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-7076
E-Mail: steve.mcgrattan@agmkt.state.ny.us

Fiscal Contact:

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Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2080
E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Eligible proposers include a) individuals, partnerships, associations, cooperatives or corporations which are eligible to receive an agricultural assessment, or b) county agricultural and farmland protection boards whose agricultural and farmland protection plans have been approved by their respective county legislative bodies and the Commissioner of Agriculture and Markets prior to the filing deadline identified below, or c) agricultural cooperatives as defined in Section 111 of the Cooperative Corporations Law. Projects designed to improve the profitability, efficiency and farm income of participating farms are eligible to be considered for funding under the Farmland Viability Program. Projects must either implement part of a County Agricultural and Farmland Protection Plan, implement part of a Farm Business Plan or Comprehensive Nutrient Management Plan (CNMP), or assist in the development of a Farm Business Plan or CNMP.

Type of Program and Special Restrictions: Grant Program.

Action Required to Receive Aid: Submission of a proposal in response to an annual request for proposals.

Description of Aid: Grants provided pursuant to this program shall not exceed \$15,000.

Flow of Funds: State to Local.

Type of Aid: Limited Duration

Formula: Competitive statewide

Matching Requirement: Where the applicant is an individual, partnership, association, cooperative or corporation which is eligible to receive an agricultural assessment, or an agricultural cooperative, the State will provide funding up to 75 percent of the cost of developing a farmland viability plan or implementing a project. If the applicant is a county agricultural and farmland protection board, the State will provide up to 50 percent of the cost of implementing the project. At least 20 percent of the matching support provided by an applicant must be in the form of a cash match. In-kind contribution by or on behalf of an applicant may satisfy the balance of the local cost share.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	40,000	NA
SFY 05-06	NA	200,000	NA
SFY 06-07	NA	200,000	NA

FARMLAND VIABILITY PROGRAM cont.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	271,309	NA
SFY 05-06	NA	181,572	NA

FOOD AND AGRICULTURAL INDUSTRY DEVELOPMENT

Objective: The general objective of this program is to support projects which will stimulate increased economic activity in the food and agriculture industry. Proposed projects must demonstrate the potential for near-term commercial application. The program is not a substitute for business start up or expansion loans. Rather, it is designed to test or demonstrate commercial feasibility.

Administering Agency: NYS Department of Agriculture and Markets, Division of Agricultural Protection and Development Services

Specific Program URL: www.agmkt.state.ny.us

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Section 16

Regulation: NA

Program Contact:

Stephen McGrattan
 Agricultural Development Specialist
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-7076
 E-Mail: steve.mcgrattan@agmkt.state.ny.us

Fiscal Contact:

Lucy Roberson
 Director
 Division of Fiscal Management
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-2080
 E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Proposals for funding will be accepted from public and private agencies and organizations, business and industry, educational institutions, local governments, and individuals. Public agencies or educational institutions are strongly encouraged to obtain private sector co-sponsors or cooperators. Applicants may submit multiple proposals. Applied research, demonstration projects and feasibility studies which involve new or alternative production, processing, distribution and marketing technologies, practices or organizational arrangements will be considered. Projects must specifically demonstrate a potential for further development of New York State's food and agricultural industry to be eligible.

Type of Program and Special Restrictions: Grant Program.

Action Required to Receive Aid: Proposals for funding must be submitted in response to an RFP issued annually.

Description of Aid: Grants provided pursuant to this program shall not exceed \$60,000.

Flow of Funds: State to Local.

Type of Aid: Limited Duration

Formula: Competitive statewide

Matching Requirement: One to One applicant funding match required.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	372,362	NA
SFY 05-06	NA	455,472	NA
SFY 06-07	NA	635,193	NA

Amounts Disbursed:

SFY 04-05	NA	455,472	NA
SFY 05-06	NA	635,193	NA

INDEMNIFICATION FOR RABIES

Objective: Partially reimburses county for payments made for rabid domestic livestock.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Section 105-i

Regulation: NA

Program Contact:

Roberta Brooks
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-2728
 E-Mail: pets@agmkt.state.ny.us

Fiscal Contact:

Lucy Roberson
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 Division of Fiscal Management
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-2080
 E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Counties

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Counties must reimburse livestock owners who have animals that have died of rabies.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Fifty percent of expenditure by county up to limits set in statute.

Matching Requirement: State 50%; Local 50%

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	NA	NA	NA
SFY 04-05	NA	NA	NA
SFY 05-06	NA	250	NA

*Dollars, not thousands.

LOCAL AGRICULTURAL PROTECTION IMPLEMENTATION GRANTS PROGRAM

Objective: Assists local governments in implementing plans to protect their agricultural resources and farm operations through the purchase of conservation easements or development rights.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: 56020

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25-AAA

Regulation: NA

Program Contact:

David Behm
Farmland Protection Program Manager
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2713
E-Mail: david.behm@agmkt.state.ny.us

Fiscal Contact:

Lucy Roberson
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2080
E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: All county governments whose agricultural protection plans have been approved by the Commissioner of Agriculture and Markets and all towns and villages which have a local plan in place to protect agricultural resources.

Type of Program and Special Restrictions: Optional, but once chosen subject to State requirements.

Action Required to Receive Aid: Must submit application to Agriculture and Markets in response to annual Request for Proposals. Application must be approved for submission by respective county Agricultural and Farmland Protection Boards.

Description of Aid:

Flow of Funds: State to Local. Monies are provided after project is demonstrated to be financially and legally viable.

Type of Aid: Limited duration

Formula: Competitive Statewide.

Matching Requirement: State up to 75% and local the rest.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 04-05	12,450,000 (est.)	NA
SFY 05-06	15,200,000 (est.)	NA
SFY 06-07	21,500,000 (est.)	NA

Amounts Disbursed:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 04-05	1,646,708	1,082,142
SFY 05-06	6,896,152	1,313,661

LOCAL FAIRS REIMBURSEMENT

Objective: Reimburses those agricultural societies and Cooperative Extension associations recognized by the Department for premiums paid for the promotion of agriculture and the domestic arts at their annual fairs.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: 69971¹

Year Established: 1928

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 24, Section 286

Regulation: NA

Program Contact:

Lucy Roberson
 Director
 Division of Fiscal Management
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-2080
 E-Mail: lucy.roberson@agmkt.state.ny.us

Fiscal Contact:

Same

Eligibility: Any agricultural or horticultural society which received monies from the State for this purpose from 1924-1926 has held an annual Fair, paid at least \$5,000 in premiums and files an annual report with this Department; any cooperative extension association in a county where no agricultural society has received such money, which has held an annual fair, paid at least \$500 in premiums, has the approval of the Commissioner, and files an annual report; those fairs added by statute; and any other agricultural or horticultural society in a county not already receiving money for this purpose, and files an application which is approved by the Department after having held a fair for three consecutive years and meeting the above requirements.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Must file an annual report by December 15 documenting proof of payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Reimbursed on dollar-for-dollar basis up to a maximum, depending on availability of funds.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	469,000	NA
SFY 05-06	NA	453,000	NA
SFY 06-07	NA	453,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	469,000	NA
SFY 05-06	NA	453,000	NA

¹Refers to miscellaneous non-contractual payments.

LOCAL SOIL AND WATER DISTRICT REIMBURSEMENT

Objective: Assists county soil and water conservation districts in the establishment and implementation of sound soil and water conservation practices.

Administering Agency: NYS Department of Agriculture and Markets and NYS Soil and Water Conservation Committee*

Specific Program URL: NA

NYS Object Code: 69971¹

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Soil and Water Conservation Districts Law, Section 11-A, B, C

Regulation: Part 4400 of Title XXI, 21 NYCRR

Program Contact:

Ronald T. Kaplewicz
Director
Division of Soil and Water
NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-3738
E-Mail: lauren.hoeffner@agmkt.state.ny.us

Fiscal Contact:

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NYS Department of Agriculture
and Markets
10B Airline Drive
Albany, NY 12235-0001
(518) 457-2080
E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: County Soil and Water Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for employment of conservation field technicians or district managers, or for purchase of supplies and equipment related to these positions. A performance based standard was recently added to the statute. Rules are currently being promulgated.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Not more than \$30,000 or more than 50 percent of documented expenses, whichever is less. Prorated if applications exceed appropriation. Rules to allocate additional resources are currently being promulgated.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Capital (EPF)</u>
SFY 04-05	1,860,000
SFY 05-06	1,860,000
SFY 06-07	3,000,000

Amounts Disbursed:

	<u>Capital (EPF)</u>
SFY 04-05	1,860,000
SFY 05-06	1,860,000

*The NYS Soil and Water Conservation Committee is a State agency within the NYS Department of Agriculture and Markets.

¹Refers to miscellaneous non-contractual payments.

PETROLEUM QUALITY

Objective: Reimburses municipal weights and measures departments for activities performed under the program.

Administering Agency: NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: 56020

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Sections 179 and 181

Regulation: NYCRR 224.16

Program Contact:

Ross J. Andersen
 Director
 Bureau of Weights and Measures
 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-3146
 E-Mail: ross.andersen@agmkt.state.ny.us

Fiscal Contact:

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 NYS Department of Agriculture
 and Markets
 10B Airline Drive
 Albany, NY 12235-0001
 (518) 457-2080
 E-Mail: lucy.roberson@agmkt.state.ny.us

Eligibility: Counties and Cities with weights and measures programs.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. Must be used for reimbursement of program costs including salaries, fringe benefits, travel and other costs directly related to the program.

Action Required to Receive Aid: Must have a contract with the Department and submit quarterly reimbursement vouchers.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Actual expenses not to exceed maximum of contract.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	561,345
SFY 05-06	NA	NA	606,396
SFY 06-07	NA	NA	657,904

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	481,000
SFY 05-06	NA	NA	526,000

COMMUNITY CHEMICAL DEPENDENCE AND ABUSE FACILITIES

Objective: Provides funds to public and private chemical dependence and abuse agencies for capital projects including construction, renovation and rehabilitation of residential and other facilities used in chemical dependence and abuse treatment programs. Funding is also available for minor renovations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS)

Specific Program URL: NA

NYS Object Code: 64814

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 1, 19, 23, 25, 31, 32 and 41

Regulation: 14 NYCRR Parts 303 et seq., 810 et seq. and 1000 et seq.

Program Contact:

Edward Freeman
Director
Statewide Field Operations
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 457-8240
E-Mail: EdFreeman@oasas.state.ny.us

Fiscal Contact:

P. David Sawicki
Chief Budgeting Analyst
Division of Financial, Capital & Information
Technology Management
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 457-2545
E-Mail: DavidSawicki@oasas.state.ny.us

Eligibility: Counties, Cities, Towns, Villages and Public and Private Non-Profit Groups. The recipient (or the entity operating the program at the aided facility) must hold or obtain an Operating Certificate (license) from OASAS.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Tax-exempt bonding program provides low cost financing for mortgages and capital construction/renovations to qualified program operators. A limited number of "cash" grants are available for projects not eligible for financing under the tax-exempt bond program. Consult program or fiscal contact for details.

Action Required to Receive Aid: Application for aid is required and must be incorporated in the local governmental unit's annual local services plan for programs outside of the city of New York except for minor renovations which can be applied for directly to OASAS. By direct application for chemical dependence programs within the City of New York.

Description of Aid:

Flow of Funds: State to Local. Up to 100 percent capital funding. Monies are provided partially as an advance and partially as a reimbursement for approved costs.

Type of Aid: Mortgage or cash grant of limited duration.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	31,560,000	NA
SFY 05-06	NA	31,560,000	NA
SFY 06-07	NA	64,196,000	NA

*There exist significant appropriations from years prior to SFY 2005-06 that have been reappropriated; especially for tax-exempt bonds. Please consult fiscal contact for details.

COMMUNITY CHEMICAL DEPENDENCE AND ABUSE FACILITIES (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	25,159,049	NA
SFY 05-06	NA	25,976,040	NA

*Amounts disbursed, in large part, reflect funds appropriated prior to SFY 2004-05.

Comments: Program created by Chapter 53, Section 1 of the Laws of 1994.

COMMUNITY TREATMENT SERVICES

Objective: Supports the establishment and maintenance of a network of community based chemical dependency treatment and rehabilitation programs, based on a demonstration of need and the availability of annual appropriations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT); and US Department of Housing and Urban Development (HUD).

Specific Program URL: NA

NYS Object Code: 62101, 62102, 64790, 64813
64814, 64890 and 69977

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 93.959, 16.540 and 14.238

Legal Authority:

Law: NY Mental Hygiene Law, Articles 19, 23, 26, 31, 32 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II and III and Sections 501, 509-512; Rehabilitation Act of 1973 (as amended); and Stewart B. McKinney Homeless Assistance Act, Title IV, Subtitle F, 42 USC 11301

Regulation: 14 NYCRR Parts 303 et seq., 800 et seq. and 1000 et seq.; PHS, Title XIX Part B, Subpart II; PL #106-310; and 45 CFR 96

Program Contact:

Edward Freeman
Director
Statewide Field Operations
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
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(518) 457-8240
E-Mail: EdFreeman@oasas.state.ny.us

Fiscal Contact:

Jay A. Runkel
Director, Bureau of Budget Management
Division of Financial, Capital & Information
Technology Management
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-2193
E-Mail: JayRunkel@oasas.state.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit an annual local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed or certified by the NYS OASAS and, where appropriate, has a license under Article 28 of the NYS Public Health Law; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: For new or expansion proposals, application for aid is required and must be incorporated by the LGU's annual services plan. Provision of aid is dependent on the availability of funds. Continued aid for existing programs is subject to the availability of funds, an annual budget submission, demonstrating the need for continuing aid, and program inclusion in the LGU local services plan. Federal Shelter Plus Care grants (CFDA No. 14.238) are made available on the basis of competitive application process administered by the Federal Department of Housing and Urban Development (HUD).

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through) and State to Local; then from the local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases, the flow of funds goes directly from the State to the provider. Monies are provided on an advance basis and then reconciled to actual reported expenditures.

COMMUNITY TREATMENT SERVICES (cont.)

Type of Aid: Mostly ongoing program funding that is subject to the continuing availability of funds and program performance. Federal grant awards, other than the Substance Abuse Prevention and Treatment Block Grant, are for competitive project grants or cooperative agreements, which are of limited duration and awarded through application processes administered by the Federal administering agency.

Formula: Varies. Formulae are found in the NYS Mental Hygiene Law, Articles 26 and 41.

Matching Requirement: Varies, based on formulae found in NYS Mental Hygiene Law, Articles 26 and 41.

Maintenance of Effort: As specified in NYS Mental Hygiene Law, Articles 26 and 41.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	102,750,000	196,800,000	NA
SFY 05-06	102,185,000	211,575,000	NA
SFY 06-07	97,413,000	237,682,000	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	89,084,084	216,707,811	NA
SFY 05-06	81,425,873	206,760,606	NA

*Includes "carry-out" disbursements from prior year's appropriations.

Comments: Program created by Chapter 54, Section 1 of the Laws of 1997.

PREVENTION AND PROGRAM SUPPORT

Objective: Supports the establishment and maintenance of a network of community based chemical dependence and abuse prevention, intervention and education programs, and related support services, dependent on a demonstration of need and the availability of annual appropriations. Chapter 58 of the Laws of 2005 transferred statutory authority for compulsive gambling to OASAS from OMH.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Prevention (CSAP); and US Department of Education.

Specific Program URL: NA

NYS Object Code: 64813, 64805, & 69977

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 93.959, 84.186B and 93.243

Legal Authority:

Law: NY Mental Hygiene Law, Articles 19, 23, 26, 32 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II & III; and Elementary & Secondary Education Act of 1965 (as amended).

Regulation: 14 NYCRR Part 303 et seq., 800 et seq., and 1000 et seq.; 42 CFR 54, 54A; 45 CFR 96; 46 CFR; PL #105-277 and #106-310; PHS Title XIX, Part B, Subpart II; PL #106-310, 45 CFR 96. Elementary and Secondary Act, Title IV, Part A, Subpart 1 - EDGAR 34 CFR 76, 77, 79, 80, 81 and 85 and 34 CFR 98 and 99; PHS, Title V, Section 509; 42 USC 290 bb and Section 516; Children's Health Act of 2000; PL 106-310; PL 108-199; and 45 CFR Parts 74 and 92.

Program Contact:

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Fiscal Contact:

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Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit a local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed by the NYS OASAS; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: For new or expansion proposals, application for aid is required and must be incorporated in the LGU's annual services plan. Provision of aid is dependent on the availability of funds. For existing programs, inclusion in the local services plan is required along with submission of a budget form (all programs), with continued provision of aid subject to the availability of funds and demonstration of need.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through) and State to Local; then from local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases the flow of funds goes directly from the State to the provider. Monies are provided partially as an advance and partially as a reimbursement for approved operating costs.

Type of Aid: Mostly ongoing program funding that is subject to the continuing availability of funds and program performance.

Formula: Varies. Formulae are found in the NY Mental Hygiene Law, Articles 26 and 41.

PREVENTION AND PROGRAM SUPPORT (cont.)

Matching Requirement: Varies, based on formulae found in NY Mental Hygiene Law, Articles 26 and 41 and annual appropriation language.

Maintenance of Effort: Varies, as specified in the NY Mental Hygiene Law, Articles 26 and 41.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	44,461,000	47,400,000	6,540,000
SFY 05-06	43,126,000	49,900,000	6,540,000
SFY 06-07	38,991,000	56,848,000	8,040,000

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	34,831,439	37,509,027	4,660,555
SFY 05-06	33,099,619	47,636,548	5,330,221

*Includes "carry-out" disbursements from prior year's appropriations.

Comments: Program created by Chapter 54, Section 1 of the Laws of 1995.

ARCHITECTURE, PLANNING AND DESIGN PROGRAM

Objective: Assists local municipalities and nonprofit organizations with building-related problems i.e., may provide funds for professional advisory services by architects, planners, historic preservationists and other design professionals when necessary.

Administering Agency: NYS Council on the Arts

Specific Program URL: www.nysca.org

NYS Object Code: 69301

Year Established: 1973

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Director
Architecture, Planning and Design
NYS Council on the Arts
175 Varick Street, 3rd Fl.
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(212) 741-7013
E-Mail: avaningen@nysca.org

Fiscal Contact:

Same

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Grant funds are available for non-profits and local governmental agencies to engage the services of architecture, planning, design or historic preservation professionals for a wide variety of planning and design studies. Funded projects have included design fees for the conversion of a Woolworth's store into a theatre, mainstreet revitalization studies, and regional planning studies.

GRANTS FOR THE ARTS

Objective: Supports cultural and arts related activities.

Administering Agency: NYS Council on the Arts

Specific Program URL: www.nysca.org

NYS Object Code: 69301

Year Established: 1960

Catalog of Federal Domestic Assistance No.: 45.007

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Fiscal Contact:

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Eligibility: Counties, Cities, Towns, Villages, Private Non-Profit Groups and Indian Tribes.

Type of Program and Special Restrictions: (1) Support for presentations, exhibitions and preservation in performing, literary, visual, and media arts. (2) Supported activities subject to State requirements. (3) Supported activities must take place in New York State and be open to the general public.

Action Required to Receive Aid: Competitive application for aid required. Program Guidelines and application form on web site. Registration required. Deadline is March 1.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in full for projects approved for funding.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Grant requests may not exceed 50 percent of project or program.

Maintenance of Effort: Recipient must demonstrate fiscal stability and accountability.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	37,400,000	NA
SFY 05-06	NA	37,400,000	NA
SFY 06-07	NA	43,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	37,400,000	NA
SFY 05-06	NA	37,400,000	NA

CITY UNIVERSITY CONSTRUCTION FUND (CUCF) ASSISTANCE

Objective: Authorizes capital projects and provides funding to the Dormitory Authority for debt service payments for the City University Senior and Community Colleges facilities.

Administering Agency: NYS Division of the Budget

Specific Program URL: NA

NYS Object Code: 5023A

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Article 125-B, Chapter 264, NY Laws of 1979

Regulation: NA

Program Contact:

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Fiscal Contact:

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E-Mail: NA

Eligibility: City University. A certificate or report must be filed with the Office of the State Comptroller, State Director of the Budget, City Director of Management and Budget, Chairman of the Senate Finance Committee and the Chairman of the Assembly Ways and Means Committee.

Type of Program and Special Restrictions: Mandated, required by State law. Payment of debt issuance and service costs for City University facilities.

Action Required to Receive Aid: See eligibility above. Annual State Budget process.

Description of Aid:

Flow of Funds: State to CUCF. Monies are provided in conjunction with local share for community college costs.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50% only for community colleges and Medgar Evers which was given senior college status effective July 1, 1994.

Maintenance of Effort: NA

Amounts Appropriated: With the move to Personal Income Tax (PIT) bond issuances in 2002-03, CUNY debt service appropriations for new bond issuances have no longer been separately identified. Therefore, total amounts appropriated cannot be determined.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Debt Service</u>
SFY 04-05	NA	NA	239,000,000
SFY 05-06	NA	NA	284,000,000

CITY UNIVERSITY OF NEW YORK

Objective: Supports the City University of New York (CUNY) in providing postsecondary educational programs.

Administering Agency: NYS Division of the Budget

Specific Program URL: www.cuny.edu/index.html

NYS Object Code: 61301, 61302

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 6221, 6279, 6304 and 6452

Regulation: NA

Program Contact:

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Fiscal Contact:

Same

Eligibility: New York City.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Budget submission, CUNY Board approval, community college rules and regulations approved by CUNY Board and the Director of the Budget, and submission of claims for State aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Funding for community colleges operating aid is based on a full-time equivalent student formula, community college categorical program aid and senior college funding are not.

Matching Requirement: NA

Maintenance of Effort: Under some circumstances for community colleges aid, NYC is required to maintain prior year contribution levels.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	771,772,000	NA
SFY 05-06	NA	844,887,000	NA
SFY 06-07	NA	984,455,419	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	753,615,000	NA
SFY 05-06	NA	504,291,000	NA

ADOPTION ASSISTANCE

Objective: Funds adoption subsidy costs for children with special needs.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62402, 62405

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.659

Legal Authority:

Law: NY Social Services Law, Article 6, Title 9; US Social Security Act of 1935, Title IV-E and PL 96-272

Regulation: 45 CFR 1355-1356

Program Contact:

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Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Aid must be used for support of adopted children who meet eligibility criteria.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal 50%, State 37.5%, Local 12.5% for assistance to children eligible for Title IV-A as it was on July 16, 1996 or for children eligible for Supplemental Security Income or with special needs; State 75%, Local 25% for others.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA**	172,000,000	NA
SFY 05-06	NA**	184,000,000	NA
SFY 06-07	NA**	193,500,000	NA

*Federal funds provided through reimbursement of claims submitted to DHHS for Title IV-E funds.

**Final figures for adoption services unavailable.

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	199,892,376	170,400,000	NA
SFY 05-06	211,242,287	189,219,024**	NA

*Federal funds provided through reimbursement of claims submitted to DHHS for Title IV-E funds.

**State General Fund disbursements in excess of appropriation supported through interchanges.

ADULT PROTECTIVE/DOMESTIC VIOLENCE SERVICES

Objective: Funds adult protective services and residential services to victims of domestic violence who are ineligible for public assistance during the time they reside in residential programs for victims of domestic violence and non-residential services to victims of domestic violence.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 62305

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Articles 6-A and 9-B

Regulation: NYCRR Parts 408, 452-455, 457

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	66,000,000	36,000,000	NA
SFY 05-06	66,000,000	36,000,000	NA
SFY 06-07	66,000,000	36,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	66,000,000	15,227,000	NA
SFY 05-06	66,000,000	20,124,000	NA

ADVANTAGE AFTER SCHOOL PROGRAM

Objective: Seeks to facilitate the intellectual and social development of youth by providing supplemental learning and youth development experiences after traditional school hours, delivered in the school building.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 55970

Year Established: 1999

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Annual State Budget Bill.

Regulation: NA

Program Contact:

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Eligibility: Community Organizations operating in conjunction with schools, school districts, child care, after-school, cultural and other community-based providers.

Type of Program and Special Restrictions: Optional. Must demonstrate capacity to provide services in schools after school hours in affiliation with schools or school districts.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Grantee. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05*	20,200,000	NA	NA
SFY 05-06	20,200,000	NA	NA
SFY 06-07	27,500,000	NA	NA

*Reduced from original \$20,200,000 appropriation in SFY 2004-5.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	12,311,127	NA	NA
SFY 05-06	16,111,973	NA	NA

AMERICORPS* STATE

Objective: AmeriCorps is a national service program, similar to a domestic Peace Corps, that involves individuals (members) in community service in their communities. Members may teach children to read, build affordable homes, improve the environment, provide health education and outreach and respond to natural disasters.

Administering Agency: NYS Office of Children and Family Services - Office of National & Community Service

Specific Program URL: www.nyscnscs.org

NYS Object Code: 571001

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 94.006

Legal Authority:

Law: US National & Community Service Trust Act of 1993

Regulation: NA

Program Contact:

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Eligibility: Community-based organizations incorporated as private, not-for-profit corporations, educational institutions (local school districts, colleges, universities, etc.), local government entities, state agencies, and faith-based organizations.

Type of Program and Special Restrictions: No funds for capital construction or improvements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Grantee. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Yes, based upon category requirements.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	15,492,600	NA	NA
SFY 05-06	13,728,000	NA	NA
SFY 06-07	14,390,500	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	9,759,292	NA	NA
SFY 05-06	11,662,441	NA	NA

CHILD ABUSE AND NEGLECT

Objective: Assists State and local governments and voluntary agencies and organizations to strengthen their capacities to develop programs that will prevent, identify and treat child abuse and neglect.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services; National Center on Child Abuse and Neglect

Specific Program URL: NA

NYS Object Code: 62309

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.669

Legal Authority:

Law: US Child Abuse Prevention and Treatment Act, 5101 et seq.

Regulation: 45 CFR , Part 1340

Program Contact:

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Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,320,395	NA	NA
SFY 05-06	1,646,481	NA	NA
SFY 06-07	1,594,300	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	459,229	NA	NA
SFY 05-06	485,715	NA	NA

CHILD WELFARE AND SUBSTANCE ABUSE CO-LOCATION PROGRAM

Objective: Funding to support demonstration projects to selected counties to improve access to substance abuse preventive services and treatment within local social services child welfare offices to assist in detecting and treating substance abuse problems for families served by the child welfare system to reduce the incidence of foster care placements.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 64000

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2006, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Counties

Type of Program and Special Restrictions: Optional but once chosen subject to State requirements.

Action Required to Receive Aid: Demonstration Project for seven counties selected to participate based on data related to child protective services reports and Persons in Need of Supervision (PINS) cases.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	5,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

CHILD WELFARE SERVICES

Objective: Funds local district provision of home services for families and children (including child protective services, child preventive services, adoption services, independent living services and aftercare services).

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 62403

Year Established: 2002

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Section 153-K

Regulation: NYCRR Parts 421, 423, 430, 432, 433, 434

Program Contact:

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Eligibility: Counties

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Recommended: State 65%, Local 35%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	347,300,000	NA
SFY 05-06	NA	351,800,000	NA
SFY 06-07	NA	381,700,000	NA

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 04-05	15,264,905	305,581,999	NA
SFY 05-06	14,520,848	291,064,466	NA

*Includes Federal funds provided through reimbursement of claims submitted to DHHS for Title IV-E funds.

**Net disbursement after offset.

CHILDREN AND FAMILY TRUST FUND

Objective: To support prevention and treatment services to victims of family violence, including child abuse, domestic violence and elder abuse.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 56990

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 10-A (William B. Hoyt Memorial Children and Family Trust Fund Act of 1985)

Regulation: NA

Program Contact:

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Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. (State agencies are not eligible).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: First and second years: None; Third year: State 75%, Local or Private 25%; Fourth year: State 50%, Local or Private 50%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Fiduciary Funds</u>
SFY 04-05	NA	NA	3,530,000*
SFY 05-06	NA	NA	3,530,000*
SFY 06-07	NA	NA	3,530,000*

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Fiduciary Funds</u>
SFY 04-05	NA	NA	671,891*
SFY 05-06	NA	NA	563,917*

*Represents appropriation authority only. Disbursements are limited to actual General Fund amounts or other funding deposited into account.

CRIMINAL HISTORY REVIEW

Objective: Criminal record checks for foster care parents, prospective adoptive parents and adult household members, as well as prospective mentors and mentoring supervisors.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 62304

Year Established: 1999

Catalog of Federal Domestic Assistance No.: 93.658, 93.659

Legal Authority:

Law: Adoption and Safe Families Act of 1997 (P.L. 105-89); NY Social Services Law, 378-a: Chapter 7 of the Laws of 1999, Chapter 145 of the Laws of 2000, FBI Bill of 2006: Chapter 668 of the Laws of 2006; The Safe Mentoring Act of 2006; NY Social Services Law, 390-e: Chapter 459 of the Laws of 2006.

Regulation: NA

Program Contact:

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Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandatory, required by Federal and State law.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Fiduciary Funds</u>
SFY 04-05	NA	2,878,000	NA
SFY 05-06	3,894,452	2,898,000	NA
SFY 06-07	NA	2,902,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Fiduciary Funds</u>
SFY 04-05	628,041	1,628,881	NA
SFY 05-06	509,290	1,156,225	NA

*Federal funds provided through reimbursement of claims submitted to DHHS for Title IV-E funds.

FAMILY VIOLENCE PREVENTION & SERVICES (FEDERAL FAMILY VIOLENCE)

Objective: Provides funds to prevent incidents of family violence and provides immediate shelter and assistance to victims and their dependents.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62305

Year Established: 2005

Catalog of Federal Domestic Assistance No.: 93.671

Legal Authority:

Law: 42 USC 10401

Regulation: 31 CFR , Part 205

Program Contact:

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Eligibility: State Governments, Counties, Cities, Towns, Villages, and Private Non-Profit Agencies.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through)

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,263,080	NA	NA
SFY 05-06	4,234,009	NA	NA
SFY 06-07	4,165,516	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,263,080	NA	NA
SFY 05-06	1,409,540	NA	NA

FOSTER CARE BLOCK GRANT

Objective: Supports foster care services (including care, maintenance, supervision, tuition and independent living services; supervision of foster children placed in Federally funded Jobs Corps programs, care, maintenance, supervision and tuition for adjudicated juvenile delinquents and persons in need of supervision placed in out-of-State residential programs.)

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62401, 62404

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 93.658, 93.659, 93.674

Legal Authority:

Law: NY Social Services Law, Article 5, Title 2, Section 153-i; US Social Security Act of 1935, Title IV-E and PL 96-272

Regulation: 45 CFR , Parts 1355-1356

Program Contact:

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Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: Federal 50% for Title IV-E; Foster Care Block Grant allocated to local social services districts.

Maintenance of Effort: No

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	373,500,000	NA
SFY 05-06	NA	376,634,000	NA
SFY 06-07	NA	390,333,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	393,836,204	300,500,000*	NA
SFY 05-06	409,661,756	376,634,000*	NA

*Net disbursement after offset.

HEALTHY FAMILIES NEW YORK HOME VISITING PROGRAM

Objective: Promotes optimal prenatal care and positive growth and development to improve maternal and child health and social outcomes for families at high risk of abuse and neglect.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 62303

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: NY Social Services Law, Article 6, Title 6-A, Home Visiting

Regulation: NA

Program Contact:

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Eligibility: Public and Private Not-for-Profit Social Services and Health Agencies.

Type of Program and Special Restrictions: Optional. Collaboration with health agencies and private doctors providing pre-natal care and pediatric services, as well as with local departments of health and social services is required.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	14,129,000	NA	1,600,000
SFY 05-06	17,600,000	NA	NA
SFY 06-07	21,400,000	3,600,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	14,397,027	1,261,507	NA
SFY 04-05	14,791,919	NA	195,005
SFY 05-06	15,304,702	NA	NA

HOME AND COMMUNITY BASED WAIVER PROGRAM FOR FOSTER CHILDREN WITH MENTAL HEALTH NEEDS

Objective: Medicaid Waiver program to meet the mental health needs of children in foster care or at risk of institutional placement.

Administering Agency: NYS Office of Children and Family Services, NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2005, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Counties, Medicaid eligibility.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal 50%, 32.5% State Child Welfare Services Funding, 17.5% Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	3,600,000	2,340,000	NA
SFY 06-07	6,600,000	4,290,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	187,244	NA

JUVENILE DETENTION, NON-SECURE

Objective: Provides temporary custody, care, maintenance and supervision to juveniles involved in the court process, in a locally-operated facility characterized by the lack of physically restricting hardware, construction and procedures.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 69107

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-G

Regulation: 9 NYCRR Part 180

Program Contact:

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Eligibility: Counties. Detention provider must be designated pursuant to Section 503 of the Executive Law and certified by the Office of Children and Family Services.

Type of Program and Special Restrictions: Resource is mandated, required by State law and regulations. Monies must be used for operation of non-secure detention facilities.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	27,300,000	NA
SFY 05-06	NA	25,600,000	NA
SFY 06-07	NA	24,850,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	29,251,636	NA
SFY 05-06	NA	28,081,955	NA

JUVENILE DETENTION, SECURE

Objective: Provides temporary custody, care, maintenance and supervision to juveniles involved in the court process, in a locally-operated facility characterized by physically restricting hardware, construction and procedures.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 69106

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-G

Regulation: 9 NYCRR Part 180

Program Contact:

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Eligibility: Counties. Detention provider must be designated pursuant to Section 503 of the Executive Law and certified by the Office of Children and Family Services.

Type of Program and Special Restrictions: Resource is mandated, required by State law and regulations. Monies must be used for operation of secure detention facilities.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	35,000,000	NA
SFY 05-06	NA	37,400,000	NA
SFY 06-07	NA	37,150,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	32,489,041	NA
SFY 05-06	NA	43,129,562	NA

MENTAL HEALTH/JUVENILE JUSTICE PRE-PLACEMENT PROGRAM

Objective: Aims to reduce institutional placements by diverting juveniles who have been brought to Family Court on a juvenile delinquency petition, and who are in need of mental health and/or alcohol and substance abuse services.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 56990

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Annual State Budget Bill

Regulation: NA

Program Contact:

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Eligibility: Counties.

Type of Program and Special Restrictions: Optional. County must document it is among the highest OCFS placement counties of youth with mental health needs.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Yes for previously funded counties, no for counties with new programs.

Maintenance of Effort: Yes for previously funded counties.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,500,000	NA
SFY 05-06	NA	1,500,000	NA
SFY 06-07	NA	1,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,675,370	NA
SFY 05-06	NA	1,563,708	NA

NEW YORK STATE CHILD CARE BLOCK GRANT

Objective: Provides child care assistance to special groups and for activities to increase the availability or quality of child care programs and to provide child care assistance to (1) families in receipt of family assistance or safety net assistance with children under 13 years of age when the care is necessary for work or approved work activities, (2) families transitioning from family assistance, (3) low income working families, (4) teen parent completing high school and (5) other families as determined by local social services districts.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62702, 62901, 62902

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 93.575, 93.596

Legal Authority:

Law: NY Social Services Law, Article 6, Title 5-C; US Child Care and Development Block Grant Act of 1990 and Title IV-A, Section 418 as amended by Title VI of Personal Responsibility and Work Opportunity Act (PRWORA) of 1996 (PL 104-193)

Regulation: 45 CFR , Parts 98 and 99; NYCRR Article 12, Parts 414 -418

Program Contact:

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Eligibility: Subsidy: Counties; All other: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal and State requirements.

Action Required to Receive Aid: Subsidy: Non-competitive application for aid required. All other: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State; Federal to State to Local (Pass-through); State to Local. Monies are advanced and reimbursed.

Type of Aid: Subsidies: Ongoing. All other: Project Grant.

Formula: Subsidies: Yes - Consult program and/or fiscal contact. All other: NA

Matching Requirement: Family Assistance Child Care Subsidies only: Federal and State 75%; Local 25%.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	684,398,867	96,066,000	NA
SFY 05-06	688,223,677	140,066,000	NA
SFY 06-07	690,417,226	140,066,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	697,428,571	96,066,000	NA
SFY 05-06	720,948,223	136,566,000	NA

POST RESIDENTIAL SUPERVISION PROGRAM

Objective: Provides funding to localities to increase the supervision capacity of local probation departments to include conditionally released youth and to purchase necessary services to assist in the successful reintegration of youth to the community. Also provides funding for direct contracts with community based programs for evidence-based community aftercare initiatives.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 56990

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Annual State Budget Bill

Regulation: NA

Program Contact:

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Eligibility: Counties, Private Non-Profit Groups, Community Based Organizations.

Type of Program and Special Restrictions: Optional. Programs operated by a local probation department or community based provider.

Action Required to Receive Aid: Competitive application for aid required for awards to local probation departments.

Description of Aid:

Flow of Funds: State to Local or Grantee. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,000,000	NA
SFY 05-06	NA	1,000,000	NA
SFY 06-07	NA	1,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,625,751	NA
SFY 05-06	NA	998,767	NA

RESPONSIBLE PARENTING/ADOLESCENT PREGNANCY PREVENTION AND SERVICES (APPS)

Objective: Supports the establishment of comprehensive and coordinated strategies for prevention of adolescent pregnancy and development of more effective services for the parenting adolescent.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 62304

Year Established: 1984

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: NY Social Services Law, Article 8-A, Adolescent Pregnancy Prevention Services Act of 1984

Regulation: NA

Program Contact:

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Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be a qualifying organization capable of providing necessary coordination of services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 75%, Local and/or Private funding or services 25%. Match may be waived by Commissioner.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,870,000	NA	NA
SFY 05-06	5,870,000	NA	NA
SFY 06-07	7,320,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,186,665	NA	NA
SFY 05-06	3,812,439	NA	NA

RUNAWAY AND HOMELESS YOUTH

Objective: Encourages and supports the establishment and operation of local programs to serve runaway and homeless youth.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 69103, 69104

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Articles 19-A and 19-H

Regulation: 9 NYCRR Part 182

Program Contact:

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 NYS Office of Children and Family Services
 52 Washington Street, South Bldg., Room 309
 Rensselaer, NY 12144
 (518) 473-8455
 E-Mail: sally.herrick@ocfs.state.ny.us

Fiscal Contact:

Edna Mae Reilly
 Associate Commissioner for Financial Management
 NYS Office of Children and Family Services
 52 Washington Street, South Bldg., Room 203
 Rensselaer, NY 12144
 (518) 486-1110
 E-Mail: edna.mae.reilly@ocfs.state.ny.us

Eligibility: Counties. Requires a Runaway and Homeless Youth Plan approved by the Commissioner of the Office of Children and Family Services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for runaway/homeless and independent living program in accordance with county comprehensive youth services plan.

Action Required to Receive Aid: Funds are allocated to eligible counties. Applications are submitted to the local county youth bureaus.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 60%, Local 40%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,314,000	NA
SFY 05-06	NA	5,814,000	NA
SFY 06-07	NA	6,314,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,453,915	NA
SFY 05-06	NA	5,764,200	NA

SOCIAL SERVICES TRAINING

Objective: Provides local social services district personnel with training to effect proper and efficient administration of the programs of the Office of Children and Family Services.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62701, 62702, 62703, 62704, 62705

Year Established: Varied

Catalog of Federal Domestic Assistance No.: 10.561, 93.558, 93.560, 93.563, 93.658, 93.659, 93.778

Legal Authority:

Law: NY Social Services Law, Article 3, Title 7; US Social Security Act of 1935, Titles IV-A, IV-D, IV-E and XIX as amended by Title VI of Personal Responsibility and Work Opportunity Act (PRWORA) of 1996 (PL 104-193)

Regulation: NA

Program Contact:

Peter Miraglia
 Director
 Bureau of Training
 NYS Office of Children and Family Services
 52 Washington Street, North Bldg., Room 234
 Rensselaer, NY 12144
 (518) 474-9645
 E-Mail: peter.miraglia@ocfs.state.ny.us

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 52 Washington Street, South Bldg., Room 203
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Eligibility: Counties. Must be a local social services district.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Varied.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,750,000	40,429,000
SFY 05-06	NA	5,750,000	40,429,000
SFY 06-07	NA	5,750,000	40,429,000

*Final figures unavailable.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,200,540	5,750,000	21,691,218
SFY 05-06	5,694,430	5,750,000	24,295,059

*Federal funds provided through reimbursement of claims submitted to DHHS for Title IV-E funds.

SPECIAL DELINQUENCY PREVENTION

Objective: Designed to foster positive development and better quality of life for all youth under the age of 21.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 69102

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-A

Regulation: 9 NYCRR Subpart 165-2

Program Contact:

Sally Herrick
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 52 Washington Street, South Bldg., Room 309
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 (518) 486-1110
 E-Mail: ednae.reilly@ocfs.state.ny.us

Eligibility: Counties, Private Non-Profit Corporations and Public Agencies where Non-Profits are unavailable. Application requires approval of local youth bureau and/or NYS Office of Children and Family Services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Programs must be in accordance with county comprehensive youth services plans and in compliance with regulations and guidelines. Programs must serve specific targeted youth populations.

Action Required to Receive Aid: Competitive application for aid is required for a portion of these funds, the remainder are allocated by the local youth bureau.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Funding distribution is based on the combination of youth population, arrest rates and PINS rates. Also, a portion of funding is distributed on a discretionary basis.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	9,379,000	NA
SFY 05-06	NA	9,379,000	NA
SFY 06-07	NA	9,379,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	9,080,882	NA
SFY 05-06	NA	9,552,452	NA

TITLE IV-B, SUBPART 1

Objective: Supports child welfare services provided by State and local public welfare agencies to enable children to remain in their own homes, or where that is not possible, to provide alternate permanent homes for them. Another objective is to reduce the incidence of child abuse and neglect.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62403

Year Established: 1935

Catalog of Federal Domestic Assistance No.: 93.645

Legal Authority:

Law: US Social Security Act of 1935, Sections 420-425 and 427-428

Regulation: 45 CFR, Part 1357

Program Contact:

Jane G. Lynch
Deputy Commissioner
Division of Development and Preventive Services
NYS Office of Children and Family Services
52 Washington Street, North Bldg., Room 327
Rensselaer, NY 12144
(518) 474-3377
E-Mail: Jane.Lynch@ocfs.state.ny.us

Fiscal Contact:

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(518) 486-1110
E-Mail: ednamae.reilly@ocfs.state.ny.us

Eligibility: State Governments and Counties. Must be a local social services district.

Type of Program and Special Restrictions: Required by State law or regulations. Funds for foster care maintenance, day care, adoption assistance and preventative services under this program are limited.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: Federal 75%, State and Local 25%. Match provided by Child Welfare Services spending and local expenditures.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	14,576,548	NA	NA
SFY 05-06	14,329,314	NA	NA
SFY 06-07	14,524,771	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	14,842,658	NA	NA
SFY 05-06	14,576,548	NA	NA

TITLE IV-B, SUBPART 2 PROMOTING SAFE AND STABLE FAMILIES

Objective: Provides family support, family preservation, time-limited family reunification services, and services to promote and support adoptions. These services are primarily aimed at preventing the risk of abuse and promoting nurturing families, assisting families at risk of having a child removed from their home, promoting the timely return of a child to his/her home, and if returning home is not an option, placement of a child in a permanent setting with services that support the family.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62303

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.556

Legal Authority:

Law: US Social Security Act of 1935, Section 430 as amended by the Omnibus Reconciliation Act of 1993

Regulation: 45 CFR, Part 1357

Program Contact:

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Division of Development and Preventive Services
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Rensselaer, NY 12144
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Fiscal Contact:

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(518) 486-1110
E-Mail: ednamae.reilly@ocfs.state.ny.us

Eligibility: State Governments, Counties (Must be a local social services district) and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: Federal 75%, State and Local 25%. Match provided by child welfare services spending and local expenditures.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	25,736,246	NA	NA
SFY 05-06	24,193,882	NA	NA
SFY 06-07	23,659,748	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	367,401	NA	NA
SFY 05-06	25,768,845	NA	NA

TITLE XX BLOCK GRANT

Objective: Enables states to provide social services to public assistance recipients and other low income persons directed toward one of five goals specified in the Federal law.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62301, 62302

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.667

Legal Authority:

Law: US Social Security Act of 1935, Title XX, PL 97-35; 42 USC 1397

Regulation: 45 CFR, Part 96 and 31; CFR, Part 205

Program Contact:

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Eligibility: State Governments and Counties. Must be a local social services district.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used for Title XX services only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	234,307,466	NA	NA
SFY 05-06	231,392,968	NA	NA
SFY 06-07	235,058,323	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	299,367,038	NA	NA
SFY 05-06	231,099,238	NA	NA

*Includes Title XX – Regular and TANF Transfer to Title XX.

YOUTH DEVELOPMENT/DELINQUENCY PREVENTION

Objective: Provides aid to increase and improve developmental and recreational programs for youth.

Administering Agency: NYS Office of Children and Family Services

Specific Program URL: NA

NYS Object Code: 69102

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-A

Regulation: 9 NYCRR Subpart 165-1

Program Contact:

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 (518) 486-1110
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Eligibility: Counties and other municipalities.

Type of Program and Special Restrictions: YDDP programs must be in accordance with county comprehensive youth services plan.

Action Required to Receive Aid: Funds allocated to counties on a per capita basis. Applications are submitted to the local county youth bureau.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Funding distribution is based on youth population.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	28,472,000	NA
SFY 05-06	NA	27,973,200	NA
SFY 06-07	NA	28,472,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	30,358,859	NA
SFY 05-06	NA	28,444,364	NA

EXAMINATION PLANNING AND ADMINISTRATION

(Technical Assistance Program)

Objective: The State Department of Civil Service provides, upon request of municipal service agencies, examination services to be used for the selection of competitive class employees in accordance with merit and fitness.

Administering Agency: NYS Department of Civil Service

Specific Program URL: www.cs.state.ny.us

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.2

Regulation: Municipal Civil Service Rules, Regulations of the NYS Department of Civil Service (Present Regulations)

Program Contact:

Raymond C. Greene
Director
Municipal Service Division
NYS Department of Civil Service
A. E. Smith Office Building
80 Swan Street,
Albany, NY 12239
(518) 473-5022
E-Mail: Raymond.Greene@cs.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Regional Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Examination services are provided to municipal civil service agencies upon request.

Action Required to Receive Aid: Submit a request for examination assistance (MSD-300) with a copy of the position description.

Description of Aid:

The State Department of Civil Service prepares and rates examinations for municipal civil service agencies upon request. Other technical service related to examination administration is also provided, which can include advice on: examination security; list establishment; list certification; seniority credits; veterans credits; examination comparability and related issues.

POSITION CLASSIFICATION ASSISTANCE

(Technical Assistance Program)

Objective: Provides position classification assistance to municipal governments in New York State.

Administering Agency: NYS Department of Civil Service

Specific Program URL: www.cs.state.ny.us

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.1

Regulation: Municipal Civil Service Rules

Program Contact:

Raymond C. Greene

Director

Municipal Service Division

NYS Department of Civil Service

A. E. Smith Office Building

80 Swan Street,

Albany, NY 12239

(518) 473-5022

E-Mail: Raymond.Greene@cs.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Regional Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Requests for position classification assistance must be made through the municipal civil service agency that has jurisdiction.

Action Required to Receive Aid: Request from municipal civil service agency to the Municipal Service Division for assistance.

Description of Aid:

Technical assistance to review duties and responsibilities of positions in local government. Position job class specifications will be developed and status of current employees will be reviewed. Recommendations on appropriate titles and jurisdictional classification will be made to the municipal civil service agency.

REGIONAL AND SPECIALIZED TRAINING

(Technical Assistance Program)

Objective: Provides training programs on all aspects of merit system administration to improve municipal civil service administration.

Administering Agency: NYS Department of Civil Service

Specific Program URL: www.cs.state.ny.us

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

Raymond C. Greene
Director
Municipal Service Division
NYS Department of Civil Service
A. E. Smith Office Building
80 Swan Street,
Albany, NY 12239
(518) 473-5022
E-Mail: Raymond.Greene@cs.state.ny.us

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Training programs on selected topics of civil service/personnel administration.

Action Required to Receive Aid: Request via municipal civil service agency.

Description of Aid:

Training programs that can be of short (half-day) duration to week-long seminars depending on the topic. Typical topics cover areas such as:

- Civil Service Law and Rules
- Disciplinary Procedures
- Jurisdictional Classification
- Position Classification
- Examination Administration
- Personnel Transactions
- Layoff Procedures
- Veterans Rights Under Civil Service

TECHNICAL ADVICE AND ASSISTANCE

(Technical Assistance Program)

Objective: Provides municipal civil service agencies with a consultative service which provides technical advice and assistance relative to merit system administration.

Administering Agency: NYS Department of Civil Service

Specific Program URL: www.cs.state.ny.us

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

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Director
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NYS Department of Civil Service
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(518) 473-5022
E-Mail: Raymond.Greene@cs.state.ny.us

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Consultant service to municipal civil service agencies.

Action Required to Receive Aid: Request.

Description of Aid:

Consultants available to assist municipal civil service agencies in all aspects of merit system administration.

**TECHNICAL ADVICE AND ASSISTANCE
CIVIL SERVICE INSTITUTE**

(Technical Assistance Program)

Objective: Provides intensive training for new civil service administrators in local government.

Administering Agency: NYS Department of Civil Service

Specific Program URL: www.cs.state.ny.us

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

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Director
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NYS Department of Civil Service
A. E. Smith Office Building
80 Swan Street,
Albany, NY 12239
(518) 473-5022
E-Mail: Raymond.Greene@cs.state.ny.us

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Request via municipal civil service agency.

Description of Aid:

Residential training in Albany over a four-week period covering a broad range of civil service topics such as Civil Service Law and Rules, position classification, examination administration and personnel transactions.

**TECHNICAL ADVICE AND ASSISTANCE IN
PREPARATION AND PROMULGATION OF
CIVIL SERVICE RULES**

(Technical Assistance Program)

Objective: Provides technical advice and assistance to municipal civil service agencies to help in the development, preparation and promulgation of Municipal Civil Service Rules to carry into effect State Civil Service Law.

Administering Agency: NYS Department of Civil Service

Specific Program URL: www.cs.state.ny.us

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

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NYS Department of Civil Service
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Albany, NY 12239
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E-Mail: Raymond.Greene@cs.state.ny.us

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Request to Municipal Service Division.

Description of Aid:

The Municipal Personnel Consultant will work with requesting civil service agency to develop rules necessary to effectively administer civil service in areas such as jurisdictional classification; advice on proper procedures for adoption; and implementation of rule.

IMPROVEMENT OF CORRECTIONAL FACILITIES

(Technical Assistance Program)

Objective: Regulates and oversees management of State and local correctional facilities to improve all conditions therein relative to promoting a safe, viable and secure environment for staff and inmates. This purpose is achieved in part by the provision of technical assistance to sheriffs, jail administrators, local police departments, county officials and correction officers.

Administering Agency: NYS State Commission of Correction

Specific Program URL: NA

NYS Object Code: 01530

Year Established: 1895

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Correction Law, Article 3, Section 45

Regulation: Title 9, NYCRR, Subtitle AA

Program Contact:

James Lawrence
Director of Operations
Commission of Correction
80 Wolf Road
Albany, NY 12205
(518) 485-2346
E-Mail: NA

Fiscal Contact:

Kimberly Szady
Finance Director
Commission of Correction/DCJS
4 Tower Place
Albany, NY 12203
(518) 485-7968
E-Mail: Kszady@dcjs.state.ny.us

Eligibility: State Government, Counties, and other governmental jurisdictions operating a jail or lockup.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Must be opening or operating a county jail or lockup.

Description of Aid:

Under this technical assistance program the following are provided: provision of minimum standards by which the jail must be run; evaluation of compliance with standards; training; provision of information on staffing, construction, health services and other issues; and investigation of complaints, serious incidents and inmate deaths.

BOARD OF PRISONERS

Objective: Counties are reimbursed for the housing of Class D&E felons, corum nobis and State ready prisoners. Housing of parole violators is reimbursed by the Division of Parole effective October 1, 1990. Counties are reimbursed for the housing of Contract Inmates effective October 1997.

Administering Agency: NYS Department of Correctional Services

Specific Program URL: NA

NYS Object Code: 66701

Year Established: 1909

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Correction Law, Sections 601-B, 601-C and Section 95, NY Executive Law, Section 259, NY Penal Law, Section 70.00 (4)

Regulation: NA

Program Contact:

Bruce A. Johnson
 Director
 Budget and Finance
 NYS Department of Correctional Services
 State Campus, Building 2
 Albany, NY 12226
 (518) 457-5562
 E-Mail: BAJohnson@DOCS.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties and New York City. Provision of housing is outlined in above mentioned laws.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Amounts are based on allowable expenses per inmate.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,400,000	NA
SFY 05-06	NA	8,000,000	NA
SFY 06-07	NA	6,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,538,373	NA
SFY 05-06	NA	5,749,427	NA

TRANSPORTATION OF PRISONERS

Objective: Localities are reimbursed for expenses incurred in transporting convicted felons to State facilities.

Administering Agency: NYS Department of Correctional Services

Specific Program URL: NA

NYS Object Code: 54300, 54800, 55950

Year Established: 1909

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Correction Law, Sections 601(a), 602, 604 and 605

Regulation: NA

Program Contact:

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 NYS Department of Correctional Services
 State Campus, Building 2
 Albany, NY 12226
 (518) 457-5562
 E-Mail: BAJohnson@DOCS.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties and New York City.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Amounts are based on allowable salary and travel expenses.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,700,000	NA
SFY 05-06	NA	1,700,000	NA
SFY 06-07	NA	1,700,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,641,997*	NA
SFY 05-06	NA	1,654,544*	NA

*Expend, not disbursed.

COURT FACILITIES INCENTIVE AID FUND

Objective: Provides aid to cities and counties for: (1) cleaning and minor repairs of trial court facilities, (2) interest associated with financing new or improved court facilities, and (3) all facilities costs associated with appellate courts.

Administering Agency: NYS Office of Court Administration

Specific Program URL: NA

NYS Object Code: 69951, 69954 and 69955

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1987, Chapter 825; L. 1995, Ch. 687; L. 1996, Ch. 686; L. 1998, Ch. 213

Regulation: Rules 34, 34.1 and 34.2 of the Chief Judge

Program Contact:

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Fiscal Contact:

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(518) 473-5512
E-Mail: mmcalary@courts.state.ny.us

Eligibility: Counties and Cities.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: For Ch. 825, 687 and 213, non-competitive applications for aid are required. For Ch. 686 aid is by contract.

Description of Aid:

Flow of Funds: State to Local. Monies are provided on a current fiscal year basis for interest payments and appellate costs, and pursuant to contract for cleaning and minor repairs.

Type of Aid: Project Grant and Ongoing

Formulas: Percentage of expenditures by local governments for aided purposes. Ranges: 25-33 percent for interest. Aid percentage within range established by relative taxing capacity: factors are population and value of taxable property. One hundred percent of expenses associated with appellate courts are reimbursable. One hundred percent of expenses associated with cleaning and minor repair costs of trial court facilities are reimbursable.

Matching Requirement: See formulas.

Maintenance of Effort: See formulas. Also requires compliance with Maintenance and Operations standards and capital plan.

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	88,164,223
SFY 05-06	NA	NA	104,542,662
SFY 06-07	NA	NA	123,553,284

*Amounts appropriated are new appropriations by fiscal year.

COURT FACILITIES INCENTIVE AID FUND (cont.)

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	88,163,818
SFY 05-06	NA	NA	NA

*Total annual disbursements include spending from new appropriations and appropriations of prior years' unexpended balances.

Comments: Program provides subsidies to counties and cities for what was heretofore entirely a local cost. Subsidy moneys come from a Special Revenue Fund into which certain legal fees are deposited.

CRIME VICTIM ASSISTANCE

Objective: Expands local crime victim and witness service programs to make them more comprehensive, and encourages the initiation of crime victim and witness service delivery systems in new areas.

Administering Agency: NYS Crime Victims Board; US Department of Justice, Office for Victims of Crime

Specific Program URL: NA

NYS Object Code: 66801

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 16.575

Legal Authority:

Law: NY Executive Law, Article 22; US Victim of Crime Act of 1984, Children's Justice and Assistance Act of 1986

Regulation: NA

Program Contact:

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 Grants Unit
 Crime Victims Board
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Fiscal Contact:

Patricia Palitsch
 Sr. Administrative Assistant
 Crime Victims Board
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 Albany, NY 12203
 (518) 457-8727
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Eligibility: State Governments, Counties, Cities, Towns, Villages and Private Non-Profit Groups. All eligible must already be in existence, non-profits must have a current charities registration with the NYS Department of State. All must have a Federal ID number.

Type of Program and Special Restrictions: Subject to mandated State and Federal requirements. Funds must be used specifically for services to victims of crime.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal varies, State varies, Local 20-35%

Maintenance of Effort: Based on quantified objectives.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	25,000,000	NA	7,051,000
SFY 05-06	25,000,000	NA	7,051,000
SFY 06-07	25,000,000	NA	7,051,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	17,808,441	NA	4,678,203
SFY 05-06	18,912,953	NA	4,883,682

AID TO CRIME LABORATORIES

Objective: Funds are available to local crime labs to improve resources and management capabilities for targeting serious felony offenses.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66205

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Fiscal Contact:

Kimberly Szady
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Eligibility: Counties and Cities. Must have population of at least 100,000, employ full-time crime laboratory staff, and have a significant crime problem which warrants special effort.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used to enhance efforts to focus on the analysis of evidence for serious felony and narcotics cases.

Action Required to Receive Aid: Non-competitive application for aid required to continue 2000-2001 awards. The remainder would be used to assist laboratories in obtaining DNA certification, and maintaining State accreditation standards.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Population, crime statistics, caseload statistics.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,247,000	NA
SFY 05-06	NA	4,247,000	NA
SFY 06-07	NA	10,247,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,813,119	NA
SFY 05-06	NA	4,627,748	NA

AID TO DEFENSE

Objective: Funds are available to local defense agencies to improve resources and management capabilities in defending serious felony offenders.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66202

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Fiscal Contact:

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Eligibility: Counties and Private Non-Profit Groups. Must have population of at least 100,000, employ full-time defense staff, and have significant crime problem which warrants special effort.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used to enhance efforts to target specific serious crimes or criminals.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Population, crime statistics, caseload statistics.

Matching Requirement: Only for those NYC grantees who received funds under Emergency Felony or Special Narcotics Court Parts programs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,174,000	NA
SFY 05-06	NA	11,174,000	NA
SFY 06-07	NA	11,174,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,239,386	NA
SFY 05-06	NA	11,696,112	NA

AID TO PROSECUTION

Objective: Funds are available to local District Attorneys to improve resources and management capabilities to target serious offenders.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66201

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: All NY Counties.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required. Must be used to enhance efforts to support the enhanced prosecution of repeat and serious felony offenders.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Population, crime statistics, caseload statistics.

Matching Requirement: Only for those NYC grantees who received funds under Emergency Felony or Special Narcotics Court Parts programs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	18,382,000	NA
SFY 05-06	NA	18,382,000	NA
SFY 06-07	NA	18,382,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	19,560,819	NA
SFY 05-06	NA	17,394,722	NA

EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT (JAG) PROGRAM

Objective: Provides States and units of local government with funds to provide additional personnel, equipment, supplies, contractual support, training, technical assistance, and information systems for criminal justice.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Specific Program URL: <http://criminaljustice.state.ny.us/ofpa/jagmain.htm>

NYS Object Code: NA

Year Established: 2005

Catalog of Federal Domestic Assistance No.: 16.738

Legal Authority:

Law: Annual NYS Budget Bill or Chapter Law; US Consolidated Appropriations Act, 2005, PL 108-447

Regulation: Office of Justice Programs Financial Guide, Justice Assistance Grant Program Guidance Manual

Program Contact:

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Eligibility: State Governments, Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Subject to mandated State and Federal requirements. Use of funds is limited by Federal guidelines. See CFDA listing or consult Program Contact.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Population, crime statistics.

Matching Requirement: Federal 90%, Local 10%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	19,255,333	NA	NA
FFY 05	11,279,841	NA	NA
FFY 06	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 05	5,191,530	NA	NA

INDIGENT PAROLEE

Objective: Provides legal assistance and representation to indigent parolees and inmates in correctional facilities at parole revocation hearings and appeals therefrom.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66521

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, 18-B of County Law and 259-I of the Executive Law

Regulation: NA

Program Contact:

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Eligibility: Counties, Legal Aid Societies and New York City.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Funds must be used solely for representing indigent inmates in parole revocation proceedings.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	0	NA
SFY 05-06	NA	580,000	NA
SFY 06-07	NA	580,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	0	NA
SFY 05-06	NA	91,373	NA

JUVENILE ACCOUNTABILITY BLOCK GRANTS PROGRAM (JABG)

Objective: Enhances accountability in the juvenile justice system of eligible localities based on formula allocation.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Juvenile Justice and Delinquency Prevention

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 16.523

Legal Authority:

Law: Title III of H.R. 3, the US Juvenile Accountability Block Grants Act of 1997

Regulation: NA

Program Contact:

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Eligibility: Units of Local Government, State Agencies, and Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. There are 16 authorized purposes.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project grant.

Formula: Funds are allocated to each state at 0.5 percent of the appropriated amount, and for remaining funds, aid is based on the population of people under the age of 18 living in the State for the most recent calendar year in which the data is available, and the most recent juvenile justice expenditures.

Matching Requirement: The program requires a cash match of 10 percent of total program costs. Federal funds may not exceed 90 percent of total program costs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	2,535,400	NA	NA
FFY 05	2,303,800	NA	NA
FFY 06	2,019,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	10,275,854	NA	NA
FFY 05	8,272,258	NA	NA

JUVENILE JUSTICE FORMULA GRANT PROGRAM

Objective: Supports programs to improve the organization and operation of the juvenile justice system, in order to control crime and assure the quality of justice for adolescents and juveniles.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Juvenile Justice and Delinquency Prevention

Specific Program URL: NA

NYS Object Code: 66101

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 16.540

Legal Authority:

Law: US Juvenile Justice and Delinquency Prevention Act of 1974, amended 2002.

Regulation: NA

Program Contact:

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Eligibility: State Agencies, Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required – Request for Proposals.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: Funded grants are not subject to a formula.

Matching Requirement: After the second year Federal funds are reduced to 50%, but level of service must remain the same.

Maintenance of Effort: Institutionalization is expected.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	4,023,000	NA	NA
FFY 05	3,923,000	NA	NA
FFY 06	3,435,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	4,236,558	NA	NA
FFY 05	3,717,370	NA	NA

MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION PROGRAM

Objective: Provides an integrated means to prevent, deter and reduce the incidence of automobile theft and auto insurance fraud by funding demonstration programs.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: www.criminaljustice.state.ny.us

NYS Object Code: 66302

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive S. 846, Article 36-A, Chapter 56 of the Consolidated Laws, Article VI, Section 9110 of the Insurance Law

Regulation: NA

Program Contact:

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Eligibility: Counties, Cities, Towns, Villages, School Districts, Non-State funded Public Authorities, and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes, no supplanting.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,700,000
SFY 05-06	NA	NA	4,700,000
SFY 06-07	NA	NA	4,700,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	5,257,467
SFY 05-06	NA	NA	5,357,418

NARCOTICS CONTROL/ANTI-DRUG ABUSE

Objective: Reduces and prevents illegal drug activity, crime, and violence and improves the functioning of the criminal justice system.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Specific Program URL: <http://criminaljustice.state.ny.us/ofpa/byrne2004.htm>

NYS Object Code: 66121

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 16.579

Legal Authority:

Law: Annual NYS Budget Bill or Chapter Law; US Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I, 42 U.S.C. 50 et seq.

Regulation: NA

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Eligibility: State Governments, Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Subject to mandated State and Federal requirements. Use of funds is limited by Federal guidelines. See CFDA listing or consult Program Contact.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Population, crime statistics.

Matching Requirement: Federal 75%, Local 25%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	18,216,121	NA	NA
FFY 05	0	NA	NA
FFY 06	0	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	25,559,312	NA	NA
FFY 05	22,446,925	NA	NA

Comments: This program has been discontinued.

**OFFICE OF JUVENILE JUSTICE AND
DELINQUENCY PREVENTION – TRAINING
AND TECHNICAL ASSISTANCE CENTER**

(Technical Assistance Program)

Objective: To provide localities, community-based organizations and not-for-profits with training and technical assistance on a broad range of juvenile justice subjects for the purpose of improving service delivery to the youth most in need, and promoting best practices.

Administering Agency: NYS Division of Criminal Justice Services; US Office of Juvenile Justice and Delinquency Prevention

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Juvenile Justice Delinquency Prevention Act of 1974, amended in 2002

Regulation: NA

Program Contact:

Anne H. Cadwallader
Juvenile Justice Program Specialist
NYS Division of Criminal Justice Services
4 Tower Place
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(518) 485-7919
E-Mail: NA

Fiscal Contact:

NA

Eligibility: The organization requesting training or technical assistance must operate a juvenile justice program, must document the targeted audience and projected benefit and justify need.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Any agency or organization requesting technical assistance must complete a technical assistance request.

Description of Aid:

The Office of Juvenile Justice and Delinquency Prevention will pay for the costs associated with the travel, food, lodging, per diem and materials for service providers under contract with them.

OFFICE OF THE SPECIAL NARCOTICS PROSECUTOR

Objective: Provides funds to New York City to enhance investigation and prosecution of drug offences citywide, with special attention to investigation of drug trafficking.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66222

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1971, Chapter 462

Regulation: NA

Program Contact:

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Eligibility: New York City Special Prosecutor

Type of Program and Special Restrictions: Aid for Office of Special Prosecutor only.

Action Required to Receive Aid: Submit appropriate application and execute grant award agreement.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Grant

Formula: NA

Matching Requirement: New York City minimum of 35 percent of program cost.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,150,000	NA
SFY 05-06	NA	1,150,000	NA
SFY 06-07	NA	1,150,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,334,119	NA
SFY 05-06	NA	1,131,281	NA

OPERATION IMPACT TOOLS

(Technical Assistance Program)

Objective: Provides funds to support the efforts of local officials to develop and implement coordinated crime fighting strategies.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: www.criminaljustice.state.ny.us

NYS Object Code: NA

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 53 of Laws of 2006

Regulation: NA

Program Contact:

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Eligibility: Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Optional, but cannot receive funds if an operation impact grantee. Once chosen, subject to mandated State requirements. One time funding.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

SALARIES OF DISTRICT ATTORNEYS

Objective: To share with counties the payment of District Attorney's salaries provided the counties establish full time district attorney positions and make salaries for those positions at least equal to the salaries paid to county court judges.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66502

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: County Law, Section 700, NY Laws of 1987, Chapter 263

Regulation: NA

Program Contact:

Chauncey G. Parker
Commissioner
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260
E-Mail: cparker@dcjs.State.ny.us

Fiscal Contact:

Kimberly Szady
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105
E-Mail: Kszady@dcjs.State.ny.us

Eligibility: Counties.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Local requirements.

Action Required to Receive Aid: Submission of appropriate legal documentation regarding full-time D.A.'s position and State aid voucher.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: See County Law, Section 700.

Matching Requirement: NA

Maintenance of Effort: Full-time District Attorney

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,927,000	NA
SFY 05-06	NA	2,927,000	NA
SFY 06-07	NA	2,927,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,157,779	NA
SFY 05-06	NA	3,631,090	NA

SOFT BODY ARMOR

Objective: Funds the purchase of soft body armor (ballistics) vests for police officers.

Administering Agency: NYS Division of Criminal Justice Services

Specific Program URL: NA

NYS Object Code: 66401

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 837-d, NY Laws of 1990, Chapter 35

Regulation: NA

Program Contact:

Chauncey G. Parker
Commissioner
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260
E-Mail: cparker@dcjs.State.ny.us

Fiscal Contact:

Kimberly Szady
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105
E-Mail: Kszady@dcjs.State.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages and Public Authorities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds can only be used for the purchase of soft body armor (ballistics vests). Application to Federal Bureau of Justice Assistance is a prerequisite.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	97,237	715,000	NA
SFY 05-06	192,610	715,000	NA
SFY 06-07	NA	715,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	71,451	1,147,441	NA
SFY 05-06	122,440	366,808	NA

S.T.O.P. VIOLENCE AGAINST WOMEN

Objective: Provides assistance to victim services providers, district attorneys' offices and law enforcement agencies to improve the criminal justice system response to violence against women.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice – Violence Against Women Office

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 16.588

Legal Authority:

Law: US Violent Crime Control and Law Enforcement Act of 1994.

Regulation: 28 CFR Part 66

Program Contact:

Chauncey G. Parker
Commissioner
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260
E-Mail: cparker@dcjs.State.ny.us

Fiscal Contact:

Kimberly Szady
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105
E-Mail: Kszady@dcjs.State.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, Indian Tribal Organizations, and Non-Profit victim service providers.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State and Federal requirements. Limited to 11 Federal purpose areas and approved State plan.

Action Required to Receive Aid: Competitive Request for Proposal required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 75%; Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	6,243,000	NA	NA
FFY 05	6,093,000	NA	NA
FFY 06	6,407,133	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	7,125,030	NA	NA
FFY 05	7,155,137	NA	NA

STRUCTURED TREATMENT TO ENHANCE PUBLIC SAFETY (STEPS)

(Technical Assistance Program)

Objective: Provides funding to district attorneys and mental hygiene agencies to support the diversion of felony offenders from State prison into residential substance abuse and alcoholism treatment programs followed by outpatient care.

Administering Agency: NYS Division of Criminal Justice Services, NYS Office of Alcoholism and Substance Abuse Services

Specific Program URL: <http://criminaljustice.state.ny.us>

NYS Object Code: NA

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Anne Marie Strano
Director, Bureau of Justice Funding
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260
E-Mail: Annemarie.strano@dcjs.State.ny.us

Fiscal Contact:

Kimberly Szady
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105
E-Mail: Kszady@dcjs.State.ny.us

Eligibility: Counties

Type of Program and Special Restrictions: Optional, but one chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required. Funds are awarded on a competitive basis with all counties outside of NYC eligible to apply.

Description of Aid:

Operating funds to support salaries of district attorneys' staff and case managers, and other program expenses and supplements to providers of treatment services.

TITLE V - LOCAL DELINQUENCY PREVENTION PROGRAMS

Objective: Reduces delinquency and youth violence by supporting communities in providing their children, families, neighborhoods and institutions with the knowledge, skills and opportunities necessary to foster a healthy and nurturing environment for their youth that promotes the development of productive and responsible citizens.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Juvenile Justice and Delinquency Prevention

Specific Program URL: NA

NYS Object Code: 66101

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 16.548

Legal Authority:

Law: US Juvenile Justice and Delinquency Prevention Act of 1974, amended 1992.

Regulation: NA

Program Contact:

Chauncey G. Parker
Commissioner
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260
E-Mail: cparker@dcjs.State.ny.us

Fiscal Contact:

Kimberly Szady
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105
E-Mail: Kszady@dcjs.State.ny.us

Eligibility: Units of Local Government.

Type of Program and Special Restrictions: Limited options, but once chosen subject to mandated State and Federal requirements. Locality must form a Prevention Policy Board as the foundation of the collaborative effort.

Action Required to Receive Aid: Competitive application for aid required (Request for Proposals).

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Seed money of limited duration.

Formula: NA

Matching Requirement: Required local match of 50% of the Federal award.

Maintenance of Effort: Institutionalization is expected.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	800,000	NA	NA
FFY 05	807,000	NA	NA
FFY 06	56,250	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	1,717,695	NA	NA
FFY 05	1,246,862	NA	NA

TECHNICAL ASSISTANCE

Objective: Provides a broad range of design and construction services.

Administering Agency: Dormitory Authority of the State of New York

Specific Program URL: www.dasny.org

NYS Object Code: NA

Year Established: 1944

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Authorities Law, Titles 4 and 4B, Article 8, as amended; NY Facilities Development Corporation Act, Chapter 359, Sections 4401-4417; Health Care Facilities Financing Act of 1995 (Section 177 of Chapter 83 of the Laws of 1995)

Regulation: NA

Program Contact:

Paul J. Burgdorf
Director
Communications and Marketing
Dormitory Authority of the State of New York
515 Broadway
Albany, NY 12207-2964
(518) 257-3380
E-Mail: pburgdor@dasny.org

Fiscal Contact:

NA

Eligibility: State Agencies, Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, no mandated requirements. Fees are determined on a project basis.

Action Required to Receive Aid: A request for technical assistance in financing or construction project management services must be made to the Dormitory Authority.

Description of Aid:

The Dormitory Authority provides a broad range of design and construction services that include:

- Program and facility feasibility studies;
- Planning and program development;
- Land and property site searches, leases and acquisitions;
- Compliance with the State Environmental Quality Review Act, and all applicable building codes;
- Selection of architects;
- Supervision of architectural design;
- Project management services;
- Contract administration: advertising, bidding and awarding;
- Design services for renovations;
- Americans with Disabilities Act compliance review;
- Quality control inspections;
- Computer-aided facilities management;
- Auditing and cost control;
- Fulfilling minority business and affirmative action requirements;
- Interior design and equipment selection;
- Procurement of furnishings and equipment; and
- Energy performance contracting, energy studies, building commissioning, life cycle cost analysis and LEED certification.

**ADULT BASIC EDUCATION
(WELFARE EDUCATION PROGRAM)**

Objective: Supports literacy and basic education programs for recipients of public assistance who are at least 16 years of age and not enrolled in a regular school program.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61126

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2002, Chapter 53, NY Education Law, Section 207

Regulation: 8 NYCRR 164.1

Program Contact:

Tom Orsini
Team Leader
Adult Education and Workforce Development
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-8940
E-Mail: TORSINI@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,000,000	NA
SFY 05-06	NA	2,000,000	NA
SFY 06-07	NA	2,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,423,802	NA
SFY 05-06	NA	1,730,644	NA

ADULT LITERACY EDUCATION

Objective: To educate adults who are unemployed, who are eligible for public assistance, and who are only able to obtain employment that sustains them at a poverty level.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61126

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2002, Chapter 53, p. 53. Section 207

Regulation: 8 NYCRR 164.2

Program Contact:

Tom Orsini
 Team Leader
 Adult Education and Workforce Development
 NYS Education Department
 Education Building, Room 307
 Albany, NY 12234
 (518) 474-8940
 E-Mail: TORSINI@mail.nysed.gov

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups, Libraries, Colleges and School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Discretionary, most require submission of an RFP application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,324,700	NA
SFY 05-06	NA	3,324,700	NA
SFY 06-07	NA	4,324,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,324,700	NA
SFY 05-06	NA	3,049,702	NA

ADULT LITERACY SERVICES FOR PUBLIC LIBRARIES

Objective: Provides funds on a competitive basis to public libraries and library systems to establish or expand library services to adults in need of literacy services.

Administering Agency: NYS Education Department

Specific Program URL: www.nysl.nysed.gov/libdev

NYS Object Code: 61201

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 273 (1)(h)(2)

Regulation: 8 NYCRR 90.3

Program Contact:

Anne Simon
Division of Library Development
NYS Education Department
Cultural Education Center, Room 10B41
Albany, NY 12230
(518) 474-7890
E-Mail: Asimon@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Chartered and registered public libraries and public library systems.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required. Requires the submission of an RFP.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	190,429	NA
SFY 05-06	NA	197,333	NA
SFY 06-07	NA	200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	186,149	NA
SFY 05-06	NA	196,330	NA

ADVANCE TO SMALL CITY SCHOOL DISTRICTS

Objective: Provides interim assistance to certain small city school districts.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61155

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1978, Chapter 280

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

E-Mail: NA

Eligibility: School Districts. School districts must: (1) levy all taxes in the current year at at least the same tax rate as in the base year; (2) increase the overall rate at which taxes are raised by a percentage comparable to the percentage by which the budget is increased; and (3) use all years general fund balances from the base year to support current year appropriations.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	7,601,000	NA
SFY 05-06	NA	6,515,000	NA
SFY 06-07	NA	5,431,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	7,600,003	NA
SFY 05-06	NA	6,514,289	NA

AID TO INDEPENDENT COLLEGES & UNIVERSITIES PROGRAM (BUNDY AID)

Objective: Provides direct unrestricted financial support to certain independent postsecondary institutions to help preserve the strength, vitality and diversity of independent institutions in New York State.

Administering Agency: NYS Education Department - Office of Research and Information Systems

Specific Program URL: NA

NYS Object Code: 61703

Year Established: 1969-70

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Article 129, Section 6401

Regulation: 8 NYCRR 3.54, 13.10 and Part 150

Program Contact:

Glenwood Rowse
Coordinator
Office of Research and Information Systems
Education Building Annex, Room 964
(518) 474-5091
E-Mail: growse@mail.nysed.gov

Fiscal Contact:

Same

Eligibility: Certain Independent Degree-Credit Colleges and Universities. Must have an approval of application for participation as an independent degree-granting institution eligible for State aid and submission of annual reporting requirements.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Submission of certified degree counts that determine aid amounts and submission of other reporting requirements.

Description of Aid:

Flow of Funds: State to Local. Unrestricted aid for the current year based on previous year degrees.

Type of Aid: Ongoing

Formula: Aid is based on payment rates per type of degree granted. When the appropriation does provide full funding, institutions receive a share of the appropriation based on their share of the total Statewide eligible degrees/entitlement.

Matching Requirement: None

Maintenance of Effort: No

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	42,037,500	NA
SFY 05-06	NA	42,037,500	NA
SFY 06-07	NA	46,238,000*	NA

*28% of full funding.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	42,037,500	NA
SFY 05-06	NA	42,037,500	NA

APPRENTICESHIP TRAINING

Objective: To provide related and supplemental instruction to apprentices in trades or crafts who are unemployed.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61130

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3610

Regulation: 8 NYCRR 160

Program Contact:

Tom Orsini
Team Leader
Adult Education and Workforce Development
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-8940
E-Mail: TORSINI@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES and Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to local by county. Monies are provided as supplemental funds as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,738,500	NA
SFY 05-06	NA	1,830,000	NA
SFY 06-07	NA	1,830,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,630,413	NA
SFY 05-06	NA	1,808,814	NA

BILINGUAL EDUCATION CATEGORICAL FUNDS

Objective: Support programs of instruction designed for pupils with limited English proficiency, in which instruction is given in English and the pupil's native language.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61105

Year Established: 1973

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2000, Chapter 53; NY Education Law, Section 3602, Subdivision 10 and 22

Regulation: 8 NYCRR 154

Program Contact:

Carmen A. Perez-Hogan
Coordinator
Office of Bilingual Education
NYS Education Department
Education Building Annex, Room 367
Albany, NY 12234
(518) 474-8775
E-Mail: NA

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 501W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES, and Institutions of Higher Education.

Type of Program and Special Restrictions: Once approved, subject to mandated State requirements. Program must operate as specified in the approved application.

Action Required to Receive Aid: Non-competitive and competitive application for funds required.

Description of Aid:

Flow of Funds: Monies are awarded based on approved program activities.

Type of Aid: Lump sum grant award for specific project period.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,200,000	NA
SFY 05-06	NA	11,200,000	NA
SFY 06-07	NA	11,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	10,616,513	NA
SFY 05-06	NA	10,060,267	NA

Education Department

BOCES AID

Objective: Supports Boards of Cooperative Educational Services (BOCES) in the provision of educational services that are not economically feasible for individual districts.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61102

Year Established: 1948

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 1950, Subdivision 5

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: BOCES. All services must have been approved by the NYS Education Department prior to being provided by BOCES.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is computed separately for each component school district and the sum of such components is paid to the BOCES. Aid is the greater of: (a) approved costs minus an amount computed as approved costs times the ratio of \$0.008 to the tax rate on the full value of taxable property in the school district; (b) approved costs times the aid ratio of the school district, provided that the aid ratio may not exceed 90 percent nor be below 36 percent; or (c) a save harmless amount based on the approved costs incurred in the 1967-68 school year, provided that aid will be proportionately reduced in any year that services fall below 1967-68 levels. Other special provisions apply - consult fiscal contact.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	526,665,320	NA
SFY 05-06	NA	549,878,846	NA
SFY 06-07	NA	605,938,431	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	511,097,593	NA
SFY 05-06	NA	549,119,102	NA

BUILDING AID (INCLUDING AID ON BANS AND NEW DEBT SERVICE)

Objective: Supports the construction and/or reconstruction of safe, healthful and modern educational facilities.

Administering Agency: NYS Education Department

Specific Program URL: <http://www.EMSC.NYSED.GOV/facplan/>

NYS Object Code: 61101¹

Year Established: 1963

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 6

Regulation: NA

Program Contact:

Carl Thurnau
Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906
E-Mail: Cthurnau@Mail.NYSED.GOV

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. School district must employ eight or more teachers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.
Reimbursement made for approved expenditures for eligible capital construction.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Aid from State equals approved expenditures for leases, capital construction or debt service multiplied by each individual district's aid ratio. Beginning with the 2002-03 aid year, aidable approved debt service will be based on an assumed amortization schedule, rather than on districts' actual debt service expense.

Matching Requirement: Varies between State and Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,384,323,842	NA
SFY 05-06	NA	1,449,062,502	NA
SFY 06-07	NA	1,601,048,016	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,384,435,236	NA
SFY 05-06	NA	1,516,921,908	NA

¹Refers to NYSED State aid to public schools programs.

CASE SERVICES - SECTION 110

Objective: Provides funding to agencies that serve Vocational Rehabilitation consumers.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 84.126

Legal Authority:

Law: US Rehabilitation Act of 1973, as amended.

Regulation: NA

Program Contact:

Debora Brown-Johnson
 Director, Vocational Rehabilitation Program
 NYS Education Department
 One Commerce Plaza, Room 1601
 Albany, NY 12234
 (518) 486-4031
 E-Mail: dbrownjr@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
 Director of Financial Administration
 NYS Education Department
 Education Building, Room 410
 Albany, NY 12234
 (518) 474-7751
 E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Approved providers of Vocational Rehabilitation Services.

Type of Program and Special Restrictions: Mandated, required by Federal and State law and regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: The level of State and local funds budgeted for the project year must, at least, equal the amount of such funds expended during the period two years earlier.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	24,344,150	NA	NA
SFY 04-05	39,570,721	NA	NA
SFY 05-06	25,981,323	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	21,472,385	NA	NA
SFY 05-06	6,427,711	NA	NA

CATEGORICAL READING

Objective: To improve reading instruction and student achievement.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61158

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Education Law, Sections 306, 3641-6

Regulation: NA

Program Contact:

Sandra A. Herndon (NYC)/Roberto Reyes (Upstate)
NYS Education Department
55 Hanson Place
New York, NY 11217-1580
(718) 722-2796
E-Mail: SHERNDON@MAIL.NYSED.GOV
RREYES@MAIL.NYSED.GOV

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts. Limited to school districts of cities with more than 125,000.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for reading instruction, supplies, or equipment. Grant funds are for the purpose of improving reading, mathematics and academic performance.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	63,950,000	NA
SFY 05-06	NA	63,950,000	NA
SFY 06-07	NA	63,950,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	60,956,673	NA
SFY 05-06	NA	71,668,803	NA

COLLEGIATE SCIENCE AND TECHNOLOGY ENTRY PROGRAM (CSTEP)

Objective: Increases the number of historically underrepresented and economically disadvantaged undergraduate and graduate students who complete preprofessional or professional education program of study that lead to professional licensure and to careers in mathematics, science, technology and health related fields.

Administering Agency: NYS Education Department - Office of K-16 Initiatives and Access Programs (KIAP)

Specific Program URL: www.highered.nysed.gov/kiap/COLLEGIATE

NYS Object Code: 61127

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 6455

Regulation: NA

Program Contact:

Carlos Garcia
Associate, CSTEP
Office of K-16 Initiatives & Access Program
NYS Education Department
Albany, NY 12234
(518) 486-6042
E-Mail: NA

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: NYS public and independent degree-granting Colleges and Universities with registered science, technical or health-related professional or pre-professional programs that lead to a CSTEP-targeted profession.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Program Grants

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,800,000	NA
SFY 05-06	NA	4,275,000	NA
SFY 06-07	NA	8,550,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,492,993	NA
SFY 05-06	NA	6,440,342	NA

COMPUTER HARDWARE

Objective: Provides reimbursement to public school districts for the lease or purchase of instructional computer hardware and peripherals.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: 8 NYCRR 175.25

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Must be a public school district which spent money on instructional hardware in the previous year, and has a resident weighted average daily attendance aid ratio greater than zero.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid equals \$19.25 times total aidable pupil units times resident weighted average daily attendance aid ratio. Aid is the result of computation, or actual expenses, whichever is less.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	28,538,895	NA
SFY 05-06	NA	28,846,291	NA
SFY 06-07	NA	29,071,978	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	28,886,679	NA
SFY 05-06	NA	29,313,035	NA

¹Refers to NYSED State aid to public schools programs.

COMPUTER SOFTWARE

Objective: Provides aid for the purchase of computer software by public school districts and the loan of such computer software to both public and non-public school pupils. Such computer software is to be loaned for individual student use.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61108

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 751

Regulation: 8 NYCRR 21.3

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Pupils must be enrolled in a public or non-public school which complies with the compulsory education law and which is located within the boundaries of the public school district.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for computer software only.

Action Required to Receive Aid: Report of expenditures.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid equals public and nonpublic enrollment times \$14.98, or prior year software expenditures, whichever is less.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	46,520,230	NA
SFY 05-06	NA	46,267,503	NA
SFY 06-07	NA	46,610,809	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	49,980,307	NA
SFY 05-06	NA	46,011,932	NA

CONSERVATION/PRESERVATION OF LIBRARY RESEARCH MATERIALS

Objective: To preserve unique library research materials in the collections of libraries, archives, historical societies and similar agencies within New York State.

Administering Agency: NYS Education Department

Specific Program URL: www.nysl.nysed.gov/libdev/

NYS Object Code: 61201

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 273(7)

Regulation: 8 NYCRR 90.16

Program Contact:

Barbara Lilley
NYS Education Department
Cultural Education Center, Room 10B41
Albany, NY 12230
(518) 474-6971
E-Mail: blilley@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Libraries, Archives, Historical Societies and similar agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Discretionary, requires submission of RFP application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	476,072	NA
SFY 05-06	NA	498,548	NA
SFY 06-07	NA	500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	465,371	NA
SFY 05-06	NA	496,013	NA

DOCUMENTARY HERITAGE

Objective: Program to assist historical records repositories to identify and make available historical records in New York State.

Administering Agency: NYS Education Department

Specific Program URL: www.archives.nysed.gov

NYS Object Code: 61907

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 140 and 207, L. 1988, Ch. 679.

Regulation: Chapter II Commissioner's Regulations, Section 189.3, Part 189 Documentary Heritage Grants and Aid

Program Contact:

Ray LaFever
New York State Archives
Cultural Education Center, Room 9C71
NYS Education Department
Albany, NY 12230
(518) 474-6926
E-Mail: dhs@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Not-for-Profit Archives, Libraries, Historical Societies, Museums, Community Organizations and similar institutions within New York State, and from consortia or cooperating groups of such agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 20% for documentation; 50% for arrangement and description

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	500,000
SFY 05-06	NA	NA	500,000
SFY 06-07	NA	NA	500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	571,436
SFY 05-06	NA	NA	540,936

EARLY CHILDHOOD DIRECTION CENTERS

Objective: Provides funding to the Statewide Network of Early Childhood Direction Centers.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61990

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Patricia Geary
Manager, Program Development
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 473-2878
E-Mail: pgeary@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
Director of Financial Administration
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751
E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Early Childhood Direction Centers. Approval through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	656,000	NA
SFY 05-06	NA	656,000	NA
SFY 06-07	NA	656,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	656,000	NA
SFY 05-06	NA	474,133	NA

EARLY GRADE CLASS SIZE REDUCTION AID

Objective: Encourages districts to reduce class size in Kindergarten through grade 3.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61133

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (37)

Regulation: NYCRR 144.11

Program Contact:

Cynthia E. Gallagher
 Child, Family and Community Development
 NYS Education Department
 Education Building Addition, Room 381
 Albany, NY 12234
 (518) 474-5807
 E-Mail: NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts. Contact program office for more detailed eligibility criteria.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. For the 2002-03 through 2004-05 school years each district was held to what they were eligible to receive in the 2000-01 school year.

Action Required to Receive Aid: Districts must submit and receive approval of a plan to reduce class size.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Grant equals classrooms needed times classroom factor times basic grant per classroom, however, grants were last calculated in 2000-01. 2001-02 and 2002-03 grants were held to 2000-01 levels.

Matching Requirement: NA

Maintenance of Effort: Yes, based on local funds for salaries and benefits of teachers in K-3.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	139,973,020	NA
SFY 05-06	NA	139,973,020	NA
SFY 06-07	NA	139,973,020	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	145,756,713	NA
SFY 05-06	NA	142,966,029	NA

EDUCATION OF NATIVE AMERICANS

Objective: Provides elementary, middle and secondary education and transportation for Native American children residing on reservations.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61904

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Article 83, Sections 111, 3602, 3635 and 4101-4119

Regulation: 8 NYCRR 174

Program Contact:

Adrian Cooke
Acting Coordinator
Native American Education Unit
NYS Education Department
Education Building Annex, Room 465
Albany, NY 12234
(518) 474-0537
E-Mail: acooke@mail.nysed.gov

Fiscal Contact:

Same

Eligibility: School Districts and Private Transportation Providers. Must be a school district located near an Indian reservation.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for services to eligible Native American Indian pupils attending public schools/reservation schools.

Action Required to Receive Aid: Submit reservation school budget, pupil lists and transportation proposals or bids.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Tuition reimbursement based on formula. Reservation school aid based on school budget. Transportation based on cost or competitive bid. Consult program contact for details.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	19,000,000	NA
SFY 05-06	NA	24,000,000	NA
SFY 06-07	NA	36,700,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	19,000,000	NA
SFY 05-06	NA	22,717,001	NA

**EDUCATION OF CHILDREN WITH DISABILITIES
PRESCHOOL SECTION 4410**

Objective: Supports special education costs incurred on behalf of preschool children with handicapping conditions, ages three and four.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61402

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4410

Regulation: NYSED Commissioner's Regulations, Part 200

Program Contact:

James Delorenzo
Director, Special Education
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-6366
E-Mail: JDeloren@mail.NYSED.gov

Fiscal Contact:

Michael DiVirgilio
Director of Financial Administration
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751
E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Counties. Counties must pay for special education services in accordance with the recommendation of the Local Committee on Preschool Special Education and determination of the Board of Education.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 59.5%; Local 40.5%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	519,000,000	NA
SFY 05-06	NA	523,915,140	NA
SFY 06-07	NA	635,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	519,000,000	NA
SFY 05-06	NA	523,888,212	NA

EDUCATIONALLY RELATED SUPPORT SERVICES AID

Objective: Provides aid to defray the costs of support services to enable pupils to maintain their placement in a regular educational program. Services may include psychological or social work, non-career counseling and consultation, speech/language improvement and curriculum/instructional modification.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 32

Regulation: 8 NYCRR 100.1, 100.2

Program Contact:

John Soja
Comprehensive Health and Pupil
Services
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090
E-Mail: JSOJA@MAIL.NYSED.GOV

Fiscal Contact:

Office of Mangement Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Must be providing services to eligible pupils ages five through 21.

Type of Program and Special Restrictions: Educationally Related Support Services Aid is folded into Flex Aid.

Action Required to Receive Aid: Submittal of a basic data collection document is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: See Attachments A and B, pages 209-218.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Part of Flex Aid, see Attachments A and B, pages 209-218.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	72,430,643	NA
SFY 05-06	NA	1,709,494	NA

¹Refers to NYSED State aid to public schools programs.

EMPLOYMENT PREPARATION EDUCATION

Objective: Employment preparation education programs primarily for adults without a high school diploma; \$2.5 million of the appropriation may be used to serve adults with a high school diploma who fail to demonstrate basic educational competencies.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61110

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 207 and 3602, Subdivision 24.

Regulation: 8 NYCRR 168

Program Contact:

Konrad Raup
Team Leader
Adult Literacy and Workforce Preparation
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-8920
E-Mail: KRaup@Mail.NYSED.GOV

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building, Room 507W
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts and BOCES. Districts and BOCES must have an approved program.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Program revenues may not exceed expenses; local effort must be maintained.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is the number of instructional hours times the aid ratio times the current aid ceiling.

Matching Requirement: NA

Maintenance of Effort: Services over and above level maintained prior to enactment of the law.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	90,000,000	NA
SFY 05-06	NA	96,000,000	NA
SFY 06-07	NA	96,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	85,175,260	NA
SFY 05-06	NA	91,089,758	NA

ESEA TITLE V: INNOVATIVE EDUCATION PROGRAM STRATEGIES

Objective: Provides aid to improve elementary and secondary education programs in public and non-public schools.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61804

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 84.298A

Legal Authority:

Law: No Child Left Behind Act, January 8, 2002, PL 107-110

Regulation: NA

Program Contact:

Laurie Rowe
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155
E-Mail: LROWE@MAIL.NYSED.GOV

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES, and Non-Public Schools.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Congress specified six broad purposes for which funds may be used, including programs for at risk students, innovative schoolwide programs, training and professional development, programs to enhance personal excellence, and projects enhancing the overall climate of the school.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Number of students enrolled in public and interested non-profit schools; pupils incurring higher than average cost to educate.

Matching Requirement: NA

Maintenance of Effort: Ninety percent of the preceding year.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	14,941,028	NA	NA
SFY 05-06	10,027,781	NA	NA
SFY 06-07	5,003,782	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	19,039,160	NA	NA
SFY 05-06	17,686,477	NA	NA

**EXCEL BUILDING AID
(EXPANDING OUR CHILDREN'S
EDUCATION AND LEARNING)**

Objective: Provides additional aid for certain types of school construction projects.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3641, Subdivision 14

Regulation: NA

Program Contact:

Carl T. Thurnau, Coordinator
Office of Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906
E-Mail: CThurnau@Mail.NYSED.Gov

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: All districts are eligible except special art districts, districts with less than eight teachers, districts that contract for 100% of costs of educating students and BOCES.

Type of Program and Special Restrictions: Used in conjunction with Building Aid.

Action Required to Receive Aid: Non-competitive application for aid required. Project must be reviewed by Facilities Planning and approved as an EXCEL project.

Description of Aid:

Flow of Funds: State to Local. Dormitory Authority to School Districts.

Type of Aid: Project Grant

Formula: For high need/resource capacity districts \$778.22 x 2005 enrollment and for average and low need/resource-capacity districts \$320.46 x 2005 enrollment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Dormitory Authority (DASNY) is authorized to issue a maximum of \$2.6 billion in bonds and notes.

EXTENDED SCHOOL DAY/ SCHOOL VIOLENCE PREVENTION

Objective: Prevention and intervention programs, including extended school day programs, developed to help all students achieve higher standards and increase school safety and reduce school violence.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61911

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2002, Chapter 53, 170

Regulation: Commissioner's Regulations, Section 144.7

Program Contact:

John Soja
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090
E-Mail: JSOJA@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, Community Based Organizations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	28,690,000	NA
SFY 05-06	NA	30,200,000	NA
SFY 06-07	NA	30,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	33,213,591	NA
SFY 05-06	NA	26,840,195	NA

EXTRAORDINARY NEEDS AID

Objective: Provides additional operating funds to school districts based on the concentration of pupils with extraordinary needs in the district.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1993-94

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 12

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Formula aid subject to mandated State requirements. Targets additional operating funds to school districts to meet needs relative to educating concentrations of pupils with extraordinary needs as evidenced by number of limited English proficient students, students in poverty, and students in sparsely populated areas.

Action Required to Receive Aid: Submittal of a basic data collection document is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided each year based on data from prior years.

Type of Aid: Ongoing

Formula: Limited English proficient pupils, participants in free and reduced priced lunch programs, sparsity, and income of districts residents. Extraordinary Needs Aid was folded into Flex Aid in 2005-06. See Attachment A, pages 209-218, for description of 2005-06 and 2006-07.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	974,097,498	NA
SFY 05-06*	NA	NA	NA
SFY 06-07*	NA	136,148,516 (Supplemental Only)	NA

*See Attachment A, pages 209-218.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,094,007,908	NA
SFY 05-06*	NA	NA	NA

*See Attachment A, pages 209-218.

¹Refers to NYSED State aid to public schools programs.

FAMILY LITERACY GRANTS¹

Objective: Develops or expands library services for preschool and school-age children and their parents or caregivers.

Administering Agency: NYS Education Department

Specific Program URL: www.nysl.nysed.gov/libdev

NYS Object Code: 61201

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 273 (1) (h) (3); Chapter 57, Part O of the Laws of 2005

Regulation: 8 NYCRR 90.3(k)

Program Contact:

Anne Simon
 NYS Education Department
 Cultural Education Center, Room 10B41
 Albany, NY 12230
 (518) 474-7890
 E-Mail: asimon@nysed.nysl.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Chartered and registered public libraries and public library systems are eligible to apply.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application. Discretionary, requires submission of RFP application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	285,643	NA
SFY 05-06	NA	320,075	NA
SFY 06-07	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	279,223	NA
SFY 05-06	NA	318,448	NA

¹Previously known as Parent and Child Library Services Grants. See Chapter 57, Part O of the Laws of 2005.

FLEX AID

Objective: Combines several previously separate aid categories into one flexible operating aid.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 53 of the Laws of 2006 and Part A, Section 1 of Chapter 58 of the Laws of 2006

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Flex Aid is unrestricted aid available to help each district meet its expenditures for general operations and maintenance.

Action Required to Receive Aid: Submittal of basic data collection documents.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: 2006-07 Flex Aid includes a one percent increase in the sum of 2005-06 Flex Aid. (See Attachment B, pages 209-218)

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	8,497,198,213	NA
SFY 06-07	NA	8,607,969,644	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	8,503,645,423	NA

¹Refers to NYSED State aid to public schools programs.

FORT DRUM AID

Objective: Provides additional financial support for school districts experiencing an increase in student enrollment as a result of the expansion of Fort Drum.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61111

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: 8 NYCRR 175.36

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

E-Mail: NA

Eligibility: School Districts. Eligible school districts are listed in Section 175.36 of the Regulations of the Commissioner of Education.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: For 2002-03 aid equals amount paid during 2001-02 SFY.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,625,000	NA
SFY 05-06	NA	2,625,000	NA
SFY 06-07	NA	2,625,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,625,000	NA
SFY 05-06	NA	2,625,000	NA

FULL DAY KINDERGARTEN PROGRAMS

Objective: Encourages districts to operate Full Day Kindergarten programs.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (12-a)

Regulation: NA

Program Contact:

Cynthia E. Gallagher
 Child, Family and Community Development
 NYS Education Department
 Education Building Annex, Room 381
 Albany, NY 12234
 (518) 474-5807
 E-Mail: NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, incentive aid.

Action Required to Receive Aid: Institution of a Full Day Kindergarten program, submittal of basic data collection documents.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Available in first year of Full Day Kindergarten program.

Formula: Increase in Full Day Kindergarten enrollment times Operating Aid per pupil.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,728,751	NA
SFY 05-06	NA	3,436,649	NA
SFY 06-07	NA	1,952,715	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,563,876	NA
SFY 05-06	NA	3,745,283	NA

GROWTH AID

Objective: Provides aid to public school districts which experience an increase in student enrollment from the prior year.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NYS Education Law, Section 3602, Subdivision 13

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Formula aid, based on enrollment growth.

Action Required to Receive Aid: Submittal of a basic data collection document.

Description of Aid:

Flow of Funds: State to local, payable in June of the current year.

Type of Aid: Ongoing

Formula: The quotient of the current year enrollment divided by the base year enrollment; any growth index in excess of one and four thousandths is multiplied by the basic operating aid.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	26,725,196	NA
SFY 05-06	NA	27,728,621	NA
SFY 06-07	NA	17,002,443	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	15,094,557	NA
SFY 05-06	NA	13,835,474	NA

HEALTH EDUCATION

Objective: Provides grants to help develop health education programs for in-school and out-of-school children and youth.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61127

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2002, Chapter 54

Regulation: 8 NYCRR 135.3

Program Contact:

Student Support Services Team
 NYS Education Department
 Education Building, Room 318M
 Albany, NY 12234
 (518) 486-6090

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES and Others.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	858,400	NA
SFY 05-06	NA	607,801	NA
SFY 06-07	NA	950,000	NA

*Includes Aids Institute funding.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	800,891	NA
SFY 05-06	NA	882,157	NA

*Includes Aids Institute funding.

HIGH TAX AID

Objective: Provides aid to districts if their county's tax effort ratio is greater than four percent.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Laws of 2006, Chapter 58

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: All districts in a county are eligible if their county's tax effort ratio is greater than four percent.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: For any district in eligible county, aid is greater of 25,000 or the formula High Tax Aid which is the result of 29.90 multiplied by estimated 2005 public enrollment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	20,000,000	NA

Amounts Disbursed:

SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

¹Refers to NYSED State aid to public schools programs.

HIGHER EDUCATION OPPORTUNITY PROGRAM (HEOP)

Objective: Helps provide a broad range of services to New York State residents who, because of academic and economic circumstances would otherwise be unable to attend a postsecondary educational institution.

Administering Agency: NYS Education Department - Office of K-16 Initiatives and Access Programs (KIAP)

Specific Program URL: www.highered.nysed.gov/kiap/COLLEGIATE

NYS Object Code: 61702

Year Established: 1969

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 207 and 6451

Regulation: Regents Rules and Regulations, Section 27-1.1

Program Contact:

James A. Donsbach, Supervisor
Higher Education Opportunity Program
Office of K-16 Initiative & Access Programs
NYS Education Department
Education Building Annex
Albany, NY 12234
(518) 474-5313
E-Mail: jdotsbac@mail.nysed.gov

Fiscal Contact:

Same

Eligibility: Independent institutions of higher education incorporated by the Legislature or chartered by the Board of Regents and offering two- or four-year degree programs registered with and approved by the Board of Regents.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Contracts.

Formula: NA

Matching Requirement: 15%

Maintenance of Effort: Yes, for the duration of the contract.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,900,000	NA
SFY 05-06	NA	22,000,000	NA
SFY 06-07	NA	24,200,000	NA

Amounts Disbursed:

SFY 04-05	NA	12,664,836	NA
SFY 05-06	NA	23,753,146	NA

HOMELESS AID

Objective: Helps school districts meet qualifying expenditures for non-resident homeless or runaway children.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61909

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NYS Education Law, Sections 3209, 1502, 3202

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid: There is State reimbursement of 100% of the direct cost of educational services provided to a nonresident homeless or runaway pupil by the school district of current location, or a school district participating in a regional placement plan, when such a district is designated as the district which the pupil shall attend.

Flow of Funds: State to local. Monies are provided as a reimbursement in the current year.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,675,000	NA
SFY 05-06	NA	6,250,000	NA
SFY 06-07	NA	6,250,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,813,274	NA
SFY 05-06	NA	4,168,365	NA

IDEA PART B - SECTION 611

Objective: Supplements the special education programs of children with handicapping conditions ages 3-21.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61806

Year Established: 1968

Catalog of Federal Domestic Assistance No.: 84.027A

Legal Authority:

Law: NY Article 89; US PL 91-230, Title VI, PL 91-380, PL 94-142, PL 98-199 and PL 99-457, PL 101-476

Regulation: NYSED Commissioner's Regulations, Part 200; 34 CFR Part 300

Program Contact:

Michael Plotzker
 Manager, Special Education
 NYS Education Department
 One Commerce Plaza, Room 1611
 Albany, NY 12234
 (518) 486-7584
 E-Mail: mplozke@mail.nysed.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts and State Agencies, BOCES, Private Non-Profit Groups. Recipients must be local educational agencies in which there are children with handicapping conditions, ages 3-21, who are receiving special education and related services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used for excess costs for children with handicapping conditions who have been classified by local educational agency's committee on special education. Must be used to supplement and not supplant local and State funds.

Action Required to Receive Aid: Application for aid required, count of eligible children must be submitted by local educational agencies as of December 1 of each year.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Base year allocation using December 1, 1998 child count and 75 percent of the 1999-2000 grant award, with additional funds above the base distributed based on population and poverty.

Matching Requirement: NA

Maintenance of Effort: The total amount or average per capita amount of State and local school funds budgeted by the local educational agency for expenditures in the current fiscal year for the education of disabled children must be at least equal to the total amount or average per capita amount of State and local school funds actually expended for the education of disabled children in the most recent preceding fiscal year for which the information is available. Allowance may be made for: decreases in enrollment of disabled children; and unusually large amounts of funds expended for such long term purposes as the acquisition of equipment and the construction of school facilities.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	598,025,869	NA	NA
SFY 05-06	622,841,127	NA	NA
SFY 06-07	621,455,987	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	530,939,269	NA	NA
SFY 05-06	623,282,770	NA	NA

IDEA PART B - SECTION 619

Objective: Supplements special educational related services provided by local educational agencies with local, State and other Federal funds to handicapped children ages 3-5 years (75% flow-through).

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61806

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 84.173A

Legal Authority:

Law: US Individuals with Disabilities Education Act, Part B, Section 1419, PL 99-457, PL 101-476

Regulation: 34 CFR Parts 300 and 301

Program Contact:

Michael Plotzker
 Manager, Special Education
 NYS Education Department
 One Commerce Plaza, Room 1611
 Albany, NY 12234
 (518) 486-7584
 E-Mail: mplotzke@mail.nysed.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: State Governments and School Districts. State and local educational agencies must serve disabled pupils ages 3-5 in accordance with all rights and protection specified in the Individuals with Disabilities Education Act.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Funds may only be used for costs in excess of funds expended in the education of all children and may not be used to supplant such costs.

Action Required to Receive Aid: Non-competitive application for aid required; LEAs must submit a count of eligible children served on December 1 of the preceding year.

Description of Aid:

Flow of Funds: Federal to State to local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Base year allocation using December 1, 1996 child count and 75 percent of the 1997-98 grant award, with additional funds above the base distributed based on population and poverty.

Matching Requirement: NA

Maintenance of Effort: The level of State and local funds budgeted for the project year must, at least, equal the amount of such funds expended during the most recent fiscal year for which information is available.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	25,873,575	NA	NA
SFY 05-06	25,628,688	NA	NA
SFY 06-07	25,334,480	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	23,722,868	NA	NA
SFY 05-06	32,022,411	NA	NA

IMPROVING THE ACADEMIC ACHIEVEMENT OF THE DISADVANTAGED TITLE I, PART A

Objective: Expands and improves educational programs to meet the needs of educationally disadvantaged children in low income areas.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61803

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.010A

Legal Authority:

Law: No Child Left Behind Act of 2001, Title I, Part 1003A, PL 107-334

Regulation: Pending

Program Contact:

Roberto Reyes
Title I, School and Community Services
NYS Education Department
Education Building Annex, Room 364
Albany, NY 12234
(518) 473-0295
E-Mail: RREYES@MAIL.NYSED.GOV

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, State Agencies. Must have educationally disadvantaged students in school buildings with high concentrations of poverty.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Funds must be used for supplementary services to meet needs of eligible students in targeted assistance schools or schoolwide program schools.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Complex formula based on number of children from the latest available census.

Matching Requirement: NA

Maintenance of Effort: Ninety percent of previous year's expenditures.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,180,159,170	NA	NA
SFY 05-06	1,165,342,389	NA	NA
SFY 06-07	1,145,931,606	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,173,061,773	NA	NA
SFY 05-06	1,256,619,997	NA	NA

INCARCERATED YOUTH

Objective: Supports educational services provided to school age youth incarcerated in county jails.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61113

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3202, Subdivision 7 and Section 3602, Subdivision 35

Regulation: 8 NYCRR 118

Program Contact:

Greg Bayduss
 State Coordinator, Safe and Drug Free Schools
 Student Support Services Team
 NYS Education Department
 89 Washington Avenue, Room 318M EB
 Albany, NY 12234
 (518) 486-7327
 E-Mail: Gbayduss@mail.nysed.gov

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building, Room 507W
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts and BOCES. The school district that is responsible for providing educational services, to the geographic area in which the county correctional facility is located, is responsible for providing educational programming to youth under the age of 21 that are placed in custody of the facility.

Type of Program and Special Restrictions: At least 15 hours of instruction must be provided each week that is designed to increase the student's level of achievement in the areas of reading, mathematics and written and oral communication; and prepares a student to pass the General Educational Development Tests; or provides tutorial support to a student who is expected to return to school; or provides employment preparation education which builds upon the student's interest, aptitudes and skills.

Action Required to Receive Aid: Submittal of Annual Incarcerated Youth Education Program Plan.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Aid is the lesser of (a) district approved operating expenses divided by district total aidable pupil units times full time equivalent students in incarcerated youth program, or (b) annual program cost plus five percent for administration; provided that no grant shall be less than \$15,000.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	16,500,000	NA
SFY 05-06	NA	16,500,000	NA
SFY 06-07	NA	16,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	14,953,600	NA
SFY 05-06	NA	6,065,959	NA

INCENTIVE GRANTS TO IMPROVE PUPIL PERFORMANCE

Objective: Strengthens educational programs in school districts identified as having the greatest need.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61159

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Sections 605 and 3641(7)

Regulation: 8 NYCRR 144.1

Program Contact:

Sandra A. Herndon (NYC)/Roberto Reyes (Upstate)
NYS Education Department
55 Hanson Place
New York, NY 11217-1580
(718) 722-2796
E-Mail: SHERNDON@MAIL.NYSED.GOV
RREYES@MAIL.NYSED.GOV

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts. Largest five city school districts in New York State.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Annual appropriation is shared among the five largest school districts based on the number of pupils with special educational needs in each district as compared to the total number of such students in all five districts.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	66,350,000	NA
SFY 05-06	NA	66,350,000	NA
SFY 06-07	NA	66,350,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	64,150,101	NA
SFY 05-06	NA	77,977,979	NA

INDEPENDENT LIVING CENTERS

Objective: Provides funding for New York State Independent Living Centers.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Robert Gumson
Manager, Independent Living Unit
NYS Education Department
One Commerce Plaza, Room 1601
Albany, NY 12234
(518) 474-2925
E-Mail: rgumson@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
Director of Financial Administration
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751
E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Approved Independent Living Centers, through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive RFP. Requires vouchers and expenditure reports.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	10,194,000	NA
SFY 05-06	NA	10,730,600	NA
SFY 06-07	NA	11,730,600	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	10,640,714	NA
SFY 05-06	NA	3,297,324	NA

INDEPENDENT LIVING CENTERS - PART B FUNDS

Objective: Provides funding for New York State Independent Living Centers.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 84.169

Legal Authority:

Law: Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B, 29 U.S.C. 796a-796e-3

Regulation: 34 CFR 365

Program Contact:

Robert Gumson
Manager, Independent Living Unit
NYS Education Department
One Commerce Plaza, Room 1601
Albany, NY 12234
(518) 474-2925
E-Mail: rgumson@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
Director of Financial Administration
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751
E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Approved Independent Living Centers, through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by Federal and State law or regulations.

Action Required to Receive Aid: Competitive RFP. Requires vouchers and expenditure reports.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	566,526	NA	NA
SFY 04-05	485,004	NA	NA
SFY 05-06	511,003	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	443,176	NA	NA
SFY 05-06	319,811	NA	NA

**LEARN AND SERVE AMERICA:
K-12 SCHOOL BASED PROGRAM (LSA)**

Objective: The Learn and Serve America: K-12 School-Based Program (LSA) has three purposes: (1) to develop, implement, and integrate service-learning into schools wherein students in grades K-12 have opportunities to volunteer their service for the benefit of others and their community; (2) to foster civic responsibility, participation, service and knowledge within our young people; and (3) to increase the number of adults who volunteer in school-based, service-learning programs.

Administering Agency: NYS Education Department – Office of K-16 Initiatives and Access Programs; US Corporation for National and Community Service

Specific Program URL: http://www.highered.nysed.gov/kiap/LEARN%20AND%20SERVE/about_servicelearning.htm

NYS Object Code: 61816

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 94.004

Legal Authority:

Law: The National Community Service Act, P.L. 106-170, Section 111

Regulation: NA

Program Contact:

Fran Hollon
Associate, LPP
Office of K-16 Initiatives and Access Programs
NYS Education Department
Albany, NY 12234
(518) 486-5202
E-Mail: FHOLLON@MAIL.NYSED.GOV

Fiscal Contact:

NA

Eligibility: School Districts

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local

Type of Aid: Program Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	1,359,081	NA	NA
SFY 04-05	1,292,485	NA	NA
SFY 05-06	1,105,784	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,409,228	NA	NA
SFY 05-06	1,480,618	NA	NA

LIBERTY PARTNERSHIPS PROGRAM

Objective: Funds collaborative pre-collegiate/school dropout prevention programs that support at-risk youth in completing secondary school and prepare those students for successful transition into postsecondary education and entry-level careers.

Administering Agency: NYS Education Department – Office of K-16 Initiatives and Access Programs

Specific Program URL: <http://www.highered.nysed.gov/kiap/PCPPU/lpp/home1.htm>

NYS Object Code: 61140

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 612

Regulation: NA

Program Contact:

Arlene Way
Associate, LPP
Office of K-16 Initiatives and Access Programs
New York State Education Department
Albany, NY 12234
(518) 486-5202
E-Mail: AWAY@MAIL.NYSED.GOV

Fiscal Contact:

NA

Eligibility: New York State degree-granting institutions of higher education or consortia of such institutions. In addition, in areas of the State where no degree-granting institutions or consortia of degree-granting institutions of higher education can provide appropriate services to students, not-for-profit community-based organizations in cooperation with school districts are eligible.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local

Type of Aid: Program Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	NA	10,925,000	NA
SFY 04-05	NA	10,925,000	NA
SFY 05-06	NA	10,925,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,129,890	NA
SFY 05-06	NA	10,537,190	NA

LIBRARY MATERIALS AID

Objective: Provides aid for the purchase of school library materials by school districts and the loan of such materials to both public and non-public school pupils. Such library materials are to be loaned for individual student use only and are not for teacher use.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61151

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 711

Regulation: 8 NYCRR Part 21.4

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for approved materials which will be loaned for individual student use only.

Action Required to Receive Aid: Report of expenditures and enrollments.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is the lesser of public and non-public enrollment in the prior year times \$6.00 or prior year library materials expenditures.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	19,623,739	NA
SFY 05-06	NA	19,483,453	NA
SFY 06-07	NA	19,280,299	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	19,538,051	NA
SFY 05-06	NA	19,406,399	NA

LIBRARY SERVICES AND TECHNOLOGY ACT (LSTA)

Objective: Supports library system and central library-initiated projects to provide highest possible level of electronic doorway library services and special library services to improve access to information and library services for all people of the State.

Administering Agency: NYS Education Department; US Institute of Museum and Library Services, National Foundation on the Arts and the Humanities

Specific Program URL: www.NYSL.NYSED.Gov/libdev

NYS Object Code: 61204

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 45.310

Legal Authority:

Law: US P.L. 104-208, as amended.

Regulation: No program regulations exist for this program.

Program Contact:

Mary Linda Todd
 Division of Library Development
 NYS Education Department
 Cultural Education Center, Room 10C50
 Albany, NY 12230
 (518) 486-7890
 E-Mail: smccain@mail.nysed.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: State Governments, Library Systems and Public Library System Central Libraries. States may establish a State Advisory Council which will assist in the design of a Five-Year plan.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal and State requirement varies.

Maintenance of Effort: The amount payable to a State for a fiscal year shall be reduced if the level of State expenditures for the previous fiscal year is less than the average of the total of such expenditures for the three fiscal years preceding that previous fiscal year.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 05-06*	1,875,294	NA	NA

*Grants Only.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,564,194	NA	NA
SFY 05-06	1,846,927	NA	NA

LIBRARY SYSTEM AID

Objective: Aids the development of library systems and services within the State.

Administering Agency: NYS Education Department

Specific Program URL: www.nysl.nysed.gov/libdev

NYS Object Code: 61201

Year Established: 1958

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 272, 273, 284 and 285

Regulation: 8 NYCRR 90

Program Contact:

Barbara Lilley
 Division of Library Development
 NYS Education Department
 Cultural Education Center, Room 10B41
 Albany, NY 12230
 (518) 474-7890
 E-Mail: blilley@mail.nysed.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: BOCES, School Districts, Non-Profit Public Library Systems, and Reference and Research Library Resources Systems.

Type of Program and Special Restrictions: Optional, but once chosen subject to State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Multi-part formula for various types of general library aid which may take into account geographic size, matching available, per capita rates, presence of a correctional facility, etc.

Matching Requirement: See NY Education Law, Section 273.

Maintenance of Effort: For public library systems, must be at least 95 percent of the average of the amounts raised by local taxation for the two preceding calendar years or aid will be reduced.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	86,972,000	NA
SFY 05-06	NA	90,013,158	NA
SFY 06-07	NA	96,024,265	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	84,086,378	NA
SFY 05-06	NA	89,555,475	NA

LIMITED ENGLISH PROFICIENCY AID

Objective: Supports programs for pupils with limited English proficiency.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Laws of 2000, Chapter 53, EL 3602, Subdivisions 10 and 22

Regulation: 8 NYCRR 154

Program Contact:

Carmen A. Perez-Hogan
Coordinator
Office of Bilingual Education
NYS Education Department
Education Building Annex, Room 367
Albany, NY 12234
(518) 474-8775
E-Mail: NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: Public School Districts and BOCES. School Districts must provide bilingual and/or English as a second language service to students with limited English proficiency in grades K-12.

Type of Program and Special Restrictions: Claiming aid is optional, but once chosen subject to mandated State requirements. Entitlement program(s) must operate as specified in the approved CR Part 154 Comprehensive Plan.

Action Required to Receive Aid: Non-competitive application for aid must be submitted to program office. Enrollment of LEP students and provision of bilingual education and/or ESL services.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Limited English Proficiency Aid is part of Flex Aid. See Attachments A and B, pages 209-218.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Part of Flex Aid, see Attachments A and B, pages 209-218.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	105,616,389	NA

*See Attachments A and B, pages 209-218.

LOCAL GOVERNMENT RECORDS MANAGEMENT

Objective: Program to assist local governments to develop, maintain, and update records management systems that improve access to local government records.

Administering Agency: NYS Education Department

Specific Program URL: www.archives.nysed.gov

NYS Object Code: 61910

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Education Law, Sections 57a, 57.35

Regulation: 8 NYCRR 185, 188

Program Contact:

Meredith Cherven-Holland
 Director, Grants Administration Unit
 NYS Archives
 NYS Education Department
 Cultural Education Center, Room 9A47
 Albany, NY 12230
 (518) 474-6926
 E-Mail: mcherven@mail.nysed.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Counties, Cities, Towns, Villages and Public Authorities. Program eligibility limited to local governments.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	9,650,000
SFY 05-06	NA	NA	9,650,000
SFY 06-07	NA	NA	9,650,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	10,472,595
SFY 05-06	NA	NA	11,006,565

LOTTERY AID

Objective: Supports the general programs of school districts, particularly the purchase of textbooks.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61188

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Finance Law, Section 92-C

Regulation: NA

Program Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Fiscal Contact:

NA

Eligibility: School Districts. School district must employ eight or more teachers to receive both the general and the textbook portions of the aid. Special act districts and those with fewer than eight teachers receive only the textbook portion of the aid.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Textbook portion must be used only for textbooks.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially in advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Lottery operating portion is based on districts wealth and total aidable pupils.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,169,331,255
SFY 05-06	NA	NA	2,004,866,195
SFY 06-07	NA	NA	1,985,367,615

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,169,336,467
SFY 05-06	NA	NA	2,004,866,192

MAGNET SCHOOLS PROGRAM

Objective: Provides funding for any instructional or instruction support costs associated with: the operation of a magnet school, or; the implementation of an alternative approach to reduction of racial isolation, or; the enhancement of the instructional program to improve student achievement in elementary and secondary schools of school districts having substantial concentrations of minority students.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61182

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 3641.5(a)

Regulation: 8 NYCRR 100.2

Program Contact:

Darlene Mengel
Public School Choice Program
NYS Education Department
Education Building
Albany, NY 12234
(518) 474-5923
E-Mail: NA

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	136,096,000	NA
SFY 05-06	NA	137,596,000	NA
SFY 06-07	NA	158,196,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	140,622,006	NA
SFY 05-06	NA	139,242,276	NA

MINOR MAINTENANCE AND REPAIR AID

Objective: Supports the adequate maintenance and repair of school facilities.

Administering Agency: NYS Education Department

Specific Program URL: <http://www.EMSC.NYSED.GOV/facplan/>

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (6-d)

Regulation: NA

Program Contact:

Carl Thurnau
Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906
E-Mail: Cthurnau@Mail.NYSED.Gov

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Age of facilities, long term enrollment growth, and expenditures for repairs.

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated: Part of Flex Aid, see Attachments A and B, pages 209-218.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	46,997,652	NA

*See Attachments A and B, pages 209-218.

NATIONAL SCHOOL LUNCH PROGRAM

Objective: Supports the provision of nutritious lunches and snacks in after school care to all children in public and non-public non-profit schools and residential child care institutions.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

Specific Program URL: NA

NYS Object Code: 61501¹

Year Established: 1946

Catalog of Federal Domestic Assistance No.: 10.555

Legal Authority:

Law: NY Education Law, Section 207; 42 USC 1751

Regulation: 7 CFR 210, 220, 245

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building, Room 55
 Albany, NY 12234
 (518) 473-8781
 E-Mail: FODONNEL@mail.NYSED.Gov

Fiscal Contact:

NA

Eligibility: School Districts, Non-public Schools and Residential Child Care Institutions. Must be a non-profit organization.

Type of Program and Special Restrictions: Optional, but once enrolled in program subject to mandated State and Federal requirements. Federal and State assistance must be used for expenses related to children's meals.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is computed by multiplying the number of lunches served to students by the current Federal and State rates for each category of eligibility for lunch and the Federal rate for snacks.

Matching Requirement: State \$13,901,642

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 04-05	455,138,513	31,700,000	NA
SFY 05-06	465,582,688	31,700,000	NA
SFY 06-07	NA	31,700,000	NA

*All CN programs are entitlements. Expenditures equal appropriations.

**Total breakfast/lunch appropriation.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	455,138,513	15,586,673	NA
SFY 05-06	422,762,828	27,604,526	NA

¹Refers to NYSED school lunch, milk and breakfast programs.

NEW YORK STATE LEARNING TECHNOLOGY GRANT PROGRAM

Objective: Provides funds for public schools and non-public partners to purchase technology, and for related instructional technology planning and professional development, in the context of NYS learning standards and assessment.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Laws of 1997, Chapter 153

Regulation: CR 8 NYCRR 144.8

Program Contact:

Constance Centrello
Curriculum Instruction and Instructional Technology
NYS Department of Education
Education Building, Room 320
Albany, NY 12234
(518) 474-5922
E-Mail: emscltg@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts and BOCES. Students in nonpublic schools can benefit but nonpublic schools cannot apply directly.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Limited, expires 6/30.

Formula: Population, Wealth, Rural - \$50,000 grants.

Matching Requirement: None

Maintenance of Effort: Must show coordination across Federal and State technology subsidies.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,285,000	NA
SFY 05-06	NA	3,285,000	NA
SFY 06-07	NA	3,285,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,613,730	NA
SFY 05-06	NA	2,877,681	NA

NEW YORK STATE MENTOR TEACHER INTERNSHIP PROGRAM

Objective: Provides funding to local districts and BOCES to establish mentoring programs whereby veteran teachers provide guidance and support to beginning teachers in the critical 1st or 2nd year of teaching.

Administering Agency: NYS Education Department – Office of Teaching Initiatives

Specific Program URL: <http://www.highered.nysed.gov/tcert/resteachers/mentorinternship.htm>

NYS Object Code: 61112

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3033

Regulation: Part 85

Program Contact:

Nancy Brennan
Associate
Office of Teaching Initiatives
NYS Education Department, 5N EB
Albany, NY 12234
(518) 474-4661
E-Mail: nbrennan@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: NYS Public School Districts and BOCES

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant of one year duration.

Formula: Quality of proposal, number of teachers to be served, percentage of median salary, with special consideration to High Need districts.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	6,000,000	NA
SFY 05-06	NA	6,000,000	NA
SFY 06-07	NA	6,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,804,475	NA
SFY 05-06	NA	4,035,677	NA

OMH/OMRDD PUPIL EDUCATION

Objective: Funds tuition maintenance and transportation for certain pupils who are, or who have been clients of the NYS Office of Mental Health or NYS Office of Mental Retardation and Developmental Disabilities.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61183

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3202

Regulation: 8 NYCRR 200, 175.6, 175.8 and 175.11

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

E-Mail: NA

Eligibility: School Districts. Must have students who: (1) currently reside in an OMRDD Developmental Center located in the district, or previously resided in an OMRDD Developmental Center, but who were transferred to either (2) an OMRDD family care or group home, or (3) an OMRDD operated intermediate care facility or individualized residential alternative site located in the district.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Funds must be used for specified pupils.

Action Required to Receive Aid: Non-competitive application for aid required. STAC Forms required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	26,000,000	NA
SFY 05-06	NA	30,000,000	NA
SFY 06-07	NA	34,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	32,413,258	NA
SFY 05-06	NA	19,182,364	NA

OPERATING AID (COMPREHENSIVE)*

Objective: Helps school districts meet qualifying expenditures for and maintenance of the school district. Expenditures include salaries of administrators, teachers, and nonprofessionals, fringe benefits, utilities, and maintenance of school facilities.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 2002

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 12-b

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: Submittal of basic data collection document.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Part of Flex Aid, see Attachments A & B, pages 209-218.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Part of Flex Aid, see Attachments A and B, pages 209-218.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	6,964,995,181	NA

*See Attachments A and B, pages 209-218.

¹Refers to NYSED State aid to public schools programs.

POSTSECONDARY EDUCATION AID FOR NATIVE AMERICANS

Objective: Provides student aid for Native Americans at Postsecondary Institutions in the State of New York.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61905

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4118

Regulation: NA

Program Contact:

Adrian Cooke
Acting Coordinator
Native American Education Unit
NYS Education Department
Education Building Annex, Room 465
Albany, NY 12234
(518) 474-0537
E-Mail: acooke@mail.nysed.gov

Fiscal Contact:

Same

Eligibility: Student must be a resident of NYS; be enrolled member or child of an enrolled member of NYS Tribe; have graduated from an accredited High School; and be accepted in an approved program offered by Postsecondary Institution in New York State.

Type of Program and Special Restrictions: Optional. Must be a member or child of enrolled member of the Iroquian and two Algonkian tribes on Long Island.

Action Required to Receive Aid: Must submit application by deadlines established.

Description of Aid:

Students are awarded funding as a full time student, and a prorated scale for part time student.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	635,000	NA
SFY 05-06	NA	635,000	NA
SFY 06-07	NA	635,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	635,000	NA
SFY 05-06	NA	635,000	NA

PRIMARY MENTAL HEALTH PROJECT

Objective: Provides support for statewide implementation and dissemination of the Primary Mental Health Project which fosters school adjustment by strengthening the social and emotional competence of children.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61190

Year Established: 2002

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 53, Laws of 2002

Regulation: NA

Program Contact:

John Soja
Comprehensive Health and Pupil
Services
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090
E-Mail: jsoja@mail.nysed.gov

Fiscal Contact:

NA

Eligibility: Contractors capable of implementing and disseminating the Primary Mental Health Project model.

Type of Program and Special Restrictions: Optional, without any State or Federal mandates. Funds are used to support implementation, training and dissemination efforts and are managed and distributed through The Children's Institute, Inc.

Action Required to Receive Aid: Request for preparation of contract required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement. A schedule of payments is described in the contract.

Type of Aid: Project

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	541,500	NA
SFY 05-06	NA	970,000	NA
SFY 06-07	NA	970,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	541,500	NA
SFY 05-06	NA	761,918	NA

PRIVATE EXCESS COST AID

Objective: Provides aid for tuition paid for private school placements of pupils with handicapping conditions.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61119

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 4401 and 4405

Regulation: 8 NYCRR 200

Program Contact:

Thomas Hamel
Chief
Program Services Reimbursement Unit
NYS Education Department
Albany, NY 12234
(518) 474-3227
E-Mail: NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. School district must employ eight or more teachers and be paying tuition to private schools for pupils with handicapping conditions.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required. Filing of appropriate forms.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is the excess cost of tuition times the district aid ratio. Consult program contact for additional conditions.

Matching Requirement: Local share equal to tax levy per pupil plus 15 percent of approved excess costs for district of average wealth.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	217,066,418	NA
SFY 05-06	NA	227,755,134	NA
SFY 06-07	NA	232,608,391	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	208,904,384	NA
SFY 05-06	NA	218,703,772	NA

**PUBLIC EXCESS COST AID, HIGH COST AID
AND DECLASSIFICATION AID**

Objective: Partially reimburses school districts for the cost of educating students with disabilities. Declassification aid defrays a portion of the cost of providing support for teachers and pupils in the first year that a pupil moves from a special program to a regular, full-time program. High Cost aid partially reimburses districts for the education of students with disabilities in very expensive programs.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61119

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 19

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Must have students with disabilities (as certified by the Commissioner of Education) in an approved public school program.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required with filing of appropriate forms.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Public excess cost portion has complex aid formula using excess cost aid ratio, approved operating expenses, base year pupil counts, weighted number of pupils with disabilities, and beginning with 2002-03 aid, the number of special education students educated in an integrated setting (with general education peers). Declassification aid is 0.50 times the public excess cost aid per pupil unit times the number of pupils.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,274,888,120	NA
SFY 05-06	NA	2,360,063,970	NA
SFY 06-07	NA	2,563,928,133	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,304,423,167	NA
SFY 05-06	NA	2,458,108,323	NA

READERS AID

Objective: Provides funding to Institutions of Higher Education that serve VESID consumers.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61952

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4210

Regulation: NA

Program Contact:

Edward Placke
Assistant Commissioner
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 473-1818
E-Mail: eplacke@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
Director of Financial Administration
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751
E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Institutions of Higher Education that serve individuals with disabilities.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Application for aid required. Requires expenditure reports and vouchers.

Description of Aid:

Flow of Funds: State to Local Colleges/Universities. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	300,000	NA
SFY 05-06	NA	300,000	NA
SFY 06-07	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	300,000	NA
SFY 05-06	NA	261,604	NA

Education Department

**REBUILDING SCHOOLS TO UPHOLD EDUCATION
(RESCUE AID)**

Objective: Funds needed construction and/or reconstruction of instructional school facilities.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3641, Subdivision 10

Regulation: NA

Program Contact:

Carl T. Thurnau, Coordinator
Office of Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906
E-Mail: CThurnau@Mail.NYSED.Gov

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts employing eight or more teachers.

Type of Program and Special Restrictions: Used in conjunction with Building Aid.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: Funding allocated based on claimed expenses, up to maximum allocation for each district.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Districts "draw down" over a period of several years on a 1999 appropriation of \$145 million and a 2000-01 appropriation of \$50 million.

REORGANIZATION INCENTIVE OPERATING AID AND BUILDING AID

Objective: Provides additional building and operating aid to districts which have reorganized under specified conditions. See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State, available from the Bureau of State Aided Programs of the NYS Education Department.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 14, Paragraphs c, d and e

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Must have reorganized under specified conditions. See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Submittal of basic data collection document and documentation related to capital construction.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is calculated as a varying percentage of Operating Aid and as a specified percentage of Building Aid paid on qualifying building projects. See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	32,387,654	NA
SFY 05-06	NA	30,567,235	NA
SFY 06-07	NA	30,827,496	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	32,311,610	NA
SFY 05-06	NA	30,447,484	NA

¹Refers to NYSED State aid to public schools programs.

SCHOOL BREAKFAST PROGRAM

Objective: Supports the provision of nutritious breakfasts to all children attending public and non-public schools and residential child care institutions. The State mandates the breakfast program in public elementary schools, except under certain circumstances.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

Specific Program URL: NA

NYS Object Code: 61501¹

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 10.553

Legal Authority:

Law: NY Education Law, Section 207; 42 USC 1773

Regulation: NYSED Commissioner's Regulations, Chapter II, Part 114.1, Part 114.2; 7 CFR Chapter II Part 220

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building, Room 55
 Albany, NY 12234
 (518) 473-8781
 E-Mail: FODONNEL@mail.NYSED.Gov

Fiscal Contact:

Michael Affinito
 Local Assistance Unit
 NYS Education Department
 Education Building, Room 409EB
 89 Washington Avenue
 Albany, NY 12234
 (518) 474-3926
 E-Mail: maffinit@mail.nysed.gov

Eligibility: School Districts, Non-Public Schools and Residential Child Care Institutions (RCCIs). Must be a non-profit organization.

Type of Program and Special Restrictions: Optional for non-public schools and RCCIs, but if implemented subject to mandated State and Federal requirements. This program is mandated by State law or regulations for the Big 5 City School Districts, for elementary schools and for severe need secondary schools (as of 1995).

Action Required to Receive Aid: Optional for non-public schools and RCCIs, but if implemented subject to mandated State and Federal requirements. A non-competitive application for aid is required.

Description of Aid:

Flow of Funds: Federal and State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing reimbursement.

Formula: Aid is computed by multiplying the number of breakfasts served to students by the current Federal and State rates for each category of eligibility.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	108,369,856	NA	NA
SFY 05-06	116,570,141	NA	NA
SFY 06-07	NA	NA	NA

*See National School Lunch Program.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	108,369,856	NA	NA
SFY 05-06	108,484,628	NA	NA

¹Includes NYSED school lunch, milk and breakfast programs.

SCHOOL BUS DRIVER SAFETY TRAINING

Objective: Provides funds for the development of and/or continuation of various components of a comprehensive statewide program of safety training for school bus drivers.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3624

Regulation: 156.3

Program Contact:

Marion Edick
State Director
Educational Management Services
NYS Education Department
Education Building Annex, Room 876
Albany, NY 12234
(518) 474-6541
E-Mail: Medick@mail.NYSED.gov

Fiscal Contact:

Same

Eligibility: Public School Districts and BOCES.

Type of Program and Special Restrictions: The program consists of a number of components or projects in school bus driver safety training.

Action Required to Receive Aid: Contracts are awarded based upon successful response to various RFPs issued.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	400,000	NA
SFY 05-06	NA	400,000	NA
SFY 06-07	NA	400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	400,000	NA
SFY 05-06	NA	400,000	NA

SCIENCE & TECHNOLOGY ENTRY PROGRAM (STEP)

Objective: Prepares historically underrepresented or economically disadvantaged secondary school students for entry into post secondary degree programs in scientific, technical, and health related fields, and the licensed professions.

Administering Agency: NYS Education Department - Office of K-16 Initiatives & Access Programs (KIAP)

Specific Program URL: www.highered.gov/kiap/pcppu/home.html

NYS Object Code: 61127

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 6454

Regulation: NA

Program Contact:

Geneva M. Lewis
Associate, STEP
Office of K-16 Initiatives & Access Programs
Albany, NY 12234
(518) 486-5202
E-Mail: GLewis@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: NYS public and independent degree-granting Colleges and Universities with registered science, technical or health-related professional or pre-professional programs that lead to a STEP-targeted profession.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Program grant-contract.

Formula: NA

Matching Requirement: 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,700,000	NA
SFY 05-06	NA	5,225,000	NA
SFY 06-07	NA	10,450,000	NA

Amounts Disbursed:

SFY 04-05	NA	1,824,769	NA
SFY 05-06	NA	7,871,528	NA

SMALL CITIES AID

Objective: Provides financial relief to selected small city school districts.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61156

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 31-a

Regulation: 8 NYCRR 175.34

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Must be a small city school district and have received such aid in immediately preceding school year.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Filing of basic data.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State, listed under Publications at the following NYS Education Department website: <http://stateaid.nysed.gov>.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	81,875,992	NA
SFY 05-06	NA	81,875,992	NA
SFY 06-07	NA	81,875,992	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	81,875,992	NA
SFY 05-06	NA	81,875,992	NA

SOUND BASIC EDUCATION AID

Objective: Provides funds for English language learners and economically disadvantaged students.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61188

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Laws of 2005 and 2006, Chapter 53

Regulation: NA

Program Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Fiscal Contact:

NA

Eligibility: School Districts. Must have eight or more teachers.

Type of Program and Special Restrictions: Mandated, required by State law.

Action Required to Receive Aid: No action required, automatically receive aid, except in 2005-06 required to adopt budget before aid could be paid.

Description of Aid:

Flow of Funds: State to Local

Type of Aid: Ongoing

Formula: District eligible to receive the greater of English Language Learning Aid + Economically Disadvantaged Student Aid (including Sparsity Aid) or 25,000.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	325,000,000
SFY 06-07	NA	NA	700,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	324,867,141

SPECIAL MILK PROGRAM

Objective: Encourages the consumption of milk by children in elementary and secondary schools where students do not have access to Federal lunch or breakfast programs.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

Specific Program URL: NA

NYS Object Code: 61501¹

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 10.556

Legal Authority:

Law: US Child Nutrition Act, PL 89-642

Regulation: 7 CFR Chapter II Part 215; 42 USC 1772 and 1779

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building, Room 55
 Albany, NY 12234
 (518) 473-8781
 E-Mail: FODONNEL@mail.NYSED.Gov

Fiscal Contact:

Michael Affinito
 Local Assistance Unit
 NYS Education Department
 Education Building, Room 409EB
 89 Washington Avenue
 Albany, NY 12234
 (518) 474-3926
 E-Mail: maffinit@mail.nysed.gov

Eligibility: School Districts, Private Non-Profit Schools and Residential Child Care Institutions. In school building there must not be a Federal lunch or breakfast program available to students. Children attending half day kindergarten or prekindergarten programs in a school with a Federal lunch or breakfast program may participate.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Limited to Special Milk Program only.

Action Required to Receive Aid: Non-competitive application for aid required. School food authorities submit monthly claim.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is computed by multiplying the number of half-pints of milk served by annually established reimbursement rates established by Federal government.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	806,855	NA	NA
SFY 05-06	850,000	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	806,855	NA	NA
SFY 05-06	625,475	NA	NA

¹Refers to NYSED school lunch, milk and breakfast programs.

SPECIAL SERVICES AID: CAREER EDUCATION AND COMPUTER ADMINISTRATION

Objective: Supports occupational education and computer administration in cities with a population of more than 125,000, and beginning with 2002-03 aid, in districts which are not components of BOCES.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 17

Regulation: NYCRR 175.17, 141.2

Program Contact:

Office of Workforce Preparation and
Continuing Education
NYS Education Department
Education Building, Room 319
Albany, NY 12234
(518) 474-8892
E-Mail: NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts. Must be a city school district with a population in excess of 125,000. Beginning with 2002-03 aid, noncomponents of BOCES also are eligible.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used to support occupational education programs and computer administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Career Education Aid is \$3,720 times occupational aid ratio times weighted occupational pupils for such programs. Computer administration aid is aidable expense up to \$62.30 times enrollment times computer expenses aid ratio.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	131,026,583	NA
SFY 05-06	NA	140,523,011	NA
SFY 06-07	NA	148,302,752	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	137,446,790	NA
SFY 05-06	NA	142,211,159	NA

¹Refers to NYSED State aid to public schools programs.

STATE CASE SERVICES

Objective: Provides funding to agencies that serve Vocational Rehabilitation consumers.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Debora Brown-Johnson
Director, Vocational Rehabilitation Program
NYS Education Department
One Commerce Plaza, Room 1601
Albany, NY 12234
(518) 486-4031
E-Mail: dbrowngr@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
Director of Financial Administration
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751
E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Approved providers of Vocational Rehabilitation Services.

Type of Program and Special Restrictions: Mandated, required by State law and regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant (Contract)

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	52,600,000	NA
SFY 05-06	NA	54,600,000	NA
SFY 06-07	NA	54,600,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	43,283,528	NA
SFY 05-06	NA	41,143,324	NA

STATEWIDE SUPPORTED EMPLOYMENT

Objective: Provides funding for Supported Employment providers.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 515

Regulation: NA

Program Contact:

Debra Brown-Johnson
 Director, Vocational Rehabilitation Program
 NYS Education Department
 One Commerce Plaza, Room 1601
 Albany, NY 12234
 (518) 486-4031
 E-Mail: dbrowngr@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
 Director of Financial Administration
 NYS Education Department
 Education Building, Room 410
 Albany, NY 12234
 (518) 474-7751
 E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Approved providers of Supported Employment Services.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant (Contract)

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	14,784,000	NA
SFY 05-06	NA	15,402,000	NA
SFY 06-07	NA	16,402,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	14,784,000	NA
SFY 05-06	NA	14,646,950	NA

STEWART B. MC KINNEY EDUCATION OF HOMELESS CHILDREN AND YOUTH GRANT PROGRAM

Objective: Provided to State Education agencies for administrative purposes to ensure that homeless children and youth receive equal access to educational services; and to fund services for this population at the local school district level.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61814

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 84.196A

Legal Authority:

Law: US NCLB Act of 2001, Title X, Part C; Stewart B. McKinney Homeless Assistance Act, Title VII, Subtitle B, PL 100-71, PL 101-645

Regulation: 8 NYCRR 100.2, 174.5, 175.6

Program Contact:

Roberto Reyes - New York City
Patricia McGuirk - Rest of State
Title I School and Community Services
NYS Education Department
Education Building Annex, Room 364
Albany, NY 12234
(518) 473-1295
E-Mail: rreyes@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,332,461	NA	NA
SFY 05-06	5,014,821	NA	NA
SFY 06-07	4,873,453	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,978,523	NA	NA
SFY 05-06	6,075,265	NA	NA

SUMMER FOOD SERVICE PROGRAM

Objective: Supports the provision of nutritious meals to children in areas where poor economic conditions exist, when school is not in session.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

Specific Program URL: NA

NYS Object Code: 61501

Year Established: 1968

Catalog of Federal Domestic Assistance No.: 10.559

Legal Authority:

Law: National School Lunch Act (NSLA), 42 USC 1761; Chapter 53, Laws of 2004

Regulation: 7 CFR Part 225

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building, Room 55
 Albany, NY 12234
 (518) 473-8781
 E-Mail: FODONNEL@mail.nysed.gov

Fiscal Contact:

Michael Affinito
 Local Assistance Unit
 NYS Education Department
 Education Building, Room 409EB
 89 Washington Avenue
 Albany, NY 12234
 (518) 474-3926
 E-Mail: maffinit@mail.nysed.gov

Eligibility: School Districts, Non-Public Schools, Non-Profit Organizations and Government Agencies in areas where 50 percent of the children are eligible for free and reduced price meals or where 50 percent of the children enrolled in a program are eligible for free or reduced price meals and Residential Camps.

Type of Program and Special Restrictions: Optional, but if implemented subject to mandated State and Federal requirements. Food service sites and/or programs must meet program eligibility criteria to receive payment.

Action Required to Receive Aid: A non-competitive application for aid is required.

Description of Aid:

Flow of Funds: Federal and State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing reimbursement.

Formula: The lesser of: cost of program operations or multiplying the number of meals times reimbursement rates; or, for administrative portion, the administrative budget.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	37,038,014	3,300,000	NA
SFY 05-06	39,678,977	3,300,000	NA
SFY 06-07	NA	3,300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	36,565,460	3,300,000	NA
SFY 05-06	35,479,746	3,217,579	NA

*New York State has put in \$3.3 million in State funds for the past 7 or 8 years.

SUMMER SCHOOL PROGRAM AID

Objective: Provides aid to public school districts which operate summer school sessions in July and August.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, subdivision 39

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services

State Aid Unit

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

E-Mail: NA

Eligibility: School Districts

Type of Program and Special Restrictions: Formula Aid.

Action Required to Receive Aid: Submittal of a basic data collection document.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Summer School Program is part of Flex Aid. See Attachments A and B, pages 209-218.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Part of Flex Aid, see Attachments A and B, pages 209-218.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	30,392,541	NA

*See Attachments A and B, pages 209-218.

SUPPORTED EMPLOYMENT – TITLE VI-B FUNDS

Objective: Provides funding for Supported Employment providers.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 84.187

Legal Authority:

Law: US Rehabilitation Act of 1973, as amended.

Regulation: NA

Program Contact:

Debora Brown-Johnson
 Director, Vocational Rehabilitation Program
 NYS Education Department
 One Commerce Plaza, Room 1601
 Albany, NY 12234
 (518) 486-4031
 E-Mail: dbrowngr@mail.nysed.gov

Fiscal Contact:

Michael DiVirgilio
 Director of Financial Administration
 NYS Education Department
 Education Building, Room 410
 Albany, NY 12234
 (518) 474-7751
 E-Mail: MDiVirgi@mail.NYSED.Gov

Eligibility: Approved providers of Supported Employment Services.

Type of Program and Special Restrictions: Mandated, required by Federal and State law and regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	1,889,699	NA	NA
SFY 04-05	1,871,787	NA	NA
SFY 05-06	1,385,085	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	1,645,112	NA	NA
SFY 04-05	1,092,499	NA	NA

TARGETED PRE-KINDERGARTEN PROGRAM

Objective: Provides funding to public schools to provide a developmentally appropriate program for three and four year old children from economically disadvantaged families.

Administering Agency: NYS Education Department

Specific Program URL: www.emsc.nysed.gov/nyc/upk.html

NYS Object Code: 61104

Year Established: 1968

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2004, Chapter 53

Regulation: 8 NYCRR 151-2

Program Contact:

Cynthia E. Gallagher
Early Education and Reading
Initiatives
NYS Education Department
Education Building Annex, Room 381
Albany, NY 12234
(518) 474-5807
E-Mail: emsceeri@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 89%, Local 11%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	50,200,000	NA
SFY 05-06	NA	50,200,000	NA
SFY 06-07	NA	50,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	55,630,398	NA
SFY 05-06	NA	45,987,426	NA

TAX LIMITATION AID

Objective: Provides assistance to districts in which the property tax levy exceeds 3.9 percent of the total adjusted gross income of the district.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61132

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (21)

Regulation: NA

Program Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Fiscal Contact:

Same

Eligibility: School districts in which the property tax levy exceeds 3.9 percent of the total adjusted gross income of the district and the combined wealth ratio is below 2.0.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Submittal of basic data collection document.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Per pupil formula ceiling times tax limitation aid ratio times total aidable pupils. The 2006-07 aid is the sum of 2005-06 Tax Limitation Aid plus tier 2 and tier 3 Tax Limitation Aids.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	48,546,027	NA
SFY 05-06	NA	135,441,253	NA
SFY 06-07	NA	211,627,761	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	47,987,456	NA
SFY 05-06	NA	135,046,786	NA

¹Refers to NYSED State aid to public schools programs.

TEACHER OPPORTUNITY CORPS PROGRAM (TOC)

Objective: Enhances the preparation of teachers and prospective teachers in addressing the learning needs of students at risk of truancy, academic failure or dropping out of school; and increases the participation rate of historically underrepresented and economically disadvantaged individuals in teaching careers.

Administering Agency: NYS Education Department-Office of K-16 Initiatives and Access Programs (KIAP)

Specific Program URL: www.highered.nysed.gov/kiap

NYS Object Code: 61128

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Chapter 53, Laws of 1987

Regulation: NA

Program Contact:

Amy Cox
 TOC, Office of K-16 Initiative & Access Program
 NYS Education Department
 Education Building Annex
 Albany, NY 12234
 (518) 486-6042
 E-Mail: acox@mail.nysed.gov

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: NYS public and independent degree granting institutions or consortia with a teacher preparation program approved by the Education Department may submit applications. Institutions with a pass rate on the NYS Teacher Certification Examination that is less than 80 percent may not be approved for funding.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid: Grants to postsecondary institutions with SED approved teacher preparation programs, or consortia of such.

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	712,000	NA
SFY 05-06	NA	712,500	NA
SFY 06-07	NA	713,000	NA

Amounts Disbursed:

SFY 04-05	NA	751,778	NA
SFY 05-06	NA	638,834	NA

TEACHERS OF TOMORROW

Objective: Provides funds to local school districts for the recruitment and retention of teachers in hard to staff areas, subject areas and low performing schools.

Administering Agency: NYS Education Department

Specific Program URL: www.highered.nysed.gov/kiap/Teaching/RFP/Teachers of Tomorrow.htm

NYS Object Code: 61134

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Chapter 62 of the Laws of 2000

Regulation: NA

Program Contact:

Hector Millán
Teachers of Tomorrow Coordinator
Office of K-16 Initiatives & Access Program
Teacher Development Programs Unit
(518) 486-6042
E-Mail: KIAP@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid: Grants to school districts to be awarded to new teachers in shortage areas as per guidelines.

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,000,000	NA
SFY 05-06	NA	20,000,000	NA
SFY 06-07	NA	25,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	23,780,330	NA
SFY 05-06	NA	9,345,960	NA

TEACHER RESOURCE AND COMPUTER TRAINING CENTERS

Objective: Supports establishment of centers for increasing professional competence.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61125

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 316, NY Laws of 1994, Chapter 53

Regulation: 8 NYCRR 81, NYSED Commissioner's Regulations, Part 81

Program Contact:

Michael Moon
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155
E-Mail: mmoon@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Minimum \$20,000, Maximum \$2,000,000 (except NYC)

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	31,000,000	NA
SFY 05-06	NA	31,000,000	NA
SFY 06-07	NA	37,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	29,625,048	NA
SFY 05-06	NA	33,832,898	NA

Education Department

TEXTBOOK AID

Objective: Reimburses public school districts for textbooks purchased to loan to children in grades K-12 residing in the district and attending any public or private school complying with the compulsory education law.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61103

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 701

Regulation: 8 NYCRR Part 21.1

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for purchase of textbooks.

Action Required to Receive Aid: A report of expenditures and enrollments is required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is \$57.30 times the number of resident pupils in grades K-12 attending public and non-public schools (\$15 of the \$57.30 is received through lottery aid), or prior year textbook expenditures, whichever is less.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	138,693,715	49,997,993
SFY 05-06	NA	138,451,892	49,765,500
SFY 06-07	NA	136,547,703	49,115,115

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	139,157,976	49,981,530
SFY 05-06	NA	137,134,130	49,613,805

TITLE I EVEN START FAMILY LITERACY PROGRAM

Objective: Improves educational opportunities for low income families by integrating early childhood education, adult education and parent education into a unified family literacy program through cooperative projects that build on existing high quality community resources to create a new range of services.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: www.nysed.gov/workforce/evenstart.html

NYS Object Code: 61803

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 84.213C

Legal Authority:

Law: No Child Left Behind Act, Part B, Title I, Subpart 3, PL 107-110

Regulation: NA

Program Contact:

Desylvia Dwyer
Early Education and Reading
Initiatives
NYS Education Department
Education Building Annex, Room 381
Albany, NY 12234
(518) 474-5807
E-Mail: emsceeri@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Local educational agency or BOCES applying in partnership with a community-based organization, public agency, institution of higher education or other non-profit organization.

Type of Program and Special Restrictions: Four year program. If chosen, subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: Discretionary, competitive grant.

Matching Requirement: Local match of ten percent in first year, increasing each year to 40 percent by fourth, 50 percent for years 5-8 and 65 percent thereafter. Federal funding 90 percent in first year, decreasing to 60 percent by fourth year, 50 percent for years 5-8, and 35 percent thereafter. Local match may be any other local, state, or federal funds.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	20,817,325	NA	NA
SFY 05-06	17,941,432	NA	NA
SFY 06-07	7,857,249	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	17,607,658	NA	NA
SFY 05-06	22,510,561	NA	NA

TITLE I MIGRANT EDUCATION PROGRAM (MEP)

Objective: Serves children of seasonally employed migrant parents.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61803

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.011A

Legal Authority:

Law: NCLB Act of 2001; Improving America's Schools Act, September 28, 1994, Title 1, Part C, PL 104-134

Regulation: NA

Program Contact:

Migrant Education Program
 NYS Education Department
 Education Building Annex, Room 365
 Albany, NY 12234
 (518) 473-0295
 E-Mail: NA

Fiscal Contact:

Grants Finance
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815
 E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: State Governments, School Districts, BOCES, and Post Secondary Institutions. Children who are currently migratory or formerly migratory, and have had the basis for their MEP eligibility properly recorded on a certificate of eligibility (COE), may receive MEP services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Title I MEP funds may be used only to pay for activities the SEA has authorized and consistent with approved State plan.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State.

Type of Aid: Ongoing

Formula: Arithmetic formula: (1) Estimated number of migratory children age 3 through 21 who reside in each state, full and part time during each calendar year; (2) the number of migratory children enrolled in state's summer program, and (3) state per pupil expenditure (PPE) for each state and nation as a whole.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	9,226,632	NA	NA
SFY 05-06	9,163,236	NA	NA
SFY 06-07	9,063,649	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	9,518,151	NA	NA
SFY 05-06	11,310,600	NA	NA

TITLE I - READING FIRST

Objective: Assists states and local educational agencies in implementing research-based reading programs for children in K-3rd grade.

Administering Agency: NYS Education Department

Specific Program URL: www.emsc.nysed.gov/readfirst/home.htm

NYS Object Code: 61891

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 84357A

Legal Authority:

Law: No Child Left Behind Act, Title I, Part B, Subpart 1

Regulation: NA

Program Contact:

Cindy Gallagher
Child, Family and Community Services
NYS Education Department
Education Building Annex, Room 381
Albany, NY 12234
E-Mail: emsceeri@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Entitlement to all states.

Type of Program and Special Restrictions: Formula grants to states/subgrants to be awarded competitively to eligible districts.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, State to Local

Type of Aid: Project Grant

Formula: Title I proportionate to numbers of children with income below poverty. State to Local monies according to DOE approved SEA Reading First plan.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	60,329,104	NA	NA
SFY 05-06	57,997,458	NA	NA
SFY 06-07	57,287,115	NA	NA

Amounts Disbursed:

SFY 04-05	78,872,739	NA	NA
SFY 05-06	60,347,342	NA	NA

**TITLE I, PART D - PREVENTION & INTERVENTION
PROGRAMS FOR CHILDREN & YOUTH WHO ARE
NEGLECTED, DELINQUENT, OR AT-RISK OF
DROPPING OUT**

Objective: Improves educational services to children in local and State institutions for neglected or delinquent children and youth at-risk, and adult correctional facilities.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.013

Legal Authority:

Law: No Child Left Behind Act of 2001, Title I, Part D, PL 107-110

Regulation: NA

Program Contact:

Mary Russman
Program Assistant
Title I School and Community Services
NYS Education Department
Education Building Annex, Room 375
Albany, NY 12234
(518) 473-0295
E-Mail: mrussman@mail.nysed.gov

Fiscal Contact:

NA

Eligibility: State agencies responsible for providing free public education for children in institutions or community day care programs for neglected or delinquent youth, or adult correctional facilities, and local education agencies (LEAs).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Title I, Part D funds may be used to pay for only those activities the SEA has authorized.

Action Required to Receive Aid: State agencies and institutions must complete an annual survey of children in the neglected, delinquent, or adult correctional facility.

Description of Aid:

Flow of Funds: Federal to State, State to State Agencies/LEAs.

Type of Aid: Ongoing

Formula: Arithmetic formula determined by US Department of Education and based on actual nationwide counts of children in neglected, delinquent, and adult correctional facilities.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,054,032	NA	NA
SFY 05-06	2,953,368	NA	NA
SFY 06-07	2,971,779	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,054,032	NA	NA
SFY 05-06	2,953,368	NA	NA

Note: Federal funds made available to LEAs for delinquent facilities are included in Title I, Part A. Neglected funds are also included with LEAs Title I, Part A grant allocation. Amounts shown here include only delinquent funds to State Agencies.

TITLE II, PART D - ENHANCING EDUCATION THROUGH TECHNOLOGY

Objective: Improves student academic achievement through the use of technology in elementary and secondary schools, assists in closing the digital divide, and encourages the integration of technology with teacher training and curriculum development.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61811

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 84.318

Legal Authority:

Law: No Child Left Behind, January 8, 2002, PL 107-110

Regulation: NA

Program Contact:

Teh Yuan Wan
Education Technology Programs
NYS Education Department
Education Building Annex, Room 315
Albany, NY 12234
(518) 486-1547
E-Mail: TWAN@MAIL.NYSED.GOV

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES and Nonpublic Schools.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Purposes include professional development on integration of technology in the classroom, on line courses, parent and family use of technology to promote involvement.

Action Required to Receive Aid: Non-competitive applications for aid required. Competitive application required for a portion of the funds.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: Based on Title I allocation for non-competitive portion. Based on meeting RFP requirements for competitive.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	62,435,978	NA	NA
SFY 05-06	42,889,604	NA	NA
SFY 06-07	23,486,630	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	51,619,043	NA	NA
SFY 05-06	88,197,720	NA	NA

**TITLE IIA - PREPARING TRAINING AND
RECRUITING HIGH QUALITY TEACHERS
AND PRINCIPALS, SEC. A, IMPROVING
TEACHER QUALITY STATE GRANTS
(Teacher Leader Quality Partnerships (TLQP))**

Objective: Increases student academic achievement by improving teacher and principal quality and increasing the number of highly qualified teachers in the classroom and principals in schools.

Administering Agency: NYS Education Department - KIAP

Specific Program URL: www.highered.nysed.gov/kiap/teaching

NYS Object Code: 61811

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 84367

Legal Authority:

Law: No Child Left Behind Act, PL 107-110, Title III.

Regulation: NA

Program Contact:

Veronica L. Skinner, TLQP Program Officer
Office of K-16 Initiative & Access Programs
NYS Education Department
Education Building Annex
Albany, NY 12234
(518) 486-6042
E-Mail: NA

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: Postsecondary Institutions in Partnerships with Schools of Education, Schools of Liberal Arts and Science and High-Need School Districts.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for funding required.

Description of Aid: Grants to postsecondary institutions and/or not-for-profit agencies to conduct professional development activities in partnerships per the program guidelines.

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant or Contract.

Formula: NA

Matching Requirement: 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,773,584	NA	NA
SFY 05-06	5,705,417	NA	NA
SFY 06-07	5,661,765	NA	NA

Amounts Disbursed:

SFY 04-05	6,422,722	NA	NA
SFY 05-06	5,711,687	NA	NA

TITLE IIA - TEACHER AND PRINCIPAL TRAINING & RECRUITING

Objective: Increases student academic achievement through strategies such as improving teacher and principal quality and increasing the number of highly qualified teachers in classrooms and highly qualified principals and assists principals in schools.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61811

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 84.367A

Legal Authority:

Law: No Child Left Behind, January 8, 2002, PL 107-110

Regulation: NA

Program Contact:

Laurie Rowe
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155
E-Mail: LROWE@MAIL.NYSED.GOV

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES and Nonpublic Schools.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Purposes are to recruit and retain highly qualified teachers, provide high quality, sustained, intensive professional development.

Action Required to Receive Aid: Non-competitive applications for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: Aggregate amount received in FY 2000 under Eisenhower Professional Development and Class Size Reduction. Additional funds based on population and poverty.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	219,396,192	NA	NA
SFY 05-06	216,805,833	NA	NA
SFY 06-07	215,147,055	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	246,895,786	NA	NA
SFY 05-06	269,525,835	NA	NA

TITLE IV DRUG-FREE SCHOOLS AND COMMUNITIES ACT

Objective: Grants for substance abuse programs and related activities.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61812

Year Established: 1986

Catalog of Federal Domestic Assistance No.: 84.186A

Legal Authority:

Law: No Child Left Behind - Title IV, Part A, Safe and Drug Free Schools Program, PL 107-110

Regulation: NA

Program Contact:

Gregory Bayduss
Student Support Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090
E-Mail: gbayduss@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: School Districts, BOCES and Charter Schools.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Formula allocation based on 2000 census data and high need apportioned to individual school district areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	25,350,782	NA	NA
SFY 05-06	24,891,326	NA	NA
SFY 06-07	19,104,239	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	19,897,838	NA	NA
SFY 05-06	25,913,648	NA	NA

TITLE IV, PART B - 21ST CENTURY COMMUNITY LEARNING CENTERS

Objective: Supports the development of community learning centers that provide academic enrichment and a broad array of related services during non-school hours to students from high priority and low performing schools and their families.

Administering Agency: NYS Education Department

Specific Program URL: <http://www.emsc.nysed.gov/rscs/chaps/chapshome.htm>

NYS Object Code: 61892

Year Established: 1998 (Federal); 2002 (State)

Catalog of Federal Domestic Assistance No.: 84.287

Legal Authority:

Law: PL 107-110; Title IV, Part B

Regulation: NA

Program Contact:

John Soja
Comprehensive Health and Pupil
Services
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090
E-Mail: jsoja@mail.nysed.gov

Fiscal Contact:

Grants Finance
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815
E-Mail: GRANTSWEB@MAIL.NYSED.GOV

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups, LEA's, Community-Based Organizations and other Public and Private Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required. Submit application and receive acceptable score.

Description of Aid:

Flow of Funds: Federal to State. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant of limited duration (5 years).

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	96,792,976	NA	NA
SFY 05-06	91,731,915	NA	NA
SFY 06-07	85,954,458	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	62,803,481	NA	NA
SFY 05-06	111,026,871	NA	NA

TRANSPORTATION AID

Objective: Supports the implementation of State mandates for the transportation of elementary and secondary students.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1963

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 7

Regulation: NYCRR, Parts 156, 175 and 200

Program Contact:

Marion Edick
 State Director
 Educational Management Services
 NYS Education Department
 Education Building Annex, Room 876
 Albany, NY 12234
 (518) 474-6541
 E-Mail: MEdick@MAIL.NYSED.GOV

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. For the 2004-05 school year approximately \$75.5 million of the Transportation Aid that pertains to capital expenses will be paid through bonding by the Dormitory Authority of the State of New York. Beginning with the 2005-06 aid year, aid on all types of transportation capital expense is based on assumed amortization schedules using a Statewide average interest rate.

Action Required to Receive Aid: Non-competitive application for aid required. Submittal of basic data collection.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Approved transportation expenses (including expenses for transportation to district operated summer school programs) times selected sharing ratio.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,160,392,762	NA
SFY 05-06	NA	1,211,989,176	NA
SFY 06-07	NA	1,318,541,573	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,152,080,408	NA
SFY 05-06	NA	1,224,262,287	NA

¹Refers to NYSED State aid to public schools programs.

TUITION ADJUSTMENT AID

Objective: Provides a net tuition adjustment aid for school districts which maintain an elementary school and contract for the education of secondary pupils in another school district which has a higher pupil wealth ratio.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: NA

Year Established: Pre-1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 2 (g)

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977
E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated

Action Required to Receive Aid: Submittal of a basic data collection document.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: The total aid of the sending district is divided by the total aidable pupil units of the sending district, divided by the total aidable pupil units of the sending district, multiplied by the number of secondary pupils of the sending district.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,175,000	NA
SFY 05-06	NA	1,175,000	NA
SFY 06-07	NA	1,175,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	688,746	NA
SFY 05-06	NA	1,175,000	NA

UNIVERSAL PREKINDERGARTEN GRANTS

Objective: Encourages districts to provide prekindergarten programs.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61104

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602-e

Regulation: NYCRR 151-1.1

Program Contact:

Cynthia E. Gallagher
 Child, Family and Community
 Development
 NYS Education Department
 Education Building Addition, Room 381
 Albany, NY 12234
 (518) 474-5807
 E-Mail: NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Pre-planning process and private provider participation required. For the 2002-03 through 2004-05 school years, each district was held to what they were eligible to receive in the 2000-01 school year.

Action Required to Receive Aid: Noncompetitive Application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: Pupils served times aid ceiling times State share ratio.

Matching Requirement: No

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	204,676,384	NA
SFY 05-06	NA	204,676,385	NA
SFY 06-07	NA	254,676,385	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	268,684,112	NA
SFY 05-06	NA	203,165,392	NA

URBAN-SUBURBAN TRANSFER

Objective: Encourages cooperative interdistrict solutions to racial isolation in the schools.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61101¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (36)

Regulation: 8 NYCRR 175.24

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977
 E-Mail: NA

Eligibility: School Districts. Districts which receive pupils from another district pursuant to approved program for reducing racial isolation.

Type of Program and Special Restrictions: Optional, local choice.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,130,000	NA
SFY 05-06	NA	1,130,000	NA
SFY 06-07	NA	1,130,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,058,066	NA
SFY 05-06	NA	1,130,000	NA

¹Refers to NYSED State aid to public schools programs.

VOCATIONAL EDUCATION (VTEA) BASIC

Objective: Provides funds to assure that individuals, including handicapped, disadvantaged, single parents and others, who are inadequately served in occupational education programs will be provided access; and provides funds to assist the State to expand, improve, modernize and develop quality occupational education programs.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: www.emsc.nysed.gov/workforce/perkins2003

NYS Object Code: 61801

Year Established: 1963

Catalog of Federal Domestic Assistance No.: 84.048A

Legal Authority:

Law: US Carl D. Perkins VTEA, PL 105.332

Regulation: 34 CFR 400-403

Program Contact:

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Fiscal Contact:

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Eligibility: School Districts, BOCES, Private Non-Profit Groups, State Departments and Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: As per Federal requirements.

Matching Requirement: State 5%

Maintenance of Effort: NA

Amounts Appropriated: (including Postsecondary)

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	52,621,078	NA	NA
SFY 05-06	56,238,807	NA	NA
SFY 06-07	55,842,347	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	51,159,008	NA	NA
SFY 05-06	56,557,390	NA	NA

VOCATIONAL AND TECHNICAL EDUCATION ACT OF 1998 - TECH PREP

Objective: Provides Tech-Prep programs - a program of study that combines two years of secondary education and two years of post-secondary education in a sequential course of study in a technical field.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: www.nysed.gov/workforce/techprep/tech.html

NYS Object Code: 61801

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 84.243A

Legal Authority:

Law: US Carl D. Perkins VTEA, PL 105.332

Regulation: 34 CFR 400-403

Program Contact:

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Eligibility: School Districts, BOCES and Post-Secondary Institutions.

Type of Program and Special Restrictions: See above.

Action Required to Receive Aid: Competitive RFP.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA - Competitive

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,267,023	NA	NA
SFY 05-06	5,209,430	NA	NA
SFY 06-07	5,103,970	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,694,765	NA	NA
SFY 05-06	5,992,310	NA	NA

VOCATIONAL AND TECHNICAL EDUCATION ACT OF 1998 - CRIMINAL OFFENDER

Objective: Provides vocational training and related services to incarcerated students and adults.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61801

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 84.048A

Legal Authority:

Law: US Carl D. Perkins VTEA, PL 105.332

Regulation: 34 CFR 400-403

Program Contact:

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Eligibility: Office of Children and Family Services, Commission on Corrections, Department of Corrections and County Jails.

Type of Program and Special Restrictions: See above.

Action Required to Receive Aid: Request for Proposal required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	156,210	NA	NA
SFY 05-06	162,876	NA	NA
SFY 06-07	159,261	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	198,503	NA	NA
SFY 05-06	270,924	NA	NA

**VOCATIONAL - TECHNICAL EDUCATION
ACT (V-TEA) TITLE I, SERVICES FOR NON-
TRADITIONAL ACTIVITIES**

Objective: Prepares individuals for nontraditional training and employment.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: <http://www.emsc.nysed.gov/workforce/>

NYS Object Code: 61801

Year Established: 1999

Catalog of Federal Domestic Assistance No.: 84.048A

Legal Authority:

Law: US Carl D. Perkins Vocational Technology Education Act of 1998, PR 105-332, Title I

Regulation: 34 CFR 400 & 403

Program Contact:

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Fiscal Contact:

Same

Eligibility: School Districts and Postsecondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	143,013	NA	NA
SFY 05-06	150,000	NA	NA
SFY 06-07	143,013	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	201,107	NA	NA
SFY 05-06	154,298	NA	NA

WORKFORCE INVESTMENT ACT (WIA) TITLE II

Objective: Supports the organization and operation of educational services to out-of-school youth and adults.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61807

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 84.002

Legal Authority:

Law: US Workforce Investment Act, PL 105-220, Title II

Regulation: 20 CFR Part 652 & Parts 660-671

Program Contact:

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Eligibility: School Districts, Private Non-Profit Groups, BOCES, Library Systems, and Postsecondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	19,631,890	NA	NA
SFY 05-06	18,654,878	NA	NA
SFY 06-07	24,285,720	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	25,385,062	NA	NA
SFY 05-06	27,521,150	NA	NA

**WORKFORCE INVESTMENT ACT (WIA)
TITLE II, INTEGRATED ENGLISH
LITERACY/CIVICS EDUCATION**

Objective: Supports integrated English literacy and civics education services to immigrants and other limited English proficient populations.

Administering Agency: NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61807

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 84.002A

Legal Authority:

Law: US Workforce Investment Act, PL 105-220, Title II

Regulation: 20 CFR Part 652 & Parts 660-671

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Eligibility: School Districts, Private Non-Profit Groups, Library Systems, Postsecondary Institutions, and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	7,722,572	NA	NA
SFY 05-06	9,102,546	NA	NA
SFY 06-07	8,688,722	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	13,059,094	NA	NA
SFY 05-06	11,490,880	NA	NA

**WORKFORCE INVESTMENT ACT (WIA)
TITLE II, SECTION 225
PROGRAMS FOR INCARCERATED AND INSTITUTIONALIZED
INDIVIDUALS**

Objective: To assist adults who are in correctional institutions: (a) become literate and obtain the knowledge and skills necessary for employment and self-sufficiency; (b) who are parents to obtain the educational skills necessary to become full partners in the education development of their children; and (c) in the completion of a secondary school education.

Administering Agency: NYS Education Department; US Department of Labor, Employment and Training Administration

Specific Program URL: www.emsc.nysed/workforce

NYS Object Code: 61807

Year Established: 1986

Catalog of Federal Domestic Assistance No.: 84.002A

Legal Authority:

Law: US Workforce Investment Act, PL 105-220, Title II; Chapter 683 of the Laws of NYS, Sections 3202 (7) and 3602 (35)

Regulation: 20 CFR Part 652 & Parts 660-671; Part 118 of Regulations of Commissioner of Education

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Eligibility: BOCES and Local Education Agencies.

Type of Program and Special Restrictions: Mandated, required by State law. Up to 10 percent of State WIA allocation may be used for individuals who are incarcerated.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant of limited duration.

Formula: Up to 10 percent of State WIA allocation may be used for this purpose. A percentage of the funds (45%) were allocated to NYC and to the rest of the State (55%). Programs were capped at \$300,000 and/or the availability of funds.

Matching Requirement: None

Maintenance of Effort: No

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,747,859	NA	NA
SFY 05-06	2,726,182	NA	NA
SFY 06-07	2,698,413	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,329,650	NA	NA
SFY 05-06	3,064,896	NA	NA

WORKPLACE LITERACY

Objective: Provides grants for workplace literacy programs for occupationally-related literacy and basic skills instruction.

Administering Agency: NYS Education Department

Specific Program URL: NA

NYS Object Code: 61130

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 53, NY Education Law, Sections 5100-5102

Regulation: 8 NYCRR 166

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Eligibility: School Districts, BOCES, Local Government Agencies, State Agencies, Private Non-Profit Groups, Libraries, and Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: 20% local contribution.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,307,300	NA
SFY 05-06	NA	1,376,000	NA
SFY 06-07	NA	1,376,100	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,307,300	NA
SFY 05-06	NA	NA	NA

ATTACHMENT A

2006-07 State Aid Projections

Preliminary Estimate of 2005-06 and 2006-07 State Aids Payable Under Section 3609 Plus Other Aids

COMBINED AIDS

2005-06 Base Year Aids:

For 2005-06 Aid, the Actual Valuation (AV) of taxable real property for purposes of determining a district's property wealth per pupil is defined as the 2002 AV. The State average of the 2002 AV per 2003-04 Total Wealth Pupil Unit (TWPU) is **\$346,400**. Similarly, income wealth is based on the 2002 Adjusted Gross Income of residents of the district, as reported on State income tax returns and including the results of the statewide computerized income verification process, divided by the TWPU of the district. This is compared to the State average of **\$118,500**. The two measures taken together constitute the district's Combined Wealth Ratio (CWR), which is used in determining aid ratios for many formulas. Some formulas use the Resident Weighted Average Daily Attendance (RWADA) wealth ratio; the State average 2002 AV per 2003-04 RWADA is **\$413,300**. Transportation Aid uses the Enrollment (Resident Public and Nonpublic Enrollment) wealth ratio; the State average is **\$360,300**.

Flex Aid + Addl Aids: The sum of 2005-06 Flex Aid and Additional Aids.

Flex Aid is the sum of: (1) Tier 1 Flex Aid which is the sum of 2004-05 Comprehensive Operating, Extraordinary Needs, Educationally Related Support Services, Limited English Proficiency, Summer School and Maintenance and Repair Aids; (2) Tier 2 Flex Aid which is Flex Selected TAPU multiplied by the sum of \$6 and the result of \$55 multiplied by the Geographic Cost of Education Index-based cost adjustment multiplied by the aid ratio $(1.37 - (1.10 * \text{Flex CWR}))$, maximum .90, minimum .05; (3) Aid Due Minimum or Cap which guarantees the district's Tier 1 and 2 Flex Aids are no more than a Flex CWR-adjusted 2.5 percent increase over Tier 1 Flex Aid and no less than a 0.5 percent increase over the Tier 1 Flex Aid; and (4) Tier 3 Flex Aid which, for districts with a Flex CWR less than 1.20 and a Free and Reduced Price Lunch (FRPL) percent greater than 50 percent, is \$9 multiplied by the FRPL Index (i.e., FRPL percent divided by 50 percent) multiplied by the aid ratio $(1 - (.64 * \text{Flex CWR}))$, minimum .10 multiplied by 2004-05 public school enrollment. Flex Selected TAPU includes weightings of 0.33 for Free and Reduced Price Lunch, LEP and Sparsity pupil counts. The GCEI-based cost adjustment is a statewide index reflecting variations in teacher compensation among school districts. The index for each district is listed under the heading "Tier 1 Flex Aids" in the school aid computer listing entitled "Flex Aid, Instructional Materials Aids and Sound Basic Education Aid" produced by the Commissioner of Education in support of the Executive Budget request for the 2005-06 school year and entitled "BT033-1."

Additional Aids are equal to the sum of Tier 2 Comprehensive Operating Aid (COA) plus, for each of Educationally Related Support Services Aid, Extraordinary Needs Aid, Limited English Proficiency Aid, Summer School Aid and Maintenance and Repair Aid, the positive result of the apportionment calculated for 2005-06 minus the lesser of 2004-05 aid as shown on the 2005-06 Executive Budget computer run BT0331 or the appropriation calculated for 2004-05. Tier 2 2005-06 COA is the product of \$109.40 multiplied by the Tier 2 COA aid ratio $(1.30 - (1.85 * \text{CWR}))$, with a minimum of .00 and a maximum of 1.0 multiplied by Selected TAPU.

Growth: The 2005-06 Growth Aid claimed is the district's 2005-06 enrollment-based Growth Index in excess of 1.004 multiplied by the 2005-06 Formula Operating Aid.

Full Day K Conversion: Full Day Kindergarten Conversion Aid is provided to eligible districts.

Tax Limitation: The 2005-06 aid claimed by districts is the sum of 2004-05 Tax Limitation Aid plus, for districts with a Combined Wealth Ratio below 1.5 and a Residential Levy Income

Ratio above 1.6 percent, an additional tier 2 of aid. Tier 2 aid equals \$109.80 multiplied by the Residential Levy Income Ratio multiplied by the Tax Limitation Aid Ratio ($1 - (.5 * CWR)$) multiplied by the Pupils for Tax Aid. The Residential Levy Income Ratio is the 2002 Residential Tax Levy with condominiums divided by the 2002 Adjusted Gross income, with the result divided by 0.0257. Tier 3 Tax Limitation Aid is Selected TAPU multiplied by the positive result of 2003-04 AOE/TAPU for Expense minus \$8,500 multiplied by the Tax Limitation Aid Ratio ($1 - (.5 * CWR)$) multiplied by 0.90. Aid is the sum of 2004-05 Tax Limitation Aid plus 2005-06 tier 2 and tier 3 Tax Limitation Aids.

BOCES + Special Services: The 2005-06 BOCES Aid claimed for administrative, shared services, rent and capital expenses plus any Due Save-harmless Aid. Special Services Aid is the sum of Career Education Aid and Computer Administration Aid claimed in 2005-06 by non-components of BOCES including the Big 5 City school districts.

Excess Cost: Public + Private: The 2005-06 Public Excess Cost Aid, based on 2003-04 Approved Operating Expense/TAPU for Expense in the range of \$2,000 to \$8,500, claimed for students with disabilities attending public schools or BOCES including any aid due to the minimum guarantee. Excess Cost Aid for students in integrated settings is the product of excess cost aid per pupil multiplied by 50 percent of the attendance of 2003-04 pupils who receive special services or programs by qualified personnel, consistent with an IEP, for 60 percent or more of the school day in a general education classroom with nondisabled students. The 2005-06 Private Excess Cost Aid claimed for public school students attending private schools for students with disabilities for whom the district pays tuition.

Building + Bldg Reorg Incent: Aidable building expenses are for leases, certain capital outlay exceptions, certain refinancing costs and an assumed debt service payment based on the useful life of the project and an average interest rate. Building Aid equals approved building expenses, multiplied by the greater of the Current AV/RWADA Aid Ratio or the Aid Ratio selected for payment of building aid in the 2004-05 school year. For projects approved on or after July 1, 2000, expenses are multiplied by the higher of the Building Aid Ratio used for 1999-00 Aid less .10 or the Current AV/RWADA Aid Ratio or, for eligible projects, the low income aid ratio. Up to 10 percent of additional building aid is provided for projects approved on or after July 1, 1998. Reorganization Incentive Building Aid is 25 percent of Approved Building Aid for qualifying reorganized school districts (or 30 percent for districts reorganized since July 1, 1983). The sum of Building and Incentive Building Aid is limited to 95 percent of approved expenses. Aid is not provided for those prospective and deferred projects that had not fully met all eligibility requirements as of the February 15, 2005 database.

Reorg. Incentive (Operating): Reorganization Incentive Operating Aid is up to 20 percent of Formula Operating Aid for districts reorganized from July 1, 1983 to June 30, 1992 and 40 percent since then. The sum of Formula Operating Aid and Incentive Operating Aid is limited to 95 percent of 2003-04 Approved Operating Expense.

Transportation incl. Summer: The 2004-05 approved non-capital transportation expense multiplied by the selected transportation aid ratio with a .9 maximum and a .065 minimum. Aid on Capital expenses is computed as above, but based on the assumed amortization of the aidable purchase, lease or equipment expenses over five years, at a statewide average interest rate. Transportation Aid for district operated summer school programs is prorated to total no more than \$5.0 million statewide.

Hardware & Technology: The aid that can be claimed by the district in 2005-06 for Instructional Computer Hardware expenses (acquisition and limited repair expenses) is equal to the lesser of 2004-05 expenses or \$19.25 multiplied by the Selected TAPU for aid payable in 2005-06 multiplied by the 2005-06 Hardware Aid Ratio ($1 - .51 * RWADA$ wealth ratio). Some districts may receive no aid.

Software, Library, Textbook: Software Aid is the lesser of approved 2004-05 expenditures or \$14.98 multiplied by the combined 2004-05 public plus nonpublic school enrollment for pupils attending school in the district plus district pupils attending full time BOCES and private school programs for students with disabilities plus pupils attending the State operated schools at Rome and Batavia and resident pupils placed in Special Act school districts. Library Materials Aid is the lesser of approved 2004-05 expenditures or \$6.00 multiplied by the pupil count for Software Aid. Textbook Aid, including Lottery Aid for textbook purchases, is the lesser of approved 2004-05 expenditures or \$57.30 multiplied by the 2004-05 enrollment of resident public plus resident nonpublic pupils plus resident pupils

with disabilities attending approved private schools or the State operated schools at Rome and Batavia and resident pupils placed in Special Act school districts. Charter school enrollments are included in the pupil counts.

Universal Prekindergarten: The Universal Prekindergarten Grants as claimed for 2005-06.

Early Grade Class Size Redn: The Early Grade Class Size Reduction Grants as claimed for 2005-06.

Teacher Support Aid: Teacher Support grants are to support teacher salaries in the Big 5 City school districts.

2005-06 Sound Basic Education Aid: The 2005-06 Sound Basic Education Aid as shown on the Executive Budget computer run BT033-1. This aid is the sum of English Language Learners Aid, Economically Disadvantaged Student Aid and Sparsity Aid, however, no district receives less than \$25,000. English Language Learners Aid is the product of \$715 multiplied by the GCEI-based cost adjustment multiplied by the Aid Ratio (1.0 - (.40 * CWR) minimum .05) multiplied by LEP pupils weighted at 0.20. Economically Disadvantaged Student Aid is the product of \$715 plus, if enrollment per square mile is greater than 1,200, \$60, with the result multiplied by the GCEI-based cost adjustment multiplied by the Aid Ratio (1.0 - (.40 * CWR), minimum .05) multiplied by economically disadvantaged pupils weighted at 0.35. If enrollment per square mile is less than 25, Sparsity Aid equals \$95 multiplied by the GCEI-based cost adjustment multiplied by the Sparsity Factor (25 - enrollment per square mile, divided by 58) multiplied by economically disadvantaged pupils weighted at 0.35. Economically disadvantaged pupils are based on the FRPL percent and 2004-05 public enrollment.

Total: Sum of the above Aids.

2006-07 Estimated Aids:

For 2006-07 Aid, the Selected Actual Valuation (AV) of taxable real property for purposes of determining a district's property wealth per pupil is defined as the lesser of 2003 AV or 117 percent of the 2002 AV. The State average of the 2003 AV per 2004-05 Total Wealth Pupil Unit (TWPU) is **\$382,200**. Income wealth is based on 2003 Adjusted Gross Income of residents of the district, as reported on tax returns and including the results of the statewide computerized income verification process, divided by the TWPU of the district. This is compared to the State average of **\$121,800**. The AV and Income Wealth ratios are used equally to compute the district's Combined Wealth Ratio (CWR). Some formulas use the Resident Weighted Average Daily Attendance (RWADA) wealth ratio; the State average Selected AV per 2004-05 RWADA is **\$456,700**. Transportation Aid uses the Enrollment (Resident Public and Nonpublic Enrollment) wealth ratio; the State average is **\$397,600**.

Flex Aid: The 2006-07 Flex Aid includes a 1 percent increase in the sum of the 2005-06 Flex Aid + Additional Aids.

Supplemental ENA: For districts with a CWR below 0.805, tier 1 Supplemental Extraordinary Needs Aid (ENA) equals \$207.50 multiplied by the formula operating state sharing ratio multiplied by selected TAPU for payment multiplied by the sum of an extraordinary needs (EN) aid ratio (.805 - CWR) plus a sparsity adjustment. The sparsity adjustment is the positive result of (25 - 2005 public school enrollment /square mile) divided by 68. For districts with a CWR, computed with data on file as of February, 2006, greater than 0.975 and an EN percent greater than 35 percent, tier 2 aid is the result of \$125 multiplied by selected TAPU for payment multiplied by the positive result of the EN percent minus 15 percent. Tier 3 aid is the result of \$45.40 plus an additional ceiling multiplied by the extraordinary needs pupil count, which is composed of limited English proficient pupils, a poverty count (based on the percent of K-6 eligible applicants for the free and reduced-price lunch program) and a sparsity count.

Limited English Proficiency: Pupils with Limited English Proficiency (i.e., scoring below the 40th percentile on a standard test of English proficiency and receiving LEP services) multiplied by 5.6 percent of the 2006-07 Formula Operating Aid/Selected TAPU.

Enrollment Adjustment Aid: The product of \$1,725 and the Selected State Sharing Ratio is multiplied by the positive result of 2004 enrollment minus 2000 enrollment.

Growth: The district's estimated enrollment-based Growth Index in excess of 1.004 multiplied by the estimated 2006-07 Formula Operating Aid.

Full Day K Conversion: Districts with any half-day kindergarten or no kindergarten programs in 1996-97 and in the base year are eligible for Full Day K Conversion Aid equal to the estimated 2006-07 Formula Operating Aid/Selected TAPU multiplied by the increase in full day kindergarten enrollment in the current year over the prior year. School districts must offer full day programs to all kindergarten students in order to qualify for this aid.

Tax Limitation: Districts receive 2005-06 Tax Limitation Aid plus, two new tiers of aid. For districts with a Combined Wealth Ratio below 2.0 and a Residential Levy Income Ratio above 1.8 percent, Tier 2 aid equals \$50.00 multiplied by the Residential Levy Income Ratio multiplied by the Tax Limitation Aid Ratio $(1 - (.48 * CWR))$ multiplied by the Pupils for Tax Aid. The Residential Levy Income Ratio is the 2003 Residential Tax Levy with condominiums divided by the 2003 Adjusted Gross Income, with the result divided by 0.0278. Tier 3 Tax Limitation Aid is Selected TAPU multiplied by the positive result of 2004-05 AOE/TAPU for Expense minus \$9,250, multiplied by the Tier 3 Tax Limitation Aid Ratio $(1 - (.465 * CWR))$ multiplied by 0.1332. Aid is the sum of 2005-06 Tax Limitation Aid plus 2006-07 tier 2 and tier 3 Tax Limitation Aids.

BOCES + Special Services: BOCES Aid for administrative, shared services, rental and capital expenses plus Aid Due Save-Harmless. Approved expense for BOCES Administrative and Shared Services Aids is based on a salary limit of \$30,000. Aid is based on approved 2005-06 administrative and service expenses and the higher of the millage ratio or the Current AV/2004-05 RWADA Aid Ratio: $(1 - (.51 * RWADA \text{ Wealth Ratio}))$ with a .36 minimum and .90 maximum. Rent and Capital Aids are based on 2006-07 expenses multiplied by the Current AV/RWADA Aid ratio with a .00 minimum and a .90 maximum. Payable Aid is the sum of these aids including save-harmless. Special Services Aid, for non-components of BOCES including the Big 5 City school districts, is the sum of Career Education Aid and Computer Administration Aid. Career Education Aid equals the Aid Ratio $(1 - (.59 * CWR))$, with a .36 minimum) multiplied by \$3,900, multiplied by the 2005-06 Career Education pupils including the pupils in business and marketing sequences weighted at .16. Computer Administration Aid equals the Aid Ratio $(1 - (.51 * CWR))$, with a .30 minimum) multiplied by approved expenses not to exceed the maximum of \$62.30 multiplied by the Fall 2005 public school enrollment by district of attendance with half-day kindergarten weighted at 1.0.

Excess Cost: Public + Priv: Public Excess Cost: District 2004-05 Approved Operating Expense/TAPU for Expense, for aid purposes, is in a \$2,000 to \$9,250 range. The aid per pupil equals the allowed expense times the Aid Ratio $(1 - (.51 * CWR))$, with a .25 minimum). A 1.65 weighting is provided for students who require special services or programs for 60 percent or more of the school day consistent with an Individualized Education Program (IEP). High Cost expense must exceed the lesser of \$10,000 or four times district AOE/TAPU for Expense. Declassification Aid is included based on 50 percent of the basic Public Excess Cost aid per pupil. No district receives less than 95 percent of its 2005-06 Aid. In addition to the above, Excess Cost Aid for students in integrated settings is the product of excess cost aid per pupil multiplied by 50 percent of the 2004-05 attendance of pupils who receive special services or programs by qualified personnel, consistent with an IEP, for 60 percent or more of the school day in a general education classroom with non-disabled students. Private Excess Cost: Aid is for public school students attending private schools for students with disabilities. Net tuition expense is multiplied by the Aid Ratio $(1 - (.15 * CWR))$, with a .5 minimum).

Building + Bldg Reorg Incent: Aidable building expenses are for capital outlay, leases, certain capital outlay exceptions, certain refinancing costs and an assumed debt service payment based on the useful life of the project and an average interest rate. Building Aid is equal to the product of the estimated approved building expenses multiplied by the highest of the 1981-82 through the Current AV/RWADA Aid Ratio. For projects approved on or after July 1, 2000, expenses are multiplied by the higher of the Building Aid Ratio used for 1999-00 Aid less .10 or the Current AV/RWADA Aid Ratio or, for eligible projects, the low income aid ratio. For projects approved on or after July 1, 2005 for high need/resource-capacity districts, expenses are multiplied by 0.05 multiplied by the same aid ratio used for projects approved on or after July 1, 2000, however reimbursement is limited to 98 percent, including the incentive of up to 10 percent. Up to 10 percent of additional building aid is provided for projects approved on or after July 1, 1998. For districts that have reorganized, Reorganization Incentive Building Aid is included as in permanent law. Aid is not estimated

for those prospective and deferred projects that had not fully met all eligibility requirements as of the February 2006 database.

Reorg. Incentive (Operating): For districts that have reorganized, Reorganization Incentive Operating Aid is included as in permanent law. The additional aid gradually tapers to zero over 15 years.

Transportation incl Summer: Aid for non-capital expenses is based upon estimated approved transportation operating expense multiplied by the selected transportation aid ratio with a .9 maximum and a .065 minimum. The selected aid ratio is the highest of 1.263 multiplied by the Selected State Sharing Ratio or $1.01 - (.46 * RWADA \text{ Wealth Ratio})$ or $1.01 - (.46 * Enrollment \text{ Wealth Ratio})$, plus a sparsity adjustment. Aid for capital expenses is computed as above, but based on the assumed amortization of purchase, lease and equipment costs over five years, at a statewide average interest rate. Transportation Aid for district operated summer school programs is prorated to total no more than \$5.0 million statewide. Aid is provided, based on approved transportation operating expenses and assumed amortization of capital expenses and the selected transportation aid ratio, for the transportation of pupils to approved district operated summer programs.

Hardware & Technology: Aid is equal to the lesser of 2005-06 expenses or Selected TAPU for Payment multiplied by \$19.25 times the Current AV/RWADA Aid Ratio.

Software, Library, Textbook: All three aids use 2005-06 expenditures and pupil counts. The maximum Software Aid equals \$14.98 per pupil and the maximum Library Materials Aid equals \$6.00 per pupil, each multiplied by the combined 2005-06 public plus nonpublic enrollment for pupils attending school in the district plus district pupils attending full time BOCES and private school programs for students with disabilities plus pupils attending the State operated schools at Rome and Batavia and resident pupils placed in Special Act school districts. Aid cannot exceed approved expenditures. Textbook Aid equals \$42.30 per pupil for Regular Textbook Aid plus \$15 per pupil for Lottery Textbook Aid multiplied by resident public plus resident nonpublic enrollment plus resident pupils with disabilities attending approved private schools or the State operated schools at Rome and Batavia and resident pupils placed in Special Act school districts. Textbook Aid is not to exceed actual expenditures for purchase of textbooks during 2005-06. Charter school enrollments are included in the pupil counts.

Universal Prekindergarten: The Universal Prekindergarten grant as claimed for 2005-06. A district is eligible to receive a grant up to the amount the district was eligible to receive in 2005-06.

Early Grade Class Size Redn: The Early Grade Class Size Reduction grant as claimed for 2005-06. A district is eligible to receive a grant up to the amount the district was eligible to receive in 2005-06.

Teacher Support Aid: Teacher Support grants, for the Big 5 City school districts, are the same as for 2005-06.

Sound Basic Education Aid: The sum of 2005-06 Sound Basic Education (SBE) Aid plus an additional apportionment. The additional apportionment is the State total of \$375,000,000 multiplied by the ratio of the district's 2005-06 SBE Aid to the State total 2005-06 SBE Aid of \$324,867,141.

Total: Sum of the above Aids.

\$ Chg 06-07 minus 05-06: Difference between the two totals.

% Chg Total Aid: Difference between the two totals divided by 2005-06 Total Aids multiplied by 100.

\$ Chg w/o Gr, Bl, Rebl, Trn, BOC, K: Difference between 2006-07 and 2005-06 Total Aids excluding Growth, Building, Building Reorganization Incentive, Transportation, BOCES and Full Day Kindergarten Aids.

% Chg w/o Gr, Bl, Rebl, Trn, BOC, K: \$ Change w/o Growth, Building, Building Reorganization Incentive, Transportation, BOCES and Full Day Kindergarten Aids divided by 2005-06 Total excluding Growth, Building, Building Reorganization Incentive, Transportation, BOCES and Full Day Kindergarten Aids multiplied by 100.

06-07 Supplemental Univ Pre-K: Districts may be eligible for an additional grant for the 2006-07 school year. Up to \$50 million is available statewide to expand this program.

06-07 Add'l Univ Prekindergarten: Difference between the 2005-06 Universal Prekindergarten Grant a district was eligible for and the 2005-06 grant claimed by the district.

06-07 High Tax Aid: Districts may be eligible for a high tax aid apportionment for the 2006-07 school year with up to \$20 million available statewide. For any district in an eligible county, aid is the greater of \$25,000 or the result of \$29.90 multiplied by 2005-06 public enrollment for State Aid. An eligible county is one in which the tax effort ratio exceeds .04000 (or 4 percent). The tax effort ratio of the county is the result of (a) the sum of the districts' 2003 residential tax levy with condominiums divided by (b) the sum of the districts' 2003 adjusted gross income.

06-07 EXCEL: A total of \$2.6 billion is available for capital construction through Expanding our Children's Education and Learning (EXCEL) with the amount shown for a district computed as follows: for high Need/Resource-Capacity districts, the product of \$778.22 multiplied by the 2005 enrollment; and, for average and low Need/Resource-Capacity districts, the product of \$320.46 multiplied by the 2005 enrollment.

ATTACHMENT B

FLEX AID

[Chapter 53 of the Laws of 2005]
(2005-06 Estimated Total - \$8,430.6 Million)

Flex Aid is the largest category of aid apportioned under formula aid for major districts. Flex Aid is unrestricted aid available to help each district meet its expenditures for general operation and maintenance of the school district. Included are operating expenditures such as salaries of administrators, teachers and non-professionals, fringe benefits, utilities and maintenance of school facilities.

Certain expenses are not included in the definition of expenditures for general operation, primarily because these expenditures are aided through other formulas or special aid programs. Examples of such expenses are: transportation expenses, capital outlay and debt service, expenses to Boards of Cooperative Educational Services (BOCES), tuition payments to other districts, and payments from funds received from the federal government.

Selected Flex Aid = Lesser of Total Flex Aid or Total Flex Aid as shown on the Executive Budget computer run BT033-1

Total Flex Aid = Tier 1 Flex Aid + Tier 2 Flex Aid + Aid Due Minimum Increase or Cap + Tier 3 Flex Aid

Tier 1 Flex Aid = Sum of 2004-05 Comprehensive Operating Aid (COA)⁴, Summer School Aid, Minor Maintenance and Repair Aid, Educationally Related Support Services Aid, Extraordinary Needs Aid and Limited English Proficiency Aid⁵.

Tier 2 Flex Aid = [\$6 .00 + (\$55.00 X Regional Cost Index⁶)] X Flex Aid Ratio 1 X Flex Selected TAPU

Flex Aid Ratio 1 = 1.37 - (1.10 X Flex Combined Wealth Ratio(CWR))
Minimum = .05, Maximum = .9

Flex Selected TAPU (2005-06 Aid) = Higher of 2004-05 Flex TAPU or avg. of 2003-04 and 2004-05 Flex TAPU

2004-05 and 2003-04 Flex TAPU (Total Aidable Pupil Units) = Base year average daily attendance of the district, adjusted by the index of enrollment change between the base year and the current year, with half-day K weighted at .5 plus additional weights of: secondary pupils, at .25; summer school pupils, at .12; poverty (free and reduced price lunch) pupils, at .33; Limited English Proficient pupils, at .33; and sparsity pupils, at .33.

Flex CWR = (.5 * Flex PWR) + (.5 * Flex APWR)

Flex PWR (Pupil Wealth Ratio) = $\frac{2002 \text{ Actual Valuation}}{2003-04 \text{ Flex TWPU}}$
\$315,000 (=statewide avg. AV/Flex TWPU)

Flex APWR (Alternate Pupil Wealth Ratio) = $\frac{2002 \text{ Adj Income}}{2003-04 \text{ Flex TWPU}}$
\$107,700 (=statewide avg. income/Flex TWPU)

⁴ Please refer to the 2004-05 State Aid Handbook for a description of 2004-05 COA.

⁵ A portion of Flex Aid must be set aside to support the provision of services to LEP students, pursuant to certain federal and state laws and regulations.

⁶ The regional cost index used in Flex Aid is a Geographic Cost of Education Index (GCEI)-based adjustment reflecting variations in teacher compensation among school districts in New York State.

2003-04 Flex TWPU (Total Wealth Pupil Units) = Year prior to the base year Resident Adjusted Average Daily Attendance plus additional weightings of: secondary pupils, at .25; poverty (free and reduced price lunch) pupils, at .33; Limited English Proficient pupils, at .33; sparsity pupils, at .33; pupils with disabilities receiving special services for 60 percent of the day, at 1.7; pupils with disabilities receiving special services for 20 percent of the week, at .9; and pupils with disabilities receiving special services for consultant teacher services, at .9.

Flex Aid minimum increase: The sum of Tier 1 and Tier 2 Flex Aid cannot be less than 1.005 X the sum of the six base year aids that make up Tier 1 Flex Aid.

Flex Aid Cap: The sum of Tier 1 and Tier 2 Flex Aid cannot be more than a wealth-adjusted 2.5% higher than the sum of the six base year aids that make up Tier 1 Flex Aid.

Tier 3 Flex Aid = If FlexCWR < 1.200 and K-6 FRPL % > .5000, then aid =

\$9.00 X FRPL index X Flex Aid Ratio 2 X 2004-05 Public Enrollment

FRPL Index = $\frac{\% \text{ K-6 Eligible Applicants for free and reduced priced lunch (FRPL)}}{.50}$

% K-6 Eligible Applicants for FRPL: The number of K-6 public school pupils in the district who were eligible applicants for the Free and Reduced-Price Lunch Program as of October 2003 divided by the full day K-6 enrollment of pupils on a regular day school register as reported in the Fall 2003 Basic Education Data System (BEDS).

Flex Aid Ratio 2 = 1.00 - (0.64 * Flex CWR), minimum .10.

2004-05 Public Enrollment = The Fall 2004 public school enrollment for State Aid which includes Equivalent Attendance, students with disabilities attending BOCES full time and charter school enrollment. It does not include students with disabilities attending private and State operated schools (Rome & Batavia).

**C. ADDITIONAL UNRESTRICTED AID TO SUPPORT EXPENDITURES FOR
GENERAL OPERATION AND MAINTENANCE OF THE SCHOOL DISTRICT
[Chapter 53 of the Laws of 2005]
(2005-06 Estimated Total - \$391.5 Million)**

**1. ADDITIONS TO 2004-05 AIDS INCLUDED IN 2005-06 FLEX AID
(2005-06 Estimated Total = \$36.6 Million)**

Additional general purpose aid is available to supplement the 2004-05 base year aids incorporated into Flex Aid. For each of the following 2004-05 aids included in Tier 1 Flex Aid, districts receive the positive difference between the 2005-06 calculated amounts for these aids and the lesser of the 2004-05 aid amount shown on the Executive Budget computer run BT033-1 or the actual 2004-05 calculated aid amount: Extraordinary Needs Aid (ENA), Educationally Related Support Services Aid (ERSSA), Summer School Aid (SSA), Limited English Proficiency Aid (LEP) and Minor Maintenance and Repair Aid (REP).

Although the amount of additional aid districts receive is based on the calculations of the five aids listed above, it is general purpose aid that districts can use to support their expenditures for general operation and maintenance of the school district.

Addition to 2004-05 aids included in Flex Aid =

Additional ENA + Additional ERSSA + Additional SSA + Additional LEP + Additional REP

Additional ENA (Statewide total: \$30.2 million) =

2005-06 ENA – (lesser of 2004-05 ENA on BT033-1 or 2004-05 ENA payable); min = 0

2005-06 ENA = Calculated 2005-06 ENA, but no less than 2004-05 ENA

2005-06 Calculated Extraordinary Needs Aid (ENA) =

7

Concentration Factor X EN Factor X (\$3,900 + Ceiling Adjustment) X ENA Ratio X EN Count.

$$\text{Concentration Factor} = 1 + \frac{((\text{EN Count} / 2004\text{-}05 \text{ Enrollment}) - .745)}{.32}$$

EN Factor = a minimum of .11 +

- .0254 for Buffalo,
- .027 for Rochester,
- .065 for Syracuse,
- .10 for Yonkers,
- .05314 for districts in which enrollment per square mile exceeds 1500 and,
- .0362 for districts in which the EN concentration percent exceeds .799

$$\text{ENA Ratio} = 1 - (0.4 \times \text{Income Wealth Ratio})$$

$$\text{EN Count} = \text{Lunch Count} + \text{LEP Count} + \text{Sparsity Count}$$

Lunch Count = The % of 2003 K-6 enrollment eligible for the Free and Reduced Price Lunch Program multiplied by the total 2004 enrollment

LEP Count = 2004-05 enrollment of pupils that scored at or below the 40th percentile on a standard test of English proficiency and received services

$$\text{Sparsity Count} = \frac{(25 - \text{Enrollment/Square Mile}) \times \text{Total 2004 Enrollment}}{50.9}$$

Additional ERSSA (Statewide total: \$1.4 million) =

2005-06 ERSSA – (lesser of 2004-05 ERSSA on BT033-1 or 2004-05 ERSSA payable); min = 0

2005-06 ERSSA =

- Tier I: \$365.00 X ERSSA Aid Ratio X (Selected TAPU * 0.09)

ERSSA Aid Ratio = the greater of the Formula Operating Aid sharing ratio or 0.25

- Tier II: (\$635.00 X Public Excess Cost Aid Ratio X Tier II Pupils), but not less than \$0.

Tier II pupils = (0.15 X Sel. TAPU) X (Extraordinary Needs Concentration Percent - 60 percent)

Additional SSA (Statewide total: \$.6 million) =

2005-06 SSA – (lesser of 2004-05 SSA on BT033-1 or 2004-05 SSA payable); min = 0

2005-06 SSA =

\$200 X Summer Session Index X Aidable Pupils

Summer Session Index = EN Concentration Factor X Formula Operating Aid sharing ratio (3 decimals without rounding; minimum index = 0.400)

EN Concentration Factor = $1 + \frac{[(\text{Extraordinary Needs Count}/2004 \text{ Enrollment}) - 0.58]}{0.400}$

Aidable pupils = Hours of Attendance in Eligible Programs / 90

Additional LEP (Statewide total: \$4.2 million) =

2005-06 LEP – (lesser of 2004-05 LEP on BT033-1 or 2004-05 LEP payable); min = 0

2005-06 LEP = Formula Operating Aid per pupil X 0.293 X number of pupils with Limited English proficiency in approved programs during the base year.

Additional REP Aid (Statewide total: \$.2 million) =

2005-06 REP Aid maximum – (lesser of 2004-05 REP Aid on BT033-1 or 2004-05 REP Aid payable); min = 0

2005-06 Minor Maintenance and Repair Aid is calculated based on such factors as age of facilities and square footage of district buildings.

2. TIER 2 COMPREHENSIVE OPERATING AID
(2005-06 Estimated Total = \$30.0 Million)

Tier 2 Comprehensive Operating Aid (COA) = \$109.40 X Tier 2 COA Aid Ratio X Selected TAPU

Tier 2 COA Aid Ratio = 1.3 - (1.85 X Combined Wealth Ratio)

EMPIRE ZONES

Objective: Stimulates economic growth in designated areas of the State through a variety of financial incentives and economic development benefits intended to attract new businesses to these areas and to enable existing businesses to expand and create more jobs.

Administering Agency: NYS Department of Economic Development

Specific Program URL: www.empire.state.ny.us

NYS Object Code: 69502

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Article 18B

Regulation: NYCRR Section 10-14, Title 5

Program Contact:

Randy Coburn
 Director
 Empire Zones Program
 NYS Department of Economic
 Development
 30 South Pearl Street
 Albany, NY 12245
 (518) 292-5243
 E-Mail: rcoburn@empire.state.ny.us

Fiscal Contact:

Nancy Splonskowski
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 Office of Fiscal Management
 NYS Department of Economic
 Development
 30 South Pearl Street
 Albany, NY 12245
 (518) 292-5147
 E-Mail: nsplonskowski@empire.state.ny.us

Eligibility: Municipal participants must apply for designation and must be designated as an Empire Zone by the Governor's Zone Designation Board, pursuant to specific authorization for additional zones provided by the Legislature.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Grants are provided partially as an advance and partially as a reimbursement.

Type of Aid: On-going program subject to annual appropriations by the Legislature.

Formula: NA

Matching Requirement: State 50%, Local 50%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,300,000	NA
SFY 05-06	NA	2,300,000	NA
SFY 06-07	NA	2,300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,543,956	NA
SFY 05-06	NA	2,337,243	NA

*Officially designated in New York statute as the Department of Economic Development.

TOURISM MATCHING FUNDS PROGRAM

Objective: Supports planning and promotion of programs designated to stimulate and increase the volume of tourist travel, resort, vacation, culture, and, convention activities within the State.

Administering Agency: NYS Department of Economic Development

Specific Program URL: www.iloveny.com

NYS Object Code: 69501

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Economic Development Law, Article 5-A

Regulation: NA

Program Contact:

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 Tourism Matching Funds
 NYS Department of Economic
 Development
 30 South Pearl Street
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Fiscal Contact:

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 Development
 30 South Pearl Street
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 E-Mail: nsplonskowski@empire.state.ny.us

Eligibility: Counties and Non-Profit Groups. Must be designated as an official Tourism Promotion Agency (TPA) by county legislature or Mayor of New York City.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: Each TPA eligible to apply for five percent of total appropriation. Pro-rating is used when applications exceed appropriations.

Matching Requirement: State 50%, Local 50%, TPA to match amount by State.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,777,000	NA
SFY 05-06	NA	4,777,000	NA
SFY 06-07	NA	4,777,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,961,199	NA
SFY 05-06	NA	4,775,580	NA

*Officially designated in New York statute as the Department of Economic Development.

ALTERNATIVE FUELS/CLEAN CITY CHALLENGE

(Technical Assistance Program)

Objective: Challenges municipal and private fleets located in areas of the State designated by the U.S. Department of Energy as Clean Cities to propose innovative projects which encourage and support the use of alternative fuels. Project selection criteria includes: providing maximum benefits in terms of emissions reduction and petroleum displacement; leveraging investment by others in vehicles and/or fueling facilities; and directly supporting installation of new fueling facilities or building critical load for existing facilities.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Department of Energy

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 81.089

Legal Authority:

Law: Public Authorities Law, Section 1854; US Energy Policy and Conservation Act, Title III, Part d 42 USC 6321

Regulation: 10 CFR Part 420

Program Contact:

Patrick Bolton
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090 Ext. 3322
Fax: (518) 862-1091
E-Mail: PPB@NYSERDA.ORG

Fiscal Contact:

NA

Eligibility: Municipal Agencies, Businesses, Corporations, School Districts, Not-for-Profit Institutions, Organizations and Associations.

Type of Program and Special Restrictions: Open to fleets of ten or more light-, medium-, or heavy-duty vehicles and petroleum companies interested in supplying renewable fuels (biodiesel or ethanol) to one or more eligible fleets.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid: See Objective.

Comments: Program is released on a yearly basis.

CLEAN-FUELED BUS PROGRAM

Objective: Under the program, funds will be awarded for up to 100 percent of the incremental cost (i.e., the additional cost of a clean-fueled bus compared to the cost of a comparably sized petroleum-powered vehicle) and for directly associated/infrastructure projects. A clean-fueled bus is any motor vehicle with a seating capacity of 15 or more passengers used for the transportation of persons on public highways that is powered by compressed natural gas, propane, methanol, hydrogen, or ethanol, or uses electricity including electricity generated from solar energy, either stored or generated on-board, as a primary motive force.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Laws of 1996, Chapter 413

Regulation: NA

Program Contact:

Patrick Bolton
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090 Ext. 3322
Fax: (518) 862-1091
E-Mail: PPB@NYSERDA.ORG

Fiscal Contact:

NA

Eligibility: Municipalities, School Districts, State Agencies, Departments and Public Authorities. Buses must be commercially available from one or more bus manufacturers and be assigned to regular or revenue service. Eligible infrastructure projects include construction and equipment installation for recharging and refueling facilities, and depot conversions necessary to introduce or expand a fleet of clean-fueled buses for which funding is awarded through this program.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: In ranking projects, priority will be given to projects that: (a) result in greatest emissions reduction per program dollar invested; (b) are located in areas where air quality improvements are needed; (c) provide the greatest economic benefits to the State; (d) leverage co-funding by the applicant or others; (e) are from new applicants; (f) support emerging technologies; and (g) are consistent with other clean-fuel vehicle activities and priorities.

Matching Requirement: Bus authorities must be able to cover the cost of a conventional vehicle and NYSERDA will cover the incremental cost.

Maintenance of Effort: Must keep the buses consistently running in the bus fleet and maintain the recharging and refueling facilities.

Amounts Appropriated and Disbursed: See Comments

Comments: Over \$30 million of Clean Water/Clean Air Bond Act funds have been awarded to date.

COMBINED HEAT & POWER (CHP) PERFORMANCE PROGRAM

(A Component of New York Energy \$martsm Commercial Industrial Performance Contract Program)

Objective: Encourages the installation of clean, efficient and commercially available CHP systems in Con Edison Electric Service Territory.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Authorities Law, Article 8, Title 9

Regulation: NA

Program Contact:

Jaime R. Ritchey
Project Manager
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
1-866-NYSERDA (1-866-697-3732) ext. 3517
E-Mail: JRR@NYSERDA.ORG

Fiscal Contact:

NA

Eligibility: CHP systems with a minimum installed capacity of 300 KW from sites located in Con Edison Electric Service Territory that pay the monthly adjustment clause (MAC).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Applicant. (Applicant may be either the customer, contractor, or other entity willing to guarantee the CHP system performance.

Type of Aid: Project Incentive

Formula: Incentive is paid on annual KWH generated and average KW produced during the summer capability period (defined as the hours between 12pm and 6pm, Monday through Friday, from May 1 to October 31, excluding legal holidays).

Matching Requirement: Yes

Maintenance of Effort: Yes, two years of measurement and verification.

ENERGY EFFICIENCY WORKSHOPS

(Technical Assistance Program)

Objective: Provides public, private and not-for-profit organizations with practical, up-to-the-minute information on how to reduce energy use, save on operating and maintenance costs, and improve performance.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Department of Energy

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 81.089

Legal Authority:

Law: Public Authorities Law, Section 1854

Regulation: NA

Program Contact:

Joanna Gomez
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090 Ext. 3220
E-Mail: JAG@NYSERDA.ORG

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Public Authorities.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct request.

Description of Aid:

NYSERDA cosponsors Energy Efficiency Workshops aimed at providing facility staff and building managers with practical, up-to-the-minute information on how to reduce energy use, save on operating and maintenance costs, and improve performance. NYSERDA looks for interested organizations to cosponsor these workshops.

FLEXIBLE TECHNICAL ASSISTANCE

(Technical Assistance Program)

Objective: Helps customers identify energy-efficiency opportunities. This program is extremely flexible and allows the customers to evaluate almost any decision regarding energy use by cost-sharing: energy feasibility studies, operational changes, energy procurement options such as rate analysis and aggregation, retrocommissioning services, combined heat and power (CHP) studies, studies leading to performance contracts, etc. Studies evaluate the energy and dollar savings associated with making changes to the facility or operation, along with the capital cost to make those changes, providing a simple payback or life-cycle cost-analysis.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Department of Energy

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Section 1854; US Energy Policy and Conservation Act, Title III, Part d 42 USC 6321

Regulation: 10 CFR Part 420

Program Contact:

Mark Gundrum
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3256
E-Mail: MRG@NYSERDA.ORG

Fiscal Contact:

NA

Eligibility: All facilities located in New York State, except for residential properties comprised of less than five units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct Request or on-line Referral Form.

Description of Aid:

This program allows customers to obtain cost-shared engineering services in a timely manner to help them make informed decisions about energy efficiency improvements. Projects are typically cost-shared on a 50:50 basis up to \$50,000. (Up to \$100,000 if customer is located in Con Edison Electric Service Territory.) This is an ongoing service with competitively selected engineering consultants. Customers can choose from the list of retained engineering consultants to provide services. These services are designed to help individual customers overcome barriers to energy efficiency by providing technical information and expertise, and to identify and promote the implementation of high efficiency measures. Technical services are necessary to encourage customers to obtain objective information necessary to implement cost-effective, energy efficiency projects.

**MUNICIPAL WATER AND WASTEWATER
TECHNOLOGIES
(Pilot Plant, Full-Scale Demonstrations
and Research Studies Program)**

Objective: Provides risk-reduction cost sharing for testing and demonstration of new, energy-efficient water and wastewater treatment and sludge management technologies at municipal water and wastewater treatment plants.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Section 1854.

Regulation: NA

Program Contact:

Gregory Lampman
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3372
E-Mail: ggl@nyserda.org

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, Public Water and Sewer Districts, Public Water and Sewer Authorities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Up to 50 percent eligible project costs with a maximum contribution of \$400,000 per project. A 50 percent minimum cost share is required (cash and/or in-kind services). Cost-sharing is not required on research studies. Funding may be used for equipment, materials, subcontractor labor, travel, laboratory testing and other direct costs.

MUNICIPAL WATER AND WASTEWATER TREATMENT PROGRAM

Objective: Test and demonstrate technologies to enhance performance, reduce energy and operating costs of municipal water and wastewater treatment systems.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: www.nyserda.org/Programs/Environment/muniwaterwwt.asp

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Kathleen O'Connor, PE
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090 Ext. 3422
Fax: (518) 862-1091
E-Mail: KMO@nyserda.org

Fiscal Contact:

NA

Eligibility: New York State municipalities.

Type of Program and Special Restrictions: Competitive solicitations.

Action Required to Receive Aid: Submit proposals.

Description of Aid:

Flow of Funds: NA

Type of Aid: NA

Formula: NA

Matching Requirement: 25% co-funding.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: NA

NEW CONSTRUCTION PROGRAM

(Incentives and Technical Assistance Program)

Objective: The purpose of this program is to encourage implementation of energy-efficient equipment into the design of new or renovated commercial buildings in New York. This goal will be accomplished by providing technical assistance to Architectural and Engineering firms to evaluate energy efficiency options and capital cost incentives to building owners to overcome additional first cost for energy efficiency improvements.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Marcia Ruth
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3363
Fax: (518) 862-1091
E-Mail: MCR@nyserda.org

Fiscal Contact:

Roseanne Viscusi
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3268
E-Mail: RDV@nyserda.org

Eligibility: All new commercial construction projects, substantial renovation projects and certain small replacements of lighting, motors and unitary heating and ventilation equipment.

Type of Program and Special Restrictions: Customers must be eligible for the Systems Benefit Charge benefits.

Action Required to Receive Aid: Competitive application for aid required. Complete program applications, receive approval to install measures, verify installation and present appropriate receipts and documentation.

Description of Aid:

Engineering assistance to evaluate energy efficiency technologies, computer energy modeling of building designs, and capital cost incentives to cover a portion of the incremental cost of purchasing and installing approved equipment.

**NEW YORK ENERGY \$MARTsm ENHANCED
COMMERCIAL/INDUSTRIAL PERFORMANCE
CONTRACT PROGRAM**

Objective: Encourage Energy Service Companies (ESCOs) to install cost-effective electrical energy efficiency measures for institutional, commercial, and industrial customers. Qualified customers of Central Hudson, Con Edison, NYSEG, Niagara Mohawk, Orange and Rockland, and Rochester Gas & Electric can share in incentives paid on a performance basis.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: www.nyserdera.org/programs/CIPP/default.asp

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Todd Baldyga
Project Manager
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3354
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups, Commercial and Industrial Electrical Customers contracting with an ESCO for energy efficiency services. Customers must pay into the Systems Benefit Program.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to ESCO selected by state/local government customer or State to customer. Also, reimbursement for purchase and installation of qualifying equipment.

Type of Aid: Project Grant

Formula: Incentive is paid on a per kilowatt hour of savings basis.

Matching Requirement: Yes

Maintenance of Effort: Yes

NEW YORK ENERGY \$MARTSM ENERGY
AUDIT PROGRAM
(Technical Assistance Program)

Objective: The audit program is designed to provide technical and engineering analysis for small facilities. This assistance will help them make informed energy decisions and implement energy efficiency strategies. Through this effort, individual customer energy efficiency will be promoted and the customer acceptance of energy efficient products will be increased, thereby achieving a greater market share for these products.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Michael Razanousky
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3245
E-Mail: MPR@NYSERDA.ORG

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct request.

Description of Aid:

This program allows customers to obtain cost-shared engineering services in a timely manner to help them make informed decisions about energy efficiency improvements. This is an ongoing service. These services are designed to help individual customers overcome barriers to energy efficiency by providing technical information and expertise, and to identify and promote the implementation of high efficiency measures. Technical services are necessary to encourage customers to obtain information necessary to implement cost-effective, energy efficiency projects.

NEW YORK ENERGY \$SMARTsm LOAN FUND

Objective: Low-interest loans are available through participating lenders for energy efficiency and renewable technology projects. The Loan Fund subsidizes loans for 1-4 family homes up to \$20,000; for multi-family buildings up to \$5,000 per unit up to \$2,500,000 per borrower plus an additional maximum of \$2,500,000 for projects that include advanced meters coupled with a variable electricity rate structure that encourages shifting electric load to off-peak periods; and for all other facilities and new construction multi-family building up to \$1,000,000 and up to an additional \$500,000 for "green building" improvements. Borrowers must be customers of National Grid, Orange and Rockland, New York State Electric and Gas, Consolidated Edison, Rochester Gas & Electric or Central Hudson. Eligible measures include lighting, ENERGYSTAR® appliances, heating and air conditioning systems, efficient windows, heat pumps, and many others. Some projects require technical studies available through NYSERDA. An interest rate reduction of up to 6.5% is available to certain Con Edison customers; all other applicants may receive a 4% interest rate reduction.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: www.nyserda.org/loanfund

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Marilyn Dare
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3348
E-Mail: mjd@nyserda.org

Fiscal Contact:

NA

Eligibility: All sectors are eligible. Loans will be available to customers of Consolidated Edison, National Grid, Central Hudson, New York State Electric and Gas, and Orange & Rockland, and Rochester Gas & Electric. Applicants must obtain loan commitments from participating lenders.

Type of Program and Special Restrictions: Participation in the Loan Fund is optional and entails no mandated requirements. No special restrictions apply.

Action Required to Receive Aid: Complete application package and obtain commitment from a participating lender. Some applicants must have technical analyses of their projects.

Description of Aid:

Flow of Funds: System benefits charge funds flow to NYSERDA and from NYSERDA to participating lenders.

Type of Aid: Benefits are available up to ten years of eligible loan term.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Approximately \$10 million, representing over \$70 million in low interest loans, is available for lump sum subsidies payable to participating lenders.

PEAK LOAD REDUCTION PROGRAM

Objective: Reduce electric demand in NYS to increase grid reliability.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: www.nyscrda.org/programs/peakload/default.asp

NYS Object Code: NA

Year Established: 2001

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Chris Smith
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090 Ext. 3360

Fiscal Contact:

NA

Eligibility: Facilities must contribute to SBC and have the ability to reduce electric demand.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive Application for Aid Required

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Incentive is paid on the basis of \$/KW of savings.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: NA

TECHNICAL ASSISTANCE PROGRAM

(Technical Assistance Program)

Objective: The program helps customers identify energy-efficiency opportunities. This program is extremely flexible and allows the customer to evaluate almost any decision regarding energy use by funding: energy feasibility studies, operational changes, energy procurement such as rate analysis and aggregation studies, retro-commissioning services, combined heat and power (CHP) and renewable generation, studies leading to performance contracts, etc. Studies evaluate the energy and dollar savings associated with making changes to the facility or operation, along with the capital cost to make those changes, providing a simple payback or life-cycle cost analysis.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Gregory Lampman
NYS Energy Research and
Development Authority
17 Columbia Circle
Albany, NY 12203-6399
(518) 862-1090, Ext. 3372
E-Mail: ggl@nyserda.org

Fiscal Contact:

NA

Eligibility: All facilities located in New York State, except for residential properties comprised of less than five units, are eligible.

Type of Program and Special Restrictions: Must be an electric distribution customer of Central Hudson Gas & Electric Corp., Consolidated Edison Co. of New York, New York State Electric and Gas Corp., Niagara Mohawk Power Corp., Rochester Gas & Electric, or Orange and Rockland Utilities, Inc.

Action Required to Receive Aid: Application response to Technical Assistance Program Opportunity Notice by due date.

Description of Aid:

This program allows customers to obtain cost-shared engineering services, designed to help customers make informed decisions about energy-related investments. Projects typically cost-shared on a 50:50 basis up to \$50,000 (up to \$100,000 if customer is located in Con Edison Electric Service Territory).

AQUATIC INVASIVE SPECIES ERADICATION GRANT PROGRAM

Objective: Restores and protects natural aquatic ecosystems by providing State assistance funding for projects to eradicate (i.e., kill or permanently remove) aquatic species identified as being invasive within water bodies and wetlands of New York State.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: www.dec.state.ny.us/website/dfwmr/habitat/erad.html

NYS Object Code: 63990

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Budget SFY 2006-2007, Environmental Protection Fund, Environmental Conservation Law, Article 54, State Finance Law, Article VI, S 92-s(6)(d)

Regulation: NA

Program Contact:

Timothy Sinnott
Biologist 2 (Ecology)
Division of Fish, Wildlife and Marine Resources
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-4756
(518) 402-8970
E-Mail: txsinnot@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Municipalities (i.e., Counties, Cities, Towns, Villages) and Not-for-Profit Corporations (NPCs).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grant

Formula: NA

Matching Requirement: State 50%; Local (applicant) 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 05-06	NA	1,000,000	NA
SFY 06-07	NA	1,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 05-06	NA	1,000,000	NA

BROWNFIELD OPPORTUNITY AREAS PROGRAM

Objective: Provides municipalities and community based organizations with assistance, up to 90 percent of the eligible costs, to complete area-wide brownfield redevelopment plans.

Administering Agency: NYS Department of Environmental Conservation; NYS Department of State

Specific Program URL: <http://www.dec.state.ny.us/der> and <http://www.dos.state.ny.us/cstl/cstlwww.html>

NYS Object Code: NA

Year Established: 2003

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, 970-r

Regulation: To be developed.

Program Contacts:

Brownfield Opportunity Areas (BOA)
 Coordinator
 Div. of Environmental Remediation
 NYS Department of Environmental
 Conservation
 625 Broadway
 Albany, NY 12233-7012
 (518) 402-9711
 E-Mail: NA

NYS Department of State
 Div. of Coastal Resources
 (518) 474-6000
coastal@dos.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
 Division of Management and Budget Services
 NYS Department of Environmental
 Conservation
 625 Broadway
 Albany, NY 12233-5021
 (518) 402-9228
 E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts, Local Public Authorities, Public Benefit Corporations, Special Improvement Districts, Indian Nations or Tribes, and Community Based Organizations. Two or more eligible applicants may, and are encouraged to, submit a joint application.

Type of Program and Special Restrictions: Optional, but once approved subject to mandated State requirements. Authorized under "Superfund/Brownfield Law 2003."

Action Required to Receive Aid: Competitive application for aid required. Annual solicitation.

Description of Aid:

Flow of Funds: State to Local. Reimbursement program.

Type of Aid: Project grant via State Assistance Contract.

Formula: NA

Matching Requirement: State 90%; Local 10%

Maintenance of Effort: NA

Amounts Appropriated: Contingent upon annual MOU between Governor and legislative leadership.

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,250,000	NA
SFY 05-06	NA	Pending MOU	NA
SFY 06-07	NA	Pending MOU	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

ENVIRONMENTAL JUSTICE COMMUNITY IMPACT RESEARCH GRANT PROGRAM

Objective: Grants State assistance funding to community groups through partial advance payment and partial reimbursement for projects that address exposure of communities to multiple environmental harms and risks.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: <http://www.dec.state.ny.us/website/ej/ejgrants.html>

NYS Object Code: 63990

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Budget Allocation, Aid to Localities Local Assistance Account, Chapter 55 of the Laws of 2005 and 2006.

Regulation: NA

Program Contact:

Monica L. Kreshik/Douglas E. Morrison
Env. Justice Coordinator/Env. Program Specialist
Office of Environmental Justice
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233
E-Mail: NA

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Each applicant must be a community group (or multiple community group partnership) located primarily in the research project area that primarily address environmental and/or health related problems of local community residents. Eligible projects must include research and community education on multiple environmental harms and risks.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as an advance and partially as a reimbursement.

Type of Aid: On-going program or project.

Formula: First 30% advanced when NYS DEC approves State Assistance contract. Second 30% advanced when first payment is exhausted and a work detail report has been approved. Third 30% advanced with the same conditions as the second payment. Final 10% reimbursed once project has successfully been completed.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 05-06	NA	500,000	NA
SFY 06-07	NA	500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

ENVIRONMENTAL RESTORATION PROGRAM

Objective: Provides State financial assistance to municipalities for the investigation and/or remediation of properties which are either contaminated or suspected of being contaminated.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: <http://www.dec.state.ny.us/der>

NYS Object Code: 71210

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 56

Regulation: 6 NYCRR 375; 6 NYCRR 597

Program Contact:

Dale A. Desnoyers, Director
Division of Environmental Remediation
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-7011
(518) 402-9706
E-Mail: dadesnoy@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities, Public Benefit Corporations, Supervisory Districts, District Corporations, Improvement Districts, and Indian Nations or Tribes recognized by the State or the US with a reservation within New York State. Additionally, a Community Based Organization (CBO) can partner with a municipality to be eligible, but a CBO cannot apply on its own. Requirements: (1) municipality must own the property that is the subject of the Brownfields application by the time the State Assistance Contract is executed in all instances except for investigation projects where a municipality pursuing a tax foreclosure may qualify as a "temporary owner" for purposes to obtain State funding conducting an investigation; (2) the municipality is not responsible for the contamination at the site; (3) the municipality has not, and will not undertake any indemnification obligation respecting a party responsible under the law for the remediation of the property; (4) the property is not a Class 1 or 2 site listed on the NYS Registry of Inactive Hazardous Waste Disposal Sites; and (5) the project must satisfy the criteria of ECL 56-0505.

Type of Program and Special Restrictions: Optional, but once approved subject to mandated State requirements. Authorized under 1996 Clean Water Clean Air Bond Act.

Action Required to Receive Aid: Application for aid required. Applications are accepted continuously. Remediation applications are evaluated based upon five criteria and ranked for funding purposes.

Description of Aid:

Flow of Funds: State to Local. Reimbursement program.

Type of Aid: Project grant via State Assistance Contract.

Formula: NA

Matching Requirement: State 90%; Local 10% - on-site eligible costs; State 100% - off-site eligible costs; for site investigation and remediation activities. State 50%; Local 50% - Demolition/Asbestos.

Maintenance of Effort: NA

Amounts Authorized: \$200,000,000. Approximately \$70,000,000 uncommitted.

HABITAT/ACCESS STAMP GRANT

Objective: Provides funding for Fish and Wildlife Habitat. Improvement and management and access to those resources for hunting, fishing and trapping and Fish and Wildlife recreation.

Administering Agency: NYS Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources

Specific Program URL: NA

NYS Object Code: 71210

Year Established: 2001

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Section 11-0305 and State Finance Law, Section 83.

Regulation: NA

Program Contact:

Jack Cooper
Biologist 3
Division of Fish, Wildlife and Marine Resources
NYS Department of Environmental Conservation
625 Broadway
Albany, NY 12233
(518) 402-8907
E-Mail: NA

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Local, State and Federal Municipalities and NFP Organizations.

Type of Program and Special Restrictions: Optional without any mandates. Reimbursement grants will be awarded in amounts between \$1,500 and \$15,000.

Action Required to Receive Aid: Competitive application for State assistance required. Must be an acceptable application that meets eligibility requirements.

Description of Aid: State Aid for Habitat Fund of Conservation Fund

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grant. Funding for up to three years for project completion.

Formula: Minimum \$1,500 and maximum \$15,000.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>Conservation Fund</u>
SFY 04-05	NA	NA	100,000
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	100,000

Amounts Disbursed:

SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	12,000

**HAZARDOUS WASTE SITE
REMEDIAION PROJECTS
(TITLE 3)**

Objective: Provides aid for the investigation and remediation of inactive hazardous waste disposal sites.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: <http://www.dec.state.ny.us/der>

NYS Object Code: 63909

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 52, Sections 52-0105, 52-0107, 52-0301 and 52-0303, and Environmental Quality Bond Act

Regulation: 6 NYCRR Part 375

Program Contact:

Dale A. Desnoyers
Director
Division of Environmental Remediation
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-7011
(518) 402-9706
E-Mail: dadesnoy@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities and Indian Tribes within New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Authorized under 1986 Environmental Quality Bond Act (EQBA).

Action Required to Receive Aid: Application for aid required. Applicant required to have signed an order on consent before submitting application.

Description of Aid:

Flow of Funds: State to Local. Reimbursement program. Funding limited.

Type of Aid: Project Grant via State Assistance Contract.

Formula: NA

Matching Requirement: State 75%, Local 25%

Maintenance of Effort: NA

Amounts Appropriated: Authorized in 1986 EQBA \$1.1 billion. No specific amount for Title 3 Program.

HUDSON RIVER ESTUARY PROGRAM ENVIRONMENTAL PROTECTION FUND

Objective: Assists in implementing former Governor George E. Pataki's Hudson River Action Agenda by addressing priority problems affecting the health of the Hudson River estuary.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article II, Title 3

Regulation: NA

Program Contact:

Fran Dunwell
Hudson River Estuary Program
NYS Department of Environmental
Conservation
21 S. Putt Corners Road
New Paltz, NY 12561
(845) 256-3016
E-Mail: HREP@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Municipalities and non-for-profit corporations.

Type of Program and Special Restrictions: Projects must be located within geographic boundaries of the Hudson River Estuarine District and associated shore lands.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant via State Assistance Contract.

Formula: NA

Matching Requirement: State assistance may fund up to 75% of the approved project costs; matching share to be provided by the applicant is 25% of approved project costs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,300,000
SFY 05-06	NA	NA	1,300,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	NA	NA	88,788

LANDFILL CLOSURE STATE ASSISTANCE TITLE 5 LANDFILL GAS COLLECTION

Objective: Assists eligible municipalities in meeting the costs of properly closing municipal landfills.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: NA

NYS Object Code: 63803

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 54, Title 5 and Article 56, Title 4

Regulation: 6 NYCRR Subpart 360-9

Program Contact:

Stephen Hammond
Director
Division of Solid & Hazardous Materials
NYS Department of Environmental
Conservation
625 Broadway, Fl. 9
Albany, NY 12233-7250
(518) 402-8651
E-Mail: sxhammon@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, Public Authorities and Native American Tribes residing within New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Grants up to \$2 million

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	3,000,000	NA
SFY 06-07	NA	3,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

**MUNICIPAL RECYCLING GRANTS PROGRAM
(1972 EQBA)**

Objective: Supports acquisition of municipal recycling equipment and facilities.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: NA

NYS Object Code: 63803, 71210

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law 51-0905

Regulation: NA

Program Contact:

Stephen Hammond
Director
Division of Solid & Hazardous Materials
NYS Department of Environmental
Conservation
625 Broadway, Fl. 9
Albany, NY 12233-7250
(518) 402-8651
E-Mail: sxhammon@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Counties, Cities, Towns, Villages and Public Authorities.

Type of Program and Special Restrictions: Optional, but once chosen subject to State mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: Municipality must continue to operate equipment/facility if purchased with State funds.

Amounts Appropriated and Disbursed: Information not supplied by agency.

**MUNICIPAL WASTE REDUCTION & RECYCLING
PROGRAM/HOUSEHOLD HAZARDOUS WASTE STATE
ASSISTANCE PROGRAM (EPF TITLE 7)**

Objective: Assists eligible municipalities in meeting the costs of implementing waste reduction, recycling and household hazardous waste collection programs. Also assists with funding recycling coordinators.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: NA

NYS Object Code: 71210

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 54, Title 7 and 56-0405

Regulation: 6 NYCRR Part 369 (MWRR Program); 6 NYCRR Subpart 373-4.6 (HHW SAP)

Program Contact:

Stephen Hammond
Director
Division of Solid & Hazardous Materials
NYS Department of Environmental
Conservation
625 Broadway, Fl. 9
Albany, NY 12233-7250
(518) 402-8651
E-Mail: sxhammon@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Public Authorities, Local Public Benefit Corporations, School Districts, Supervisory Districts, Improvement Districts and Native American Tribes residing within New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grants up to \$2,000,000.

Formula: NA

Matching Requirement: Fifty percent matching grants up to \$2,000,000.

Maintenance of Effort: Municipality must continue to operate equipment and facility if purchased with State funds.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	6,500,000
SFY 05-06	NA	NA	7,000,000
SFY 06-07	NA	NA	8,750,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 03-04	NA	NA	1,243,191
SFY 04-05 and SFY 05-06 – Information not supplied by agency.			

NEW YORK STATE'S NEW YORK CITY WATERSHED PROGRAM

(Technical Assistance Program)

Objective: DEC staff, on request, will provide technical assistance to WWTP operators and others on unit process, equipment operation, chemical issues and laboratory analysis. Department staff will evaluate one WWTP to help the facility meet permit requirements and improve plant efficiency.

Administering Agency: US Environmental Protection Agency

Specific Program URL: NA

NYS Object Code: 53000 - 57000

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 66.606

Legal Authority:

Law: Safe Drinking Water Act, Section 1443 (d)

Regulation: NA

Program Contact:

Kenneth Markussen, Director
Bureau of Water Resource Management
Division of Water
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-3508
(518) 402-8163
E-Mail: kjmarkus@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: State as defined by Federal law.

Type of Program and Special Restrictions: Technical assistance within the boundaries of the New York City Watershed.

Action Required to Receive Aid: Application to US Environmental Protection Agency on annual basis.

Description of Aid: Cooperative Agreement.

Flow of Funds: Federal to State, Federal to State to Local. Reimbursement.

Type of Aid: Annual Federal appropriation – “add-on”.

Matching Requirement: Match up to or equal to award required.

Maintenance of Effort: NA

**NONPOINT SOURCE POLLUTION
ABATEMENT AND CONTROL
(ENVIRONMENTAL PROTECTION FUND)**

Objective: Assists municipalities in implementing nonpoint source management programs (non-agricultural projects).

Administering Agency: NYS Department of Environmental Conservation. For agricultural non-point source projects see NYS Department of Agriculture and Markets

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 17-14

Regulation: NA

Program Contact:

Lois New
Chief, Partnership Section
Division of Water
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-3508
(518) 402-8239
E-Mail: lanew@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: All municipal entities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Projects must comply with the intent of the Environmental Conservation Law, Article 17-14.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: NA

Matching Requirement: 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,150,000
SFY 05-06	NA	NA	5,000,000
SFY 06-07	NA	NA	5,500,000

Amounts Disbursed:

SFY 04-05	NA	NA	4,150,000
SFY 05-06	NA	NA	5,000,000

TECHNICAL ASSISTANCE GRANTS

Objective: A citizen participation tool that is available to eligible community groups to increase public awareness and understanding of remedial activities taking place in their community at sites in the State's Superfund Program and Brownfield Cleanup Program.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: www.dec.state.ny.us/der/bcp/TAGfactsheet.pdf

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 27, Titles 13 and 14

Regulation: 6 NYCRR Part 375

Program Contact:

TAG Coordinator
Division of Environmental Remediation
NYS Department of Environmental
Conservation
625 Broadway, 12th Fl.
Albany, NY 12233-7012
(518) 402-9711
E-Mail: NA

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Eligible Sites – Significant threat sites determined by the DEC in the State Superfund Program or Brownfield Cleanup Program. Eligible Groups - Parties not responsible for the contamination who are Domestic not-for-profit corporations under Internal Revenue Code 501(c)(3). Group members must be potentially affected by the site and represent the interest of their community.

Type of Program and Special Restrictions: Optional but once approved subject to mandated State requirements. Authorized under Superfund/Brownfield law 2003.

Action Required to Receive Aid: Applications accepted continuously. Must be an approved TAG application followed by an executed State Assistance Contract.

Description of Aid:

Flow of Funds: State to Local. Reimbursement program.

Type of Aid: State Assistance Contract – Funding limited to \$50,000 per site.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Contingent upon annual MOU between Governor and legislative leadership.

URBAN AND COMMUNITY FORESTRY GRANT PROGRAM

Objective: Encourages communities to undertake Urban and Community Forestry projects including community Forestry education, management planning, tree planting, tree care and maintenance.

Administering Agency: NYS Department of Environmental Conservation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 10.664 - Cooperative Forestry Assistance

Legal Authority:

Law: NY Environmental Conservation Law, Article 53: Urban Forestry; PL 95-313 Federal Forestry Cooperative Assistance Act; and NYS Budget Bill, Article VII, Environmental Protection Fund, Urban Forestry Grant Program

Regulation: NA

Program Contact:

Frank Dunstan
Urban Forestry Coordinator
Division of Lands and Forests
NYS Department of Environmental Conservation
625 Broadway
Albany, NY 12233-4253
E-Mail: fmdunsta@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements and guidelines.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through); State to Local

Type of Aid: Project grant via State Assistance Contract

Formula: NA

Matching Requirement: Federal 50% or State 50% - Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>EPF</u>
SFY 04-05	50,790	NA	150,000
SFY 05-06	NA	NA	500,000
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>EPF</u>
SFY 04-05	50,790	NA	150,000
SFY 05-06	NA	NA	499,718

**WATER QUALITY IMPROVEMENT PROJECTS
FOR MUNICIPAL SYSTEMS AND AQUATIC
HABITAT RESTORATION
NONPOINT SOURCE POLLUTION
ABATEMENT AND CONTROL
(ENVIRONMENTAL PROTECTION FUND)**

Objective: Assists municipalities with wastewater treatment projects to upgrade municipal systems to meet stormwater discharge requirements and for municipalities and not-for-profit corporations for aquatic habitat restoration projects.

Administering Agency: NYS Department of Environmental Conservation.

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 17-14, June 23, 2006 Budget Clean-up bill.

Regulation: NA

Program Contact:

Lois New
Chief, Partnership Section
Division of Water
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-3508
(518) 402-8239
E-Mail: lanew@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: All municipal entities. Not-for-profit corporations are also eligible for aquatic habitat restoration projects.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Projects must comply with the intent of the Environmental Conservation Law, Article 17-14.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: NA

Matching Requirement: In the case of wastewater treatment, up to 85% for construction costs. All other projects are up to 50% match.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 06-07	NA	NA	7,000,000

Amounts Disbursed:

SFY 05-06	NA	NA	NA
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WATER QUALITY MANAGEMENT PLANNING PROGRAM SUPPORT

Objective: Assists in carrying out water quality and watershed management planning.

Administering Agency: NYS Department of Environmental Conservation; US Environmental Protection Agency

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 66.454

Legal Authority:

Law: US Clean Water Act, Sections 205j and 604b, as amended, PL 97-117, Water Quality Act of 1987, PL 100-4

Regulation: 40 CFR Parts 31 and 35, Subpart A

Program Contact:

Lois New
Chief, Resource Management and
Partnership Section
Division of Water
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-3508
(518) 402-8239
E-Mail: lanew@gw.dec.state.ny.us

Fiscal Contact:

Nancy Lussier, Director
Division of Management and Budget Services
NYS Department of Environmental
Conservation
625 Broadway
Albany, NY 12233-5021
(518) 402-9228
E-Mail: nwlussie@gw.dec.state.ny.us

Eligibility: State Water Quality Management Agencies.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). States are required to allocate at least 40 percent of funds awarded to eligible Regional Public Comprehensive Planning Agencies and Interstate Organizations. Funds must be used based upon the requirements cited above. Initiatives include administering both the point source and nonpoint source elements of the State's water program; developing and administering the State's sewage sludge disposal and pretreatment programs and implementation of the State's nonpoint source program. Tasks include permit development and review, compliance review and inspection, enforcement, water quality planning and standards, monitoring, facility personnel training, and associated program regulation development.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State; Federal to State to Local (40% pass-through portion). Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

Type of Aid: Non-competitive ongoing program grant (State) and competitive pass-through to locals for projects of a limited duration.

Formula: Each fiscal year the Administrator shall reserve under Section 604(b) an amount not to exceed one percent of the amount allotted and available for obligation for construction grants or \$100,000, whichever is greater.

Matching Requirement: Non-Federal match of at least 25% of project or program cost is required.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	603,692	NA	NA
SFY 05-06	480,563	NA	NA
SFY 06-07	390,531	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	609,692	NA	NA
SFY 05-06	480,563	NA	NA

CLEAN VESSEL ACT LOCAL INFORMATION & EDUCATION PROGRAM (CVA I&E)

Objective: Provides reimbursement for eligible costs of providing information and education efforts in a localized area promoting the availability and benefits of vessel pumpout and dump stations receiving sewage waste from marine recreational vessels.

Administering Agency: NYS Environmental Facilities Corporation (EFC), under contract with NYS Department of Environmental Conservation. Federal grants funds from US Department of Interior

Specific Program URL: www.nysefc.org/home/index.asp?page=21

NYS Object Code: 71210

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 15.616 - Clean Vessel Act

Legal Authority:

Law: Clean Vessel Act of 1992, PL 102-587

Regulation: 50 CFR Part 85

Program Contact:

Lisa Van Alstyne
Environmental Program Analyst
Technical Advisory Services
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7461; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages and Public Authorities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Applicants must provide for all required permits. Cannot match grant funds with another Federal source of funding.

Action Required to Receive Aid: Application approval based on availability of funds and scope of project. Grants received as a reimbursement process.

Description of Aid:

Flow of Funds: Federal to State, State to Local - State is then reimbursed 75% by Federal funds. Federal to State to Local (Pass-through) - Monies are provided as a reimbursement.

Type of Aid: Ongoing through FFY 09 as Federal funding is secured.

Formula: 75% of eligible program expenses up to \$5,000 maximum reimbursement.

Matching Requirement: State - 75% maximum, Local 25%+

Maintenance of Effort: Yes, must pay for project totally and demonstrate payment to receive reimbursement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	600,000	NA
SFY 05-06	NA	600,000	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	462,000	NA
SFY 05-06	NA	247,000	NA

*Based on payments processed during SFY. Does not include funding commitments not yet disbursed.

Note: Amounts reflect total program and not specific to the CVA I&E segment.

CLEAN VESSEL ASSISTANCE OPERATION & MAINTENANCE GRANT PROGRAM (CVAP O&M)

Objective: Provides annual reimbursement for cost of eligible maintenance and operation costs associated with the continuous operation of existing CVAP approved/funded pumpout and dump stations, receiving sewage waste from marine recreational vessels.

Administering Agency: NYS Environmental Facilities Corporation (EFC), under contract with NYS Department of Environmental Conservation. Federal grants funds from US Department of Interior

Specific Program URL: <http://www.nysefc.org/home/index.asp?page=21>

NYS Object Code: 71210

Year Established: 1992 (2006)

Catalog of Federal Domestic Assistance No.: 15.616 - Clean Vessel Act

Legal Authority:

Law: Clean Vessel Act of 1992, PL 102-587

Regulation: 50 CFR Part 85

Program Contact:

Lisa Van Alstyne
Environmental Program Analyst
Technical Advisory Services
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7461; 1-800-882-9721 (within NYS)
E-Mail: CVAP@nysefc.org

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, Public Authorities, Private Non-Profit Groups and Private/Marina Owners.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements as per the original funding agreement. New owners/operators may be required to execute an Assignment and Assumption Agreement. Applicants must provide for all required permits. Cannot match grant funds with another Federal source of funding.

Action Required to Receive Aid: Approval based on availability of funds and submittal of a Letter of Intent by the required date. Funding will be awarded on a first-come, first-served basis, during the allowed time period. Grants received as a reimbursement process.

Description of Aid:

Flow of Funds: Federal to State, State to Local - State is then reimbursed 75% by Federal funds. Federal to State to Local (Pass-through) - Monies are provided as a reimbursement.

Type of Aid: Ongoing through FFY 09 as Federal funding is secured.

Formula: 75% of eligible program expenses up to \$3,000 for pumpout boats and \$2,000 for other facilities.

Matching Requirement: State - 75% maximum, Local 25%+

Maintenance of Effort: Yes, must pay requested costs totally and demonstrate payment to receive reimbursement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	600,000	NA
SFY 05-06	NA	600,000	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	462,000	NA
SFY 05-06	NA	247,000	NA

*Based on payments processed during SFY. Does not include funding commitments not yet disbursed. Amounts are for the total CVAP and not specific to the O&M program. No funding has been disbursed for the O&M program as of September 1, 2006.

CLEAN VESSEL ASSISTANCE PROGRAM (CVAP)

Objective: Provides reimbursement for eligible costs of constructing or renovating pumpout and dump stations receiving sewage waste from marine recreational vessels.

Administering Agency: NYS Environmental Facilities Corporation (EFC), under contract with NYS Department of Environmental Conservation. Federal grants funds from US Department of Interior

Specific Program URL: www.nysefc.org/home/index.asp?page=21

NYS Object Code: 71210

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 15.616 - Clean Vessel Act

Legal Authority:

Law: Clean Vessel Act of 1992, PL 102-587

Regulation: 50 CFR Part 85

Program Contact:

Lisa Van Alstyne
Environmental Program Analyst
Technical Advisory Services
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7461; 1-800-882-9721 (within NYS)
E-Mail: CVAP@nysefc.org

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, Public Authorities, Private Non-Profit Groups and Private/Marina Owners.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Cannot match grant funds with another Federal source of funding. Applicants must have all required permits.

Action Required to Receive Aid: Application approval based on availability of funds and CVA State Plan. Grants received as a reimbursement process.

Description of Aid:

Flow of Funds: Federal to State, State to Local - State is then reimbursed 75% by Federal funds. Federal to State to Local (Pass-through) - Monies are provided as a reimbursement.

Type of Aid: Ongoing through FFY 09 as Federal funding is secured.

Formula: 75% of eligible program expenses up to \$35,000 maximum reimbursement.

Matching Requirement: State - 75% maximum, Local 25%+

Maintenance of Effort: Yes, must pay for project totally and demonstrate payment to receive reimbursement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	600,000	NA
SFY 05-06	NA	600,000	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	462,000	NA
SFY 05-06	NA	247,000	NA

*Based on payments processed during SFY. Does not include funding commitments not yet disbursed. Amounts are for the total CVAP and not specific to the CVAP construction program.

FINANCIAL ASSISTANCE TO BUSINESS PROGRAM (FAB PROGRAM)

Objective: Provides direct financial assistance to NYS businesses and municipalities to help them comply with air and water quality standards.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=22

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: ECL 56-0303 and 56-0611

Regulation: 21 NYCRR 2606

Program Contact:

Laurie A. Allen/Lynn Catizone
Project Manager/Program Specialist
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7461; 1-800-200-2200 (within NYS)
E-Mail: allen@nysefc.org, catizone@nysefc.org

Fiscal Contact:

NA

Eligibility: Financial assistance for pollution prevention and control projects are available through three separate programs: FAB Water Program, FAB Air, and the Environmental Farm Assistance and Resource Management Program (EFARM). Eligibility criteria varies with each program.

Type of Program and Special Restrictions: Grants. Restrictions differ according to specific program.

Action Required to Receive Aid: EFC publishes program descriptions in the [State Register](#) and [Environmental Notice Bulletin](#). Applicants must meet the published criteria to qualify for aid.

Description of Aid:

Flow of Funds: Varies with each program.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated: Between SFY 97-98 and SFY 99-00 a total of \$30 million was appropriated for the FAB Air programs and \$30 million was appropriated for FAB Water programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,443,930
SFY 05-06	NA	NA	4,701,705

NEW YORK STATE CLEAN WATER STATE REVOLVING FUND (CWSRF) FOR WATER POLLUTION CONTROL

Objective: The Clean Water State Revolving Fund (CWSRF) was established to help finance facilities that protect, maintain or improve water quality. The CWSRF provides interest-free short-term and low interest rate long term financing to recipients to finance planning, design, and construction of eligible water quality projects. Recipients may be municipal or non-municipal. Municipalities are eligible for Section 212 (Wastewater Treatment projects), Section 319 (Nonpoint Source projects), and Section 320 (Estuary Conservation and Management Plans and Projects). Non-municipal recipients are limited to Section 319 and 320 project financing.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 66.458

Legal Authority:

Law: NY Laws of 1989 - Chapter 565, NY Laws of 1992 - Chapter 55, NY Laws of 1992 - Chapter 645, NY Laws of 1995 - Chapters 230 and 231, and NY Laws of 1996 - Chapter 447

Regulation: 6 NYCRR Part 649; 21 NYCRR Part 2602

Program Contact:

Robert E. Davis, P.E.
Director, Program Management
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7396; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Fiscal Contact:

James Gebhardt
Chief Financial Officer
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-6985; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Eligibility: Municipalities would include Counties, Cities, Towns, Villages, District Corporations, County and Town Improvement Districts, Indian Reservations wholly within New York State and eligible Public Benefit Corporations and Public Authorities, and any Agency of New York State which is empowered to construct and operate an eligible project. In addition, financing can be provided to non-municipal projects for land acquisition for water quality protection allowed under Sections 319 (Nonpoint Source projects) and 320 (Federal Estuary Plan projects) of the Clean Water Act.

Type of Program and Special Restrictions: Projects must comply with Federal and State requirements. Limited to eligible activities associated with the protection or improvement of water quality, i.e., construction of wastewater treatment facilities, non-point source projects and estuary plan development or implementation.

Action Required to Receive Aid: Submission of a Technical, Planning or Engineering Report and a complete application for financing. Must be listed in the annual Intended Use Plan which contains a prioritized list of projects from borrowers expected to apply for CWSRF financing.

Description of Aid:

Flow of Funds: State to Local. Monies are disbursed as costs are incurred.

Type of Aid: Project Financing or Debt Purchase. This program provides low interest financing, rather than grants-in-aid. Funds are made available from Federal and State sources. Debt repayments and interest earnings provide a perpetual source of funding for future projects.

Formula: Funds are distributed on a priority basis with consideration for water quality benefit, public health and community size. Communities facing financial hardship may be eligible for reduced interest financing.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Funds</u>
SFY 04-05	144,464,000	28,893,000
SFY 05-06	146,280,000	29,602,000
SFY 06-07	148,000,000	29,600,000

**NEW YORK STATE CLEAN WATER STATE REVOLVING
FUND (CWSRF) FOR WATER POLLUTION CONTROL (cont.)**

Loans Made:

SFY 04-05 – 1,136,495,729 (long term financing); 93,687,557 (short term financing)
SFY 05-06 – 369,611,557 (long term financing); 13,911,380 (short term financing)

Comments: This is a program of low interest rate financing with a maximum repayment term of up to 30 years depending on the period of probable usefulness. For more information, call the program contact.

NEW YORK STATE COMMUNITY ASSISTANCE PROGRAM

(Technical Assistance Program)

Objective: Provides direct technical and administrative assistance to local governments to enable small communities with limited financial resources to implement less costly solutions to their wastewater collection and treatment problems. Project costs are reduced by assisting communities to complete tasks themselves which are traditionally contracted out.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org

NYS Object Code: NA

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

James Stearns, P.E.
Community Assistance Program
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7396; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Fiscal Contact:

NA

Eligibility: Towns and Villages. Assistance is for projects which are candidates for funding by the Clean Water State Revolving Fund (CWSRF).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: EFC provides technical assistance as staff time is available to small communities which are willing to complete project tasks themselves.

Description of Aid:

Technical and administrative assistance only, but projects are often funded through EFC's Clean Water State Revolving Fund (CWSRF) low-interest financing program.

Comments: For more information about the types of services provided, please call the program contact.

NEW YORK STATE DRINKING WATER STATE REVOLVING FUND (DWSRF)

Objective: Provides financial assistance to public and private community water systems, and non-profit non-community water systems for the capital costs of drinking water facilities and infrastructure improvements. These projects include investments to upgrade or replace infrastructure, projects to achieve or maintain compliance with federal or state health standards, and provide the public with safe affordable drinking water. Examples of such projects are rehabilitation or development of new drinking water sources to replace contaminated supplies; installation or upgrade of treatment facilities to ensure compliance with state and federal drinking water standards or treatment/performance criteria; installation or upgrade of storage facilities, including finished water reservoirs, to prevent microbiological contamination or to provide adequate delivery pressures; installation or replacement of transmission and distribution mains to prevent contamination caused by leaks or breaks; funding and/or construction to promote the consolidation of water supply services, particularly in circumstances where individual homes or water systems generally have an inadequate quantity of water, the water supply is contaminated, or the system is unable to maintain adequate compliance for financial or managerial reasons; and the purchase of a portion of another system if the purchase is part of a consolidation plan to bring the system(s) into compliance. Any of the above listed project types which are publicly owned and which were previously financed after July 1, 1993, may be eligible for refinancing.

Administering Agency: NYS Environmental Facilities Corporation, NYS Department of Health

Specific Program URL: www.nysefc.org and www.health.state.ny.us "A-Z Index", "Drinking Water."

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 66.468

Legal Authority:

Law: NY Laws of 1996, Chapter 413

Regulation: 21 NYCRR Part 2604, 10 NYCRR Part 53

Program Contact:

Charles Amento
Bureau of Water Supply Protection
NYS Department of Health
547 River Street
Flanigan Square, Room 400
Troy, NY 12180
(518) 402-7650; 1-800-458-1158 Ext. 27676 (within NYS)
E-Mail: CJA01@health.state.ny.us

Fiscal Contact:

James Gebhardt
Chief Financial Officer
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-6985; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Eligibility: Drinking water systems that are eligible for project funding are community water systems, both public and privately owned, and non-profit, non-community water systems. Projects submitted by systems lacking technical, managerial or financial capacity or significant non-compliers are not eligible for funding unless the proposed project will ensure capacity or compliance. Also, projects whose primary purpose is fire protection or growth/development, dams and reservoirs, or acquisition of land not integral to an eligible project are not eligible.

Type of Program and Special Restrictions: Projects financed by the DWSRF must satisfy applicable federal and State requirements. Limited to eligible activities associated with the construction of drinking water facilities and infrastructure improvements.

Action Required to Receive Aid: Must be listed on the annual Intended Use Plan which contains a prioritized ranking of projects, scored on health-based criteria, expected to apply for a DWSRF loan. Projects eligible to receive financing during a funding cycle must have a priority ranking score above the funding line based on financial capacity of the program. Submission of complete loan application by those communities is required.

Description of Aid:

Flow of Funds: State to Local. Funds are disbursed as costs are incurred. For hardship projects receiving grant funds, loan proceeds are disbursed before grant.

Type of Aid: Low interest loans, zero interest financial hardship loans, and financial hardship grants.

Formula: Funds are distributed on a priority basis using health-based criteria. Communities facing financial hardship may be eligible for reduced-interest loans and grants, using health-based and financial criteria.

Matching Requirement: NA

Maintenance of Effort: NA

**NEW YORK STATE DRINKING WATER STATE
REVOLVING FUND (DWSRF) (cont.)**

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	65,000,000	NA	NA
SFY 05-06	65,000,000	NA	NA
SFY 06-07	32,937,000	NA	NA

Assistance Given:

	<u>Loans</u>	<u>Grants</u>
SFY 04-05	175,893,349	14,771,078
SFY 05-06	148,797,188	23,531,483

PIPELINE FOR JOBS STATE REVOLVING FUND PROGRAM (Jobs 2K)

Objective: Provides financial assistance for the planning, design and construction of eligible projects that are intended to create and improve or extend water supply facilities. This includes, but is not limited to, drinking water and water supply facilities.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=24

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1999, Chapter 624

Regulation: 21 NYCRR 2605

Program Contact:

Laurie A. Allen/Lynn Catizone
Project Manager/Program Specialist
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7461; 1-800-200-2200 (within NYS)
E-Mail: allen@nysefc.org, catizone@nysefc.org

Fiscal Contact:

NA

Eligibility: Municipalities and Businesses. Monies are appropriated for grants and loans for new drinking water and cold water supply facilities that aid economic development in NYS.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid is required. Grants and loans are awarded during a periodic call for projects. EFC publishes a program description in the [State Register](#) and [Environmental Notice Bulletin](#) during the call for projects.

Description of Aid:

Flow of Funds: State to Local/Business.

Type of Aid: Project grants and loans.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	7,500,000	NA
SFY 05-06	NA	6,250,000	NA
SFY 06-07	NA	5,000,000	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	549,225	NA
SFY 05-06	NA	8,188,173	NA

SMALL BUSINESS ENVIRONMENTAL ASSISTANCE PROGRAM (SBEAP)

(Technical Assistance Program)

Objective: Provides technical assistance regarding the requirements of the Clean Air Act to small businesses.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=20

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Section 507 of the 1990 Clean Air Act Amendments

Regulation: NA

Program Contact:

James R. Coyle
Environmental Program Manager
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7462; 1-800-780-7227 (within NYS)
E-Mail: coyle@nysefc.org

Fiscal Contact:

NA

Eligibility: Small businesses with 100 or fewer employees.

Type of Program and Special Restrictions: Technical assistance program.

Action Required to Receive Aid: The SBEAP services are free and confidential.

Description of Aid:

Technical assistance to small business for compliance with air pollution laws and regulations including: permitting, control technologies, emission inventories, compliance plans, and process modifications.

TECHNICAL ADVISORY SERVICES (TAS)

(Technical Assistance Program)

Objective: Provides assistance to both public and private sector clients to help them improve environmental practices and to support management of their environmental projects. Services are generally provided to clients on a fee basis.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=17

NYS Object Code: NA

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Generally: NY Public Authorities Law, Article 5, Title 12; NY Laws of 1978, Chapter 639; NY Laws of 1979, Chapter 282

Regulation: NA

Program Contact:

Erick McCandless
Director
Technical Advisory Services
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7461
Within NYS: 1-800-882-9721
E-Mail: info@nysefc.org

Fiscal Contact:

NA

Eligibility: State Government Agencies and Authorities, Counties, Cities, Villages, School Districts, Public Authorities, Private Non-Profit Groups, NY Businesses.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: EFC provides technical advisory services on a fee basis.

Description of Aid:

TAS does not provide direct financial assistance ("aid"). See Objective for more information.

Comments: For more information about TAS and the types of services it could provide, please call the program contact.

FEDERAL SURPLUS PROPERTY PROGRAM

Objective: To market Federal surplus personal property, ranging from desks and typewriters, up to aircraft, boats, and construction equipment to towns, counties, villages, cities, state agencies, hospitals, schools and certain not-for-profit agencies.

Administering Agency: NYS Office of General Services; US General Services Administration

Specific Program URL: www.ogs.state.ny.us/fedsurplus

NYS Object Code: NA

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US PL 94-519

Regulation: NA

Program Contact:

Ronald Ottman
 Director
 Properties and Fleet Administration
 NYS Office of General Services
 W.A. Harriman State Campus, Building 18
 Albany, NY 12226
 (518) 457-1744
 E-Mail: ronald.ottman@ogs.state.ny.us

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Schools and Hospitals. All public tax-supported agencies, as well as non-profit health and educational programs.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. The program markets property to eligible programs; however, property must be put into use within 12 months, and used for a period of 12-18 months.

Action Required to Receive Aid: Non-Competitive application for aid required. Fill out program application, receive approval and pay service charge for items received.

Description of Aid:

Flow of Funds: Property is distributed from Federal to State, and Federal and State to Local.

Type of Aid: Ongoing. Federal personal property is recycled to eligible programs.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>Internal Service</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	959,000	NA
SFY 05-06	NA	969,000	NA
SFY 06-07	NA	574,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>Internal Service</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	204,000	NA
SFY 05-06	NA	231,000	NA

**OFFICE OF MINORITY AND WOMEN-OWNED
BUSINESS ENTERPRISES (OMWBE)**

(Technical Assistance Program)

Objective: Fosters and promotes the utilization of minority and women-owned business enterprises participation in the State's contracting processes.

Administering Agency: NYS Office of General Services

Specific Program URL: www.ogs.state.ny.us/dnc/contractorconsultant/mwbe

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law Amendment, Article 15-A, Sections 310-318.

Regulation: 9 NYCRR 540-545

Program Contact:

Elaine Whitehead, Director
Design and Construction Services
Minority/Women-Owned Business Enterprises Program
NYS Office of General Services
Empire State Plaza, 35th Fl.
Albany, NY 12242
(518) 473-7083
E-Mail: Elaine.whitehead@ogs.state.ny.us

Fiscal Contact:

Mildred Polite Smith
Executive Director
Minority/Women-owned Business Enterprises Program
NYS Office of General Services
Empire State Plaza, 41st Fl.
Albany, NY 12242
(518) 473-5212
E-Mail: Mildred.PoliteSmith@ogs.state.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups, Minority and Women-owned Business Enterprises.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. Minority/Women-owned business certification required.

Action Required to Receive Aid: Verbal or written requests.

Description of Aid:

Flow of Funds: NA

Type of Aid: Technical Assistance

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

SALE OF SURPLUS PERSONAL PROPERTY

Objective: To market State surplus property ranging from office equipment to cars, trucks and municipal highway equipment.

Administering Agency: NYS Office of General Services

Specific Program URL: www.ogs.state.ny.us/surpluspublic

NYS Object Code: NA

Year Established: 1942

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 178

Regulation: NA

Program Contact:

Ronald Ottman
Director
Properties and Fleet Administration
NYS Office of General Services
W.A. Harriman State Campus, Building 18
Albany, NY 12226
(518) 457-1744
E-Mail: ronald.ottman@ogs.state.ny.us

Fiscal Contact:

NA

Eligibility: All sales are open to the public. Bidders must be 18 years of age or older.

Type of Program and Special Restrictions: Participation in sale process is optional, via online internet sale and public auction.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: NA

Type of Aid: NA

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,158,000
SFY 05-06	NA	NA	2,259,000
SFY 06-07	NA	NA	3,014,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,019,000
SFY 05-06	NA	NA	1,198,000

SPECIAL MILK PROGRAM

Objective: Encourages consumption of milk by children.

Administering Agency: NYS Office of General Services; US Department of Agriculture

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.556

Legal Authority:

Law: Various

Regulation: 7 CFR 215

Program Contact:

Tom Osterhout
 Director
 Division of Food Distribution & Warehousing
 NYS Office of General Services
 Corning Tower, 37th Floor
 Empire State Plaza
 Albany, NY 12242
 (518) 474-5122
 E-Mail: NA

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups that provide programs for children.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Costs adjusted annually in July per 1/2 pint milk served.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	550,000	NA	NA
SFY 05-06	305,380	NA	NA

*Special Milk Program administered within larger State funded program, with no separate operating records being maintained.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	294,022	NA	NA
SFY 05-06	254,811	NA	NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

STATE CONTRACT EXTENSION PROGRAM

(Technical Assistance Program)

Objective: Extends approximately 2800 State commodity, services and technology contracts to local governments and school districts. Benefits include cost savings from direct procurement as well as the administrative savings involved in otherwise having to formally bid these requirements.

Administering Agency: NYS Office of General Services; Procurement Services Group

Specific Program URL: <http://www.ogs.state.ny.us/purchase/default.asp>

NYS Object Code: NA

Year Established: 1953

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Finance Law, Section 163; NY General Municipal Law, Sections 100, 104 and 109a

Regulation: 9 NYCRR 250.5

Program Contact:

Virginia Lazzaro
Customer Services Supervisor
Procurement Services Group
NYS Office of General Services
Corning Tower, Room 3711
Empire State Plaza
Albany, NY 12242
(518) 474-6717
E-Mail: customer.services@ogs.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups, Libraries and Political Subdivision Special Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must comply with both the program's requirements and the specific contract terms and conditions when placing orders.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: NA

Type of Aid: NA

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: OGS Procurement Services Group regularly publishes information on this program in various newsletters which target local governments including: Office of the State Comptroller's [Governmental Affairs Review](#), NYCOM's [New York State Municipal Bulletin](#), NYSAT's [Talk of the Towns](#), the State Association of Municipal Purchasing Officials' [Purchasing Hotline](#), NYASBO's [Reporter](#), [Fire District Affairs](#), NYS Town Clerk Association's [Town Recorder](#) and County Legislators and Supervisors Association of NYS' [Class Chronicle](#). For more information, call the program contact.

PSG implemented two online systems to better serve vendors and local government customers. In April 2002, PSG implemented an online vendor registration bidder notification system (OVR/BNS) that allows vendors to register themselves and receive an e-mail notification of all bids that are now posted to the OGS web site. The registration process has been reduced from an average of 45 days to approximately 20 minutes. In June 2003, PSG implemented a similar online purchaser notification system (PNS) that allows anyone to register himself or herself to receive all notices of new contracts and contract updates.

PSG also does a number of important contracts, some exclusively for local governments, schools and libraries. Examples include road salt, natural gas, ultra low sulfur diesel, fuel oil, a prime vendor food contract, school and art supplies, books and serials, and wireless services with the lowest pricing of any state government.

THE EMERGENCY FOOD ASSISTANCE PROGRAM (TEFAP) NEEDY FAMILY PROGRAM

Objective: Provides federally donated foods to needy individuals for household consumption.

Administering Agency: NYS Office of General Services; US Department of Agriculture

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: US PL 98-8, as amended and PL 101-624, as amended

Regulation: 7 CFR 251

Program Contact:

Tom Osterhout
Director
Division of Food Distribution & Warehousing
NYS Office of General Services
Corning Tower, 37th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Needy individuals served by one of the eight major food banks serving New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Sites apply to one of the eight food banks for participation approval.

Description of Aid:

Flow of Funds: Food is distributed from Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: 185 percent of Federal poverty level or below.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	6,300,000	NA	NA
SFY 05-06	3,996,088	NA	NA

*TEFAP program administered within larger State funded program, with no separate operating records being maintained.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,706,803	NA	NA
SFY 05-06	4,257,026	NA	NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

ABSTINENCE EDUCATION PROGRAM

Objective: To encourage adolescents to resist pressures to be sexually active in order to reduce the rates of adolescent pregnancy and sexually transmitted diseases among teens aged 15-17 in targeted communities through a statewide media campaign and a community-based initiative in 20-30 projects in targeted communities.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63490 for contracts; 64320 for media campaign **Year Established:** 1997
Catalog of Federal Domestic Assistance No.: 93.235

Legal Authority:

Law: NA
Regulation: NA

Program Contact:

Kristine Mesler
 Director, Youth Development
 Bureau of Child & Adolescent Health
 NYS Department of Health
 Corning Tower, Room 219
 Albany, NY 12237
 (518) 474-2001
 E-Mail: kxm04@health.state.ny.us

Fiscal Contact:

Maria Reardon
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3059
 E-Mail: NA

Eligibility: Counties, Not-for-Profit Groups and School Districts.

Type of Program and Special Restrictions: Abstinence education only.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance, where eligible, and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,676,827	2,600,000	NA
SFY 05-06	3,676,827	2,600,000	NA
SFY 06-07	NA**	2,600,000	NA

*Federal Grant Award Amount.

**Grant award for 10/1/06-9/20/07 grant period not received yet.

Amounts Disbursed:

	<u>Federal Funds***</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	891,491	2,111,940	NA
SFY 05-06	3,533,177	3,097,644	NA

***Total Federal grant funds expended during SFY.

ACCESS TO CLINICAL HIV DRUG TRIALS

Objective: To help people with HIV understand available clinical trials by explaining what clinical trials are, the potential benefits and risks of joining clinical trials, the role that clinical trials play in access to appropriate clinical therapy, and by providing information about the clinical trials that are available in NYS.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
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 E-Mail: NA

Eligibility: Not-for-profit organizations that have demonstrated experience providing HIV/AIDS related clinical trials and developing and providing Training of the Trainer (TOT) HIV/AIDS non-clinical health and human service provider education programs and HIV/AIDS consumer education programming, and have strong linkages with HIV/AIDS service organizations throughout NYS.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	100,000
SFY 05-06	NA	NA	100,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	101,283
SFY 05-06	NA	NA	99,800

¹Refers to all Department of Health AIDS contracts.

ADAP FUNDING

Objective: To provide access to medical services and medications for uninsured and underinsured New York State residents living with HIV/AIDS through the following four programs: ADAP, ADAP Plus, Home Care, and ADAP Plus Insurance Continuation.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Participant Eligibility Requirements: HIV infected; New York State resident; Income under \$44,000 assets under \$25,000 (Household of One); Uninsured or Underinsured.

Provider Eligibility Criteria: Pharmacies, Article 28 facilities, Private Physicians, Laboratory and Ancillary Providers and Home Care Providers are eligible to participate in the program if they are also enrolled in the NYS Medicaid Program.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State or Federal requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Funding is received from Title I and II of the Federal Ryan White Care Act and also from the NY Public Health Care Reform Act.

ADULT DAY TREATMENT FOR PERSONS WITH AIDS

Objective: To develop day treatment, home care and nutrition for persons with AIDS in order to maintain these persons in the community, and prevent extended hospitalization.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Organizations must be an adult day or home care program as defined by NYSDOH, NYSDSAS, NYSOMH, NYSTDS, or can be CHHAs, LTHHCps, or AHCPs as authorized by Chapter 622 of the NY Laws of 1988.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Planning grants are one-time only grants. Grants for services are one-time in that Medicaid funding will replace grant funding; however, it is ongoing in that funds will be used for other providers.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Funding included with AIDS Education, Prevention and Nutrition program.

¹Refers to all Department of Health AIDS contracts.

AIDS CBOS AND SUBSTANCE ABUSE PROGRAMS

Objective: To increase access and availability of comprehensive community-based harm reduction services to active injecting substance users in an effort to reduce the transmission of the human immunodeficiency virus.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Private Non-Profit Organizations. Must demonstrate ability to outreach to active drug using individuals in community based settings and must be a Syringe Exchange Program authorized by the Commissioner of Health under Section 80.135, Title 10.

Type of Program and Special Restrictions: HIV prevention and outreach without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts.

Action Required to Receive Aid: Competitive application for aid required authorized by the Commissioner of Health under Section 80.135, Title 10.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	500,000
SFY 05-06	NA	NA	500,000
SFY 06-07	NA	NA	500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	401,000
SFY 05-06	NA	NA	486,696

¹Refers to all Department of Health AIDS contracts.

AIDS CHILDREN, ADOLESCENTS AND FAMILIES

Objective: To increase the availability and improve the quality of HIV prevention, health care and supportive services provided to women, infants, children, young people and families by incorporating the advances of the past decade into programming.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Private, not-for-profit, community-based organizations, health and human service organizations; substance use treatment programs; youth-serving organizations, diagnostic and treatment centers; licensed Article 28 hospitals and health care facilities, including community health centers, family planning clinics, school-based health centers, academic institutions, Board of Cooperative Education Services (BOCES), and County Health Departments/Local Health Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,838,000
SFY 05-06	NA	NA	1,838,000
SFY 06-07	NA	NA	1,838,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>
SFY 04-05	NA	NA	1,820,732
SFY 05-06	NA	NA	1,928,300

*See AIDS/HIV Grants to Community Service Programs and Community Based Organizations for disbursement information.

¹Refers to all Department of Health AIDS contracts.

AIDS CONTINUUM OF CARE ACTIVITIES

Objective: To demonstrate that targeted and coordinated education, outreach and case management neighborhood services related to issues of health, social, legal, substance abuse and HIV/AIDS, which are conducted by trained community residents, can have a positive impact on the reduction of drug and alcohol abuse and related health problems of a given community.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Private Non-Profit Groups, Community Health Centers, Hospitals and Community Demonstration Projects, Diagnostic and Treatment Centers, and Family Planning Projects. Organization must be proficient in development of HIV care criteria.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,655,000
SFY 05-06	NA	NA	2,655,000
SFY 06-07	NA	NA	2,655,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,390,649
SFY 05-06	NA	NA	2,103,861

¹Refers to all Department of Health AIDS contracts.

AIDS COUNSELING AND TESTING IN PRISONS

Objective: To provide a continuum of needed HIV prevention and supportive services to inmates and ex-offenders Statewide. The intent is to decrease the spread of HIV and positively impact the health and well-being of HIV infected inmates upon community re-entry.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

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Eligibility: Not-for-profit, health and human service agencies with 501(c)(3) designation, academic institutions, hospital and community health centers licensed under Article 28 of NYS Public Health Law and local health departments.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	925,000
SFY 05-06	NA	NA	925,000
SFY 06-07	NA	NA	925,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	758,132
SFY 05-06	NA	NA	888,278

¹Refers to all Department of Health AIDS contracts.

AIDS DRUG TREATMENT AND SUBSTANCE ABUSERS AT RISK

Objective: To support services in drug treatment programs and substance abusers at risk.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

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Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	718,000
SFY 05-06	NA	NA	718,000
SFY 06-07	NA	NA	718,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	506,113
SFY 05-06	NA	NA	606,587

¹Refers to all Department of Health AIDS contracts.

AIDS EDUCATION, PREVENTION AND NUTRITION

Objective: To improve, maintain and/or delay the decline of PLWH/As' nutritional status by providing a continuum of nutrition services that will assist in retaining these individuals in their communities; to support the design and implementation of effective behavior-based HIV prevention interventions, including HIV-related services for drug and alcohol users/abusers living with HIV/AIDS or at risk of infection who may be unable or unwilling to access traditional alcohol/drug treatment services, which specifically target these under-served populations; to expand the number of HIV prevention programs for at-risk young people and specifically target lesbian/gay/bisexual/ transgender (LGBT) youth for services; to increase access and availability of comprehensive community-based harm reduction services to active injecting drug users in an effort to reduce the transmission of the human immunodeficiency virus.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required, as applicable.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,900,000
SFY 05-06	NA	NA	4,900,000
SFY 06-07	NA	NA	4,900,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,629,499
SFY 05-06	NA	NA	4,389,896

*Disbursement amounts include Adult Day Treatment for Persons with AIDS.

¹Refers to all Department of Health AIDS contracts.

AIDS GRANTS TO COUNTY HEALTH DEPARTMENTS

Objective: To ensure services are available to county health departments in rural areas or in areas experiencing rapid increases in HIV. To promote public health through education and behavior change to prevent transmission of HIV and to increase access and provide continuous health care for persons with HIV disease.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Eligibility: County health departments or county public health nursing entities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be used in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	300,000
SFY 05-06	NA	NA	300,000
SFY 06-07	NA	NA	300,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	304,209
SFY 05-06	NA	NA	264,554

¹Refers to all Department of Health AIDS contracts.

AIDS/HIV GRANTS TO COMMUNITY-BASED ORGANIZATIONS AND DIAGNOSTIC AND TREATMENT CENTERS

Objective: Allows Community-Based Organizations and Article 28 Diagnostic and Treatment Centers serving high-need communities to expand their service capacity to provide multiple HIV-related services which are culturally sensitive to the special social and cultural needs of the at-risk populations.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups. Eligibility requirements are dependent upon the type of program being funded: i.e., an AIDS program targeted to adolescents would necessitate the organization to have experience in serving adolescents. Other programs may be required to have linkages/referrals with hospitals or other health care facilities, etc.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Combination of competitive and sole source funding. With regard to sole source funding, the budget language states: "...notwithstanding any provision of law to the contrary, the Commissioner of Health shall be authorized to continue contracts with community service programs, multi-service agencies and community development initiatives for all such contracts which were executed on or before March 31, 2006, without any additional requirements that such contracts be subject to competitive bidding or a request for proposals process".

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	13,150,000
SFY 05-06	NA	NA	13,150,000
SFY 06-07	NA	NA	13,150,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	13,004,289
SFY 05-06	NA	194,849	11,928,870

¹Refers to all Department of Health AIDS contracts.

AIDS/HIV GRANTS TO COMMUNITY SERVICE PROGRAMS & COMMUNITY BASED ORGANIZATIONS

Objective: Provide AIDS-related services targeted to minority and high-risk populations.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

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Eligibility: Counties and Private Non-Profit Groups. Eligibility requirements are dependent upon the type of program being funded: i.e., an AIDS program targeted to adolescents would necessitate the organization to have experience in serving adolescents. Other programs may be required to have linkages/referrals with hospitals or other health care facilities, etc.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Combination of competitive and sole source funding. With regard to sole source funding, the budget language states: "...notwithstanding any provision of law to the contrary, the Commissioner of Health shall be authorized to continue contracts with community service programs, multi-service agencies and community development initiatives for all such contracts which were executed on or before March 31, 2006, without any additional requirements that such contracts be subject to competitive bidding or a request for proposals process".

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Some projects are ongoing and some are one-time. Program as a whole is ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	27,291,000
SFY 05-06	NA	1,768,000	27,291,000
SFY 06-07	NA	1,768,000	27,291,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	29,338,847
SFY 05-06	NA	810,230	28,126,095

¹Refers to all Department of Health AIDS contracts.

AIDS HIV SERVICES FOR INFANTS AND PREGNANT WOMEN

Objective: To increase the availability and improve the quality of HIV services provided to pregnant women, infants, children and families by incorporating the advances of the past decade into programming.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: Private, not-for-profit, community-based organizations, health and human service organizations; substance use treatment programs; youth-serving organizations; diagnostic and treatment centers; licensed Article 28 hospitals and health care facilities, including community health centers, family planning clinics, school-based health centers, academic institutions, Board of Cooperative Education Services (BOCES), and County Health Departments/Local Health Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	6,500,000
SFY 05-06	NA	NA	6,500,000
SFY 06-07	NA	NA	6,500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	5,019,542
SFY 05-06	NA	NA	6,804,594

¹Refers to all Department of Health AIDS contracts.

AIDS HOUSING FOR HIV/TB

Objective: To ensure services are afforded for the early identification, housing, intake and provision of housing to HIV-infected patients.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

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Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,500,000
SFY 05-06	NA	NA	2,500,000
SFY 06-07	NA	NA	2,500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,035,198
SFY 05-06	NA	NA	1,917,898

¹Refers to all Department of Health AIDS contracts.

AIDS INTERVENTION MANAGEMENT SYSTEM (AIMS)

Objective: The purposes of the AIMS program are three-fold: (1) to assure that the care provided to persons with HIV/AIDS is both necessary and appropriate; (2) to assure that care is delivered in accordance with established clinical standards and protocols; and (3) to develop and maintain data systems that support review activities and that permit programmatic evaluation and policy development.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Eligibility: Health care review organizations or health care organizations with the potential to conduct review programs; business groups and councils interested in conducting review programs; health care insurers and other existing or potential proprietary or private review organizations. These organizations must be composed of or have available to them the services of licensed doctors of medicine, osteopathy, and other health care professionals with the experience and training necessary to conduct the required review activities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,700,000	NA
SFY 05-06	NA	1,700,000	NA
SFY 06-07	NA	1,700,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,700,000	NA
SFY 05-06	NA	1,710,000	NA

¹Refers to all Department of Health AIDS contracts.

AIDS PERMANENCY PLANNING

Objective: To help families plan for the future care and custody of their children, to provide support to children and adolescents who lose a parent to HIV/AIDS; and to assist caregivers in adjusting to their new responsibilities.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Fiscal Contact:

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 Bureau of Budget Management
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 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Not-for-profit community-based health and human service organizations, voluntary child care agencies, Article 28-licensed health care facilities, county health departments/local health units, local departments of social service with experience in providing services to families affected by HIV/AIDS, and not-for-profit academic institutions that provide services through law school clinics.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	393,800	1,000,000
SFY 05-06	NA	562,500	1,000,000
SFY 06-07	NA	563,000	1,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	351,284	941,400
SFY 05-06	NA	298,137	978,774

¹Refers to all Department of Health AIDS contracts.

AIDS PRIMARY CARE IN COMMUNITY HEALTH CENTERS

Objective: To provide additional client services such as substance abuse and mental health counseling at grant-funded HIV primary care centers; to provide persons with HIV and their families with ongoing health education and risk reduction counseling and to expand the HIV primary care model to other drug treatment programs that service high-need areas.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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E-Mail: NA

Eligibility: This appropriation funds two initiatives/components. Eligibility criteria differs among components and includes: not-for-profit health care organizations licensed under Article 28 of the Public Health Law; and county health departments not holding Article 28 licensure.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,200,000
SFY 05-06	NA	NA	1,200,000
SFY 06-07	NA	NA	1,200,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	988,809
SFY 05-06	NA	NA	1,163,085

¹Refers to all Department of Health AIDS contracts.

AIDS PROVISION OF HIV EDUCATION

Objective: To support grants to community based organizations and for services for education and prevention and to prevent new HIV infection among youth at risk through targeted innovative programming.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Eligibility: Private, not-for-profit, community-based organizations, health and human service organizations; substance use treatment programs; youth-serving organizations; diagnostic and treatment centers; licensed Article 28 hospitals and health care facilities, including community health centers, family planning clinics, school-based health centers, academic institutions, Board of Cooperative Education Services (BOCES), and County Health Departments/Local Health Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	750,000
SFY 05-06	NA	NA	750,000
SFY 06-07	NA	NA	750,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	420,530
SFY 05-06	NA	NA	797,913

¹Refers to all Department of Health AIDS contracts.

AIDS WOMEN'S HIV HEALTH SERVICES

Objective: To provide comprehensive obstetrical/gynecological services and to increase the availability and improve the quality of HIV services provided to women and children by incorporating the advances of the past decade into programming.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

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 E-Mail: NA

Eligibility: Private, not-for-profit, community-based organizations, health and human service organizations; substance use treatment programs; youth-serving organizations; diagnostic and treatment centers; licensed Article 28 hospitals and health care facilities, including community health centers, family planning clinics, school-based health centers, academic institutions, Board of Cooperative Education Services (BOCES), and County Health Departments/Local Health Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,100,000
SFY 05-06	NA	NA	2,100,000
SFY 06-07	NA	NA	2,100,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,484,498
SFY 05-06	NA	NA	1,866,782

¹Refers to all Department of Health AIDS contracts.

ALZHEIMER'S DISEASE ASSISTANCE CENTERS

Objective: Provides diagnostic and assessment of the patient family unit, education/training services for health care professionals, care planning for patients and a clearinghouse of dementia information.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63490

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

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 Dementias Services
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Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	486,000	NA
SFY 05-06	NA	486,000	NA
SFY 06-07	NA	486,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	446,948	NA
SFY 05-06	NA	425,581	NA

ALZHEIMER'S DISEASE COMMUNITY SERVICE

Objective: Provides respite care support and training to families and other care patients with Alzheimer's Disease and other dementias.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 55950

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1986, Article 20

Regulation: NA

Program Contact:

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 Dementias Services
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 E-Mail: dph01@health.state.ny.us

Fiscal Contact:

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 (518) 474-3206
 E-Mail: kxc06.health.state.ny.us

Eligibility: Private Non-Profit Groups and Universities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>
SFY 04-05	NA	NA	1,000,000
SFY 05-06	NA	NA	1,000,000
SFY 06-07	NA	NA	1,000,000

*Amount available is dependent upon revenue from personal income tax donations.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	379,016
SFY 05-06	NA	NA	155,144

ARTHROPOD-BORNE DISEASE

Objective: Provides grants to research facilities and local health departments to study methods of control and prevention of arthropod-borne diseases.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63490

Year Established: 1966

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Articles 27-11 (2796 - 2799-A)

Regulation: NA

Program Contact:

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 NYS Department of Health
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 Albany, NY 12237-0627
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Fiscal Contact:

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 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Research Institutions, Local Health Departments.

Type of Program and Special Restrictions: To provide funding for research in the prevention of the spread of Arthropod-borne diseases.

Action Required to Receive Aid: Competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	250,000	150,000	NA
SFY 05-06	NA	400,000	NA
SFY 06-07	250,000	150,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	250,000	150,000	NA
SFY 05-06	NA	400,000	NA

ARTHROPOD-BORNE DISEASE

(Technical Assistance Program)

Objective: Provides the State of New York with a staff of scientists responsible for investigating the epidemiology of arthropod-related diseases, examining all aspects of the disease agent-vector-host relationships and reporting sound scientific recommendations to ensure the institution of proper disease control procedures.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1966

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Articles 1500 and 6.

Regulation: 10 NYCRR 44

Program Contact:

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Eligibility: Any individual/organization needing information.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Assistance through utilization of Department expertise.

Description of Aid:

Consultation on all aspects of arthropod-borne disease biology, prevention and control.

BREAST CANCER DETECTION

Objective: To reduce premature mortality and excessive morbidity rate due to breast cancer. Funded programs target medically unserved populations at high risk.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63428

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Breast Cancer Detection and Education Act, Public Health Law, Chapter 340

Regulation: NA

Program Contact:

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 Director
 Bureau of Chronic Disease Services
 NYS Department of Health
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Fiscal Contact:

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 E-Mail: kxc06@health.state.ny.us

Eligibility: Counties and Cities. Hospitals licensed under Article 28 of the NY Public Health Law, HMOs (Article 44-Public Health Law), cancer organizations, diagnostic and treatment centers, local health departments, community health centers, community-based organizations, or coalitions of these.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated requirements.

Action Required to Receive Aid: Competitive application required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: In-kind contribution required - amount not specified.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev. *</u>
SFY 04-05	NA	4,000,000	3,445,000
SFY 05-06	NA	4,200,000	8,129,653**
SFY 06-07	NA	4,200,000	13,295,000**

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev. *</u>
SFY 04-05	NA	3,801,214	3,709,625**
SFY 05-06	NA	3,454,997	6,275,162**

*Appropriation and disbursement amounts include funds allocated from the HCRA Program Account appropriation for Breast Cancer Detection.

**If HCRA Transition Account is included, SRO 05-06 appropriation amount is \$13,419,316 and 05-06 disbursement is \$11,564,825.

CHILD AND ADULT CARE FOOD

Objective: Provides technical assistance and funding to provide reimbursement to day care centers, head start programs, outside school hours centers and family day care homes for nutritious and safe meals and snacks served to infants and children through age 12.

Administering Agency: NYS Department of Health; US Department of Agriculture

Specific Program URL: NA

NYS Object Code: 63405¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 10.560 and 10.558

Legal Authority:

Law: US Title VI Public Health Law

Regulation: 7 CFR 226

Program Contact:

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Eligibility: Child Care Institutions and Family Day Care Homes. Must serve nutritious food to preschool and school-age children and adults enrolled in regulated or approved child and adult day care centers.

Type of Program and Special Restrictions: Optional, but once chosen subject to State and Federal mandated requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Sponsoring Organizations providing day care services, some served and sponsored by Counties.

Type of Aid: Ongoing

Formula: Reimbursement based on rates set by USDA.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	207,903,000	NA	NA
SFY 05-06	182,500,000*	NA	NA
SFY 06-07	182,500,000*	NA	NA

*Number (i.e., State appropriation authority) is just CACFP ATL/Entitlement; no State Operations. FFY 05 Actual Grant Level was \$150,628,148. FFY 06 Estimated Grant Level is \$155,000,000.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	145,484,397	NA	NA
SFY 05-06	150,938,904	NA	NA

¹Refers to Department of Health Food and Nutrition Services programs.

CHILD AND ADULT CARE FOOD PROGRAM: CHILDHOOD OBESITY PREVENTION INITIATIVE

Objective: Strives to reduce childhood obesity by providing nutrition education and physical activity lessons to child care center staff, with the expectation that they will conduct nutrition and activity lessons with preschool children and their parents.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

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Eligibility: The Childhood Obesity Prevention Initiative is available to licensed child care centers who are currently participating in the Child and Adult Care Food Program.

Type of Program and Special Restrictions: This intervention is available to all eligible child care centers in New York State.

Action Required to Receive Aid: Application to participate in this program.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 06-07	NA	265,000	NA

Amounts Disbursed: New Program

CHILD AND ADULT CARE FOOD PROGRAM: EAT WELL PLAY HARD IN CHILD CARE SETTINGS

Objective: To reduce childhood obesity by providing nutrition education and physical activity lessons to pre-school children, their parents, and child care center staff.

Administering Agency: NYS Department of Health; US Department of Agriculture

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2005

Catalog of Federal Domestic Assistance No.: 10.558

Legal Authority:

Law: US Title VI Public Health Law

Regulation: 7 CFR 226

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Eligibility: Eat Well Play Hard in Child Care Settings is available to child care centers participating in the Child and Adult Care Food Program in which 50 percent or more of enrolled children are eligible for free/reduced price meals.

Type of Program and Special Restrictions: This intervention is available to child care centers located in counties served by a Child Care Resource & Referral Agency awarded contract funding based on a competitive RFA.

Action Required to Receive Aid: Five year grant funding was offered to four eligible agencies based on evaluation of a competitive RFA.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: 1:1 State Federal match requirement.

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 05-06	82,778	NA	248,335

*This program is funded from the HCRA Childhood Obesity Prevention Program SR-O and an OTDA Food Stamp Nutrition Education suballocation. On the SR-O, it is actually a sub-part of an overall \$1.5 million appropriation.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 05-06	NA	NA	82,778

CHILDHOOD LEAD POISONING PREVENTION

Objective: Provides lead screening to children under six, and educational and case management activities to high risk children.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63419

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1982, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

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 Director
 Lead Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237
 (518) 473-4602
 E-Mail: NA

Fiscal Contact:

Lori Ahmed
 Senior Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 402-5485
 E-Mail: NA

Eligibility: Counties, New York City, Teaching Hospitals.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must not be used for the actual abatement of lead hazards.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Population of children under six, living under poverty level, lead cases identified in geographic areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,616,845	NA	NA
SFY 05-06	3,616,845	NA	NA
SFY 06-07	3,616,845	NA	NA

*Amounts are program allocations for the grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,365,142	NA	NA
SFY 05-06	2,875,186 est.	NA	NA

*For grant period.

CHILDHOOD LEAD POISONING PREVENTION

Objective: Provides lead screening to children under six, and educational and case management activities to children with lead poisoning.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63419

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Sections 1370-1376, 206, 225 and 600-607

Regulation: 10 NYCRR 22, 10 NYCRR 67

Program Contact:

Barbara Leo
 Program Manager
 Lead Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 219
 Albany, NY 12237
 (518) 473-4602
 E-Mail: bjl03@health.state.ny.us

Fiscal Contact:

Kathleen Czosnykowski
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Local Health Departments (Counties) and New York City Department of Health and Mental Hygiene.

Type of Program and Special Restrictions: Funds cannot be used for the actual abatement of identified environmental hazards or of relocating person exposed to lead paint.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Number of children under six in jurisdiction, percent living under poverty level, lead cases identified in geographic areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,720,000	400,000
SFY 05-06	NA	4,720,000	400,000
SFY 06-07	NA	4,720,000	400,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,838,032	389,629
SFY 05-06	NA	4,481,924	420,762

CLINICAL LABORATORY EVALUATION PROGRAM (CLEP)

(Technical Assistance Program)

Objective: Assures the accuracy and timeliness of clinical laboratory testing of human specimens derived in New York State.

Administering Agency: NYS Department of Health

Specific Program URL: www.wadsworth.org/labcert/clep/clep.html

NYS Object Code: 12000

Year Established: 1965

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Health Law, Title V, Article 5

Regulation: NYCRR Part 58

Program Contact:

Richard Jenny, Ph.D.
Director
Clinical Laboratory Evaluation Program
NYS Department of Health
Wadsworth Laboratory
P.O. Box 509
Empire State Plaza
Albany, NY 12201-0509
(518) 485-5378
E-Mail: rwj03@health.state.ny.us

Fiscal Contact:

Mady Pennisi
Chief Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3162
E-Mail: NA

Eligibility: Clinical Laboratories associated with State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups and Others with private laboratories.

Type of Program and Special Restrictions: Mandated for laboratories that accept specimens originating in New York State.

Action Required to Receive Aid: Consultation on best practice is provided through participation in mandatory inspection, proficiency testing and method validation activities.

Description of Aid/Comments:

The proper performance of diagnostic laboratory testing is a matter of vital concern, affecting the public health, safety and welfare of all New York State citizens. Clinical laboratories and blood banks provide essential public health services in aiding the medical practitioner by furnishing information invaluable in the diagnosis and treatment of disease. Substandard performance of laboratory tests may contribute to erroneous diagnoses and/or result in selection of inappropriate treatment protocols, causing prolonged or unnecessary hospitalization, injury or even death. The clinical laboratory reference system, administered through the Clinical Laboratory Evaluation Program assures the quality of laboratory testing through a program of on-site inspections, proficiency testing, enforcement actions and by providing educational and remediation programs.

The Clinical Laboratory and Blood Banking Service statute was enacted in 1964, and on July 1, 1965, New York became the first State in the nation to initiate the licensure of clinical laboratories and blood banks. To ensure the accuracy and reliability of results of laboratory tests on New York State residents, CLEP performs both on-site inspections and tests the proficiency of laboratories. These inspections ensure compliance with State rules and regulations relating to quality control, qualifications of laboratory staff, testing procedures, laboratory equipment and performance by requiring laboratories to analyze unknown samples and report their findings. In addition, Wadsworth Center provides educational seminars and assists laboratories in remediating testing problems. Laboratory reference system/Wadsworth Center is engaged in method validation and cooperative research for the advancement of clinical laboratory improvement.

COMMUNITY-BASED ADOLESCENT PREGNANCY PROGRAM (MCHS BLOCK GRANT)

Objective: The Community-Based Adolescent Pregnancy Prevention Program's goal is to reduce teen pregnancies. CBAPP promotes abstinence and the delay of sexual activity among teens; encourages educational, recreational and vocational opportunities as alternatives to sexual activity; and promotes access to family planning and reproductive health services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63402

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Barbara Brustman, Ed.D.
 Director
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237-0621
 (518) 474-3368
 E-Mail: NA

Fiscal Contact:

Lori Ahmed
 Senior Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 402-5485
 E-Mail: NA

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,076,664	NA	NA
SFY 05-06	2,076,664	NA	NA
SFY 06-07	2,076,664	NA	NA

*Amounts are program allocations for the grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,129,071	NA	NA
SFY 05-06	1,828,533 est.	NA	NA

*For grant period.

CORNELL UNIVERSITY HIV/AIDS EDUCATION

Objective: Provides educational workshops for parents in Nassau County, and enhances the project in Suffolk County.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Sole source.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	75,000
SFY 05-06	NA	NA	75,000
SFY 06-07	NA	NA	75,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	50,000
SFY 05-06	NA	NA	59,366

¹Refers to all Department of Health AIDS Contracts.

DIABETES - COMMUNITY BASED SERVICES

Objective: Provides grants to local coalitions for programs to help individuals modify personal behavior patterns in order to decrease health risks of diabetes and to increase access to diabetes screening and health care services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63421

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

David Hoffman
 Director
 Diabetes Control Program
 Bureau of Chronic Disease Services
 NYS Department of Health
 Corning Tower, Room 678
 Albany, NY 12237
 (518) 474-1222
 E-Mail: dph01@health.state.ny.us

Fiscal Contact:

Kathleen Czosnykowski
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Coalitions of Health Care Providers and Community Based Organizations, Counties, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	148,900	NA
SFY 05-06	NA	148,900	NA
SFY 06-07	NA	148,900	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	197,141	NA
SFY 05-06	NA	78,135	NA

EARLY INTERVENTION PROGRAM

Objective: Provides services to infants and toddlers with disabilities or developmental delays and provides training to county officials and providers.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63404

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 84.181A

Legal Authority:

Law: NY Laws of 1993, Chapters 428 and 231

Regulation: Notice of proposed rulemaking pending.

Program Contact:

Bradley J. Hutton
 Director
 Early Intervention Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237
 (518) 473-7016
 E-Mail: NA

Fiscal Contact:

Kathleen Czosnykowski
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Counties and City of New York.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local based on expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	26,210,607	270,000,000	NA
SFY 05-06	25,623,183	260,000,000	NA
SFY 06-07	NA**	204,000,000	NA

*Federal grant award amount.

**Grant award for 10/1/06 – 9/30/07 grant period not received yet.

Amounts Disbursed:

	<u>Federal Funds***</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	23,987,414	198,968,965	NA
SFY 05-06	25,688,469	191,048,990	NA

**Total Federal grant funds expended during SFY.

EMERGENCY MEDICAL SERVICES (EMS) COURSE REIMBURSEMENT

Objective: Provides reimbursement for the training and certification of EMS Providers (EMTs, Paramedics, etc.)

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 55950, 55951

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 30

Regulation: NYCRR Part 800

Program Contact:

Edward Wronski
Director
Bureau of Emergency Medical Services
NYS Department of Health
Hedley Building
433 River Street
Troy, NY 12180
(518) 402-0996 Ext. 4
E-Mail: ems@health.state.ny.us

Fiscal Contact:

Mary Mochrie
Sr. Budget Analyst
NYS Department of Health
Bureau of Budget Management
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Counties, Cities, Towns, Villages, Health Care Providers, Educational Institutions and Private Non-Profit Groups. Must be approved as an EMS Course Sponsor by the NYS Department of Health prior to conduction of training program.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required. Reimbursement is made for only those students that successfully complete an EMS course and pass a State examination.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Reimbursement depends on EMS course provided.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Not provided by agency.

EMERGENCY MEDICAL SERVICES (EMS) REGIONAL COUNCILS

Objective: Supports activities of regional EMS Councils as defined in Article 30 of the NYS Public Health Law.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 30

Regulation: NA

Program Contact:

Edward Wronski
Director
Bureau of Emergency Medical Services
NYS Department of Health
Hedley Building
433 River Street
Troy, NY 12180
(518) 402-0996 Ext. 4
E-Mail: ems@health.state.ny.us

Fiscal Contact:

Mary Mochrie
Sr. Budget Analyst
NYS Department of Health
Bureau of Budget Management
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Regional EMS Councils as defined in Article 30 of the NYS Public Health Law.

Type of Program and Special Restrictions: Mandated. Required by State law.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Funds are distributed based on performance deliverables.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Not provided by agency.

EMERGENCY MEDICAL SERVICES (EMS) REGIONAL PROGRAMS

Objective: Maintains regional EMS system supports of EMS provider agencies.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 30

Regulation: NA

Program Contact:

Edward Wronski
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Bureau of Emergency Medical Services
NYS Department of Health
Hedley Building
433 River Street
Troy, NY 12180
(518) 402-0996 Ext. 4
E-Mail: ems@health.state.ny.us

Fiscal Contact:

Mary Mochrie
Sr. Budget Analyst
NYS Department of Health
Bureau of Budget Management
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Counties, Cities, Private Non-Profit Groups and Health Care Organizations. Must be public or private agency involved in planning for or providing prehospital emergency medical services within a multi-county system.

Type of Program and Special Restrictions: Optional, but once chosen subject to State requirements. Funds may not be used for direct patient services, purchase of medical equipment, purchase or improvement of land or buildings, direct provision of EMS, or operating costs or purchase of equipment for EMS services.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Funds are distributed based on performance of contract deliverables.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Not provided by agency.

ENVIRONMENTAL LABORATORY APPROVAL PROGRAM (ELAP)

(Technical Assistance Program)

Objective: Assures the accuracy and timeliness of laboratory analysis of environmental samples collected in New York State.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 12000

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Health Law, Title I, Article 5

Regulation: 10 NYCRR Part 55, Subparts 55.2 and 55.3

Program Contact:

Kenneth Jackson, Ph.D.
Director
Environmental Laboratory Approval
Program
NYS Department of Health
Wadsworth Center
P.O. Box 509
Empire State Plaza
Albany, NY 12201-0509
(518) 485-5570
E-Mail: NA

Fiscal Contact:

Mady Pennisi
Chief Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3162
E-Mail: NA

Eligibility: Environmental Laboratories associated with State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups and Others.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State or Federal requirements.

Action Required to Receive Aid: Requested technical assistance may be made during a laboratory inspection.

Description of Aid/Comments:

The proper performance of laboratory analysis of environmental samples is necessary to insure the health and safety of the general public and to protect the environment. Improper or incompetent analysis may allow potentially hazardous situations to go unnoticed and unaddressed. Proper identification of toxics in drinking water, ground water, air and waste sites is necessary before adequate remediation and corrective action occurs. Failure to take such action can result in illness, disease and even death. The Environmental Laboratory Approval Program assures the quality of environmental testing provided in New York State through a coordinated certification program, including biennial laboratory inspections and proficiency testing.

Chapter 614 of the Laws of 1983, as modified by Chapter 901 of the Laws of 1984, authorized the Commissioner of Health to issue certificates of approval for laboratory analysis in categories including, but not limited to: potable water, non-potable water, sediment, solid waste and air. ELAP currently certifies laboratories for environmental analysis in all these areas; sediment analyses are included in the solid and hazardous waste category. The State of New York or any of its political subdivisions must contract with a laboratory certified for environmental analyses. Testing required by the Sanitary Code, including testing of public drinking water, swimming pools and bathing beaches, as well as tests required by the Environmental Conservation Law on water, air, and solid and hazardous waste must be performed in ELAP certified laboratories. Effective April 1, 1993 the requirement for ELAP certification of laboratories has been further extended by an amendment to Section 502 of the Public Health Law, Chapter 699 of the Laws of 1992, which requires all laboratories performing environmental analysis of any sample of New York State origin to be ELAP certified if ELAP certification is offered for that analysis. In order to insure the accuracy and reliability of tests performed by these laboratories, biennial on-site inspections and semi-annual proficiency tests are required.

EXPANDED COMPREHENSIVE SICKLE CELL SERVICES

Objective: Provides expanded and comprehensive medical and social services to individuals with or at risk for sickle cell anemia or other hemoglobinopathies on a regional or targeted-area basis.

Administering Agency: NYS Department of Health, Wadsworth Center, Division of Genetic Diseases, Laboratory of Newborn Screening and Genetic Services

Specific Program URL: NA

NYS Object Code: 63490

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1987, Chapter 53

Regulation: NA

Program Contact:

Michele Caggana, Sc.D., FACMG
Chief
Lab of Newborn Screening
Wadsworth Center
NYS Department of Health
Corning Tower -- Lab
Albany, NY 12201
(518) 473-3854
E-Mail: NA

Fiscal Contact:

Mady Pennisi
Chief Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3162
E-Mail: NA

Eligibility: Private Non-Profit Groups

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	231,000	50,000*
SFY 05-06	NA	231,000	NA
SFY 06-07	NA	231,000	NA

*Community Project Funds

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	215,805	50,000
SFY 05-06	NA	177,634	NA

FAMILY PLANNING

Objective: Insures the availability and accessibility of high quality, affordable family planning services for low income women throughout New York State.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63402

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.217

Legal Authority:

Law: US Public Health Service Act, PL 94-63, Title X, Section 100

Regulation: 10 NYCRR 754.1

Program Contact:

Joan Linton
 Director, Family Planning Program
 NYS Department of Health
 Corning Tower, Room 1805
 Albany, NY 12237
 (518) 474-3368
 E-Mail: jel03@health.state.ny.us

Fiscal Contact:

Kathleen Czosnykowski
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Counties and Private Non-Profit Groups. Must be a NY Public Health Law, Article 28 facility with approved family planning services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	10,000,000	15,818,000	2,497,000
SFY 05-06	2,100,000	15,818,000	12,497,000
SFY 06-07	12,100,000	18,818,000	12,497,000

*Suballocated from Office of Temporary Disability Assistance (OTDA).

Amounts Disbursed:

	<u>Federal Funds**</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	10,947,987	12,574,790	1,824,106
SFY 05-06	9,450,492	17,561,742	7,656,252

**Total grant funds expended during SFY.

Comments: See Family Planning Services (Title X), and Family Planning Services (MCHS Block Grant).

FAMILY PLANNING SERVICES (MCHS BLOCK GRANT)

Objective: Supports the provision and expansion of family planning services, especially to needy individuals.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63402

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Joan Linton
Director, Family Planning Program
Bureau of Women's Health
NYS Department of Health
Corning Tower, Room 1805
Albany, NY 12237-0621
(518) 474-3368
E-Mail: jel03@health.state.ny.us

Fiscal Contact:

Lori Ahmed
Senior Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Private Non-Profits. Planned Parenthood and other non-profit groups involved in family planning counseling and medical activities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,900,000	NA	NA
SFY 05-06	1,900,000	NA	NA
SFY 06-07	1,900,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,900,000	NA	NA
SFY 05-06	1,900,000	NA	NA

FAMILY PLANNING SERVICES (TITLE X)

Objective: Assures that every pregnancy is a wanted pregnancy and that adequate prenatal and perinatal child health care is available to those in need. This program assesses the need for family planning services statewide, develops and implements community outreach and educational programs, and monitors activities statewide.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63402

Year Established: 1972

Catalog of Federal Domestic Assistance No.: 93.217

Legal Authority:

Law: US Public Health Service Act, PL 95-613, Title X, Section 1001

Regulation: 45 CFR Part 74

Program Contact:

Joan Linton
 Director, Family Planning Program
 NYS Department of Health
 Corning Tower, Room 1805
 Albany, NY 12237-0621
 (518) 474-3368
 E-Mail: NA

Fiscal Contact:

Maria Reardon
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3059
 E-Mail: NA

Eligibility: Private Non-Profits. Must be an organization providing family planning counseling and related medical care.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for family planning activities.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Varies with recipient.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	10,296,956	NA	NA
SFY 05-06	10,482,501	NA	NA
SFY 06-07	9,884,901	NA	NA

*Federal grant award amount.

Amounts Disbursed:

	<u>Federal Funds**</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	8,390,060	NA	NA
SFY 05-06	9,806,683	NA	NA

**Total Federal grant funds expended during SFY.

GENETICS SERVICES

Objective: Ensures that individuals affected with, at-risk for transmitting, or concerned about a genetic disorder are able to make informed health decisions, and that all individuals affected with, at-risk of transmitting, or concerned about a genetic disorder are provided access to comprehensive genetics services including diagnostic, counseling and preventive services.

Administering Agency: NYS Department of Health, Wadsworth Center, Division of Genetic Diseases, Laboratory of Newborn Screening and Genetic Services; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63408¹

Year Established: 1985

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: NA

Program Contact:

Michele Caggana, Sc.D., FACMG
 Chief
 Lab of Newborn Screening
 Wadsworth Center
 NYS Department of Health
 Corning Tower -- Lab
 Albany, NY 12201
 (518) 473-3854
 E-Mail: NA

Fiscal Contact:

Mady Pennisi
 Chief Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3162
 E-Mail: NA

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Aid is not available for laboratory supplies/personnel/equipment or Ph.D./M.D. salaries.

Action Required to Receive Aid: Competitive application for aid required. Three year program with annual non-competitive renewal.

Description of Aid:

Flow of Funds: Federal to State to Local and State to Local. Monies are provided partially as an advance and ultimately as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,779,000	700,000	NA
SFY 05-06	1,779,000	700,000	NA
SFY 06-07	1,779,000	700,000	NA

*Estimated Grant Year.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,742,783	700,000	NA
SFY 05-06	1,734,687	688,999	NA

¹Refers to all Wadsworth Center Aid-to-Localities Contracts/Grants.

HEALTHY HEART

Objective: Reduces the morbidity and mortality associated with hypertension of high risk individuals with limited access to care by increasing the number of controlled hypertensives.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63423

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Deborah Spicer
Bureau of Risk Reduction
NYS Department of Health
Riverview Center
150 Broadway, 3rd Fl. W
Menands, NY 12204
(518) 474-6683
E-Mail: NA

Fiscal Contact:

Kathleen Czosnykowski
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206
E-Mail: kxc06@health.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be an agency serving high risk populations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services, cash payments to recipients of health services; purchase of major medical equipment, purchase or improvement of land or building, direct provision of home health services, operating costs, or purchase of equipment for EMS systems.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	254,000	727,000
SFY 05-06	NA	254,000	727,000
SFY 06-07	NA	254,000	727,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	317,392	741,331
SFY 05-06	NA	304,664	746,675

HEALTHY NEIGHBORHOODS (PHHS BLOCK GRANT)

Objective: Provides comprehensive, preventive health services to at-risk populations. Strengthens the community sanitation and safety aspects of the rodent control program and focuses activities on identifying people who need personal health services and linking them to those services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63425

Year Established: 1985

Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Michael Cambridge
Director
Bureau of Community Environmental
Health and Food Protection
NYS Department of Health
547 River Street
Troy, NY 12180
(518) 402-7600
E-Mail: NA

Fiscal Contact:

Jeffrey Mattice
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 473-4379
E-Mail: jdm04@health.state.ny.us

Eligibility: Counties and Cities. Must be a county or city health department proposing to serve high risk areas identified by the NYS Department of Health.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be used to improve sanitary conditions in communities.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: 100%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,250,000	NA	NA
SFY 05-06	1,236,420	NA	NA
SFY 06-07	NA	NA	2,150,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,069,514	NA	NA
SFY 05-06	1,289,037	NA	NA

HIV AND SUBSTANCE ABUSE FELLOWSHIP PROGRAM

Objective: To recruit health professionals to deliver HIV services, to ensure continuing expertise in HIV care, and to develop a cadre of leaders in the field.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238
E-Mail: NA

Fiscal Contact:

Kevin Riggi
Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058
E-Mail: NA

Eligibility: Hospitals. The hospital must be an AIDS Designated Care Center.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,489,000
SFY 05-06	NA	NA	1,489,000
SFY 06-07	NA	NA	1,489,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,519,453
SFY 05-06	NA	NA	1,443,548

¹Refers to all Department of Health AIDS contracts.

HIV CLINICAL EDUCATION INITIATIVE

Objective: To increase clinical skills and the number of community-based health care providers offering care for people with HIV and AIDS.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1997, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Managed Care Plans, Community Health Centers, Substance Abuse Treatment Sites, Community Hospitals, Residential Health Care Facilities and other Diagnostic and Treatment Centers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,600,000
SFY 05-06	NA	NA	1,600,000
SFY 06-07	NA	NA	1,600,000

Amounts Disbursed:

SFY 04-05	NA	NA	1,427,802
SFY 05-06	NA	NA	1,581,040

¹Refers to all Department of Health AIDS contracts.

Department of Health
**HIV COUNSELING & TESTING
 IN FAMILY PLANNING CLINICS &
 PRENATAL CARE ASSISTANCE PROGRAMS**

Objective: To provide HIV counseling and testing services to family planning and Prenatal Care Assistance Program clients at risk of HIV infection.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Joan Linton
 Family Planning Program
 NYS Department of Health
 Corning Tower, Room 1805
 Albany, NY 12237
 (518) 474-3368
 E-Mail: jel03@health.state.ny.us

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups. Must comply with NY Public Health Law, Article 28 facilities requirements and have approved family planning services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Agencies receive a basic grant amount (counselor salary and fringe), and additional funds based on their percentage of total client base and percentage of Statewide tests performed.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,000,000
SFY 05-06	NA	NA	4,000,000
SFY 06-07	NA	NA	4,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,486,166
SFY 05-06	NA	NA	4,495,026

¹Refers to all Department of Health AIDS contracts.

HIV OUTREACH AND PREVENTION TO WOMEN AND CHILDREN

Objective: To support innovative HIV outreach, prevention and risk reduction programs serving women and children with a particular focus on hard-to-reach adolescent populations.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238
E-Mail: NA

Fiscal Contact:

Kevin Riggi
Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058
E-Mail: NA

Eligibility: Not-for-profit community-based health and human service organizations, local and county government agencies, schools, Boards of Cooperative Education Services (BOCES), academic institutions, settlement houses, and civil organizations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,000,000
SFY 05-06	NA	NA	1,000,000
SFY 06-07	NA	NA	1,000,000

Amounts Disbursed:

SFY 04-05	NA	NA	906,870
SFY 05-06	NA	NA	605,966

¹Refers to all Department of Health AIDS contracts.

HIV SERVICES FOR ADOLESCENTS

Objective: To reduce the transmission and incidence of HIV among adolescents at high risk for HIV infection and to improve the health status of medically indigent HIV+ adolescents.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Private, not-for-profit, community-based organizations, health and human service organizations; substance use treatment programs; youth-serving organizations; diagnostic and treatment centers; licensed Article 28 hospitals and health care facilities, including community health centers, family planning clinics, school-based health centers, academic institutions, Board of Cooperative Education Services (BOCES), and County Health Departments/Local Health Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,321,000
SFY 05-06	NA	NA	2,321,000
SFY 06-07	NA	NA	2,321,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,727,542
SFY 05-06	NA	NA	2,302,152

¹Refers to all Department of Health AIDS contracts.

HIV SERVICES FOR HIGH RISK WOMEN AND CHILDREN

Objective: To ensure that women at high risk of HIV receive the information and services they need for themselves and their children.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238
E-Mail: NA

Fiscal Contact:

Kevin Riggi
Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058
E-Mail: NA

Eligibility: Private, not-for-profit, community-based organizations, health and human service organizations; substance use treatment programs; youth-serving organizations; diagnostic and treatment centers; licensed Article 28 hospitals and health care facilities, including community health centers, family planning clinics, school-based health centers, academic institutions, Board of Cooperative Education Services (BOCES), and County Health Departments/Local Health Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,375,000
SFY 05-06	NA	NA	1,375,000
SFY 06-07	NA	NA	1,375,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,287,772
SFY 05-06	NA	NA	1,458,387

¹Refers to all Department of Health AIDS contracts.

HIV SERVICES FOR PAROLEES

Objective: To ensure that parolees at risk of HIV and their families receive needed information and services.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Not-for-profit, health and human service agencies with 501(c)(3) designation, academic institutions, hospital and community health centers licensed under Article 28 of the NYS Public Health Law and local health departments.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,130,000
SFY 05-06	NA	NA	1,130,000
SFY 06-07	NA	NA	1,130,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,862,708
SFY 05-06	NA	NA	1,179,812

¹Refers to all Department of Health AIDS contracts.

HIV TREATMENT ADHERENCE TO COMBINATION ANTIRETROVIRAL THERAPY DEMONSTRATION PROJECTS

Objective: To provide clients access to treatment adherence and supportive services; assist clients in developing the skills to ensure treatment adherence; enhance and integrate the treatment adherence service structure into the continuum of HIV care; provide client-centered HIV and social services which support treatment adherence; and implement a system that ensures the coordination and collaboration of services being provided to the client.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1997, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238
E-Mail: NA

Fiscal Contact:

Kevin Riggi
Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058
E-Mail: NA

Eligibility: Not-for-profit or publicly operated facilities which are licensed under Article 28, have established HIV/AIDS program services, and have strong linkages with HIV/AIDS community-based organizations and consumer groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	393,800	NA
SFY 05-06	NA	393,800	NA
SFY 06-07	NA	562,700	NA

*Available through interchange.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	290,718	NA
SFY 05-06	NA	288,970	NA

¹Refers to all Department of Health AIDS contracts.

IMMUNIZATION

Objective: To prevent reoccurrence and transmission of the vaccine preventable diseases.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63406

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.268

Legal Authority:

Law: NY Public Health Law, Sections 2164, 2165, 613, 2895h, 4405a, 4710a, 2500e

Regulation: NYCRR Title 10 Subparts 66-1 and 66-2, 405.3, 414.17, 730.4, 470.4

Program Contact:

David Lynch
 Immunization Program Manager
 Immunization Program
 Bureau of Communicable Disease Control
 NYS Department of Health
 Corning Tower, Room 649
 Albany, NY 12237
 (518) 473-4437
 E-Mail: NA

Fiscal Contact:

Maria Reardon
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3059
 E-Mail: NA

Eligibility: Counties and Cities. Organizations must provide vaccine in accordance with State and Federal requirements.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Vaccine accounting, adverse event reporting, eligibility screening and informed consent procedures must be followed in order to receive vaccine.

Action Required to Receive Aid: Enrollment in the New York Vaccines for Children program is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided for vaccine only.

Type of Aid: Ongoing

Formula: Vaccine distribution to local health units is based on live births and population greater than or equal to 185 percent of the poverty level.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	12,711,608	NA	9,100,000
SFY 05-06	12,619,650	NA	9,100,000
SFY 06-07	NA**	NA	9,100,000

*Federal grant award amount.

**Grant award for 1/1/07 – 12/31/07 grant period not received yet.

Amounts Disbursed:

	<u>Federal Funds***</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	11,249,837	NA	6,384,048
SFY 05-06	13,254,421	NA	2,292,550

***Total Federal grant funds expended during SFY.

INDIAN HEALTH SERVICES

Objective: Administer to the medical and health needs of ambulant sick and needy Indians residing on reservations.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63413, 63427

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35; PHL Section 201(1)(s)

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Wendy B. Stoddart
Director
Indian Health Program
NYS Department of Health
Corning Tower, Room 890
Empire State Plaza
Albany, NY 12237
(518) 474-6968
E-Mail: NA

Fiscal Contact:

Edward McMullen
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 486-1410
E-Mail: NA

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be needy Native Americans living on reservation.

Type of Program and Special Restrictions: Mandated, required by State law and/or regulation.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	13,500,000
SFY 05-06	NA	NA	13,500,000
SFY 06-07	NA	NA	13,500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	13,499,683
SFY 05-06	NA	NA	13,500,000

**LONG ISLAND ASSOCIATION FOR AIDS CARE
(HIV SERVICES)**

Objective: To (1) identify the medical and social services gaps for children orphaned by AIDS on Long Island, as well as strategies to link families with AIDS with community services in order to plan for future care needs of their children, and (2) develop innovative comprehensive model service programs for such children.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238
E-Mail: NA

Fiscal Contact:

Kevin Riggi
Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058
E-Mail: NA

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Sole Source.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	50,000
SFY 05-06	NA	NA	50,000
SFY 06-07	NA	NA	50,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	50,000
SFY 05-06	NA	NA	50,000

¹Refers to all Department of Health AIDS contracts.

MATERNAL AND CHILD HEALTH SERVICES (MCHS BLOCK GRANT)

Objective: Assures all needy individuals access to quality maternal and child health services. Further, reduces infant mortality, preventable diseases and handicapping conditions among children; supports rehabilitation services for blind and disabled children who qualify for Title XVI benefits; and provides medical, surgical and corrective services for children who are crippled or who are suffering from conditions leading to crippling.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63491¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1989, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Barbara McTague
Director
Division of Family Health
NYS Department of Health
Corning Tower, Room 890
Albany, NY 12237
(518) 473-7922
E-Mail: NA

Fiscal Contact:

Lori Ahmed
Senior Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	43,726,728	NA	NA
SFY 05-06	43,708,310	NA	NA
SFY 06-07	43,450,702	NA	NA

*For grant period.

MATERNAL AND CHILD HEALTH SERVICES (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	43,708,310	NA	NA
SFY 05-06	43,450,702	NA	NA

*For grant period.

Comments: This summary addresses the entire MCHS Block Grant. Selected individual programs are also summarized in this catalog.

¹Refers to Department of Health MCHS grants.

MEDICAL ASSISTANCE

Objective: Provides medical care for public assistance recipients and medically needy individuals.

Administering Agency: NYS Department of Health; US Department of Health and Human Services, Health Care Financing Administration

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1935

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: US Social Security Act, Title XIX, as amended

Regulation: 42 CFR, Subchapter C

Program Contact:

Brian J. Wing
Deputy Commissioner
Office of Medicaid Management
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-8646
E-Mail: NA

Fiscal Contact:

Catherine J. Stenson
Director, Agency Budgeting Services
Bureau of Budget Management
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-5081
E-Mail: NA

Eligibility: State Governments, Counties. State and local welfare agencies must operate under Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

The Federal government requires the State's Medicaid program to provide in- and out-patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services; home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the State.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through); State to Local. Monies are paid by the State to vendors or providers on behalf of local governments. Advance grant awards are received quarterly on an estimated basis and later adjusted to actual reported expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Generally, 50% Federal, 25% State and 25% Local for Federal eligibles, and 50% State and 50% Local for non-Federal eligibles. Beginning April 1, 1994, the State pays 53.0875% of the non-Federal share for managed care enrollees and 81.24% of the non-Federal share for long-term care services. With approval of the Partnership Plan, the Federal government financially participates in reimbursing services for non-Federal eligibles for services received on or after October 1, 1997. Effective January 1, 2005, the State assumed 75% of the non-Federal share of Family Health Plus. Effective October 1, 2005, the State assumed 100% of the non-Federal share for the rest of State counties. Effective January 1, 2006, the local share for both Medicaid program and administration is capped, with the State assuming the costs. Local districts forward their respective capped amount to the State.

Maintenance of Effort: Yes

Amounts Appropriated: Reflects new appropriations in DOH only.

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>
SFY 04-05	22,101,002,000	6,767,809,000	4,274,700,000
SFY 05-06	24,071,151,585	8,354,522,000	6,496,700,000
SFY 06-07	24,684,113,000	9,249,933,000	6,005,437,000

MEDICAL ASSISTANCE cont.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds**</u>	<u>State Spec. Rev. *, **</u>
SFY 04-05	19,728,000,000	7,598,600,000	4,848,500,000
SFY 05-06	19,184,000,000	8,622,700,000	5,977,800,000

*Includes Fund 169

**Includes transfers from other State agencies.

MEDICAL ASSISTANCE ADMINISTRATION

Objective: Assists with the costs of administering Medicaid.

Administering Agency: NYS Department of Health; US Department of Health and Human Services, Health Care Financing Administration

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1935

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: US Social Security Act, Title XIX, as amended

Regulation: 42 CFR, Subchapter C

Program Contact:

Brian J. Wing
Deputy Commissioner
Office of Medicaid Management
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-8646
E-Mail: NA

Fiscal Contact:

Catherine J. Stenson
Director, Agency Budgeting Services
Bureau of Budget Management
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-5081
E-Mail: NA

Eligibility: State Governments, Counties. State and local welfare agencies must operate under Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through); State to Local. Monies provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Prior to January 1, 2006 local district Federal claims were generally reimbursed 50% Federal and 25% State. Non-Federal claims were reimbursed 50% State. Effective January 1, 2006, the local share for Medicaid program and administrative costs is capped. Local districts will be reimbursed 100% with Federal and State funds.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	481,800,000	115,850,000	NA
SFY 05-06	481,800,000	122,350,000	NA
SFY 06-07	481,800,000	132,005,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	412,877,000	114,892,000	NA
SFY 05-06	355,876,000	192,742,000	NA

MIGRANT HEALTH (MCHS BLOCK GRANT)

Objective: Supports the provision of health services to children of migrant farm workers and rural poor.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63411

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1982, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Thomas Carter, Ph.D.
 Director
 Migrant Health Program
 Division of Family Health
 NYS Department of Health
 Corning Tower, Room 890
 Albany, NY 12237-0657
 (518) 474-6968
 E-Mail: NA

Fiscal Contact:

Lori Ahmed
 Senior Budgeting Analyst
 Bureau of Budget Management
 Division of Family and Local Health
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 402-5485
 E-Mail: NA

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be an established organization which has been treating children of migratory farm workers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants, cash payments to recipients, purchase or improvement of capital items, purchase of major medical equipment, matching share for other Federal funds, or for research or training in profit-making organization.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	240,000	NA	NA
SFY 05-06	240,000	NA	NA
SFY 06-07	240,000	NA	NA

*Amounts are program allocations for the grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	263,780	NA	NA
SFY 05-06	248,137	NA	NA

*Expenditures for grant period.

**NEW YORK CITY HEALTH PROGRAMS
(MCHS BLOCK GRANT)**

Objective: Supports the provision of maternal and infant care, family planning services, and children and youth services in clinical settings in New York City.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63420

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1989, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Barbara McTague
Director
Division of Family Health
NYS Department of Health
Corning Tower, Room 890
Albany, NY 12237
(518) 473-7922
E-Mail: NA

Fiscal Contact:

Lori Ahmed
Senior Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: New York City Health Providers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants, cash payments to recipients, purchase or improvement of capital items, purchase of major medical equipment, matching share for other Federal funds, or for research or training in profit-making organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,233,000	NA	NA
SFY 05-06	3,233,000	NA	NA
SFY 06-07	3,233,000	NA	NA

*For grant period.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,017,302	NA	NA
SFY 05-06	3,031,535	NA	NA

*For grant period.

NUTRITION OUTREACH

Objective: To enroll eligible targeted population in underutilized Federal and State funded Local Assistance programs in order to insure better nutrition among the needy.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63405¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1987, Chapter 820

Regulation: NA

Program Contact:

Sara Bonam
 Director
 Bureau of Nutrition Training and
 Technical Assistance
 NYS Department of Health
 1215 Western Avenue
 Albany, NY 12203-3399
 (518) 458-6313
 E-Mail: sbb01@health.state.ny.us

Fiscal Contact:

Edward McMullen
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 486-1410
 E-Mail: NA

Eligibility: Private Non-Profit Groups. Must be a subcontractor in a location with high need as defined by poverty and employment statistics.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. The contractor and its subcontractor are prohibited from using funding for political activity or lobbying. Also 60 percent of the funding must be related to Food Stamp Outreach activity.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,450,000	1,850,000	NA
SFY 05-06	1,450,000	1,850,000	NA
SFY 06-07	1,450,000	1,850,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,806,556***	1,849,975	NA
SFY 05-06	1,408,839	1,850,025	NA

* Funds suballocated to NYSDOH from the Department of Family Assistance/OTDA.

** An additional \$150,000 has been suballocated to the Department of Family Assistance/OTDA each year.

*** The 04-05 Federal funds disbursements are higher than the appropriation for that year because surplus funds rolled forward into the next contract period, 7/1/04-6/30/05.

¹Refers to Department of Health food and nutrition services.

PHYSICALLY HANDICAPPED CHILDREN

Objective: Provides surgical, medical, therapeutic treatment, hospital care or necessary appliances and devices for any physically handicapped child who is not in a State institution and not requiring permanent custodial care.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63404

Year Established: 1949

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Section 608

Regulation: 10 NYCRR 46

Program Contact:

Susan J. Slade
 Director, Medical Home Unit
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237-0618
 (518) 474-2001
 E-Mail: NA

Fiscal Contact:

Edward McMullen
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237-0657
 (518) 486-1410
 E-Mail: NA

Eligibility: Counties and Cities. Person receiving aid must be under 21 years of age, Medicaid eligibility must have been denied, and must have a condition determined eligible by Department of Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Fifty percent of net expenditures.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,000,000
SFY 05-06	NA	NA	4,000,000
SFY 06-07	NA	NA	4,000,000

*Difference from enacted appropriation of \$4,000,000 reflects interchange to Indian Health Program. These funds were not needed to pay Physically Handicapped Children Program vouchers.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,030,937
SFY 05-06	NA	NA	2,209,140

**PHYSICALLY HANDICAPPED CHILDREN
CASE MANAGEMENT SERVICES -
MCHS BLOCK GRANT**

Objective: Provides comprehensive case management services for children with chronic illness and physical disabilities.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: NA

Year Established:

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: NY Public Health Law, Article 28; Laws of 1978, Chapter 198

Regulation: NA

Program Contact:

Rachel deLong, M.D.
Director
Bureau of Child and Adolescent Health
NYS Department of Health
Corning Tower, Room 208
Albany, NY 12237-0618
(518) 474-2084
E-Mail: NA

Fiscal Contact:

Lori Ahmed
Senior Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Local Health Units.

Type of Program and Special Restrictions: Optional, without any State of Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: In kind 10%

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,800,000	NA	NA
SFY 05-06	1,800,000	NA	NA
SFY 06-07	1,800,000	NA	NA

*For grant period.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,651,430	NA	NA
SFY 05-06	1,304,058	NA	NA

*For grant period.

PILOT AIDS SURVEILLANCE PROJECT

Objective: To conduct surveillance of reported AIDS cases at the county level as authorized by the Commissioner of Health.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Section 206(I)(J), Laws of 1990, Chapter 53

Regulation: Department of Health Memorandums 83-88 and 84-12, Sanitary Code 24.1

Program Contact:

AIDS Epidemiology Program
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 474-4284
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: Counties. Must be willing to comply with stringent surveillance protocols to ensure confidentiality.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds may not be used for partisan political activity.

Action Required to Receive Aid: Competitive applications for aid required. These applications can be for more than one year.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	90,000
SFY 05-06	NA	NA	90,000
SFY 06-07	NA	NA	90,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	55,014
SFY 05-06	NA	NA	73,618

¹Refers to all Department of Health AIDS contracts.

PRENATAL CARE ASSISTANCE PROGRAM

Objective: Improves birth outcomes by ensuring access to quality prenatal care services by Medicaid eligible pregnant women.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63101

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 25

Regulation: 10 NYCRR 85.40

Program Contact:

Rudy Lewis
Health Program Administrator
Bureau of Women's Health
NYS Department of Health
Corning Tower, Room 1882
Albany, NY 12237
(518) 474-1911
E-Mail: rrl05@health.state.ny.us

Fiscal Contact:

Kathleen Czosnykowski
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1358
Albany, NY 12237
(518) 474-3206
E-Mail: kxc06@health.state.ny.us

Eligibility: Counties and Non-Profit Groups.

Type of Program and Special Restrictions: Entitlement with provision for pregnant women up to 200 percent of the Federal poverty level. Through the CHWP, the CPPSN, the Growing Up Healthy Hotline and media campaign, outreach, education, home visiting and case management are provided to assist high risk pregnant women to enter prenatal care and receive necessary services to promote healthy births and families. Medicaid matches dollar for dollar.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,895,673	698,360	3,197,313
SFY 05-06	3,847,313	650,000	3,197,313
SFY 06-07	3,847,313	650,000	3,197,313

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,598,097	549,442	3,048,655
SFY 05-06	3,545,922	566,912	2,979,010

*Appropriation and Disbursement amounts include funds allocated by Nutrition and AIDS programs. Federal funds reflect available Medicaid match funding.

PREVENTIVE HEALTH AND HEALTH SERVICES (PHHS BLOCK GRANT)

Objective: Supports the provision of comprehensive public health services including: rodent control activities, fluoridation programs, risk reduction, health education, rape prevention and counseling, and planning, establishing and improving emergency medical service systems.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63492¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

G. Anders Carlson
Director
Division of Environmental Health Investigations
NYS Department of Health
547 River Street
Troy, NY 12180
(518) 402-7501
E-Mail: NA

Fiscal Contact:

Jeffrey Mattice
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 473-4379
E-Mail: jdm04@health.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Funds may not be used for inpatient services, cash payments to recipients of health services, purchase of major medical equipment, purchase or improvement of land or buildings, direct provision of home health services, operating costs or purchase of equipment for EMS systems.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	9,400,287	NA	NA
SFY 05-06	8,409,860	NA	NA
SFY 06-07	6,770,526	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,711,950	NA	NA
SFY 05-06	3,544,186	NA	NA

¹Refers to Other Preventive Health and Health Services grants.

PRIMARY CARE IN DRUG TREATMENT PROGRAMS

Objective: Increase access and availability of comprehensive primary health care service for persons at risk of HIV infection in substance abuse treatment.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Valerie J. White
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238
 E-Mail: NA

Fiscal Contact:

Kevin Riggi
 Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058
 E-Mail: NA

Eligibility: This appropriation funds several initiatives/components. Eligibility criteria differs among components and includes: not-for-profit health care organizations licensed under Article 28; county health departments not holding Article 28 licensure; not-for-profit substance abuse treatment programs licensed by both NYS OASAS and NYSDOH under Article 28; not-for-profit community-based organizations and OASAS licensed drug treatment programs; and NYS authorized Syringe Exchange Programs authorized under Section 3381 of the public health law and other community-based organizations experienced in working with active drug users.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required, as applicable.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	3,034,000
SFY 05-06	NA	NA	3,034,000
SFY 06-07	NA	NA	3,034,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,804,673
SFY 05-06	NA	NA	2,905,592

¹Refers to all Department of Health AIDS contracts.

PUBLIC HEALTH CAMPAIGN

Objective: Disease prevention and education efforts focusing on tuberculosis, immunization, lead poisoning prevention and syphilis screening.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Charles Silberman
 Program Manager
 Bureau of STD Control
 NYS Department of Health
 Corning Tower, Room 1168
 Albany, NY 12237
 (518) 474-3598
 E-Mail: NA

Fiscal Contact:

Kathleen Czosnykowski
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Counties, Private Non-Profit Groups, Universities and Hospitals.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	6,850,000
SFY 05-06	NA	NA	6,850,000
SFY 06-07	NA	NA	6,850,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	7,493,612
SFY 05-06	NA	NA	6,494,462

PUBLIC HEALTH WORK

Objective: Supports the operation of local public health programs.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63101¹, 63201², 56990³

Year Established: 1924

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Sections 605 and 609; Laws of 1996, Ch. 474

Regulation: 10 NYCRR 40

Program Contact:

Marie D. Miller
Deputy Director
Office of Local Health Services
NYS Department of Health
Corning Tower, Room 821
Albany, NY 12237
(518) 473-4223
E-Mail: NA

Fiscal Contact:

Edward McMullen
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237-0012
(518) 486-1410
E-Mail: NA

Eligibility: Counties, Cities, Towns and Villages. Submission and approval of annual State aid application by municipalities for public health work programs required. Counties and cities with populations of 50,000 or more are eligible for all public health work programs. Annual applications are reviewed by program and fiscal office and approval letters are mailed by the Office of Local Health Services.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: \$.55 per capita or \$550,000, 36 percent of balance for basic services and 36 percent for optional services.

Matching Requirement: State 36%, Local 64%

Maintenance of Effort: Maintenance of effort is a regulatory requirement for eligibility of full State aid reimbursement and is reviewed annually by the Article 6 program.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	221,500,000	4,550,000
SFY 05-06	NA	261,900,000	4,550,000
SFY 06-07	NA	261,900,000	4,550,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	261,900,000	902,877
SFY 05-06	NA	214,312,027	1,167,252

¹Refers to Department of Health General Public Health Services and LPHS accounts.

²Includes Laboratories as of 4/1/91.

³Includes EMS funding.

RABIES

Objective: Assist counties with preventing the transmission of rabies from animals to humans.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63490

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law 21.44; Annual local assistance budget bills.

Regulation: NA

Program Contact:

Dr. Millicent Eidson
 Director
 Zoonoses Program
 NYS Department of Health
 Corning Tower, Room 621
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3186
 E-Mail: mxco4@health.state.ny.us

Fiscal Contact:

Kathleen Czosnykowski
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 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3206
 E-Mail: kxc06@health.state.ny.us

Eligibility: Counties. Must submit a rabies protocol to the State Department of Health.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Reimbursement is available for human postexposure treatment, specimen preparation and shipment, and pet vaccination clinics.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,486,000	NA
SFY 05-06	NA	1,486,000	NA
SFY 06-07	NA	1,486,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,526,945	NA
SFY 05-06	NA	1,476,429	NA

Department of Health

RABIES

(Technical Assistance Program)

Objective: Assist counties with preventing the transmission of rabies from animals to people.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law 21.44

Regulation: NA

Program Contact:

Dr. Millicent Eidson
Director
Zoonoses Program
NYS Department of Health
Corning Tower, Room 621
Empire State Plaza
Albany, NY 12237
(518) 474-3186
E-Mail: mxco4@health.state.ny.us

Fiscal Contact:

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Bureau of Budget Management
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Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3206
E-Mail: kxc06@health.state.ny.us

Eligibility: Counties, Health Care Providers, Veterinarians, Other State Agencies and Non-Governmental Organizations and the General Public.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Assistance through utilization of Department expertise.

Description of Aid:

Consultation on all aspects of preventing human rabies.

RAPE CRISIS

Objective: To insure all people in New York State have access to basic treatment and prevention services related to sexual assault.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63422

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.136

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Deborah A. Joralemon
 Director, Rape Crisis Program
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 1882
 Albany, NY 12237
 (518) 474-1911
 E-Mail: NA

Fiscal Contact:

Maria Reardon
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3059
 E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,779,839	1,224,000	131,000
SFY 05-06	2,704,783	1,224,000	131,000
SFY 06-07	NA**	1,584,000	131,000

*Federal grant award amount.

**Grant award for 11/1/06-10/31/07 grant period not received yet.

Amounts Disbursed:

	<u>Federal Funds***</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,646,511	1,303,955	88,571
SFY 05-06	712,601	1,215,954	177,591

***Total Federal grant funds expended during SFY.

REFUGEE HEALTH ASSESSMENT

Objective: Ensure the provision of refugee health assessments and coordination of available preventive health services for refugees, asylees, parolees and other eligible populations.

Administering Agency: NYS Department of Health; US Department of Health and Human Services, Office of Refugee Resettlement

Specific Program URL: NA

NYS Object Code: 63424

Year Established: 1984

Catalog of Federal Domestic Assistance No.: 93.576

Legal Authority:

Law: US Immigration and Nationality Act, Section 412-C(3)

Regulation: 45 CFR Part 92

Program Contact:

Eric Cleghorn
Public Health Representative III
Refugee Health Program
NYS Department of Health
Corning Tower, Room 840
Albany, NY 12237
(518) 474-4845
E-Mail: NA

Fiscal Contact:

Maria Reardon
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1358
Albany, NY 12237
(518) 474-3059
E-Mail: NA

Eligibility: Qualified, licensed public and private health care providers located throughout New York State (exclusive of New York City) to provide refugee health assessments and initial adult vaccinations to new refugee arrivals to the State.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds are restricted to refugee health assessments and interpreter services.

Action Required to Receive Aid: Providers voucher NYS for costs of refugee health assessments and interpreter services for reimbursement.

Description of Aid:

Flow of Funds: Federal to State.

Type of Aid: Project Grant - Multi-year, renewable annually dependent upon availability of funds.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	202,949	NA	NA
SFY 05-06	219,683	NA	NA
SFY 06-07	207,478	NA	NA

*Federal grant award amount including carryover.

Amounts Disbursed:

	<u>Federal Funds**</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	131,174	NA	NA
SFY 05-06	156,441	NA	NA

**Total Federal grant funds expended during SFY.

RURAL HEALTH CARE ACCESS DEVELOPMENT GRANT

Objective: Promotes the transition of hospital operations to use existing rural health care resources better, to diversify operations, to reorganize and/or to integrate with other community providers.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 62 of the Laws of 2003; Health Care Reform Act of 2000; Title II, NY Laws of 1993, Chapter 731

Regulation: NA

Program Contact:

Karen Madden
Director
Office of Rural Health
Division of Planning, Policy and
Resource Development
NYS Department of Health
Corning Tower, Room 1119
Albany, NY 12237
(518) 474-5565
E-Mail: kam13@health.state.ny.us

Fiscal Contact:

Lori Ahmed
Senior Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: clf06@health.state.ny.us

Eligibility: Rural Hospitals

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Funds</u>
SFY 04-05	NA	411,000	9,200,000
SFY 05-06	NA	NA	12,088,000
SFY 06-07	NA	NA	12,088,000

Amounts Disbursed:

	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Funds</u>
SFY 04-05	NA	NA	8,664,636
SFY 05-06	NA	NA	8,788,967

RURAL HEALTH NETWORK DEVELOPMENT

Objective: Assists community based health care providers, consumers and organizations in rural areas to promote effective health care delivery through coordination, development, planning, implementation and operation of rural health networks. The Office of Rural Health provides technical assistance to networks under this program.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 62 of the Laws of 2003; Health Care Reform Act of 2000; Title I, NY Laws of 1993, Chapter 731

Regulation: NA

Program Contact:

Karen Madden
 Director
 Office of Rural Health
 Division of Planning, Policy and
 Resource Development
 NYS Department of Health
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Fiscal Contact:

Lori Ahmed
 Senior Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 402-5485
 E-Mail: clf06@health.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities, Public Non-Profit Groups and Others.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Funds</u>
SFY 04-05	NA	410,000	6,600,000
SFY 05-06	NA	NA	7,062,000
SFY 06-07	NA	NA	7,062,000

Amounts Disbursed:

	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Funds</u>
SFY 04-05	NA	NA	5,669,870
SFY 05-06	NA	NA	5,359,099

SCHOOL HEALTH PROGRAMS

Objective: Makes available comprehensive health services to a total of 197 preschool, elementary and junior high school sites.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63407

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: NY Public Health Law, Article 28; Laws of 1978, Chapter 198; US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Annette Johnson
 Director
 School Health Unit
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237
 (518) 486-4966
 E-Mail: amj02@health.state.ny.us

Fiscal Contact:

Maria Reardon
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3059
 E-Mail: NA

Eligibility: School Districts and a NY Public Health Law Article 28 approved facility.

Type of Program and Special Restrictions: Mandated, required by Federal and State law or regulations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing and Project Grants

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,325,000	NA	6,500,000
SFY 05-06	3,500,000	NA	6,500,000
SFY 06-07	3,325,000	NA	6,500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,191,198	NA	6,550,252
SFY 05-06	3,373,626	NA	5,390,175

SEROPREVALENCE

Objective: Provides for services and expenses of surveillance projects for investigation of reported AIDS cases, including seroprevalence studies, as authorized by the Commissioner of Health.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

AIDS Epidemiology Program
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 474-4284
E-Mail: NA

Fiscal Contact:

Kevin Riggi
Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058
E-Mail: NA

Eligibility: Private Non-Profit Group.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	330,000
SFY 05-06	NA	NA	330,000
SFY 06-07	NA	NA	330,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	240,205
SFY 05-06	NA	NA	280,896

¹Refers to all Department of Health AIDS contracts.

SEXUALLY TRANSMITTED DISEASE (STD) CONTROL

Objective: Provides primary focus for the epidemiology and prevention of gonorrhea, syphilis, genital herpes, chlamydia, trichomoniasis, nonspecific urethritis candidiasis, pediculosis pubis and the congenital maladies associated with some of these infections.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63416

Year Established: 1983

Catalog of Federal Domestic Assistance No.: 93.977

Legal Authority:

Law: US Public Health Service Act, Section 318

Regulation: 45 CFR Part 51

Program Contact:

Charles Silberman
Program Manager
Bureau of STD Control
NYS Department of Health
Corning Tower, Room 1168
Albany, NY 12237
(518) 474-3598
E-Mail: NA

Fiscal Contact:

Maria Reardon
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1358
Albany, NY 12237
(518) 474-3059
E-Mail: NA

Eligibility: Counties. Must be a county health department.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for physician salaries.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,812,065	NA	NA
SFY 05-06	3,610,564	NA	NA
SFY 06-07	NA**	NA	NA

*Federal grant award amount.

**Grant award for 1/1/07-12/31/07 grant period not received yet.

Amounts Disbursed:

	<u>Federal Funds***</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,519,975	NA	NA
SFY 05-06	6,282,707	NA	NA

***Total Federal grant funds expended during SFY.

SPECIAL SUPPLEMENTAL FOOD PROGRAM FOR WOMEN, INFANTS AND CHILDREN (WIC)

Objective: Provides supplemental food and nutrition education to prevent health problems and to improve the health status of pregnant, post-partum, and breast-feeding women, infants and young children up to the age of five determined to be at nutritional risk.

Administering Agency: NYS Department of Health; US Department of Agriculture

Specific Program URL: NA

NYS Object Code: 63405

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 10.557

Legal Authority:

Law: US PL 92-433 Special Supplemental Food Program, PL 95-627 Child Nutrition Amendments of 1978, PL 101-147 Child Nutrition and WIC Reauthorization Act of 1989

Regulation: 7 CFR 246

Program Contact:

Timothy Mooney
Director
Bureau of Supplemental Food Programs
Division of Nutrition Programs
NYS Department of Health
150 Broadway
Albany, NY 12204-2719
(518) 402-7093
E-Mail: tmm05@health.state.ny.us

Fiscal Contact:

Peter Phelps
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 473-7004
E-Mail: pmp02@health.state.ny.us

Eligibility: State Governments, Counties, Private Non-Profit Groups and Hospitals. Must be a public or-non-profit agency with sufficient health care resources and in a high risk area.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Nutrition education must be at least one-sixth of administrative budget.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	374,840,000	12,092,985 ²	8,837,850
SFY 05-06	324,200,000	12,062,681 ²	8,837,850
SFY 06-07	320,800,000	12,104,401 ²	9,303,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds³</u>	<u>State Spec. Rev.</u>
SFY 04-05	307,830,319	5,034,227	8,862,555
SFY 05-06	312,847,854	11,105,969	8,169,158

¹Number is Food and NSA (administration), but NSA is net of State Operations.

²Only the portion used for WIC purposes.

³State funds can be reappropriated and rebudgeted.

STATE PUBLIC WATER SYSTEM SUPERVISION

(Technical Assistance Program)

Objective: Fosters development and maintenance of State programs which implement the Safe Drinking Water Act. The State provides general assistance to local water operators and project development aid to small water systems.

Administering Agency: NYS Department of Health - Bureau of Water Supply

Specific Program URL: NA

NYS Object Code: NA

Year Established: General Program - 1900; Small System Program - 1989

Catalog of Federal Domestic Assistance No.: 66.432

Legal Authority:

Law: NY Public Health Law

Regulation: Part 5 of NYS Sanitary Code

Program Contact:

Glen Bruening
Director
Bureau of Water Supply Protection
NYS Department of Health
547 River Street
Troy, NY 12180
(518) 402-7650
E-Mail: NA

Fiscal Contact:

Jeffrey Mattice
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-4379
E-Mail: NA

Eligibility: Local Water Systems.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required annually.

Description of Aid:

General assistance to local water operators and project development aid to small water systems.

SUDDEN INFANT DEATH SYNDROME (SIDS)

Objective: Bereavement counseling of parents, training of counselors and first responders.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63409

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Section 2500.b

Regulation: NA

Program Contact:

James J. Raucci
Bureau of Child and
Adolescent Health
NYS Department of Health
Corning Tower, Room 208
Albany, NY 12237
(518) 473-4602
E-Mail: jjrou@health.state.ny.us

Fiscal Contact:

Kathleen Czosnykowski
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3306
E-Mail: kxco6@health.state.ny.us

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	41,100	NA
SFY 05-06	NA	41,100	NA
SFY 06-07	NA	41,100	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	41,100	NA
SFY 05-06	NA	41,100	NA

**SUDDEN INFANT DEATH SYNDROME (SIDS)
(MCHS BLOCK GRANT)**

Objective: Supports risk reduction education, training of first responders, and builds a supportive and professional community network for bereaved families of SIDS children, and assists in development of child death review activities.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63409

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

James Raucci
Manager, Child Morbidity & Mortality Prevention Unit
Bureau of Child and Adolescent Health
NYS Department of Health
Corning Tower, Room 208
Albany, NY 12237-0618
(518) 474-2084
E-Mail: NA

Fiscal Contact:

Lori Ahmed
Senior Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 402-5485
E-Mail: NA

Eligibility: Private Non-Profit Organizations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	615,000	NA	NA
SFY 05-06	615,000	41,100	NA
SFY 06-07	615,000	41,100	NA

*Amounts are program allocations for grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	337,000	NA	NA
SFY 05-06	493,850	41,100	NA

*For grant period.

TOBACCO ENFORCEMENT AND EDUCATION PROGRAM

Objective: To reduce the prevalence of youth using tobacco products.

Administering Agency: NYS Department of Health

Specific Program URL: NA

NYS Object Code: 63490

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 13-F

Regulation: NA

Program Contact:

Brian M. Miner
Principal Sanitarian
Center for Environmental Health
Flanigan Square
547 River Street
Troy, NY 12180
(518) 402-7600
E-Mail: NA

Fiscal Contact:

Kathleen Czosnykowski
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206
E-Mail: kxc06@health.state.ny.us

Eligibility: Counties and New York City; Not-for-Profit Contractors.

Type of Program and Special Restrictions: Reimbursement for program authorized activities related to youth tobacco product use prevention and reduction.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Reimbursement for authorized activities.

Formula: County and New York City reimbursement based on number of tobacco retailers.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	2,500,000
SFY 05-06	NA	NA	2,500,000
SFY 06-07	NA	NA	8,486,279

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,899,937
SFY 05-06	NA	NA	1,882,884

TUBERCULOSIS CONTROL

Objective: To prevent and control tuberculosis disease and infection. To establish an annual reduction of at least five percent in reported cases of tuberculosis.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 63414

Year Established: 1983

Catalog of Federal Domestic Assistance No.: 93.116

Legal Authority:

Law: US Public Health Service Act, Section 317

Regulation: 45 CFR Part 92

Program Contact:

Eric McShane
Public Health Representative 6
Bureau of TB Control
NYS Department of Health
Corning Tower, Room 840
Albany, NY 12237
(518) 474-4845
E-Mail: NA

Fiscal Contact:

Maria Reardon
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1358
Albany, NY 12237
(518) 474-3059
E-Mail: NA

Eligibility: Counties, Cities. Must be an organization responsible for monitoring incidences of tuberculosis.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for construction, drugs or to supplant local resources.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Multi-year Project Grant, renewable annually, dependent upon availability of funds.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,789,755	NA	NA
SFY 05-06	3,733,964	NA	NA
SFY 06-07	NA**	NA	NA

*Federal grant award including carryover.

**1/1/07 - 12/31/07 grant award not received yet.

Amounts Disbursed:

	<u>Federal Funds***</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	4,868,587	NA	NA
SFY 05-06	3,174,171	NA	NA

***Total Federal grant funds expended during SFY.

NEW YORK STATE VOLUNTEER RECRUITMENT SERVICE SCHOLARSHIP

Objective: Provide a recruitment incentive by offering college scholarships to men and women who join volunteer fire departments and ambulance squads.

Administering Agency: NYS Higher Education Services Corporation

Specific Program URL: www.hesc.org

NYS Object Code: NA

Year Established: 2002

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 669-C

Regulation: NA

Program Contact:

Rita McGivern
Supervisor
HESC Scholarship Unit
(518) 473-3733
E-Mail: rmcgivern@hesc.org

Fiscal Contact:

HESC Finance Office
(518) 474-7505

Eligibility: Volunteer firefighters & ambulance personnel who: (1) have been NYS residents for at least one year; (2) are enrolled in an approved undergraduate degree program in NYS; (3) have a course load of at least six credits per term; (4) if 23 years of age or older, have less than six months of volunteer service at time of initial award; (5) are not in possession of a baccalaureate degree or higher; (6) are attending a school/institution within 50 miles of volunteer organization, or if no college available within 50 mile limit, the nearest college/institution; (7) have a high school diploma or equivalent; and (8) have applied for state and federal financial aid.

Type of Program and Special Restrictions: Student financial aid for NY residents enrolled in undergraduate degree programs at approved institutions in NYS.

Action Required to Receive Aid: The volunteer organization distributes applications and selects one eligible candidate per year and submits the completed application to NYSHESC. Volunteer organization means ambulance company as defined in section three of the volunteer ambulance worker's benefit law or fire company as defined in section three of the volunteer firefighters law.

Description of Aid:

Flow of Funds: State to school/institution. Payment will be made on behalf of students upon certification of eligibility. Awards will equal the amount of tuition, reduced by any tuition-based grant, but cannot exceed the amount of tuition charged by the State University of New York. Recipients will continue to receive benefits as long as they are continuously enrolled, meet the eligibility requirements and funding is available.

Type of Aid: Ongoing. College financial grant.

Formula: If the number of applicants exceeds funding for the program, NYSHESC shall randomly select recipients from the applicant pool. Recipients of the scholarship in future years is based on funding but preference will be given to renewal scholarships.

Matching Requirement: Applicants are required to apply for State and Federal financial aid.

Maintenance of Effort: Applicant must: (1) file for State and Federal financial aid; (2) maintain a good academic standing; (3) maintain a "C" average; (4) maintain status as an active volunteer with an organization for duration of scholarship; and (5) maintain continuous enrollment in school/institution for duration of scholarship.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,000,000	NA
SFY 05-06	NA	4,000,000	NA
SFY 06-07	NA	4,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,680,000	NA
SFY 05-06	NA	1,778,000	NA

PUBLICATIONS

(Technical Assistance Program)

Objective: Brochures and fact sheets which provide student financial aid information to students, parents, schools and lending officials. All program information, including career and college planning and access to admissions and financial aid applications is available at www.hesc.org.

Administering Agency: NYS Higher Education Services Corporation

Specific Program URL: www.hesc.org

NYS Object Code: 61601

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 663

Regulation: NA

Program Contact:

Terri Gehrer
Director of Training & Information Services
NYS Higher Education Services Corporation
99 Washington Avenue
Albany, NY 12255
(518) 402-6429
E-Mail: tgehrer@hesc.org

Fiscal Contact:

NA

Eligibility: All New York State Residents.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Competitive and/or non-competitive applications for aid may be required. Generally, students begin application process using the Free Application for Federal Student Aid (FAFSA). The FAFSA may be filed electronically at www.fafsa.ed.gov.

Description of Aid:

The brochures, fact sheets, and the HESC web site provide student financial aid information regarding tuition and non-tuition costs of college attendance.

Higher Education Services Corporation

TRAINING

(Technical Assistance Program)

Objective: Training sessions or seminars sponsored by the NYS Higher Education Services Corporation with the intent to instruct students, parents, school officials or school and lending administrators regarding student financial aid.

Administering Agency: NYS Higher Education Services Corporation

Specific Program URL: www.hesc.org

NYS Object Code: 61601

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 663

Regulation: NA

Program Contact:

Terri Gehrer
Director of Training & Information Services
Policy Analysis and Information Services
NYS Higher Education Services Corporation
99 Washington Avenue
Albany, NY 12255
(518) 402-6429
E-Mail: tgehrer@hesc.org

Fiscal Contact:

NA

Eligibility: All New York State Residents.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Competitive and/or non-competitive applications for aid may be required. Generally, students begin application process using the Free Application for Federal Student Aid (FAFSA). The FAFSA may be filed electronically at www.fafsa.ed.gov.

Description of Aid:

The training sessions are held to provide student financial aid information regarding tuition and non-tuition costs of college attendance.

STATE HOMELAND SECURITY GRANT PROGRAM (WEAPONS OF MASS DESTRUCTION PROGRAM (WMD))

Objective: Funds are available to support local and state prevention and preparedness efforts for terrorist acts, including those involving weapons of mass destruction using chemical, biological, radiological, nuclear or explosive (CBRNE) materials.

Administering Agency: NYS Office of Homeland Security, NYS Division of Criminal Justice Services

Specific Program URL: <http://www.security.state.ny.us/grants.html>; <http://criminaljustice.state.ny.us/ofpa/index.htm>

NYS Object Code: 66620

Year Established: 2000

Catalog of Federal Domestic Assistance No.: 97.004

Legal Authority:

Law: USOJP, PL 105-277; NYS Chapter 50, Section 1, Laws of 2000

Regulation: NA

Program Contact:

David Sheppard
NYS Office of Homeland Security
1220 Washington Avenue
State Office Building Campus
Albany, NY 12242
(518) 457-5645
E-Mail: David.Sheppard@security.state.ny.us

Fiscal Contact:

Patrice Russell
NYS Office of Homeland Security
1220 Washington Avenue
State Office Building Campus
Albany, NY 12242
(518) 408-1626
E-Mail: Patrice.Russell@security.state.ny.us

Eligibility: State Governments, Counties, Cities, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant.

Formula: Threat/Risk-based formula.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	189,953,747	NA	NA
SFY 05-06	347,486,125	NA	NA
SFY 06-07	183,673,552	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	156,516,484	NA	NA
SFY 05-06	34,412,061	NA	NA

CAPITAL GRANT LOW-RENT ASSISTANCE

Objective: Provides rental subsidies to low-income families living in New York State and New York City supervised Mitchell-Lama developments. At the inception of the program, DHCR leased the apartments at the Mitchell-Lama rent and then sub-leased them to low-income tenants who paid at least 20 percent of their income as rent. Project is being phased out by attrition as existing tenants leave.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1964

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Section 44a

Regulation: NA

Program Contact:

David Cabrera
Deputy Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6440
E-Mail: Dcabrera@dhcr.state.ny.us

Fiscal Contact:

NA

Eligibility: Mitchell-Lama Development.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Tenants must pay at least 20 percent of aggregate income as rent.

Action Required to Receive Aid: Applications currently not available.

Description of Aid:

Flow of Funds: State to Mitchell-Lama Development. Monies are provided as a rental subsidy.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated & Disbursed: Information not supplied by agency.

HOME INVESTMENT PARTNERSHIPS PROGRAM

Objective: To meet State housing goals as identified in the State's Consolidated Plan.

Administering Agency: NYS Housing Trust Fund Corporation; US Department of Housing and Urban Development

Specific Program URL: www.dhcr.state.ny.us/ocd/progs/home/ocdhm0.htm

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 14.239

Legal Authority:

Law: NY Private Housing Finance Law, Article 24; US National Affordable Housing Act, Title II, as amended

Regulation: 24 CFR Part 92

Program Contact:

Thomas O. Carey
 Assistant Director
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-5044
 E-Mail: Cdinfo@dhcr.state.ny.us

Fiscal Contact:

Frank Markowski
 Assistant Treasurer
 HTFC Finance Unit
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-3407
 E-Mail: HTFFinanceUnit@dhcr.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Public Authorities, and for-profit and non-profit housing developers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No special restrictions.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grants and Loans

Formula: Funds are awarded to the State based on a formula, but distributed competitively to eligible applicants. At least 80 percent of funds are awarded to jurisdictions that do not receive direct federal allocations.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	39,952,018	NA	NA
FFY 05	39,062,758	NA	NA
FFY 06	35,984,031	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	30,705,308	NA	NA
FFY 05	34,654,629	NA	NA

HOMES FOR WORKING FAMILIES

Objective: Finances the construction or rehabilitation of family or senior rental housing developments in conjunction with tax-exempt bond financing and 4% low-income housing credit.

Administering Agency: NYS Housing Trust Fund Corporation (HTFC)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1999, Chapter 55

Regulation: NA

Program Contact:

Arnon Adler
 Program Manager
 Office of Community Development
 NYS Division of Housing
 and Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-5044
 E-Mail: AAdler@docr.state.ny.us

Fiscal Contact:

Frank Markowski
 Assistant Treasurer
 HTFC Finance Unit
 NYS Division of Housing
 and Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-3407
 htffinanceunit@docr.state.ny.us

Eligibility: Non-Profit Groups, Private Developers, Housing Development Fund Companies, Charitable Organizations, Limited Partnerships and Limited Liability Corporations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Project must be located in area of blight, deterioration, or local designation, no lawful occupants may be permanently displaced, program-assisted units must be low-income tenancy (i.e., 100% of the program-assisted units must be eligible for low-income housing credit) for no less than 30 years. Funds may not be used for administrative costs, capitalization of operating reserves or commercial space, and no more than 25 percent of the HWF award may be for acquisition. Funds limited to \$35,000 per unit (\$45,000 in NYC).

Action Required to Receive Aid: Competitive application and HTFC Board approval required for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Loan

Formula: NA

Matching Requirement: More than 50 percent of the project cost must be funded by tax-exempt bonds allocated from the State's Private Activity Bond Volume Cap.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	7,000,000
SFY 05-06	NA	NA	7,000,000
SFY 06-07	NA	NA	7,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,840,586
SFY 05-06	NA	NA	7,181,200

HOUSING DEVELOPMENT FUND

Objective: Provides funds for housing development activities to eligible applicants for low-income occupants or to agricultural producers to construct or rehabilitate seasonal farmworker housing or dairy farmers to construct or rehabilitate farmworker housing. The monies are provided as low or no-interest loans and must be repaid.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 69204¹, 69290

Year Established: 1966

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XI

Regulation: NA

Program Contact:

Ernest J. Benoit, AIA
Program Manager
Office of Community Development
NYS Division of Housing
and Community Renewal
38-40 State Street
Albany, NY 12207
(518) 473-6888
E-Mail: ebenoit@dhcr.state.ny.us

Fiscal Contact:

NA

Eligibility: Private Non-Profit Corporations, Charitable Organizations or their Wholly-Owned Subsidiaries which have the improvement of housing for persons of low income as a primary purpose. For farmworker housing, farm credit associations or financial institutions experienced in providing agricultural loans to agricultural and dairy producers. The permanent financing for projects assisted through this program must be in whole or part government aided or will otherwise provide housing for persons of low-income or seasonal farmworkers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. The loans are repaid from the proceeds of either the construction or permanent financing, or equity proceeds.

Action Required to Receive Aid: Competitive application for aid required. For farmworker housing, applications are submitted to agricultural credit associations who administer the program locally under contract with DHCR. Those interested in the HouseNY Program, which is used in preparing development ready sites, should contact a DHCR Regional Office.

Description of Aid:

Flow of Funds: State to Local. Monies are provided either as a reimbursement or as an advance.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: See Eligibility.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	10,000,000

SFY 05-06 and SFY 06-07 information not supplied by agency.

Amounts Disbursed: Information not supplied by agency.

¹Object code 69204 is the general DHCR code.

HOUSING TRUST FUND

Objective: To increase the stock of affordable housing by providing grants and loans for rehabilitation or conversion of existing vacant property to residential use, or for construction of new residential property for low income individuals or families. Depending upon locations, low income is defined as from 80 to 90 percent or less of median income.

Administering Agency: NYS Housing Trust Fund Corporation (HTFC)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVIII

Regulation: 9 NYCRR Part 1900-1906

Program Contact:

Tom Koenig
Program Manager
Office of Community Development
NYS Division of Housing and
Community Renewal
38-40 State Street
Albany, NY 12207
(518) 486-7682
E-Mail: tkoenig@dchr.state.ny.us

Fiscal Contact:

Frank Markowski
Assistant Treasurer
HTFC Finance Unit
NYS Division of Housing and
Community Renewal
38-40 State Street
Albany, NY 12207
(518) 486-3407
E-Mail: HTFFinanceUnit@dchr.state.ny.us

Eligibility: Cities, Towns, Villages, Non-Profit Corporations, Public Housing Authorities, Private Developers, Housing Development Fund Companies, Charitable Organizations, Limited Partnerships and Counties (meeting certain requirements).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Project must be located in area of blight or deterioration or local designation, no lawful occupants may be permanently displaced, project must be used for low-income tenancy for no less than 15 years and up to 30 years. Funds may not be used for administrative costs nor capitalization of operating reserves, and no more than 25 percent of the HTF award may be for acquisition. Funds limited to \$75,000 per unit except where the HTF Corporation allows for up to \$25,000 per unit in additional funds. Not more than 50 percent of any annual appropriation to be allocated in a single municipality. Not more than one-third of appropriation to be allocated to private developers statewide.

Action Required to Receive Aid: Competitive application and HTF Corporation Board approval required for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grant or loan.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Cannot be used as a substitute for monies that municipalities would have otherwise used on housing.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	35,000,000
SFY 05-06	NA	NA	39,000,000
SFY 06-07	NA	NA	39,000,000

HOUSING TRUST FUND (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	32,188,086
SFY 05-06	NA	NA	19,876,133

LOW-INCOME HOUSING CREDIT (LIHC)

Objective: Fosters the development and retention of affordable rental housing through private sector investment.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Internal Revenue Code, Section 42

Regulation: 9 NYCRR Parts 2040.1 through 2040.13

Program Contact:

Arnon Adler
 Program Manager
 Office of Community Development
 NYS Division of Housing
 and Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-5044
 E-Mail: aadler@dchr.state.ny.us

Fiscal Contact:

NA

Eligibility: A Federal tax credit is available to those that invest in rental housing that is newly constructed, rehabilitated and/or acquired and reserved for low-income households.

Type of Program and Special Restrictions: Tax Credit: income of occupants less than or equal to 60 percent of area median income, occupants cannot pay greater than or equal to 30 percent of area maximum income toward rent; units must be low-income occupied for greater than or equal to 30 years.

Action Required to Receive Aid: Submission of a competitive application in response to an annual Notice of Credit Availability.

Description of Aid:

Flow of Funds: NA - A Federal tax credit on federal tax liability is given to those who contribute equity toward the development, rehabilitation and/or acquisition of rental housing reserved for low-income households.

Type of Aid: Federal Tax Credit

Formula: \$1.90 per capita of state population in 2006.

Matching Requirement: NA

Maintenance of Effort: NA

Credit Authority Authorized:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	35,000,000	NA	NA
SFY 05-06	36,000,000	NA	NA
SFY 06-07	37,000,000	NA	NA

Credit Allocated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	35,000,000	NA	NA
SFY 05-06	36,000,000	NA	NA

LOW RENT HOUSING PROJECT SUBSIDIES

Objective: Supports building and maintenance of low rent housing projects and improvement of substandard units.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 69208

Year Established: 1937

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Housing Law, Section 73

Regulation: NA

Program Contact:

David Cabrera
Deputy Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6440
E-Mail: Dcabrera@dchr.state.ny.us

Fiscal Contact:

NA

Eligibility: Municipal Housing Authorities.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Overall amount of State subsidies limited by statute to fixed maximum amounts for low-rent housing and urban renewal projects, and the combined total of both payable in any year.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%. The municipality in which the project is situated is required to match the State subsidy. All or part of the municipal subsidy may be in the form of exemption from real property taxes.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	17,780,000	NA
SFY 05-06 and SFY 06-07 information not supplied by agency.			

Amounts Disbursed: Information not supplied by agency.

MANUFACTURED HOMES

(Technical Assistance Program)

Objective: Enforces and ensures compliance with the provisions of Section 233 of the NYS Real Property Law, also known as the Manufactured Home Tenants Bill of Rights, by responding to tenant complaints and remedying violations in the most cost effective, efficient and service oriented manner available.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 41100, 54000, 55000

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Law, Section 233

Regulation: NA

Program Contact:

Richard J. Gordon
Director
NYS Division of Housing
and Community Renewal
Hampton Plaza
38-40 State Street
Albany, NY 12207
(518) 486-6273
E-Mail: rgordon@dchr.state.ny.us

Fiscal Contact:

NA

Eligibility: Manufactured Home Park Tenants.

Type of Program and Special Restrictions: Services are provided directly to manufactured home park tenants by Manufactured Home Program or by mediation centers contracted to serve tenants by the program. DHCR's enforcement is mandated by state law. No direct involvement is required by local governments.

Action Required to Receive Aid: The program operates on a complaint basis. Tenants file complaints via a 24-hour hotline or through statewide mediation centers.

Description of Aid:

The Manufactured Home program protects tenants rights through settlements negotiated by the program staff or mediation agreements created by dispute resolution centers or finally, if necessary, seeking a remedy in Supreme Court.

MOBILE HOMES

(Technical Assistance Program)

Objective: Enforces and ensures compliance with the provisions of Section 233 of the NYS Real Property Law, also known as the Mobile Home Tenants Bill of Rights, by responding to tenant complaints and remedying violations in the most cost effective, efficient and service oriented manner available.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 41100, 54000, 55000

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Law, Section 233

Regulation: NA

Program Contact:

Richard J. Gordon
Director
NYS Division of Housing
and Community Renewal
Hampton Plaza
38-40 State Street
Albany, NY 12207
(518) 486-6273
E-Mail: rgordon@dchr.state.ny.us

Fiscal Contact:

NA

Eligibility: Mobile Home Park Tenants.

Type of Program and Special Restrictions: Services are provided directly to mobile home park tenants by Mobile Home Program or by mediation centers contracted to serve tenants by the program. DHCR's enforcement is mandated by state law. No direct involvement is required by local governments.

Action Required to Receive Aid: The program operates on a complaint basis. Tenants file complaints via a 24-hour hotline or through statewide mediation centers.

Description of Aid:

The Mobile Home program protects tenants rights through settlements negotiated by the program staff or mediation agreements created by dispute resolution centers or finally, if necessary, seeking a remedy in Supreme Court.

NEIGHBORHOOD PRESERVATION

Objective: Provides administrative funds to local, not-for-profit organizations performing housing preservation and community renewal activities in urban areas.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 69204¹

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVI

Regulation: NA

Program Contact:

Patricia Doyle
 Director, Community Services Bureau
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 473-2569
 E-Mail: pdoyle@dhcr.state.ny.us

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups. Organizations must serve low/moderate income communities in areas in need of improvement. Majority of persons served must be below 90% of median income. Majority of Board must be from the service area.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may only be used for planning and administrative purposes.

Action Required to Receive Aid: Non-competitive application for continued aid required and a competitive application is required if a Notice of Funds Available is issued for new groups.

Description of Aid:

Flow of Funds: State to Non-profit. Monies are provided as an advance.

Type of Aid: Ongoing, on an annual renewal basis.

Formula: NA

Matching Requirement: One-third of the State contract. This match may be in-kind contributions, or cash contributions from public or private sources to the Non-profit.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,465,000	NA
SFY 05-06	NA	10,506,500	NA
SFY 06-07	NA	10,506,500	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,585,032	NA
SFY 05-06	NA	10,013,418	NA

¹General DHCR code.

NEW YORK STATE LOW-INCOME HOUSING TAX CREDIT PROGRAM (SLIHC)

Objective: To encourage investors in the development, rehabilitation and/or acquisition of rental housing reserved for low income households by offering a state tax credit.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Housing Finance Law, Article 2-A

Regulation: NA

Program Contact:

Nina Moore
Program Manager
NYS Division of Housing and
Community Renewal
38-40 State Street
Albany, NY 12207
(518) 473-8551
E-Mail: nmoore@docr.state.ny.us

Fiscal Contact:

NA

Eligibility: A State tax credit is available to investors in rental housing that is developed, rehabilitated and/or acquired and reserved for low-income households.

Type of Program and Special Restrictions: Tax Credit Program: Income of occupants must be less than or equal to 90% of area median income. Maximum rents cannot be more than 30% of 90% of area median income, adjusted for household size. Units must be maintained for occupancy by low-income households for no less than 30 years.

Action Required to Receive Aid: Submission of competitive application in response to periodic notice of credit availability.

Description of Aid:

Flow of Funds: A credit on NYS tax liability is given to investors in the development, rehabilitation and/or acquisition of rental housing reserved for low-income households.

Type of Aid: State tax credits.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Credit Authority Authorized:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,000,000	NA
SFY 05-06	NA	2,000,000	NA
SFY 06-07	NA	4,000,000	NA

Credit Allocated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,800,563	NA
SFY 05-06	NA	1,907,001	NA

PUBLIC HOUSING MODERNIZATION

Objective: Assists in the rehabilitation and modernization of State assisted public housing projects.

Administering Agency: NYS Housing Trust Fund Corporation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Richmond McCurnin
Assistant Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6444
E-Mail: Rmccurnin@dhcr.state.ny.us

Fiscal Contact:

Frank Markowski
Assistant Treasurer
HTFC Finance Unit
NYS Division of Housing
and Community Renewal
38-40 State Street
Albany, NY 12207
(518) 486-3407
E-Mail: HTFFinanceUnit@dhcr.state.ny.us

Eligibility: Public Housing Authorities. Eligibility is limited to State aided low income projects which have not been federalized.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for upgrading Housing Authority property. Not more than 50 percent of available funds may be allocated to any single housing authority. Authorizes up to \$400,000 which can be made available for the Public Housing Drug Elimination Program.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	12,800,000
SFY 05-06 and SFY 06-07 information not supplied by agency.			

Amounts Disbursed: Information not supplied by agency.

RESTORE

Objective: To fund emergency home repair programs, to repair housing conditions that are a threat to the health and safety of low-income elderly homeowners.

Administering Agency: NYS Housing Trust Fund Corporation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990

Regulation: NA

Program Contact:

Michael Gorman
 Program Manger
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 474-5700
 E-Mail: Cdinfo@DHCR.STATE.NY.US

Fiscal Contact:

Frank Markowski
 Assistant Treasurer
 HTFC Finance Unit
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-3407
 E-Mail: HTFFinanceUnit@docr.state.ny.us

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Corporations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. The maximum amount of assistance to any low income elderly homeowner served is \$5,000.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local grantees.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Yes, consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	400,000
SFY 05-06	NA	NA	400,000
SFY 06-07	NA	NA	400,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	400,000
SFY 05-06	NA	NA	400,000

RURAL PRESERVATION

Objective: Provides administrative funds to local, not-for-profit organizations performing housing preservation and community renewal activities in rural areas.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 69204¹

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVII

Regulation: NA

Program Contact:

Patricia Doyle
 Director, Community Services Bureau
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 473-2569
 E-Mail: pdoyle@dhcr.state.ny.us

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups. Organizations must serve low/moderate income communities in areas in need of improvement. Majority of persons served must be below 90% of median income. Majority of Board must be from service area.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may only be used for planning and administrative purposes.

Action Required to Receive Aid: Renewal application for continued aid and competitive application required if a Notice of Funding Availability is issued for new groups.

Description of Aid:

Flow of Funds: State to Non-profit. Monies are provided as an advance.

Type of Aid: Ongoing, on an annual review basis.

Formula: NA

Matching Requirement: One-third of the State contract. This match may be in-kind contributions or cash contributions from public or private sources to the Non-profit.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,355,000	NA
SFY 05-06	NA	4,725,000	NA
SFY 06-07	NA	4,725,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,446,776	NA
SFY 05-06	NA	4,528,594	NA

¹General DHCR code.

RURAL RENTAL ASSISTANCE

Objective: Provides State rent subsidies on behalf of low-income tenants of rental housing built or rehabilitated with financing from the US Department of Agriculture, Rural Development (RD) -- formerly called the Farmers Home Administration (FmHA) -- under the Section 515 program. Subsidies are for a maximum of 25 years, and make up the difference between 30 percent of the tenant's income and the rent necessary to maintain the unit.

Administering Agency: NYS Division of Housing and Community Renewal

Specific Program URL: NA

NYS Object Code: 69204¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVII-A

Regulation: 9 NYCRR Part 2800.1-2800.6

Program Contact:

Charles McPhillips
Program Manager
Office of Community Development
NYS Division of Housing and
Community Renewal
38-40 State Street
Albany, NY 12207
(518) 486-7083
E-Mail: cmcphillips@dchr.state.ny.us

Fiscal Contact:

NA

Eligibility: Non-Profit Groups, Public Housing Authorities and Private Developers. Ultimate beneficiaries are tenants in Rural Development Section 515 multifamily housing who are selected under RD low-income requirements.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may be used for eligible rent subsidies.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance, and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	19,604,000	NA
SFY 05-06	NA	19,604,000	NA
SFY 06-07	NA	19,604,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	14,469,087	NA
SFY 05-06	NA	16,524,366	NA

¹General DHCR code.

SECTION 8 HOUSING CHOICE VOUCHERS

Objective: Provides housing assistance payments (HAP) on behalf of families with low and moderate income to enable them to rent (existing, rehabilitated or new) housing that is safe, sanitary and affordable.

Administering Agency: NYS Division of Housing and Community Renewal; US Department of Housing and Urban Development

Specific Program URL: <http://www.dhcr.state.ny.us/ohm/units/sec8/sec8admins.htm>

NYS Object Code: 69250

Year Established: 1975

Catalog of Federal Domestic Assistance No.: 14.156

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: NA

Program Contact:

Alan Smith
Assistant Commissioner
Housing Operations
NYS Division of Housing
and Community Renewal
38-40 State Street
Albany, NY 12207
(518) 402-3519
E-Mail: alsmith@dhcr.state.ny.us

Fiscal Contact:

Vincent LaCapra
Financial Analyst
Statewide Section 8 Program
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-7613
E-mail: vlacpra@dhcr.state.ny.us

Eligibility: Eligibility for the Section 8 Housing Choice Voucher Program is based on family income and housing composition.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Funds must be used as rent subsidies for extremely low and very low income people. Tenants must pay up to 30 percent for rent.

Action Required to Receive Aid: Apply for vouchers via Notices of Funding Availability published by the US Department of Housing and Urban Development (HUD).

Description of Aid:

Flow of Funds: Federal to State. Funds are issued as tenant rent subsidies directly to landlords on behalf of eligible families.

Type of Aid: Annual Contributions Contract (ACC).

Formula: Census data and statistical tables are utilized in establishing the allocation area.

Matching Requirement: NA

Maintenance of Effort: NA

TECHNICAL ASSISTANCE PROGRAM

Objective: Facilitates the construction, rehabilitation, maintenance and operation of low-income and affordable housing. Assists Neighborhood and Rural Preservation companies on a variety of organizational issues.

Administering Agency: NYS Division of Housing and Community Renewal/NYS Housing Trust Fund Corporation (HTFC)

Specific Program URL: NA

NYS Object Code: 01080

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVI, XVII, XVIII and XVIII-A

Regulation: NA

Program Contact:

Ernest J. Benoit, AIA
Program Manager
Office of Community Development
NYS Division of Housing
and Community Renewal
38-40 State Street
Albany, NY 12207
(518) 473-6888
E-Mail: ebenoit@dhcr.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups, including State-funded Preservation Companies.

Type of Program and Special Restrictions: Recipients must be applicants to, or potential applicants to DHCR/HTFC capital programs, and/or preservation companies.

Action Required to Receive Aid: Request through DHCR Regional Office.

Description of Aid:

Provision of consultant services and/or training and publications. Technical assistance includes advice and guidance on development issues, assistance in obtaining State Housing Development funds and training in lead-based paint safe work practices. Individual technical assistance is coordinated through DHCR Regional Offices.

WEATHERIZATION ASSISTANCE PROGRAM

Objective: Reduces the impact of higher energy costs on low-income families, especially the elderly and handicapped, by weatherizing homes.

Administering Agency: NYS Division of Housing and Community Renewal; US Department of Energy

Specific Program URL: NA

NYS Object Code: 69707

Year Established: 1977

Catalog of Federal Domestic Assistance No.: 93.568, 81.042

Legal Authority:

Law: NY Laws of 1987, Chapter 615; US PL 94-385, PL 95-91, PL 97-377

Regulation: 42 USC 6851, 7101

Program Contact:

Thomas O. Carey
 Assistant Director
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Hampton Plaza
 Albany, NY 12207
 (518) 474-5700
 E-Mail: Cdinfo@dhcr.state.ny.us

Fiscal Contact:

Sharon Devine
 Office of Financial Administration
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Hampton Plaza
 Albany, NY 12207
 E-Mail: NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, Non-Profit Groups and Indian Tribal Organizations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Residential energy conservation measures based upon on-site inspection of dwelling unit.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based on heating degree days, poverty population by census, and minimum allocation level.

Matching Requirement: A match is required from any ineligible rental property owner.

Maintenance of Effort: Contractual

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Funds</u>
SFY 04-05	53,268,179	NA	NA
SFY 05-06	51,210,031	NA	NA
SFY 06-07	54,059,835	NA	NA

*Does not include supplemental.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Funds</u>
SFY 04-05	52,237,558	NA	NA
SFY 05-06	55,298,741	NA	NA

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)

(Technical Assistance Program)

Objective: Provides technical assistance to recipients of CDBG program funds.

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 14.227

Legal Authority:

Law: US Housing Act of 1968 as amended by Housing and Urban Development Act of 1992

Regulation: 24 CFR Part 92; 24 CFR 570.42; 24 CFR 583.140

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Private consultants, State and local governments, and non-profit groups.

Type of Program and Special Restrictions: Aid may be used to provide technical assistance to grantees of CDBG programs.

Action Required to Receive Aid: Competitive application for grant assistance required.

COMMUNITY DEVELOPMENT ENTITLEMENT GRANTS

Objective: Develops viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for low income individuals.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 14.218

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: 24 CFR 570

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Counties, Cities and Towns. Must be a city with population greater than 50,000, or, urban county with population over 200,000 (excluding Entitlement Cities), or city with population less than 50,000 which is the central city in a metropolitan statistical area.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. At least 70 percent of funds received during a one, two or three year period must principally benefit low and moderate income persons (less than 80 percent of median family income for area). Funds cannot be used for construction or rehabilitation of buildings for the general conduct of government or for new housing construction unless administered by an eligible sub-recipient.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Aid is based on population, extent of poverty, extent of housing overcrowding.

Matching Requirement: NA

Maintenance of Effort: NA

CONTINUUM OF CARE HOMELESS ASSISTANCE

Objective: Provides a comprehensive approach to develop and implement housing and service delivery programs and helps build partnerships and coordination with states, localities, not-for-profit organizations and the federal government to help homeless individuals and families move to permanent living and self-sufficiency to the extent possible. A Continuum of Care system consists of four basic components:

1. A system of outreach and assessment for determining the needs and conditions of an individual or family who is homeless;
2. Emergency shelters with appropriate supportive services to help ensure that homeless individuals and families receive adequate emergency shelter and referral to necessary service providers or housing finders;
3. Transitional housing with appropriate supportive services to help those homeless individuals and families who are not prepared to make the transition to permanent housing and independent living; and
4. Permanent housing, or permanent supportive housing, to help meet the long-term needs of homeless individuals and families.

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 14.235

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Amounts Appropriated and Disbursed:

Grant funds available under the Supportive Housing Program (SHP), the Shelter Plus Care Program (S+C), and the Section 8 Moderate Rehabilitation Program for Homeless Individuals (SRO) may be used to fill gaps within a community's Continuum of Care system to help homeless people achieve self-sufficiency. Separate amounts for each of the three programs were not specified. Instead, the distribution of funds among the three programs depends on locally determined priorities and overall demand.

Comments: See separate program descriptions for the Supportive Housing Program (SHP), the Shelter Plus Care Program (S+C), and the Section 8 Moderate Rehabilitation Assistance for Single-Room Occupancy (SRO) Dwellings Program.

EMERGENCY SHELTER GRANTS PROGRAM (ESG)

Objective: Provides States, Metropolitan Cities, Urban Counties and Territories with grants according to the formula used for Community Development Block Grants in order to renovate, rehabilitate or convert buildings for use as emergency shelters and, with limitations, provides funds for essential services for the homeless and operating costs.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.231

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title IV, Subtitle B, Sections 411-417, PL 100-77 and Cranston-Gonzalez National Affordable Housing Act of 1990, PL 101-625

Regulation: 24 CFR 576

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities and Territories who have an approved Consolidated Plan.

Type of Program and Special Restrictions: Formula grants based on the CDBG grant formula. Payroll expenses for staff to operate the shelter are ineligible.

Action Required to Receive Aid: Non-competitive application for aid required, in the form of the Consolidated Plan.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Based on CDBG formula which uses several objective measures of community need, including poverty, population, housing overcrowding, age of housing and growth lag.

Matching Requirement: Grantee must match ESG funding with an equal amount from other sources.

Maintenance of Effort: NA

EMPOWERMENT ZONE PROGRAM

Objective: Establishment of Empowerment Zones in urban areas to stimulate creation of new jobs particularly for the disadvantaged and long term unemployed and to promote revitalization of economically distressed areas.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 14.244

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1993, Title XIII, PL 103-66

Regulation: 24 CFR 597

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, and Villages

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Funds must be used in accordance with a HUD-approved strategic plan.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Program provides IRS tax benefits.

ENTERPRISE COMMUNITIES

Objective: Stimulates the creation of new jobs, particularly for the disadvantaged and long term unemployed and promotes revitalization of economically distressed areas.

Administering Agency: US Department of Housing and Urban Development (urban); US Department of Agriculture (rural)

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 14.244

Legal Authority:

Law: US Internal Revenue Code of 1986, Subchapter U; Omnibus Reconciliation Act of 1993

Regulation: 24 CFR 597

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State or local government nominated urban area eligible for designation based on pervasive poverty, unemployment and general distress as established in data published by Bureau of Census and Bureau of Labor Statistics.

Type of Program and Special Restrictions: HUD will designate up to 65 of the nominated urban areas not designated as Empowerment Zones.

Action Required to Receive Aid: Notice of intent to participate, strategic plan, required eligibility forms and certifications.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Aid is based on pervasive poverty, unemployment, and general distress.

Matching Requirement: NA

Maintenance of Effort: NA

HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

Objective: Expands the supply of decent and affordable housing, particularly rental housing, for low and very low income people.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 14.239

Legal Authority:

Law: US National Affordable Housing Act, Title II

Regulation: 24 CFR 92

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments, Counties and Cities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Housing which is developed with HOME funds must serve low and very low-income families. For rental housing at least 90 percent of HOME funds must be invested in units that are occupied by families whose incomes are 60 percent of the median for the area, or less. The remaining ten percent must be invested in units occupied by families below 80 percent of median income. The rents in HOME-assisted units must be affordable to low-income families, and remain affordable for a reasonable amount of time. For Homeownership, homeowners must have incomes at or below 80 percent of the area median income. The home must be the owners' principal residence and must be valued at or below 95 percent of the median area purchase price. Resale provisions apply to first-time homebuyers to assure continued affordability.

Action Required to Receive Aid: Each eligible jurisdiction must submit a Consolidated Plan notifying HUD of its intent to participate in HOME and submit a program description.

Description of Aid:

Flow of Funds: Federal to State, Federal to Local.

Type of Aid: Ongoing

Formula: Aid is based on housing supply, substandard housing, cost of producing housing, poverty, number of low-income families in housing units in need of rehabilitation, fiscal incapacity for carry out housing activities without federal assistance.

Matching Requirement: NA

Maintenance of Effort: NA

**HOUSING OPPORTUNITIES FOR PERSONS
WITH AIDS (HOPWA)
COMPETITIVE COMPONENT**

Objective: Provides states, localities and non-profit organizations with resources and incentives to devise long term comprehensive strategies for meeting the housing needs of persons with AIDS and related diseases.

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 14.241

Legal Authority:

Law: US Cranston-Gonzalez National Affordable Housing Act

Regulation: 24 CFR 574

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State governments that do not qualify for HOPWA formula allocations, special projects of national significance, localities outside of an Eligible Metropolitan Area (EMA), localities inside an EMA that do not have an approved Consolidated Plan, and non-profit organizations.

Type of Program and Special Restrictions: HUD will consider applicant capacity, need for project, appropriateness of housing and supportive services and extent of leveraged public private resources.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

**HOUSING OPPORTUNITIES FOR PERSONS
WITH AIDS (HOPWA)
FORMULA COMPONENT**

Objective: Provides states and localities with resources and incentives to devise long-term comprehensive strategies for meeting the housing needs of persons with AIDS and related diseases.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 14.241

Legal Authority:

Law: US Cranston-Gonzalez National Affordable Housing Act

Regulation: 24 CFR 574

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments and Local Governmental Units.

Type of Program and Special Restrictions: Grants for housing assistance and supportive services for low-income persons with AIDS and related diseases.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Based on CDBG entitlement communities within an Eligible Metropolitan Area (EMA) with: (1) a population of more than 500,000, (2) more than 1,500 cumulative cases of AIDS, and (3) an approved Consolidated Plan.

Matching Requirement: NA

Maintenance of Effort: NA

US Department of Housing and Urban Development

LEAD-BASED PAINT HAZARD CONTROL GRANT PROGRAM

Objective: Reduces the exposure of young children to lead-based paint hazards in their homes.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 14.900

Legal Authority:

Law: US Housing and Community Development Act of 1992, Title X, PL 102-500 known as the Residential Lead-Based Paint Hazard Reduction Act

Regulation: NA

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments and Local Governments. Grantees frequently team with local community-based organizations to accomplish program activities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Homes selected for assistance under the grant program must have been constructed before 1978 and have lead-based paint hazards, which may include lead contaminated dust or soil. The housing must be private owned and occupied by or rented to low-income families.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Minimum 10% local match. Local contributions above 10%, either cash or in-kind services, are weighed in a rating factor element.

Maintenance of Effort: NA

**SECTION 8 MODERATE REHABILITATION
ASSISTANCE FOR SINGLE-ROOM
OCCUPANCY (SRO) DWELLINGS**

Objective: Provides rental assistance for homeless individuals in rehabilitated single-room occupancy housing. (See also Continuum of Care Homeless Assistance program.)

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.249

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act, PL 100-77 and Housing and Community Development Act of 1987, PL 100-242

Regulation: 24 CFR 882

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Public Housing Agencies.

Type of Program and Special Restrictions: HUD funds applications from public housing agencies that best demonstrate a need for the assistance and an ability to undertake and carry out the program expeditiously. Selected public housing agencies will enter into 10-year contracts with HUD. The total cost of rehabilitation that may be compensated through contract rents may not exceed \$15,000 per unit.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Part of Continuum of Care Homeless Assistance program.

SECTION 108 LOAN GUARANTEE PROGRAM

Objective: Develop viable urban communities by providing decent housing, a suitable environment, and expanding economic opportunities, principally for low income individuals.

Administering Agency: US Department of Housing and Urban Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 14.248

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: 24 CFR 570

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Entitlement public entities, nonentitlement public entities assisted in the submission of applications by States that administer the CDBG program; nonentitlement public entities in States where HUD administers the CDBG program.

Type of Program and Special Restrictions: Subject to mandated Federal requirements. CDBG rules and requirements apply.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local. Entitlement public entities may apply for up to five times the public entity's latest (approved) CDBG entitlement amount, minus any outstanding Section 108 commitments and/or principal balances on Section 8 loans. Nonentitlement public entities may apply for up to five times the latest (approved) CDBG amount by their States, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans for which the States have pledged their CDBG funds as security. The principal security for the loan guaranty is a pledge by the applicant public entity or State (in the case of nonentitlement public entity) of its future CDBG funds. Additional security will also be required (on a case-by-case basis) to assure repayment of the guarantee obligations.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

US Department of Housing and Urban Development

SHELTER PLUS CARE (S+C)

Objective: Provides rental assistance in concert with supportive services funded from other Federal, State and local sources to homeless persons with disabilities. (See also Continuum of Care Homeless Assistance program.)

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.238

Legal Authority:

Law: US National Affordable Housing Act, PL 101-625, which amended Title IV of the Stewart B. McKinney Homeless Assistance Act by adding Subtitle F authorizing the Shelter Plus Care Program

Regulation: 24 CFR Subtitle A Shelter Plus Care Program Guidelines; Rule, dated February 4, 1991.

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments, Local Governmental Units and Indian Tribes.

Type of Program and Special Restrictions: The assistance is targeted primarily to homeless persons who are seriously mentally ill, have chronic problems with alcohol or drugs, or both, or who have AIDS and related diseases.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Applicants must match the aggregate amount of S+C rental assistance with supportive services, the aggregate value of which at least equals the S+C grant.

Maintenance of Effort: NA

Comments: Part of Continuum of Care Homeless Assistance program.

SUPPORTIVE HOUSING PROGRAM (SHP)

Objective: Provides permanent housing assistance in developing community based, long term housing and supportive services for individuals and/or families who are handicapped and homeless or at risk of becoming homeless. Encourages the development of housing and supportive services for homeless persons that will enable them to make the transition to independent living within 24 months. (See also Continuum of Care Homeless Assistance program.)

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

Specific Program URL: <http://www.hud.gov/grants/>

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 14.235

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act of 1987, Title IV, Subtitle C

Regulation: 24 CFR Part 583

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-8000
E-Mail: NA

Fiscal Contact:

NA

Eligibility: State Governments, Local Government Units, Private Non-Profit Organizations, Indian Tribes and Public Housing Agencies.

Type of Program and Special Restrictions: The program is targeted to homeless persons including homeless persons with disabilities and homeless families with children.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Local or Non-Profit Organization.

Type of Aid: Project Grant - Three years (initial term)

Formula: NA

Matching Requirement: Funds provided for acquisition/rehabilitation/new construction are to be matched with funds from non-Federal sources. Recipient must show it has sources to pay the percentage of operating costs not funded by HUD.

Maintenance of Effort: NA

Comments: Part of Continuum of Care Homeless Assistance program.

CHAMBER OF COMMERCE ON-THE-JOB TRAINING PROGRAM

Objective: Provides occupational training to participants at employer job sites.

Administering Agency: NYS Department of Labor

Specific Program URL: NA

NYS Object Code: 55950

Year Established: 1970's

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law, Article 23

Regulation: NA

Program Contact:

Yue Yee
Deputy Director
Workforce Development & Training Division
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380
E-Mail: yue.yee@labor.state.ny.us

Fiscal Contact:

Roger Bailie
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647
E-Mail: roger.bailie@labor.state.ny.us

Eligibility: Chambers of Commerce with linkages to Workforce Investment Areas and Department of Labor One-Stop Offices and Centers.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: Competitive application for aid required every five years. January 1, 2006 was the beginning of the first year of the three-year cycle. Current RFP cycle ends December 31, 2008. Funding for the final program year provided by State General Funds. Should funds continue to be available, a new program year will commence.

Description of Aid:

Flow of Funds: State to Local Chambers of Commerce awarded as result of RFP process.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	734,000	NA	NA
SFY 05-06	NA	900,900	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	634,004	NA	NA
SFY 05-06	NA	367,146 ¹	NA

¹Contracts are currently operating through 12/31/06. It is expected that this appropriation will be fully disbursed.

DISPLACED HOMEMAKER PROGRAM (DHP)

Objective: Provides grants to organizations to provide vocationally related services, job seeking strategies, assessment, counseling and placement to displaced homemakers.

Administering Agency: NYS Department of Labor

Specific Program URL: NA

NYS Object Code: 55950*

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law, Article 23-B

Regulation: NA

Program Contact:

Yue Yee
Deputy Director
Workforce Development & Training Division
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380
E-Mail: Yue.Yee@labor.state.ny.us

Fiscal Contact:

Roger Bailie
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647
E-Mail: roger.bailie@labor.state.ny.us

Eligibility: Non-Profit Groups, Community-Based Organizations and Educational and Human Services Agencies.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,300,000	45,000	NA
SFY 05-06	NA	2,725,000	NA
SFY 06-07	NA	2,725,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	3,083,437	24,904	NA
SFY 05-06	NA	2,261,079 ¹	NA

¹Contracts are currently operating through 10/31. It is expected that this appropriation will be fully disbursed.

²Refers to miscellaneous contractual services.

HAZARD ABATEMENT BOARD

Objective: Supports projects which enable local jurisdictions to meet Public Employee Safety and Health (PESH) standards.

Administering Agency: NYS Department of Labor

Specific Program URL: www.labor.state.ny.us/hab

NYS Object Code: 69911

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law

Regulation: PESH Safety and Health Standards

Program Contact:

David Hoy
Workforce Programs Manager 1
Occupational Safety and Health Division
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-3427
E-Mail: usadjh@labor.state.ny.us

Fiscal Contact:

Roger Bailie
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647
E-Mail: roger.bailie@labor.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts and Special Districts. Must be a local jurisdiction cited for not meeting PESH standards or notified through a PESH bureau consultation inspection.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for repair of premises not meeting PESH standards, must meet definition of a capital project, and must cost \$1,000 or more.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 75%, Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	430,000
SFY 05-06	NA	NA	430,000
SFY 06-07	NA	NA	430,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	430,000
SFY 05-06	NA	NA	430,000

JOBS FOR YOUTH

Objective: Two-year training related program leading to a full-time registered apprenticeable occupation and is designed for in-school and out-of-school at-risk eligible youth who are (or would be) in the 11th and 12th grades in school. The program prepares students for careers in health-related fields through the Experience-Based Career Education model (EBCE) and the Secretary's Commission on Achieving Necessary Skills (SCANS).

Administering Agency: NYS Department of Labor

Specific Program URL: NA

NYS Object Code: 55950¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NYS Budget Appropriation, Page 593 of the New York State Budget, General Fund/Aid to Localities, Local Assistance Account, Chapter 53 of the Laws of 2006.

Regulation: NA

Program Contact:

Yue Yee
Deputy Director
Workforce Development & Training Division
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380
E-Mail: Yue.Yee@labor.state.ny.us

Fiscal Contact:

Roger Bailie
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647
E-Mail: roger.bailie@labor.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Non-Profit Groups, Private Business Firms, and Labor Unions, and Educational Institutions.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,099,500	NA
SFY 05-06	NA	1,088,000	NA
SFY 06-07	NA	1,088,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	891,282	NA
SFY 05-06	NA	78,101	NA

¹Refers to miscellaneous contractual services.

OCCUPATIONAL SAFETY AND HEALTH (OSH) TRAINING AND EDUCATION PROGRAM

Objective: Provides grants to organizations for conducting training and education to lower the incidence of occupational injury and illness.

Administering Agency: NYS Department of Labor

Specific Program URL: www.labor.state.ny.us/hab

NYS Object Code: 55950¹

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law, Article 29

Regulation: NA

Program Contact:

David Hoy
Workforce Programs Manager 1
Occupational Safety and Health Division
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-3427
E-Mail: usadjh@labor.state.ny.us

Fiscal Contact:

Roger Bailie
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647
E-Mail: roger.bailie@labor.state.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Non-Profit Groups, Private Employers, Labor Unions, Employee Associations, Trade Associations and Educational Institutions.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Expenditures are limited to program purposes.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	6,491,000
SFY 05-06	NA	NA	6,491,000
SFY 06-07	NA	NA	6,491,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,214,803
SFY 05-06	NA	NA	4,024,482

¹Refers to miscellaneous contractual services.

TRADE ADJUSTMENT ASSISTANCE (TAA) AND NAFTA-TAA

Objective: Provides services which enable workers who lost their jobs due to the adverse effect of foreign imports to return to the workforce at or near their previous skill and income level quickly and effectively.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

Specific Program URL: www.workforceny.org

NYS Object Code: 55963

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 17.245

Legal Authority:

Law: Trade Act of 1974, as amended, North American Free Trade Implementation Act, Trade Act of 2002

Regulation: 20 CFR 617

Program Contact:

Yue Yee
Deputy Director
Workforce Development & Training Division
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380
E-Mail: Yue.Yee@labor.state.ny.us

Fiscal Contact:

Roger Bailie
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647
E-Mail: roger.bailie@labor.state.ny.us

Eligibility: Adversely Affected Workers.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Funding use restricted to mandated services and administrative costs.

Action Required to Receive Aid: Local governments and non-profit agencies are not eligible for aid. Aid is provided to individuals.

Description of Aid:

Flow of Funds: Federal to State. Payment by State to local areas for service delivery.

Type of Aid: Ongoing

Formula: Beginning in FFY 2004, 75% of National Appropriations distributed to States by formula, 25% held in reserve for unanticipated needs.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	5,682,542	NA	NA
FFY 05	3,610,752	NA	NA
FFY 06	3,720,361	NA	NA

*Data provided by Federal Fiscal Year 10/1 - 9/30.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	4,941,904	NA	NA
FFY 05	2,143,119	NA	NA

*Includes administration and program accrued expenditures. Disbursements reflect expenditures from all open appropriations from the stated FFY and from prior years that occurred during the stated FFY.

UTICA DISLOCATED WORKER ASSISTANCE CENTER

Objective: In partnership with the NYS AFL-CIO, the project provides employment related services to incumbent and dislocated workers in the greater Utica, New York area.

Administering Agency: NYS Department of Labor

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 53, Laws of 2006, Page 593 of the New York State Budget, General Fund/Aid to Localities, Local Assistance Account.

Regulation: NA

Program Contact:

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Eligibility: Private Non-Profit Groups

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: DOL contracts directly with AFL-CIO.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: No match required.

Maintenance of Effort: NA

Amounts Appropriated:

		<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	NA		NA	NA
FFY 05	NA		200,000	NA
FFY 06	NA		200,000	NA

Amounts Disbursed:

		<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	NA		NA	NA
FFY 05	NA		146,500	NA

WORKFORCE INVESTMENT ACT (WIA)

Objective: Provides workforce investment activities through Statewide and local workforce investment systems that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by participants, and as a result, improve the quality of the workforce, reduce welfare dependence, and enhance the productivity and competitiveness of the Nation.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

Specific Program URL: www.workforcenewyork.org

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: 17.255 (PY 2000), 17.258, 17.259, 17.260 (PY 2001 onward)

Legal Authority:

Law: Federal Workforce Investment Act of 1998 (Title I, Sub-title B), Public Law 105-220

Regulation: 20 CFR 652, August 11, 2000

Program Contact:

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Eligibility: Local Workforce Investment Area Grant Recipients.

Type of Program and Special Restrictions: Mandated, required by Federal law and regulations. Restrictions apply per statute.

Action Required to Receive Aid: Workforce Investment Areas and State must include as part of State plan for WIA funding.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through)

Type of Aid: Project Grant

Formula: Based upon population, social and economic conditions within the State and Workforce Investment Areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04223,356,562	NA		NA
FFY 05234,436,936	NA		NA
FFY 06197,433,309	NA		NA

*Appropriations are based upon Federal program year July 1 to June 30.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04194,798,524	NA		NA
FFY 05160,266,758	NA		NA

ADULT SERVICES PROGRAM OVERALL

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: Varies

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Fiscal Contact:

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement of the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private corporation.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local; State to Local. Monies are provided in advance and/or as a reimbursement.

Type of Aid: NA

Formula: Varies

Matching Requirement: For certain funds.

Maintenance of Effort: For certain funds.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	32,094,000	589,925,800	48,200,000
SFY 05-06	32,749,000	623,708,000	55,134,000
SFY 06-07	32,663,000	669,195,300	64,212,000

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.**</u>
SFY 04-05	32,094,000	576,838,400	49,137,400
SFY 05-06	32,749,000	610,667,100	56,550,300

*Amounts appropriated and disbursed are from multi-year Federal grants, portions of which involve capital funding which can be disbursed over a seven year period.

**Includes a revolving SRO for the Medication Grant Program.

ADULT SERVICES: CASE MANAGEMENT

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Adult Services Program Overall.

Action Required to Receive Aid: See Adult Services Program Overall.

Description of Aid:

Assertive Community Treatment (ACT) Teams

ACT Teams provide intensive treatment and support to people with psychiatric disabilities. The focus is on the improvement of an individual's quality of life in the community and reducing the need for inpatient care, by providing intense community-based treatment services by an interdisciplinary team of mental health professionals.

Case Management

Activities aimed at linking the consumer to the service system and at coordinating the various services in order to achieve a successful outcome. The objective of case management in a mental health system is continuity of care and service. Services may include linking, monitoring and case-specific advocacy.

Intensive Case Management/Supportive Case Management/Blended Case Management Emergency and Non-Emergency Service Dollars

Services consistent with a consumers treatment plan, designed to be flexible and responsible to current individual needs. These services may include emergency services, both immediate and not immediate. The emergency dollars aimed at meeting immediate basic needs of the consumer to include transportation, medical/dental care, shelter/respice/hotel, food/meals, clothing, escort and other. Service dollars may also include furnishings, utilities, tuition, job related costs, job coaching, education, vocational services, leisure time services and others. This program does not include agency administration. Agency administration costs allocated to the operating costs of this program via the Ratio Value allocation methodology are redistributed to other OMH programs in the CFR.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Adult Services Program Overall.

ADULT SERVICES: COMMUNITY SUPPORT PROGRAM

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Adult Services Program Overall.

Action Required to Receive Aid: See Adult Services Program Overall.

Description of Aid:

On-site Rehabilitation

The objective is to assist individuals disabled by mental illness who live in adult congregate care settings, supervised or supported living arrangements to achieve their treatment and community living rehabilitation goals. Services include one or a combination of: (1) consumer self-help and support interventions; (2) community living; (3) academic and/or social leisure time rehabilitation training and support services.

Recreation

A program of social, recreational, and leisure activities that are intellectually and interpersonally stimulating but which are not necessarily part of a goal-based program plan.

Transportation

The provision of transportation to and from facilities or resources specified in the client's individual treatment plan as a necessary part of his/her service for mental disability.

Outreach

Outreach programs/services are intended to engage and/or assess individuals potentially in need of mental health services. Outreach programs/services are not crisis services. Examples of applicable services are socialization, recreation, light meals, and provision of information about mental health and social services. Another type of service within this program code includes off-site, community based assessment and screening services. These services can be provided at forensic sites, a consumer's home, other residential settings, including homeless shelters, and the streets.

Psychosocial Club

The objective is to assist individuals disabled by mental illness to develop or reestablish a sense of self-esteem and group affiliation, and to promote their recovery from mental illness and their reintegration into a meaningful role in community life through the provision of two or more of the following: (1) consumer self-help and empowerment interventions; (2) community living; (3) academic; (4) vocational and/or (5) social-leisure time rehabilitation, training and support services.

ADULT SERVICES: COMMUNITY SUPPORT PROGRAM (cont.)

Advocacy/Support Services

Advocacy/support services may be individual advocacy or systems advocacy (or a combination of both). Examples are warm lines, hot lines, teaching daily living skills, providing representative payee services, and training in any aspect of mental health services.

Drop-in Center

The objective of a Drop-in Center program is to identify and engage persons who may choose not to participate in more structured programs or who might not otherwise avail themselves of mental health services, and to provide services and supports in a manner which these individuals would accept. These programs are low demand, flexible and relatively unstructured, and responsive to individual need and circumstance.

Bridger Services

Bridger Services are targeted to serve individuals that are transferring from one level of mental health service to a less restrictive mental health service. The services provide supports to link consumers to appropriate community services and to ease their transition.

Transition Management (TM) Services

Transition Management Services (discharge planning) programs provide support for improved community service linkages and timely filing of Medicaid applications for Seriously and Persistently Mentally Ill (SPMI) consumers being released from local correctional facilities.

Self Help Program

Provides rehabilitative and support activities based on the principle that people who share a common condition or experience can be of substantial assistance to each other. These programs may take the form of mutual support groups and networks, or they may be more formal self-help organizations that offer specific educational, recreational, social or other program opportunities.

Compulsive Gambling

Provides outpatient treatment to compulsive gamblers designed to reduce symptoms, improve functioning and provide ongoing support.

Multicultural Initiatives

Funds will support activities related to the development and operation of outreach interventions in under-served communities and to address disparities based upon culture, ethnicity, age, or gender. Efforts by service providers will include the cultural and linguistic competence of their programs, management and staff.

Supported Education

The objective of this program is to provide mental health and rehabilitation services to individuals with a serious mental illness to assist them to develop and achieve academic goals in natural and community-based educational settings.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Adult Services Program Overall.

ADULT SERVICES: CRISIS SERVICES

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Adult Services Program Overall.

Action Required to Receive Aid: See Adult Services Program Overall.

Description of Aid:

Respite Services

Temporary services provided by trained staff in the client's place of residence or other temporary housing arrangement. Includes custodial care for a disabled person in order that primary care givers (family or legal guardian) may have relief from care responsibilities.

Advocacy/Support Services

Advocacy/support services may be individual advocacy or systems advocacy (or a combination of both). Examples are warm lines, hot lines, teaching daily living skills, providing representative payee services, and training in any aspect of mental health services.

Non-Inpatient Crisis Services

Any activities in a non-inpatient setting, including the residence of the client, that address acute emotional distress when the client's condition requires immediate attention.

Crisis Residence

A licensed residential (24 hours/day) stabilization program, which provides services for acute symptom reduction and the restoration of patients to pre-crisis level of functioning. These programs are time limited for persons until they achieve stabilization (generally up to 30 days). Crisis residences serve persons experiencing rapid or sudden deterioration of social and personal conditions such that they are clinically at risk of hospitalization but may be treated in this alternative setting.

Inpatient Psychiatric Unit of a General Hospital

A licensed, 24 hr. inpatient treatment program, that is jointly licensed by the New York State Office of Mental Health and the New York State Department of Health and operated in a medical hospital. Includes full-time medical, psychiatric and social services and around-the-clock nursing services for individuals with mental illness.

ADULT SERVICES: CRISIS SERVICES (cont.)

CPEP Crisis Beds

A residential (24 hour/day) stabilization component of the CPEP, which provides supportive services for acute symptom reduction and the restoration of patients to pre-crisis level of functioning. These programs are time limited (up to five days) for patients until they achieve stabilization. Crisis beds serve persons experiencing rapid or sudden deterioration of social and personal conditions such that they are clinically at risk of hospitalization but may be treated in this alternative setting. CPEP crisis bed services are neither funded by OMH nor Medicaid-reimbursable, but are purchases from the facility operating these beds.

Single Point Of Access (SPOA)

A SPOA is a process, led by a SPOA Coordinator, that helps Local Governmental Units achieve community-based mental health systems that are cohesive and well coordinated in order to serve those individuals most in need of services. There are three types of SPOAs – Children’s, Adult Case Management and Adult Housing. The SPOA process provides for the identification of individuals most in need of services, and manages service access and utilization.

CPEP Crisis Outreach

A mobile crisis intervention program which offers emergency services such as crisis intervention and/or assessment services in natural (e.g. homes), structured (e.g., residential programs), or controlled (e.g., instructional) environments.

CPEP Extended Observation Beds

Beds operated by the Comprehensive Psychiatric Emergency Program which are located in or adjacent to the emergency room of a CPEP and are available 24 hours per day, seven days per week to provide extended assessment and evaluation as well as a safe and humane environment for up to 72 hours for those presenting to the CPEP.

Crisis Intervention

This hospital based emergency room program has the primary objective of reducing acute symptoms and restoring patients to pre-crisis levels of functioning to the extent possible. Services include provision of triage and screening, assessment, treatment, stabilization and referral or diversion to an appropriate program.

Crisis Intervention

Crisis intervention services, applicable to adults, children and adolescents, are intended to reduce acute symptoms and restore individuals to pre-crisis levels of functioning. Examples of where these services may be provided include emergency rooms and residential settings. Provision of services may also be provided by a mobile treatment team, generally at a consumer’s residence or other natural setting (not at an in-patient or outpatient treatment setting). Examples of services are screening, assessment, stabilization, triage, and/or referral to an appropriate program or programs. This program type does not include warm lines or hot lines. Use Advocacy/Support 1760 for such services. This program code should **not be used for services that are provided** by a licensed outpatient program.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Adult Services Program Overall.

ADULT SERVICES: EMPLOYMENT

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Adult Services Program Overall.

Action Required to Receive Aid: See Adult Services Program Overall.

Description of Aid:

Sheltered Workshop/Satellite Sheltered Workshop

The objective is to provide vocational assessment, training, and paid work in a protective and non integrated work environment for individuals disabled by mental illness.

Transitional Employment Placement (TEP)

The objective is to strengthen the individual's work record and work skills toward the goal of achieving assisted or unassisted competitive employment at or above the minimum wage paid by the competitive sector employer. TEP's provide time limited employment and on the job training in one or more integrated employment settings as an integral part of the individual's vocational rehabilitation growth.

Enclave in Industry

The objective is to provide vocational assessment, training, and transitional or long term paid work for individuals with severe disabilities in an integrated employment environment.

Assisted Competitive Employment

The objective is to assist individuals in choosing, finding, and maintaining satisfying jobs in the competitive employment market at minimum wage or higher.

Affirmative Business/Industry

The objective is to provide vocational assessment, training, transitional or long-term paid employment, and support services for persons disabled by mental illness in a less restrictive/more integrated employment setting than sheltered workshops.

Work Program

The objective is to provide vocational assessment, training and transitional or long-term paid work in institutional or community job sites for individuals disabled by mental illness.

Ongoing Integrated Supported Employment Services

These funds are intended for ongoing job maintenance services including job coaching, employer consultation, and other relevant supports needed to assist an individual in maintaining a job placement.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Adult Services Program Overall.

Office of Mental Health

ADULT SERVICES: OUTPATIENT

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Adult Services Program Overall.

Action Required to Receive Aid: See Adult Services Program Overall.

Description of Aid:

Continuing Day Treatment

Program provides active treatment and rehabilitation designed to maintain or enhance current levels of functioning and skills, to maintain community living and to develop self-awareness and self-esteem through the exploration and development of patient strengths and interests.

Clinic Treatment (Adult)

A clinic treatment program shall provide treatment designed to reduce symptoms, to improve patient functioning and to provide ongoing support.

A clinic treatment program for adults shall provide the following services: assessment and treatment planning, health screening and referral, discharge planning, verbal therapy, medication therapy, medication education, symptom management and psychiatric rehabilitation readiness determination. The following additional services may also be provided: case management, crisis intervention services, clinical support services and family treatment services.

A clinic treatment program for children shall provide the following services: assessment and treatment planning, verbal therapy, symptom management, health screening and referral, medication therapy, medication education, clinical support services and discharge planning.

Partial Hospitalization

A partial hospitalization program provides active treatment designed to stabilize and ameliorate acute symptoms, to serve as an alternative to inpatient hospitalization, or to reduce the length of a hospital stay within a medically supervised program.

Intensive Psychiatric Rehabilitation Treatment (IPRT)

The program is time-limited, with active psychiatric rehabilitation designed to assist a patient in forming and achieving mutually agreed upon goals in living, learning, working and social environments; to intervene with psychiatric rehabilitation technologies, to overcome functional disabilities and to improve environmental supports.

ADULT SERVICES: OUTPATIENT (cont.)

Comprehensive PROS With Clinic

Personalized Recovery Oriented Services (PROS) is a comprehensive recovery oriented program with integrated services for individuals with severe and persistent mental illness. Services include community rehabilitation and support; intensive rehabilitation; vocational support and clinical treatment.

Comprehensive PROS Without Clinic

Personalized Recovery Oriented Services (PROS) is a comprehensive recovery oriented program with integrated services for individuals with severe and persistent mental illness. Services include community rehabilitation and support; intensive rehabilitation; and vocational support.

Limited License PROS

Personalized Recovery Oriented Services (PROS) is a comprehensive recovery oriented program with integrated services for individuals with severe and persistent mental illness. Services include vocational support and intensive rehabilitative services.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Adult Services Program Overall.

ADULT SERVICES: RESIDENTIAL

Objective: Provides various services to the mentally ill and homeless mentally ill.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Adult Services Program Overall.

Action Required to Receive Aid: See Adult Services Program Overall.

Description of Aid:

Supported/Single Room Occupancy (SP-SRO)

A single-room occupancy residence which provides long term or permanent housing in a setting where residents can access the support services they require to live successfully in the community. Front desk coverage is provided 24 hours per day. Mental health service supports are provided either by SP-SRO staff or non-residential service providers in accordance with a service plan developed jointly by the provider and resident.

Supported Housing Community Services

The objective of the program is to assist individuals in locating and securing housing of their choice and in accessing the supports necessary to live successfully in the community.

Treatment/Congregate

A group-living designed residential program which focuses on interventions necessary to address the specific functional and behavioral deficits which prevent residents from accessing generic housing. These interventions are goal-oriented, intensive, and usually of limited duration. Staff is on-site 24 hours/day.

Support/Congregate

A single-site residential program which provides support designed to improve or maintain an individual's ability to live as independently as possible and eventually access generic housing. Interventions are provided consistent with the resident's desire, tolerance and capacity to participate in services. Staff is on-site 24 hours/day.

Treatment/Apartment

An apartment-based residential program which focuses on interventions necessary to address the specific functional and behavioral deficits which prevent residents from accessing generic housing.

Support/Apartment

An apartment-based residential program which provides support designed to improve or maintain an individual's ability to live as independently as possible, and eventually access generic housing. Interventions are provided consistent with the resident's desire, tolerance, and capacity to participate in services.

ADULT SERVICES: RESIDENTIAL (cont.)

Community Residence/Single Room Occupancy (SRO)

The program is targeted specifically to the homeless mentally ill. Within the SRO, residents have the option of receiving some mental health services, but are not required to do so. Additional mental health services are available to SRO residents within the community on an as needed basis.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Adult Services Program Overall.

CHILDRENS SERVICES PROGRAM OVERALL

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: Varies

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement of the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private corporation.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local; State to Local. Monies are provided in advance and/or as a reimbursement.

Type of Aid: NA

Formula: Varies for program service delivered.

Matching Requirement: For certain funds.

Maintenance of Effort: For certain funds.

Amounts Appropriated:

	<u>Federal Funds*State General Funds</u>		<u>State Spec. Rev.</u>
SFY 04-05	7,835,000	152,235,200	18,600,000
SFY 05-06	7,835,000	155,956,000	18,151,000
SFY 06-07	7,835,000	189,402,700	16,073,000

Amounts Disbursed:

	<u>Federal Funds*State General Funds</u>		<u>State Spec. Rev.</u>
SFY 04-05	7,835,000	150,117,300	18,600,000
SFY 05-06	7,835,000	152,877,780	18,151,000

*Amounts appropriated and disbursed are from multi-year Federal grants, portions of which involve capital funding which can be disbursed over a seven year period.

CHILDRENS SERVICES: CASE MANAGEMENT

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

Kristen Riley
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NYS Office of Mental Health
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Fiscal Contact:

Richard Tenenini
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NYS Office of Mental Health
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(518) 474-5968
E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Childrens Services Program Overall.

Action Required to Receive Aid: See Childrens Services Program Overall.

Description of Aid:

Case Management

Activities aimed at linking a client to the service system and at coordinating the various services in order to achieve a successful outcome. The objective of case management in a mental health system is continuity of care and service. Services may include linking, monitoring and case-specific advocacy.

Residential Treatment Facility (RTF) Transition Coordinator - Community

This program code will be used to report approximately 25% of the costs related to RTF post-discharge case management. The NYS Office of Mental Health (OMH) is allocating resources to establish RTF Transition Coordinator staff positions to enhance the Residential Treatment Facilities' ability to ensure timely, successful discharges. RTF inpatient beds, the RTF Transition Coordinators are expected to have approximately one-fourth of their caseload in post discharge status.

Intensive Case Management/Supportive Case Management/Blended Case Management Emergency and Non-Emergency Service Dollars

Services consistent with a consumers treatment plan, designed to be flexible and responsible to current individual needs. These services may include emergency services, both immediate and not immediate. The emergency dollars aimed at meeting immediate basic needs of the consumer to include transportation, medical/dental care, shelter/respite/hotel, food/meals, clothing, escort and other. Service dollars may also include furnishings, utilities, tuition, job related costs, job coaching, education, vocational services, leisure time services and others. This program does not include agency administration. Agency administration costs allocated to the operating costs of this program via the Ratio Value allocation methodology are redistributed to other OMH programs in the CFR.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Childrens Services Program Overall.

CHILDRENS SERVICES: COMMUNITY SUPPORT PROGRAMS

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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(518) 474-5968
E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Childrens Services Program Overall.

Action Required to Receive Aid: See Childrens Services Program Overall.

Description of Aid:

School Program Co-Located with Clinic Treatment Program

Services provided to children and adolescents with emotional/behavioral needs in a school setting in which a satellite clinic is located, as well as related supports provided to families and school staff. Services are in addition to those provided under the Clinic Treatment program. Program staff are generally also employees of the clinic and in school on a full-time basis or at minimum, half time basis. Family support staff may be employees of a local family support organization with which the program contracts. Services may be stand alone or, when the student is enrolled in the co-located clinic treatment program, coordinated with clinic treatment services. Program services include observation and assessment of children and adolescents for the purpose of determining need for mental health services; brief intervention (planned and unplanned); crisis intervention; group interventions; consultation with school staff and families; referrals to and coordination of services with other in-school or community-based providers; participation on school intervention team, Committee on Special Education, teacher or grade level teams, and other school committees dealing with the safety, health and well being of children and adolescents; collaboration with school health and social work staff; support groups for families and school faculty; classroom presentations; participation in parent/teacher conferences; participation in school events, such as parent orientations and health fairs; after school programming, and other related activities.

School Program without Clinic

Services provided to children and adolescents with emotional/behavioral needs in a school setting with no-onsite mental health clinic, as well as related supports provided to families and school staff. Program staff are generally in school on a full-time basis or, at minimum, half-time basis. Family support services may be provided by a local family support organization with which the program contracts. Program services include observation and assessment of children and adolescents for the purpose of determining need for mental health services; brief intervention (planned and unplanned); crisis intervention; group intervention; consultation with school staff and families; referrals to and coordination of services with other in-school or community-based providers; participation on school intervention team, Committee on Special Education, teacher or grade level teams, and other school committees dealing with the safety, health and well being of children and adolescents; collaboration with school health and social work staff; support groups from families and school faculty; classroom presentation; participation in parent/teacher conferences; participation in school events, such as parent orientations and health fairs ; after school programming, and other related activities.

CHILDRENS SERVICES: COMMUNITY SUPPORT PROGRAMS (cont.)

Family Support Services (Children and Family)

Family support programs provide an array of formal and informal services to support and empower families with children and adolescents having serious emotional disturbances. The goal of family support is to reduce family stress and enhance each family's ability to care for their child. To do this, family support programs operate on the principles of individualized care and recognizing every child and family is unique in their strengths and needs. Connecting family members to other families with children with serious emotional problems helps families to feel less isolated and identify their own strengths.

HCBS Waiver Individualized Care Coordination

Services that include the components of intake and screening, assessment of needs, service plan development, linking, advocacy, monitoring, discharge planning and consultation.

Coordinated Children's Services Initiative

The Coordinated Children's Services Initiative (CCSI) is an interagency initiative that supports localities in creating a system of care to provide structure and flexibility to ensure that children who are at risk of residential placement remain at home with their families and in their communities. The program exists at a local community level (Tier I), County level (Tier II), and State level (Tier III). These children are most often those with serious emotional disturbance.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Childrens Services Program Overall.

CHILDRENS SERVICES: CRISIS SERVICES

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

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E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Childrens Services Program Overall.

Action Required to Receive Aid: See Childrens Services Program Overall.

Description of Aid:

Respite Services

Temporary services provided by trained staff in the client's place of residence or other temporary housing arrangement. Includes custodial care for a disabled person in order that primary care givers (family or legal guardian) may have relief from care responsibilities.

Crisis Residence

A licensed residential (24 hours/day) stabilization program, which provides services for acute symptom reduction and the restoration of patients to pre-crisis level of functioning. These programs are time limited for persons until they achieve stabilization (generally up to 30 days). Crisis residences serve persons experiencing rapid or sudden deterioration of social and personal conditions such that they are clinically at risk of hospitalization but may be treated in this alternative setting.

HCBS Waiver Respite Care

A service that provides a needed break for the family and the child to ease the stress at home and improve family harmony. It may be provided on a planned or emergency basis either in home or out of home by trained respite workers.

HCBS Waiver Family Support

Activities designed to enhance the ability of the child to function as part of a family unit and to increase the family's ability to care for the child in the home.

HCBS Waiver Crisis Response

Activities aimed at stabilizing occurrence of child/family crises where they arise.

HCBS Waiver Skill Building

Activities designed to assist the child in acquiring, developing and accessing functional skills and supports - both social and environmental - needed to function more successfully in the community.

HCBS Waiver Intensive-in-Home

Ongoing activities aimed at providing intensive interventions in the home when a crisis response service is not enough.

CHILDRENS SERVICES: CRISIS SERVICES (cont.)

Home-Based Crisis Intervention (HCBI)

The Home-Based Crisis Intervention Program is a clinically oriented program with support services by a MSW or Psychiatric Consultant which assists families with children in crisis by providing an alternative to hospitalization. Families are helped through crisis with intense interventions and the teaching of new effective parenting skills. The overall goal of the program is to provide short-term, intensive in-home crisis intervention services to a family in crisis due to the imminent risk of their child being admitted to a psychiatric hospital. The target population for the HCBI Program is families with a child or adolescent ages 5 to 17 years of age, who are experiencing a psychiatric crisis so severe that unless immediate, effective intervention is provided, the child will be removed from the home and admitted to a psychiatric hospital. Families referred to the program are expected to come from psychiatric emergency services.

Single Point Of Access (SPOA)

A SPOA is a process, led by a SPOA Coordinator, that helps Local Governmental Units achieve community-based mental health systems that are cohesive and well coordinated in order to serve those individuals most in need of services. There are three types of SPOAs – Children's, Adult Case Management and Adult Housing. The SPOA process provides for the identification of individuals most in need of services, and manages service access and utilization.

Crisis Intervention

Crisis intervention services, applicable to adults, children and adolescents, are intended to reduce acute symptoms and restore individuals to pre-crisis levels of functioning. Examples of where these services may be provided include emergency rooms and residential settings. Provision of services may also be provided by a mobile treatment team, generally at a consumer's residence or other natural setting (not at an in-patient or out-patient treatment setting). Examples of services are screening, assessment, stabilization, triage, and/or referral to an appropriate program or programs. This program type does not include warm lines or hot lines. Use Advocacy/Support 1760 for such services. This program code should **not be used for services that are provided** by a licensed outpatient program.

CPEP Crisis Beds

A residential (24 hour/day) stabilization component of the CPEP, which provides supportive services for acute symptom reduction and the restoration of patients to pre-crisis level of functioning. These programs are time limited (up to five days) for patients until they achieve stabilization. Crisis beds serve persons experiencing rapid or sudden deterioration of social and personal conditions such that they are clinically at risk of hospitalization but may be treated in this alternative setting. CPEP crisis bed services are *neither* funded by OMH *nor* Medicaid-reimbursable, but are purchased from the facility operating these beds.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Childrens Services Program Overall.

CHILDRENS SERVICES: EMPLOYMENT

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Fiscal Contact:

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Community Budget & Financial Management
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 474-5968
E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Childrens Services Program Overall.

Action Required to Receive Aid: See Childrens Services Program Overall.

Description of Aid:

Vocational Services Children and Family (C&F)

The program is designed to provide work training and clinical support services for those older adolescents with poor academic performance and social adjustment in regular day treatment programs.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Childrens Services Program Overall.

CHILDRENS SERVICES: OUTPATIENT

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Childrens Services Program Overall.

Action Required to Receive Aid: See Childrens Services Program Overall.

Description of Aid:

Day Treatment (Children & Adolescents)

Day treatment services for children and adolescents provide intensive, non-residential services. The programs are characterized by a blend of mental health and special education services provided in a fully integrated program. Typically, these programs include special education in small classes with an emphasis on individualized instruction, individual and group counseling, family services such as family counseling, crisis intervention, interpersonal skill development and behavior modification. Children and adolescents receiving day treatment services live at home or in the community but are identified by their school district as seriously emotionally disturbed and cannot be maintained in regular classrooms.

Children and Youth (C&Y) Clinic Treatment

A clinic treatment program serves children with a diagnosis of serious emotional disturbance and provides treatment designed to reduce symptoms, to improve patient functioning while maintaining children in their natural environments, supporting family integrity and functioning and providing ongoing support to the patient and relevant collaterals during treatment. A clinic treatment program for children shall provide the following services: assessment and treatment planning, verbal therapy, symptom management, health screening and referral, medication therapy, medication education, clinical support services and discharge planning. Services may also include case management and crisis intervention services.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Childrens Services Program Overall.

CHILDRENS SERVICES: RESIDENTIAL

Objective: Provides various services to seriously emotionally disturbed children and adolescents and their families.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: Varies

Catalog of Federal Domestic Assistance No.: Varies

Legal Authority:

Law: NY Mental Hygiene Law

Regulation: NY Codes, Rules and Regulations

Program Contact:

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Fiscal Contact:

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(518) 474-5968
E-Mail: NA

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: See Childrens Services Program Overall.

Action Required to Receive Aid: See Childrens Services Program Overall.

Description of Aid:

Family Based Treatment Program (FBTP)

The Family-Based Treatment Program (FBTP) treats children and adolescents who are seriously emotionally disturbed within a home environment that is caring, nurturing and therapeutic. The program employs professional parents who are extensively trained and supervised. Parents function within a well-structured system that provides respite and other types of support; additionally, they are well paid in recognition of the high levels of responsibility and expectations placed on them by the model. Under the current FBTP initiative, a single provider agency contracts with OMH to provide training, support, advocacy and supervision. The grouping of one respite couple and five professional families with one professional staff person forms the "cluster" which is the primary arena for providing professional parent supports, sharing child care data and experiences, and training. Children served in the FBTP Program are between the ages of five and 18, with the target population under 12 years of age. The children exhibit a variety of serious emotional problems.

Residential Treatment Facility - Children and Youth

Residential Treatment Facilities (RTF's) provide fully-integrated mental health treatment services to seriously emotionally disturbed children and youth between the ages of five and 21 years of age. These services are provided in 14-61 bed facilities which are certified by both the Office of Mental Health (OMH) and the Joint Commission on the Accreditation of Health Care Organizations (JCAHO) or Council on Accreditation (COA). RTF's are less intensively staffed than inpatient units, but provide a much higher level of services and staffing than community residences, Office of Children and Family Services (formerly the Department of Social Services) group homes, and/or child care institutions.

Teaching Family Home

The Teaching Family Homes are designed to provide individualized care to children and youth with serious emotional disturbances in a family-like, community-based environment. Specially trained parents live and work with four children and youth with serious emotional disturbances in a home-like setting. The teaching parents are responsible for the social education of the children and the implementation of a service plan developed in conjunction with the family and clinical service provider. The focus is on teaching the youth to live successfully in a family, attend school, and live productively in the community.

Community Residence, Children & Youth (C&Y)

A Community Residence which provides a supervised, therapeutic environment for six to eight children or adolescents, between the ages of 5 and 18 years, that includes structured daily living activities, problem solving skills development, a behavior management system and caring consistent adult interactions. Most often, needed clinical supports for the child and family are provided by community-based services.

Amounts Appropriated and Disbursed: This Program is appropriated as part of one or more larger lump sum appropriations each year. See Childrens Services Program Overall.

TECHNICAL ASSISTANCE

Objective: Assists local governments and eligible providers in planning for and providing quality mental health services in compliance with individual program requirements.

Administering Agency: NYS Office of Mental Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Contacts:

Central Office - (518) 474-0122
New York City Field Office - (212) 675-3803
 Bronx Children's Psychiatric Center - (718) 239-3600
 Bronx Psychiatric Center - (718) 931-0600
 Creedmoor Psychiatric Center - (718) 464-7500
 Kingsboro Psychiatric Center - (718) 221-7700
 Manhattan Psychiatric Center - (646) 672-6000
 New York State Psychiatric Institute - (212) 543-5000
 Queens Children's Psychiatric Center - (718) 464-2900
 South Beach Psychiatric Center - (718) 667-2300
Field Office at Hutchings PC - (315) 472-2093
 Binghamton Psychiatric Center - (607) 724-1391
 Mohawk Valley Psychiatric Center - (315) 797-6800
 Richard H. Hutchings Psychiatric Center - (315) 473-4980
 St. Lawrence Psychiatric Center - (315) 393-3000
Field Office at Pilgrim PC - (631) 761-2508
 Pilgrim Psychiatric Center - (631) 761-3500
 Sagamore Children's Psychiatric Center - (631) 673-7700
Field Office at Buffalo PC - (716) 885-4219
 Buffalo Psychiatric Center - (716) 885-2261
 Elmira Psychiatric Center - (607) 737-4739
 Rochester Psychiatric Center - (585) 473-3230
 Western New York Children's Psychiatric Center - (716) 674-9730
Field Office at Hudson River PC - (845) 454-8229
 Capital District Psychiatric Center - (518) 447-9611
 Hudson River Psychiatric Center - (845) 452-8000
 Middletown Psychiatric Center - (845) 342-5511
 Nathan S. Kline Institute for Psychiatric Research - (845) 398-5500
 Rockland Children's Psychiatric Center - (845) 359-7400
 Rockland Psychiatric Center - (845) 359-1000
Forensic Facilities
 Central New York Psychiatric Center - (315) 736-8271
 Kirby Forensic Psychiatric Center - (646) 672-6000
 Mid-Hudson Psychiatric Center - (845) 374-3171

Eligibility: Local Governments and Eligible Providers.

Action Required to Receive Aid: On request.

Description of Aid:

Technical assistance is provided for all agency programs as well as in areas such as quality assurance and planning. Funding for such technical assistance is made available, as appropriate, within the individual program resources or by use of personnel funded under the state purposes portion of the budget. Local governmental units and other eligible providers of mental health services should contact the appropriate OMH Field Office (listed above) to arrange for technical assistance.

100% DAY TRAINING

Objective: Supports the development of programs for persons with developmental disabilities who do not require the intensive medical orientation of day treatment programs but do need substantive vocational, educational and habilitative services not provided in day treatment or sheltered workshop programs. Day programs for seniors with developmental disabilities are also included in this appropriation.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64690

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41

Regulation: 14 NYCRR Part 635

Program Contact:

Robert Noble
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and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 473-6255
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Fiscal Contact:

John Smith
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NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
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E-Mail: John.Smith@omr.state.ny.us

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for day training/senior services only.

Action Required to Receive Aid: Agreement between State Office of Mental Retardation and non-profit groups.

Description of Aid:

Flow of Funds: State to Non-Profits. Monies are provided on an advance basis via direct contract between State and non-profit provider.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,965,000	NA
SFY 05-06	NA	3,965,000	NA
SFY 06-07	NA	4,062,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,248,000	NA
SFY 05-06	NA	3,724,000	NA

ARTICLE 16 CLINICS

Objective: Provides habilitation type services to individuals with developmental disabilities.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Article 16

Regulation: NA

Program Contact:

Kathy Keating
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NYS Office of Mental Retardation
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Fiscal Contact:

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Eligibility: Non-Profit Groups.

Type of Program and Special Restrictions: Clinical treatment facilities. Must be certified in accordance with Article 16 of NYS Mental Hygiene Law.

Action Required to Receive Aid: Submission of a Certificate of Need in order to become a certified Article 16 clinic.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit. Monies are provided as a reimbursement.

Type of Aid: Ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	18,128,000	9,789,000	29,474,000
SFY 05-06	18,128,000	9,789,000	29,474,000
SFY 06-07	30,156,000	16,230,000	49,030,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	18,128,000	9,789,000	29,474,000
SFY 05-06	18,128,000	9,789,000	29,474,000

CARE-AT-HOME WAIVER

Objective: Assists families with children 18 years of age and younger with severe disabilities and medical needs not covered by the State's regular Medicaid plan.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Laws of 1989, Chapter 729; US Social Security Act, Section 1915(c)

Regulation: NA

Program Contact:

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Eligibility: Individual families directly through their DDSO office. Must meet eligibility requirements of age (under 18) and disability level.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. See OMRDD regulations.

Action Required to Receive Aid: Non-competitive application based on eligibility requirements.

Description of Aid:

Flow of Funds: Federal to State to Families, Non-Profit Agencies and Vendors.

Type of Aid: Ongoing

Formula: Funding is based upon an individual plan of care developed jointly with the family, case manager and assessing nurse, in conjunction with the physician's order. There is a funding cap of \$9,000/month, (\$108,000 annually).

Matching Requirement: State 50%, Federal 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,167,000	1,167,000	NA
SFY 05-06	1,167,000	1,167,000	NA
SFY 06-07	1,723,000	1,723,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,167,000	1,167,000	NA
SFY 05-06	1,167,000	1,167,000	NA

CHAPTER 620 MENTAL RETARDATION SERVICES

Objective: Ensures adequate and appropriate community based services for mentally disabled clients who have been institutionalized in State developmental centers for five or more years and then released into the community.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64502

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41.18

Regulation: 14 NYCRR Part 635

Program Contact:

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Fiscal Contact:

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 44 Holland Avenue
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 (518) 402-4321
 E-Mail: John.Smith@omr.state.ny.us

Eligibility: Counties and Private Non-Profit Groups. Must have an approved local services plan.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for day services only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government. A portion of the funds are provided by direct contract between non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,559,000	NA
SFY 05-06	NA	9,693,000	NA
SFY 06-07	NA	9,790,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,445,000	NA
SFY 05-06	NA	9,693,000	NA

DIRECT SHELTERED WORKSHOPS (MENTAL RETARDATION)

Objective: Supports sheltered workshops for the mentally retarded and developmentally disabled.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64503

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41.39

Regulation: 14 NYCRR Part 635

Program Contact:

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Fiscal Contact:

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Director
Community Funding
NYS Office of Mental Retardation
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Eligibility: Private Non-Profit Groups. Must have program certified by the State Office of Mental Retardation and Developmental Disabilities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for sheltered workshops only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Non-Profit. Direct contract between non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,726,000	NA
SFY 05-06	NA	4,289,000	NA
SFY 06-07	NA	4,336,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,519,000	NA
SFY 05-06	NA	3,971,000	NA

FAMILY CARE

Objective: Supports clients residing in certified private homes. Also provides developmentally disabled individuals with health care and rehabilitation services needed to maintain them in a family living setting.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 64404

Year Established: 1931

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Sections 31.03, 16.23

Regulation: 14 NYCRR Part 681

Program Contact:

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Fiscal Contact:

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Eligibility: Family Care Providers and Voluntary Agencies sponsoring Family Care Programs. Family care homes must be certified by NYS OMRDD.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit and Family Care Providers. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	20,956,000	24,601,000	NA
SFY 05-06	21,852,000	25,652,000	NA
SFY 06-07	22,642,000	26,580,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	20,956,000	24,601,000	NA
SFY 05-06	20,267,000	23,792,000	NA

FAMILY SUPPORT SERVICES

Objective: Strengthens the family's ability to care for a developmentally disabled family member in the home.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64408 and 64409

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1984, Chapter 461

Regulation: NA

Program Contact:

Lori Lehmkuhl
 Statewide Family Support
 Services Coordinator
 NYS Office of Mental Retardation
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Eligibility: Counties, Cities, Towns, Villages and Individual Family Units. Must be certified by OMRDD.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required. Application process uses a "business plan" approach. It requires filing a simple two-page request for application (RFA).

Description of Aid:

Flow of Funds: State to Non-Profit. Monies are provided partially as an advance and partially as a reimbursement via direct contract between State and non-profit providers.

Type of Aid: Ongoing

Formula: Funding is based upon the number of eligible individuals living in counties served, amounts previously allocated, and need assessment as shown on the developmental disabilities profile (DDP) records.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	55,816,000	NA
SFY 05-06	NA	59,877,000	NA
SFY 06-07	NA	62,877,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	52,747,000	NA
SFY 05-06	NA	43,381,000	NA

HCBS WAIVER

Objective: Provides residential and employment and training opportunities to developmentally disabled persons; including services provided under NYS-CARES.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13, 16 and 41. US Social Security Act, Section 1915(c)

Regulation: NA

Program Contact:

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Waiver Management Unit
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Eligibility: Private Non-Profit Groups. Must be a certified Medicaid provider.

Type of Program and Special Restrictions: Medicaid waiver program.

Action Required to Receive Aid: Must be enrolled in the Medicaid waiver program.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit. Monies are provided as a reimbursement and are a combination of State and Federal monies.

Type of Aid: Funding is a combination of project grant monies and ongoing monies.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	651,246,000	787,553,000	NA
SFY 05-06	709,449,000	867,104,000	NA
SFY 06-07	808,390,000	988,032,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	644,362,000	787,553,000	NA
SFY 05-06	780,394,000	867,104,000	NA

HEPATITIS "B" PROGRAM

Objective: Provides reimbursement for the costs of shots and laboratory fees for employees whose occupational duties in OMRDD operated/certified programs or other work settings might possibly involve exposure to blood or other potentially infectious materials. New OSHA regulations (effective March 6, 1992) require each DDSO to establish bloodborne pathogen "exposure control plans" to ensure the safety of workers whose job duties could pose a hazard of bloodborne pathogen exposure.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64690

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: 29 CFR Part 1910.1030, OSHA standards.

Program Contact:

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Eligibility: Any employee of OMRDD operated/certified program(s) whose job duties might involve exposure to blood or other infectious materials.

Type of Program and Special Restrictions: Optional, if desired, shot costs/lab fees are paid by employer. (The employers are then eligible to apply for reimbursement from NYS OMRDD.)

Action Required to Receive Aid: A form must be filed with employer.

Description of Aid:

Flow of Funds: Non-Profit Provider agencies pay for inoculations and subsequently can apply to State for reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	435,000	NA
SFY 05-06	NA	435,000	NA
SFY 06-07	NA	435,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	177,000	NA
SFY 05-06	NA	394,000	NA

INDIVIDUALIZED SUPPORT SERVICES

Objective: Provides developmentally disabled individuals with supports and services to enable them to live independently in their own home/apartment.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13 and 41.

Regulation: NA

Program Contact:

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Eligibility: Non-Profit Groups and Developmentally Disabled Individuals.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: An individualized service plan, including a budget must be prepared.

Description of Aid:

Flow of Funds: State to Non-Profit. Monies are provided on an advance basis via direct contract between State and non-profit providers.

Type of Aid: One-time or ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	13,108,000	NA
SFY 05-06	NA	13,108,000	NA
SFY 06-07	NA	13,208,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	12,241,000	NA
SFY 05-06	NA	11,643,000	NA

LONG TERM SHELTERED EMPLOYMENT

Objective: Provides employment on a regular basis to MR/DD individuals who, as a result of their disability, are unable to participate in the competitive labor market.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64690

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41

Regulation: 14 NYCRR Part 635

Program Contact:

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Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for sheltered workshops only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Non-Profit. Direct contract between non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	29,343,000	NA
SFY 05-06	NA	26,632,000	NA
SFY 06-07	NA	26,929,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	29,225,000	NA
SFY 05-06	NA	26,632,000	NA

MEDICAID SERVICE COORDINATION

Objective: Assists persons with developmental disabilities in gaining access to necessary services and supports appropriate to the needs of the individual.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2000

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13 and 41

Regulation: 14 NYCRR Part 635.5

Program Contact:

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Eligibility: Not-for-Profit Groups or Governmental Agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Monthly unit of service; based on the type of residential setting in which the consumer lives.

Matching Requirement: Federal 50%, State 50% (Local share required in non-overburden cases.)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	57,256,000	31,491,000	NA
SFY 05-06	70,120,000	37,757,000	NA
SFY 06-07	80,160,000	43,163,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	57,256,000	31,491,000	NA
SFY 05-06	63,329,000	34,100,000	NA

MENTAL RETARDATION LOCAL & UNIFIED SERVICES

Objective: Supports the development of preventive, rehabilitative and treatment services; expansion of existing programs for the mentally ill, the mentally retarded, the developmentally disabled, and those suffering from alcoholism and substance abuse; and integration of community and State services and facilities for the mentally disabled.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64507

Year Established: 1954

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41.18

Regulation: 14 NYCRR Part 635

Program Contact:

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Fiscal Contact:

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Eligibility: Counties. Must have an approved local services plan.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for day services only. For Unified Services funding, the Department of Mental Hygiene, directors of department facilities and local governments must jointly plan, deliver and pay for services.

Action Required to Receive Aid: Agreement between State Office of Mental Retardation and Developmental Disabilities and counties.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government. A portion of the funds are provided by direct contract between non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Local Services: State 50%, Local 50%; Unified Services: Local match to State funds varies among the five Unified Services counties (Warren, Washington, Rockland, Rensselaer and Westchester).

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	43,120,000	NA
SFY 05-06	NA	33,086,000	NA
SFY 06-07	NA	33,372,000	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	38,847,000	NA
SFY 05-06	NA	32,994,000	NA

*Since 1991-92, Local and Unified Services have been combined into one appropriation. The amounts reflect funds allocated to counties receiving local services funding.

SUBCHAPTER A-DAY TREATMENT

Objective: Supplies the provision of day treatment services to severely disabled individuals in community-based settings.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services.

Specific Program URL: NA

NYS Object Code: 64506

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 13.714

Legal Authority:

Law: NY Mental Hygiene Law, Article 16.05; US Social Security Act of 1935, Title XIX

Regulation: 14 NYCRR Part 690

Program Contact:

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Eligibility: State Governments and Non-Profit Groups. Must be certified by NYS Office of Mental Retardation and Developmental Disabilities (OMRDD).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. See CFDA listing and OMRDD regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit. Monies are provided as a reimbursement, and are a combination of State and Federal monies.

Type of Aid: Ongoing

Formula: Based on clients' days of treatment. Reimbursement schedule varies by non-profit group.

Matching Requirement: Federal 50%, State 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	41,712,000	41,712,000	NA
SFY 05-06	39,063,000	39,063,000	NA
SFY 06-07	34,159,000	34,159,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	41,712,000	41,712,000	NA
SFY 05-06	39,063,000	39,063,000	NA

SUPPORTED EMPLOYMENT

Objective: Supports programs to serve developmentally disabled persons over age 21, including the aging and other individuals living at home.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

Specific Program URL: NA

NYS Object Code: 64505

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: 14 NYCRR - Subpart 635-10, Subdivision 635-10.5(d)

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Fiscal Contact:

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Eligibility: Private Non-Profit Groups. Must offer day programming to underserved over age 21 including aging and other individuals living at home.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit; State to Non-Profit. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal 50%, State 25% if participant is HCBS enrolled.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

TRANSPORTATION FOR MEDICAL SERVICES

Objective: Supports the transportation of client to State-operated day activities by non-State transportation vendors.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services.

Specific Program URL: NA

NYS Object Code: 64602

Year Established: 1985

Catalog of Federal Domestic Assistance No.: 13.714

Legal Authority:

Law: US Social Security Act of 1935, Title XIX

Regulation: NA

Program Contact:

NA

Fiscal Contact:

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Director

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Eligibility: For-Profit and Not-For-Profit Transportation Vendors. Also, for mileage reimbursement, family care providers.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Consult fiscal contact.

Matching Requirement: Federal 50%, State 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,756,000	5,756,000	NA
SFY 05-06	5,948,000	5,948,000	NA
SFY 06-07	7,652,000	7,652,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	5,551,000	5,551,000	NA
SFY 05-06	5,825,000	5,825,000	NA

VOLUNTARY COMMUNITY RESIDENCES

Objective: Provides supervised and supportive living situations for developmentally disabled persons capable of living in the community in lieu of institutional placement. Also provides grants for program development.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 64403

Year Established: 1967

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13 and 41

Regulation: NA

Program Contact:

NA

Fiscal Contact:

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Eligibility: Private Non-Profit Groups. Must be a certified community residence provider.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Development funds are restricted by legislation, Article 41, Section 37 of the NY Mental Hygiene Law.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State Non-Profit; State to Non-Profit. Monies are provided as a reimbursement.

Type of Aid: Ongoing.

Formula: NA

Matching Requirement: Federal 50%, State 50% if participant is HCBS enrolled. (Local share is required in non-overburden cases.)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	18,000,000	39,530,000	NA
SFY 05-06	14,939,000	33,251,000	NA
SFY 06-07	12,325,000	27,432,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	16,011,000	26,123,000	NA
SFY 05-06	6,282,000	10,250,000	NA

VOLUNTARY OPERATED INTERMEDIATE CARE FACILITY (STATE SHARE)

Objective: Provides individuals in these facilities with room and board, continuous 24 hour-a-day supervision, professionally developed and supervised activities, experiences or therapies as developed for each individual by an interdisciplinary team.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 64402

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Article 41.44; US Social Security Act, Title XIX

Regulation: 14 NYCRR Part 681

Program Contact:

NA

Fiscal Contact:

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Eligibility: Non-Profit Groups. Must be certified by NYS OMRDD.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Based on consumers days at the residence; reimbursement schedule varies by non-profit group.

Matching Requirement: Federal 50%, State 50% (Local share required in non-overburden cases)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	474,117,000	329,037,000	NA
SFY 05-06	490,782,000	341,052,000	NA
SFY 06-07	475,649,000	330,536,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	454,384,000	329,037,000	NA
SFY 05-06	465,222,000	336,885,000	NA

STATE AND COMMUNITY HIGHWAY SAFETY

Objective: Helps State and local governments to provide a coordinated highway system in order to reduce traffic accidents, deaths, injuries and property damage.

Administering Agency: NYS Governor's Traffic Safety Committee; US Department of Transportation; National Highway Traffic and Safety Administration

Specific Program URL: NA

NYS Object Code: 69970

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 20.600-20.604

Legal Authority:

Law: US Highway Safety Act of 1966

Regulation: NA

Program Contact:

Kenneth Carpenter
Executive Director
Governor's Traffic Safety Committee
6 Empire State Plaza, Room 414
Albany, NY 12228
(518) 474-5111
E-Mail: Kcarp@dmv.state.ny.us

Fiscal Contact:

James Allen
Program Manager
Governor's Traffic Safety Committee
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Albany, NY 12228
(518) 474-5111
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Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. State must have an approved highway safety program to receive Federal money. Local jurisdiction must establish a traffic safety board pursuant to Article 43 of NY Vehicle and Traffic Law and Article 16-A of NY Executive Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Monies may not be used to offset program expenditures mandated by law. At least 40 percent of Federal funds must be expended to benefit local jurisdictions.

Action Required to Receive Aid: Competitive application process.

Description of Aid:

Flow of Funds: Federal to State, State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal share shall not exceed 75 percent.

Maintenance of Effort: Yes, negotiable.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	28,145,000	734,000	NA
SFY 05-06	57,802,901	620,000	NA
SFY 06-07	61,900,334	620,000	NA

Amounts Disbursed:

	<u>Federal Funds*State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	28,228,651	NA
SFY 05-06	36,934,080	NA

*Estimated expenditures.

STOP DWI

Objective: Protects those who use the roads of New York State from needless death, injury, and property damage resulting from drinking and driving, through the establishment of locally developed alcohol/highway safety programs.

Administering Agency: NYS Governor's Traffic Safety Committee

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Vehicle and Traffic Law, Sections 1803, 1197

Regulation: Part 172 of the Commissioner's Regulations

Program Contact:

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Fiscal Contact:

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Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Monies must be used to develop/implement anti-DWI programs in enforcement, court activities, probation, rehabilitation, public information/education.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Monies must be for DWI interventions and cannot be used to duplicate efforts previously funded by State or municipal monies.

Action Required to Receive Aid: Annual STOP-DWI plan submitted to and approved by county governing body and the Commissioner of Motor Vehicles.

Description of Aid:

Flow of Funds: Fines collected for alcohol-related traffic offenses in courts are directed to county fiscal officers for STOP-DWI programs. Monies are paid in advance of expenditures.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Program funding is from alcohol-related fines collected in courts and based on county calendar years.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>
SFY 04-05	NA	NA	22,800,000
SFY 05-06	NA	NA	22,800,000

*Proposed expenditures.

ENVIRONMENTAL PROTECTION FUND

Objective: Provides grants to municipalities and not-for-profit corporations for the acquisition and development of Parks, Historic Preservation projects and the Heritage Areas System.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

Specific Program URL: NA

NYS Object Code: 69813, 69814, 69815, 72900

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Environmental Protection Act, Title 9, Article 54

Regulation: 9 NYCRR 439-443

Program Contact:

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Barbara Merritt, Program Manager

Eligibility: State Agencies, Counties, Cities, Towns, Villages, School Districts, Public Benefit Corporations, Public Authorities and Non-Profit Groups. Park projects must be in accord with Statewide Comprehensive Outdoor Recreation Plan; historic preservation sites must be on a National or State Register of Historic Sites and Places; Heritage Areas must be within a HAS boundary and conform to approved HAS plan and contribute to program goals. All projects must be in accord with published regulations.

Type of Program and Special Restrictions: Funded projects are subject to mandated State requirements. In general, funds may not be used for operation or maintenance, or for facilities not open to the general public.

Action Required to Receive Aid: Competitive application for aid required, solicited annually.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State assistance is provided for up to 50 percent of total allowable project costs.

Maintenance of Effort: Yes

Amounts Appropriated: SFY 2004-05 - 12,565,000
SFY 2005-06 - 14,315,000
SFY 2006-07 - 20,500,000

Amounts Reimbursed to Local Sponsors: SFY 2004-05 - 4,073,208
SFY 2005-06 - 1,443,864

HISTORIC PRESERVATION FUND GRANTS-IN-AID (CERTIFIED LOCAL GOVERNMENT (CLG) SUBGRANTS)

Objective: To assist Certified Local Governments (CLGs) with historic preservation projects, including identification, evaluation, nomination and protection of the community's cultural resources.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation; US Department of Interior

Specific Program URL: NA

NYS Object Code: 69805

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 15.904

Legal Authority:

Law: National Historic Preservation Act, as amended.

Regulation: 36 CFR 61

Program Contact:

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Julian Adams, CLG Coordinator

Eligibility: Counties, Cities, Towns, and Villages. To be eligible for awards, local governments must qualify for and enroll as CLGs. Requirements include enactment of a local law for designating and preserving historic properties and establishment of a commission to administer the law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. All funded projects must meet the Secretary of the Interior's Standards for Archaeology and Historic Preservation.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: Federal 60%; State and Local combined 40%.

Maintenance of Effort: Local effort is encouraged but not required.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	89,363	NA	NA
SFY 05-06	92,886	NA	NA
SFY 06-07	93,706	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	89,363	NA	NA
SFY 05-06	92,886	NA	NA

**LAND AND WATER CONSERVATION FUND
(OUTDOOR RECREATION – ACQUISITION,
DEVELOPMENT AND PLANNING)**

Objective: Provides grants to municipalities for the acquisition and development of outdoor recreation areas and facilities for the general public.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation; US Department of Interior

Specific Program URL: NA

NYS Object Code: 69801

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 15.916

Legal Authority:

Law: US Land and Water Conservation Fund Act of 1965, PL 88-578, PL 100-203

Regulation: LWCF Grants Manual and OMB Circulars A-102 and A87

Program Contact:

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Marie Devery, Program Manager

Eligibility: State Agencies, Counties, Cities, Towns, Villages, School Districts and Special Districts. Project must be in accordance with Statewide Comprehensive Outdoor Recreation Plan.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must not be used for facilities which are not open to the general public, nor for the maintenance and operation of facilities.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: Statewide Comprehensive Recreation Plan Rating System.

Matching Requirement: Federal share of 50 percent is a maximum, actual cost share may be less. For locally funded projects, Federal share is 50 percent and Local share is 50 percent. In-kind contributions by private parties are encouraged to meet local share of costs.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 05	NA	NA	NA
FFY 06	NA	NA	NA
FFY 07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 05	13,793	NA	NA
FFY 06	561,609	NA	NA

NAVIGATION LAW ENFORCEMENT

Objective: Supports local government enforcement of Navigation Law.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

Specific Program URL: NA

NYS Object Code: 69802

Year Established: 1960

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Navigation Law

Regulation: 9 NYCRR, Subtitle I, Chapter V, Subchapter A

Program Contact:

Brian Kempf
 Sr. Marine Services Rep.
 Marine and Recreational Vehicles
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 and Historic Preservation
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Fiscal Contact:

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Eligibility: Counties, and in some instances Cities, Towns and Villages. For enforcement of the provisions of the Navigation Law, must participate in State's vessel accident reporting system and vessel theft programs and noise level enforcement approved by Commissioner.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided subsequent to expenditures. Program cap authorization: \$400,000.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State up to 75%, Local remainder

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,350,000	NA
SFY 05-06	NA	3,500,000	NA
SFY 06-07	NA	3,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,200,000	NA
SFY 05-06	NA	3,340,000 (est.)	NA

RECREATIONAL TRAILS PROGRAM

Objective: Supports the acquisition, development, rehabilitation and maintenance of trails and trail-related projects.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation; US Department of Transportation, Federal Highway Administration

Specific Program URL: NA

NYS Object Code: 69812

Year Established: 1991

Catalog of Federal Domestic Assistance No.: 20.219

Legal Authority:

Law: Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) authorized the Recreational Trails Program, codified in Federal legislation, Section 206 of Title 23 United States Code (23 USC 206) on August 10, 2005.

Regulation: 49 CFR Parts 18 and 19

Program Contact:

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Vicki Slade, Program Manager

Eligibility: Private Organizations, Municipal, State and Federal Agencies, Indian Tribal Governments, and other Public Agencies and Authorities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State; Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: Statewide Comprehensive Recreation Plan Rating System.

Matching Requirement: Federal share no more than 80 percent of total allowable project costs. Federal project sponsors may provide their own funds as additional Federal share up to 95 percent of total allowable project costs.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	1,377,388	NA	NA
FFY 05	1,463,360	NA	NA
FFY 06	1,704,263	NA	NA

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04	1,377,388	NA	NA
FFY 05	1,366,755	NA	NA

*Total projects.

SNOWMOBILE LAW ENFORCEMENT

Objective: Supports the enforcement of snowmobile safety to protect private and public property.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

Specific Program URL: NA

NYS Object Code: 69803

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Parks, Recreation and Historic Preservation Law

Regulation: 9 NYCRR, Subtitle I, Chapter V, Subchapter B

Program Contact:

Victor Wood
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Marine and Recreational Vehicles
NYS Office of Parks, Recreation
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Fiscal Contact:

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E-Mail: pete.finn@oprhp.state.ny.us

Eligibility: Counties, Cities, Towns and Villages. Must be able to enforce the provisions of the Parks, Recreation and Historic Preservation Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for snowmobile law enforcement expenditures.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated: Based upon a percentage of fees received for snowmobile registrations. All aid to localities from snowmobile appropriations is taken from one appropriation - Law Enforcement first - according to formula under Section 27.15 of the Parks, Recreation and Historic Preservation Law. Remainder is appropriation for trail development and maintenance.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	150,000
SFY 05-06	NA	NA	150,000

SNOWMOBILE TRAIL DEVELOPMENT AND MAINTENANCE

Objective: Provides financial assistance to counties for snowmobile trail development and maintenance.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

Specific Program URL: NA

NYS Object Code: 69816

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Parks and Recreation Law

Regulation: NYCRR, Chapter V, Subchapter A, Part 454

Program Contact:

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Eligibility: Counties, Cities, Towns and Villages. Must be engaged in assisting development and maintenance of a snowmobile trail program system.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Prior approval of trails - three year plan.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Funding generated from user registration fee is shared among applicants based on each applicant's share of the expenses of all applicants.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Based upon a percentage of fees received for snowmobile registrations. All aid to localities from snowmobile appropriations is taken from one appropriation - Law Enforcement first - according to formula under Section 27.15 of the Parks, Recreation and Historic Preservation Law. Remainder is appropriation for trail development and maintenance.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	4,900,000
SFY 05-06	NA	NA	4,700,000

ZOOS, BOTANICAL GARDENS AND AQUARIUMS PROGRAM

Objective: Supports collections care and special projects at zoos, botanical gardens, aquariums, nature centers and other institutions with "living collections."

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

Specific Program URL: NA

NYS Object Code: 69806

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Arts and Cultural Affairs Law, Section 55.07

Regulation: NA

Program Contact:

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Cathy Jepson, Program Manager

Eligibility: Counties, Cities, Towns, Villages and Non-Profit Groups. Must maintain a "living collection" for the education and enjoyment of the general public.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Restrictions apply per program guidelines and individual contract provisions. Consult program contact for details.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: Based on applicants costs for providing ZGBA eligible programs and services.

Matching Requirement: NA

Maintenance of Effort: Monies must supplement existing funding, not replace it.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,952,000	NA
SFY 05-06	NA	6,000,000	NA
SFY 06-07	NA	7,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,919,869	NA
SFY 05-06	NA	5,200,000	NA

COMMUNITY PROGRAMS - DEMONSTRATION PROJECTS

Objective: Develops alternatives to incarceration which are acceptable to the community and the criminal justice system.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66603¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1982, Chapter 267, NY Laws of 2002, Chapter 54 and Section 243(2) of the Executive Law.

Regulation: NA

Program Contact:

Laura Bauer
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5153
E-Mail: laura.bauer@dpc.state.ny.us

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
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(518) 485-5145
E-Mail: bancroft@dpc.state.ny.us

Eligibility: Counties, City of New York and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any Federal requirements. However, other restrictions are listed in law and DPCA contracts.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,078,800	NA
SFY 05-06	NA	5,079,000	NA
SFY 06-07	NA	5,079,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	6,438,700	NA
SFY 05-06	NA	4,434,700	NA

¹Refers to local alternatives to incarceration and other programs.

INTENSIVE SUPERVISION PROGRAM

Objective: Provides a sentencing alternative to jail or prison bound probation eligible D and E class felons and certain misdemeanants.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66602

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 54, NY Laws of 2002.

Regulation: NY Division of Probation and Correctional Alternatives Rules and Regulations

Program Contact:

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 Community Correction Representative
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 Correctional Alternatives
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Fiscal Contact:

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 Correctional Alternatives
 80 Wolf Road
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 E-Mail: bancroft@dpc.a.state.ny.us

Eligibility: Counties, City of New York and Local Probation Offices.

Type of Program and Special Restrictions: Optional, without any Federal requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Division Rules and Regulations, Part 351, DPCA's operating manual/standards and DPCA contractual agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,995,700	NA
SFY 05-06	NA	5,996,000	NA
SFY 06-07	NA	5,996,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,845,000	NA
SFY 05-06	NA	5,347,000	NA

JUVENILE INTENSIVE SUPERVISION PROBATION

Objective: Provides a sentencing alternative to certain juvenile delinquents for an offense other than a violent felony if such youth suffered from an alcohol or drug dependency at the time of the offense.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66611

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 54, NY Laws of 2002.

Regulation: NA

Program Contact:

Norma Tyler
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-7692
E-Mail: norma.tyler@dpc.state.ny.us

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5145
E-Mail: bancroft@dpc.state.ny.us

Eligibility: Counties, City of New York and Local Probation Offices.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements in law and DPCA contractual agreement.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,211,300	NA
SFY 05-06	NA	1,211,000	NA
SFY 06-07	NA	1,211,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	582,100	NA
SFY 05-06	NA	1,027,800	NA

LOCAL ALTERNATIVES TO INCARCERATION

Objective: Develop or enhance existing programs which serve to assist the court, public officers or others in identifying and avoiding the inappropriate use of incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66603¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 243(2) and Article 13-A

Regulation: NA

Program Contact:

Laura Bauer
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5153
E-Mail: laura.bauer@dpc.state.ny.us

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5145
E-Mail: bancroft@dpc.state.ny.us

Eligibility: Counties, City of New York

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions listed in Article 13-A of the Executive Law and DPCA contracts.

Action Required to Receive Aid: Non-competitive application for aid required. Local jurisdictions must establish an advisory board which prepares and approves a local alternative to incarceration service plan. This plan must be submitted to the Division for approval, and compliance is prerequisite to reimbursement.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 50% State, 50% Local

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,522,000	NA
SFY 05-06	NA	4,522,000	NA
SFY 06-07	NA	4,522,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	4,116,900	NA
SFY 05-06	NA	4,961,500	NA

¹Refers to local alternatives to incarceration and other programs.

LOCAL ALTERNATIVES TO INCARCERATION DRUG & ALCOHOL PROGRAM

Objective: Develop eligible alcohol and substance abuse programs for offenders who have or have had a history of alcohol or substance abuse, and who, having been charged with or convicted of a felony are also at risk of incarceration as a pre-trial detainee, a determinate sentenced offender, an indeterminate sentenced offender, or a probation or parole violator. Such programs shall serve to assist the courts, public officers and others in identifying and avoiding inappropriate incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66607

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 243(2) and Article 13-A

Regulation: NA

Program Contact:

Laura Bauer
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5153
E-Mail: laura.bauer@dpc.state.ny.us

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5145
E-Mail: bancroft@dpc.state.ny.us

Eligibility: Counties, City of New York

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Section 266 with respect to offender eligibility and Division contracts.

Action Required to Receive Aid: Non-competitive application for aid required. Requires local jurisdiction to submit and secure from the Division an approved amendment of their alternative to incarceration service plan.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 100% (Year 1); State 75%, Local 25% (Year 2); State 50%, Local 50% (Thereafter)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,368,300	NA
SFY 05-06	NA	2,368,000	NA
SFY 06-07	NA	2,368,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,003,900	NA
SFY 05-06	NA	2,429,500	NA

LOCAL PROBATION SERVICES

Objective: Supports the maintenance and improvement of local probation services.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66601

Year Established: 1955

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Articles 12 and 12-A, and specifically Section 246 of such law.

Regulation: NY Division of Probation and Correctional Alternative rules and regulations, 9 NYCRR, Subtitle H and specifically Part 360.

Program Contact:

Walter Cogswell
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-7692
E-Mail: walter.cogswell@dpc.state.ny.us

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5145
E-Mail: bancroft@dpc.state.ny.us

Eligibility: Counties and City of New York.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Part 360 of the Division's Rules and Regulations.

Action Required to Receive Aid: Non-competitive application for aid required. Requires an approved plan for services, and an approved State aid application; compliance with Division standards regarding administration of probation services is a prerequisite to reimbursement.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Up to 50% State

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	46,583,900	NA
SFY 05-06	NA	46,584,000	NA
SFY 06-07	NA	46,584,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	46,584,000	NA
SFY 05-06	NA	46,584,000	NA

PROBATION ELIGIBLE DIVERSION

Objective: Provides incentive funding to implement community-based supervision and treatment programs designed to divert first time non-violent felony offenders from State prison.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66609

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 54, NY Laws of 2002

Regulation: NA

Program Contact:

Walter Cogswell
 Community Correction Representative
 NYS Division of Probation and
 Correctional Alternatives
 80 Wolf Road
 Albany, New York 12205-2604
 (518) 485-7692
 E-Mail: walter.cogswell@dpc.a.state.ny.us

Fiscal Contact:

Howard R. Bancroft
 Administrative Officer
 NYS Division of Probation and
 Correctional Alternatives
 80 Wolf Road
 Albany, New York 12205-2604
 (518) 485-5145
 E-Mail: bancroft@dpc.a.state.ny.us

Eligibility: Counties, City of New York and Local Probation offices.

Type of Program and Special Restrictions: Optional, without any Federal mandated requirements. Governor's Executive Order prohibits sex offenders from program participation and program must be consistent with DPCA contractual agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing subject to annual application.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,140,200	NA
SFY 05-06	NA	1,140,000	NA
SFY 06-07	NA	1,140,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	901,700	NA
SFY 05-06	NA	1,180,800	NA

SPECIAL ASSISTANCE FOR SUPERVISION AND TREATMENT OF OFFENDERS

Objective: Provides funding to New York City based programs that provide diversion from incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

Specific Program URL: NA

NYS Object Code: 66610

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 54, NY Laws of 2002

Regulation: NA

Program Contact:

Bernard Wilson
Supervisor, Contract Administration
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5153
E-Mail: bernard.wilson@dpc.state.ny.us

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
80 Wolf Road
Albany, New York 12205-2604
(518) 485-5145
E-Mail: bancroft@dpc.state.ny.us

Eligibility: Not-for-Profit Organization through competitive bid.

Type of Program and Special Restrictions: Optional, yet must meet DPCA contractual agreement.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Not-for-Profit.

Type of Aid: Ongoing subject to annual application.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	652,500	NA
SFY 05-06	NA	653,000	NA
SFY 06-07	NA	653,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	523,700	NA
SFY 05-06	NA	791,600	NA

Public Employment Relations Board

PUBLIC EMPLOYMENT DISPUTES

(Technical Assistance)

Objective: It is the public policy of the State of New York and the purpose of the Taylor Law to promote harmonious and cooperative relationships between government and its employees and to protect the public by assuring, at all times, the orderly and uninterrupted operations and functions of government. The Public Employment Relations Board (PERB) was created by the Public Employees' Fair Employment Act (Taylor Law) to serve as an independent, neutral agency to administer the provisions of the Taylor Law and to promote cooperative relationships between the State and local governments and their employees.

Administering Agency: NYS Public Employment Relations Board

Specific Program URL: www.perb.state.ny.us

NYS Object Code: NA

Year Established: 1967

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law (Taylor Law), Article 14

Regulation: Rules of the Public Employment Relations Board

Program Contact:

Michael R. Cuevas
Chairman
Public Employment Relations Board
80 Wolf Road, 5th Floor
Albany, NY 12205-2604
(518) 457-2578
E-Mail: info@perb.state.ny.us

Fiscal Contact:

James R. Edgar
Executive Director
Public Employment Relations Board
80 Wolf Road, 5th Floor
Albany, NY 12205-2604
(518) 457-2578
E-Mail: info@perb.state.ny.us

Eligibility: Public employers and public employee organizations, as well as individual public employees, may request assistance. Mayoral agencies of the City of New York, except for police and fire impasse resolution, are excluded.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Forms available from agency for filing improper practice charges, dispute resolution and publication services.

Description of Aid:

Charged with administering the provisions of the Taylor Law, PERB adopts and enforces rules and regulations for the resolution of representation disputes and the deciding of improper practice charges. PERB provides mediation, fact-finding and arbitration assistance to resolve collective bargaining impasses between public employers and employee organizations. The Board determines culpability of employee organizations for engaging in a strike and may order forfeiture of dues check off privileges. Technical assistance is provided to local governments in the establishment and utilization of joint labor-management committees. To assist in the understanding of the Taylor Law, the statutory provisions for collective bargaining and representation, and the rules and decisions of the board, PERB provides information on its website, publishes a number of pamphlets and/or publications and conducts education and training programs regularly around the State. A publication list and fees and program schedules may be obtained by contacting PERB at (518) 457-2676 or by visiting its website.

TECHNOLOGY-RELATED ASSISTANCE FOR INDIVIDUALS WITH DISABILITIES (TRAID PROJECT)

Objective: Develop a comprehensive consumer-responsive program of assistive technology.

Administering Agency: NYS Commission on Quality of Care and Advocacy for Persons with Disabilities

Specific Program URL: www.cqcapd.state.ny.us

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 84.224

Legal Authority:

Law: US Assistive Technology Act of 1998

Regulation: NA

Program Contact:

Lisa Rosano-Kaczkowski
Project Manager
TRAID Project
NYS Commission on Quality of Care
and Advocacy for Persons with Disabilities
401 State Street
Schenectady, NY 12305
(518) 388-2892
E-Mail: lisa.rosano@cqcapd.state.ny.us

Fiscal Contact:

Richard Schaefer
NYS Commission on Quality of Care
and Advocacy for Persons with Disabilities
401 State Street
Schenectady, NY 12305

Eligibility: Counties, Cities, Towns, Villages, School Districts, Private Non-Profit Groups and Private-For-Profit Groups.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State and Federal requirements. Grant has funded the establishment of 12 Regional TRAIID Centers statewide. Activities are determined by RFP and contractual agreement.

Action Required to Receive Aid: Competitive application for aid required in response to RFP.

Description of Aid:

Flow of Funds: Federal to State. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant. Funding of limited duration per contract.

Formula: Contract award determined by grant. Based on population and percentage of population that are children eligible for early intervention services.

Matching Requirement: Federal 80%, Local 20%

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	458,703	NA	NA
SFY 05-06	460,000	NA	NA
SFY 06-07	1,088,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	287,700	NA	NA
SFY 05-06	1,033,000	NA	NA

REGULATION OF BINGO

(Technical Assistance Program)

Objective: Assists in the supervision, licensing and regulation of bingo and the conduct of bingo games.

Administering Agency: NYS Racing and Wagering Board

Specific Program URL: www.racing.state.ny.us

NYS Object Code: NA

Year Established: 1957

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Art. 14H; NY Executive Law, Article 19B

Regulation: 9 NYCRR Part 5800 et seq.

Program Contact:

Daniel Toomey
Public Information Officer
NYS Racing and Wagering Board
1 Broadway Center, Suite 600
Schenectady, NY 12305-2553
(518) 395-5400
E-Mail: info@racing.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: A request for technical assistance.

Description of Aid:

Assistance provided regarding the interpretation of the bingo licensing law, bingo control law and rules and regulations governing the conduct of bingo.

Comments:

Authorized organizations include bona fide religious, charitable, educational, fraternal, civic, service, veterans organizations and volunteer firefighters. Municipal officials may contact the Board to receive technical assistance and guidance with the licensing and regulation of bingo.

REGULATION OF GAMES OF CHANCE

(Technical Assistance Program)

Objective: Assists in the supervision, licensing and regulation of games of chance and the conduct of games of chance, including bell jar tickets and charitable raffles.

Administering Agency: NYS Racing and Wagering Board

Specific Program URL: www.racing.state.ny.us

NYS Object Code: NA

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Art. 9A

Regulation: 9 NYCRR Part 5600 et seq.

Program Contact:

Daniel Toomey
Public Information Officer
NYS Racing and Wagering Board
1 Broadway Center, Suite 600
Schenectady, NY 12305-2553
(518) 395-5400
E-Mail: info@racing.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandate State requirements.

Action Required to Receive Aid: A request for technical assistance.

Description of Aid:

Assistance provided regarding the interpretation of the games of chance licensing law and the rules and regulations regarding the conduct of games of chance. In addition, effective January 1997, Racing and Wagering Board will be enforcing the new bell jar ticket compliance legislation on a statewide basis; this replaces the local municipal law enforcement mandate regarding bell jar ticket compliance.

Comments:

Authorized organizations include bona fide religious, charitable, educational, fraternal, civic, service, veterans organizations and volunteer firefighters. Municipal officials may contact the Board to receive technical assistance and guidance with the licensing and regulation of games of chance.

CONSOLIDATION INCENTIVE AID

Objective: State assistance funds for NYS cities or towns or constituent municipalities of a coordinated or consolidated assessing unit for efficiencies in Real Property Tax Administration.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Section 1573

Regulation: NA

Program Contact:

Sally Cooney
State Aid Unit
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-0392
E-Mail: sally.cooney@orps.state.ny.us

Fiscal Contact:

Same

Eligibility: Cities and Towns which coordinate assessing functions, form a consolidated assessing unit, or contract with the county for assessment services. County assessing units created after April 1, 1996 are eligible for State aid.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Municipalities that revert to separate assessing within ten years must return a prorated portion of the aid payment to the State.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Aid is based on assessment roll parcel count. A one-time payment of \$7.00 per parcel, with a maximum of \$140,000 per assessing unit. A supplemental payment of \$5.00 per parcel, with a maximum of \$100,000 per assessing unit, is available to new members of coordinated assessment programs effective on the 2006, 2007 or 2008 assessment rolls.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: No separate appropriation for Consolidation Incentive Aid. Included in agency's Local Assistance appropriation.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	8,274	NA
SFY 05-06	NA	100,535*	NA

*Includes Enhanced Coordinated Assessment Program Aid for 2006 assessment roll.

EDUCATIONAL SERVICES

(Technical Assistance Program)

Objective: Educational Services administers the training and education program for local assessment officials. It also certifies that persons being considered for assessment administrator positions meet all qualifications. Some officials involved in courses of required training are eligible for reimbursement of expenses incurred in successful completion.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: <http://www.orps.state.ny.us/assessor/training/index.cfm>

NYS Object Code: NA

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Article 15-A

Regulation: 9 NYCRR 188

Program Contact:

Sally Cooney
Director
Educational Services
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-0392
E-Mail: edservices@orps.state.ny.us

Fiscal Contact:

NA

Eligibility: County, city and town assessors; county directors of real property tax services, real property appraisers employed by local government for appraisal duties pertaining to assessment of real property for purposes of taxation; Board of Assessment Review members, support staff in offices of assessors and real property tax directors (if space is available).

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Completion of basic course of training for certification for county directors, assessors, real property appraisers and members of Board of Assessment Review. County directors, sole elected and appointed assessors must also stay current with continuing education requirements.

Description of Aid:

All actual and necessary expenses incurred by assessors and county directors in satisfactorily completing the required courses for basic and continuing education are reimbursed upon application. Elected assessors are also reimbursed for continuing education courses that they choose to complete.

EMERGENCY FINANCIAL AID TO CERTAIN CITIES

Objective: Provides financial assistance to cities which are nearing their constitutional tax limits and/or have a large portion of exempt property.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: NA

NYS Object Code: 60301

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-C

Regulation: NA

Program Contact:

Sally Cooney
State Aid Unit
Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-0392
E-Mail: sally.cooney@orps.state.ny.us

Fiscal Contact:

NA

Eligibility: Cities with a population of less than one million but more than 125,000. Also, cities with population greater than 100,000 but less than 125,000 in which the assessed value of exempt property exceeds the assessed value of taxable property.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Submission of certified assessed values and tax rates.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditure.

Type of Aid: Ongoing

Formula: Complex formula, see provisions in Section 54-C.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Not the responsibility of the Office of Real Property Services.

IMPROVED REAL PROPERTY TAX ADMINISTRATION

Objective: State assistance funds for NYS cities, towns and counties for improvements in Real Property Tax Administration.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: http://www.orps.state.ny.us/reassess/state_aid/

NYS Object Code: 60701

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Article 15-B, Sections 202,504

Regulation: 9 NYCRR 192, 201

Program Contact:

Sally Cooney
State Aid Unit
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-0392
E-Mail: sally.cooney@orps.state.ny.us

Fiscal Contact:

Same

Eligibility: Counties, Cities and Towns. All counties, cities and towns are eligible through compliance with the NYS Regulations 9 NYCRR 192 and 201 as required prior to certification for State aid.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: The Attainment Aid program provided for a total of \$10 per parcel over a period of time to help defray the costs of developing an equitable assessment administration program. This program ended with assessment rolls filed in 1998. In 1996 a new program was introduced which provided a payment, up to \$5 per parcel, in the year of revaluation or reassessment (only once in a three year period), and up to \$2 per parcel in the intervening years. Municipalities were required to meet specific criteria such as uniform assessing practices, quality inventory, timely sales reporting, etc. In 1999, the statute was amended, discontinuing the payments in the intervening years after the 2000 assessment rolls (the discontinuance was later postponed until after the 2004 assessment rolls). Payments of up to \$5 per parcel during the year of revaluation or reassessment continue (presently described as Triennial Aid). Furthermore, a new program - Annual Reassessment Aid - commenced in 1999, providing a payment of up to \$5 per parcel each year if an assessing unit submits an approved six year plan for physically inspecting and reappraising every parcel at least once in the six year period and systematically reviewing all assessments annually, making changes as necessary in order to maintain an assessment roll where all property is assessed at a 100 percent of market value.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	11,719,000*	NA
SFY 05-06	NA	13,365,700*	NA
SFY 06-07	NA	12,600,000**	NA

*Includes Consolidation Incentive Aid

** Includes Consolidation Incentive Aid and Enhanced Coordinated Assessment Program Aid

IMPROVED REAL PROPERTY TAX ADMINISTRATION (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	8,305,012**	NA
SFY 05-06	NA	6,306,239***	NA

**Includes Consolidation Incentive Aid and County Aid.

*** Includes Consolidation Incentive Aid, County Aid, and Enhanced Coordinated Assessment Program Aid.

LEGAL ADVICE AND COUNSEL

(Technical Assistance Program)

Objective: A resource of legal advice to assessing officials, other governmental officials, and attorneys on matters relating to the administration of the real property tax. Selected opinions on such matters raised in the past have been published and copies are available from Counsel's office. Depending on the nature of the issue involved, responses may be made immediately by phone, or in writing subsequent to appropriate degree of legal research and/or in writing subsequent to the appropriate degree of legal research and staff review.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1949

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Section 202-1(g)

Regulation: NA

Program Contact:

Richard Sinnott
Counsel
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210
(518) 474-6753
E-Mail: richard.sinnott@orps.state.ny.us

Fiscal Contact:

NA

Eligibility: State Government, Counties, Assessing Units, Taxing Jurisdictions, Public Authorities and Attorneys.

Type of Program and Special Restrictions: Optional, without any State mandated requirements.

Action Required to Receive Aid: Questions pertaining to the administration of the real property tax should be brought to the attention of the Office of Counsel by telephone, or preferably, by letter. Complex issues will require a written request or response.

Description of Aid:

Provides advice and counsel to officials and attorneys on matters relating to real property assessment and taxation.

REAL PROPERTY SYSTEM (RPS)

(Technical Assistance Program)

Objective: Software and technical assistance for real property tax administration at the municipal level.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Article 15-c

Regulation: 9 NYCRR 190-5

Program Contact:

Michael Griffen
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 473-1565
E-Mail: mike.griffen@orps.state.ny.us

Fiscal Contact:

NA

Eligibility: County, City, Town and Village Assessing Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Persons interested in receiving, or finding out more information about this assistance should contact the RPS Team, or the manager of the regional office nearest them. (Regional offices are located in Albany, Batavia, Melville, Newburgh and Syracuse.)

Description of Aid:

The NYS Real Property System is a set of manual and computerized procedures which function in concert to administer all elements of the real property tax in an orderly and efficient way. The system is supported by the Division's RPS Team and by staff in our regional offices. The RPS Team is responsible for computer software support and documentation. Regional staff work directly with local assessment officials and their staffs to improve administration of the property tax. In addition to computer software, assistance offered by our regional offices ranges from answering local questions to a two-to-three year commitment of assistance in a complete reassessment program.

REASSESSMENT PROJECT SUPPORT

(Technical Assistance Program)

Objective: The goal of a reassessment project is to ensure that all properties within the municipality are assessed at a uniform percentage of value. Guidance and assistance to local municipalities is provided during these projects by regional office staff. Recent efforts have shifted to support of an Annual Reassessment Program. This program provides an effective way for towns and cities to both achieve and maintain property tax and assessment equity on a continual basis.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: Annual Reassessment Website is <http://www.orps.state.ny.us/reassess/index.htm>

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contacts for Regional Customer Services Delivery:

Northern Region: Mr. Robert Aiken, Regional Manager, NYS Office of Real Property Services, 16 Sheridan Avenue, 3rd Fl., Albany, NY 12210. Tel. (518) 486-4403; Fax (518) 486-7752.

Southern/Metro Region: Mr. John Wolham, Regional Manager, NYS Office of Real Property Services, 263 Route 17K, Suite 2001, Newburgh, NY 12550. Tel. (845) 567-2648; Fax (845) 567-2690.

Central Region: Mr. John Ilacqua, Regional Manager, NYS Office of Real Property Services, 401 South Salina, Syracuse, NY 13202. Tel. (315) 471-4816; Fax (315) 471-3634.

Western Region: Mr. Joseph Muscarella, Regional Manager, NYS Office of Real Property Services, Genesee County Building No. 2, 3837 West Main Road, Batavia, NY 14020. Tel. (585) 343-6329; Fax (585) 343-9740.

Eligibility: County, City, and Town Assessing Units. Village assessing units are not eligible.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Project support includes: preliminary planning and analysis; specification and proposals; project start up; data collection and file building; pre-valuation; valuation and field review; a standard set of GIS map products; assessment disclosure notice and informal hearings; approving the assessment unit; public relations and post-revaluation. ORPS offers various methods of support to municipalities wishing to undertake a program of annual reassessment. ORPS will act as collaborator, partner, facilitator, teacher, and consultant to assist municipalities wishing to pursue this program. ORPS reassessment support in the past often involved staff doing the work for the locality. Recent focus has been on helping to provide localities with the tools and direction to accomplish annual reassessment on their own.

Comments:

Reassessment project support is designed to: (1) encourage municipalities without property inventory data toward compliance with minimum inventory filing; (2) encourage municipalities to revalue assessments on an annual basis where such activity is scarce; and (3) encourage municipalities to conduct annual reassessments in order to maintain equity among taxpayers in the municipality. The Annual Reassessment Program requires: (1) annual maintenance of assessments at 100 percent of market value; (2) annual systematic analysis of all locally assessed properties; (3) annual revision of assessments as necessary to maintain the uniform percentage of value; and (4) implementation of a local plan to physically inspect and reappraise each parcel at least once every six years.

SCHOOL TAX RELIEF EXEMPTION (STAR)

Objective: Provides aid to localities to defray costs of processing Real Property Tax Exemptions from school taxes for certain owners of residential property.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: NA

NYS Object Code: 60703

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: 1997-98 and 1998-99 Executive Budget

Regulation: NA

Program Contact:

David Ange
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-2819
E-Mail: internet.star@orps.state.ny.us

Fiscal Contact:

Stephen King
Financial Officer
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-5762
E-Mail: Steve.King@orps.state.ny.us

Eligibility: Counties, Cities, Towns, Villages and School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. No special restrictions.

Action Required to Receive Aid: Participation in administration of STAR program.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by local government.

Type of Aid: Ongoing

Formula: Specific dollar amount per STAR exemption (no minimum/maximum).

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,980,000	NA
SFY 05-06	NA	4,480,000	NA
SFY 06-07	NA	4,990,000	NA

STATE ADVISORY APPRAISALS OF HIGHLY COMPLEX PROPERTY

(Technical Assistance Program)

Objective: To aid localities in determining the taxable value of highly complex commercial, industrial, and utility properties, and other types of special property. Such assistance is associated with local reassessment projects.

Administering Agency: NYS Office of Real Property Services

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Sections 1544, 1546

Regulation: 9 NYCRR 195-2

Program Contact:

Donald Card
Director
State Valuation Services
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-1071
E-Mail: don.card@orps.state.ny.us

Fiscal Contact:

NA

Eligibility: County, City, and Town Assessing Units. Village assessing units are not eligible for this technical assistance.

Type of Program and Special Restrictions: Optional, without any State mandated requirements.

Action Required to Receive Aid: As outlined in Section 195-2 of the Rules, the request for a State advisory appraisal shall be in writing and shall be filed with the State Board by the chief executive officer or assessor of a city, town or county with the power to assess real property. The municipality must be conducting a reassessment or update project.

Description of Aid:

Determining taxable appraised values of highly complex commercial, industrial and utility properties for real property tax purposes.

Comments:

State advisory appraisals are not binding on the local assessor requesting this assistance.

COASTAL MANAGEMENT AND LOCAL WATERFRONT REVITALIZATION

Objective: Provides financial assistance to waterfront municipalities for the preparation and implementation of Local Waterfront Revitalization Programs (LWRP).

Administering Agency: NYS Department of State

Specific Program URL: www.dos.state.ny.us/cstl

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 42; NY Environmental Protection Fund, Title 11

Regulation: 19 NYCRR Part 601

Program Contact:

Steve Ridler
Coastal Resource Specialist
Division of Coastal Resources
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6000
E-Mail: SRIDLER@DOS.STATE.NY.US

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: All municipalities within the State's designated coastal area are eligible for grant assistance when funds are available. Financial assistance to inland municipalities is limited to those along designated waterways.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and/or State and Federal requirements. For eligible communities, funds are restricted to uses which further development or implementation of Local Waterfront Revitalization Programs.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: A 50% local match is required.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	12,500,000	NA
SFY 05-06	NA	14,250,000	NA
SFY 06-07	NA	27,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	3,629,357	NA
SFY 05-06	NA	4,144,648	NA

COASTAL MANAGEMENT AND LOCAL WATERFRONT REVITALIZATION

(Technical Assistance Program)

Objective: Provides technical assistance to local governments in the coastal area and along designated inland waterways regarding waterfront revitalization development and environmental issues.

Administering Agency: NYS Department of State; US National Oceanic and Atmospheric Administration, Department of Commerce

Specific Program URL: www.dos.state.ny.us/cstl

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 11.419

Legal Authority:

Law: NY Executive Law, Article 42; US Coastal Zone Management Act of 1972, as amended

Regulation: 15 CFR Part 24; 19 NYCRR Part 601

Program Contact:

Division of Coastal Resources
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6000
E-Mail: COASTAL@DOS.STATE.NY.US

Fiscal Contact:

Same

Eligibility: Cities, Towns and Villages. Municipalities must be in designated coastal area, or if an inland waterway, they must be located along a designated waterway. Municipalities must be preparing or implementing Local Waterfront Revitalization Programs.

Type of Program and Special Restrictions: Optional, but if in a coastal area, once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Request must be made to the Department of State.

Description of Aid:

See Objective.

FIRE MOBILIZATION AND MUTUAL AID

Objective: Provides advances to municipalities for expenses incurred under the State's fire mobilization and mutual aid plan.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

Specific Program URL: NA

NYS Object Code: 69710

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746
E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Special Districts and Volunteer Fire Departments.

Type of Program and Special Restrictions: Optional, local choice. Advance for expenses incurred during an emergency declared under the State's Fire Mobilization and Mutual Aid plan.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	11,000
SFY 05-06	NA	NA	11,000
SFY 06-07	NA	NA	11,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

FIRE SUPERVISOR TRAINING

Objective: Reimburses expenses incurred by the New York City Fire Academy in the training of first line paid fire department supervisors.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

Specific Program URL: NA

NYS Object Code: 69711

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Section 209X

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746
E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: City of New York Fire Academy.

Type of Program and Special Restrictions: Optional. Must be used for training of first line supervisors of paid fire departments.

Action Required to Receive Aid: N/A Funds allocated to New York City Fire Academy.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,000,000
SFY 05-06	NA	NA	1,000,000
SFY 06-07	NA	NA	1,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,098,983
SFY 05-06	NA	NA	1,079,363

FIRES ON STATE PROPERTY

Objective: Reimburses fire departments for specific costs incurred while responding to a fire on property under the jurisdiction of the State of New York.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

Specific Program URL: NA

NYS Object Code: 69709

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-E, NY Laws of 1978, Chapter 741

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746
E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Special Districts and Volunteer Fire Departments.

Type of Program and Special Restrictions: Optional. Reimbursement for fire fighting costs incurred while on State property. All claims are held until end of year; disbursements are then pro-rated to the extent of funds available. State reimburses an amount up to 100 percent of that portion of firefighting expenses that exceed an initial amount of \$250.00.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	25,000
SFY 05-06	NA	NA	25,000
SFY 06-07	NA	NA	25,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

LOCAL ENHANCED WIRELESS 911 PROGRAM

Objective: Reimburse municipalities for costs of implementation and operation of enhanced wireless 911 answering systems.

Administering Agency: NYS Department of State

Specific Program URL: NA

NYS Object Code: 69790

Year Established: 2002

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY County Law, Article 6-A

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746
E-Mail: jburns@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties.

Type of Program and Special Restrictions: Cost reimbursement.

Action Required to Receive Aid: Must be deemed eligible and enter into cost reimbursement contract.

Description of Aid:

Flow of Funds: State to County.

Type of Aid: Ongoing

Formula: Available funds allocated on pro-rata basis according to population.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	10,000,000
SFY 05-06	NA	NA	10,000,000
SFY 06-07	NA	NA	10,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	9,328,020
SFY 05-06	NA	NA	9,693,527

QUALITY COMMUNITIES GRANT PROGRAM

Objective: Provides assistance for planning initiative which links environmental protection, economic development and community liability. Consistent with QC principles.

Administering Agency: NYS Department of State, Division of Local Government

Specific Program URL: qualitycommunities.org or dos.state.ny.us

NYS Object Code: 69715

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2006, Chapter 108

Regulation: NA

Program Contact:

Laurie Savage
Quality Communities Grant Program Manager
Division of Local Government
NYS Department of State
41 State Street
Albany, New York 12231
(518) 402-3732
E-Mail: lsavage@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Local Public Authorities and Private Non-Profit Groups for certain programs; Indian Tribes and Nations and Public Benefit Corporations.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Limited Duration

Formula: NA

Matching Requirement: Local 20%

Maintenance of Effort: Yes, drawdowns are for 80% of costs.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,000,000	NA
SFY 05-06	NA	3,000,000	NA
SFY 06-07	NA	3,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	275,510	NA
SFY 05-06	NA	3,117,871	NA

SHARED MUNICIPAL SERVICES INCENTIVE (SMSI)

Objective: Promotes taxpayer savings through the reduction of duplicative layers of government and increased government efficiencies.

Administering Agency: NYS Department of State

Specific Program URL: www.dos.state.ny.us/lgss/smsi/index/html

NYS Object Code: NA

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, 54 910 O 9H O and State Budget

Regulation: 19 NYCRR Part 814 (2005-2006)

Program Contact:

Kyle Wilber
Municipal Management Consultant
NYS Department of State
41 State Street
Albany, New York 12231
(518) 473-3355
E-Mail: kwilber@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, School Districts, Fire Districts, Fire Protection Districts, Fire Alarm Districts, Special Improvement Districts.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Limited Duration

Formula: Maximum per recipient.

Matching Requirement: 90% State, 10% Local

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	2,750,000	NA
SFY 06-07	NA	25,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

TECHNICAL ASSISTANCE PROGRAM (CODES DIVISION)

Objective: Provides information and guidance regarding general requirements for enforcement of the Uniform Fire Prevention and Building Code.

Administering Agency: NYS Department of State

Specific Program URL: www.dos.state.ny.us/code/ls-codes.html

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 381

Regulation: 19 NYCRR 441 and 444

Program Contact:

Ronald Piester
Director
Codes Division
NYS Department of State
41 State Street
Albany, NY 12231
(518) 474-4073
E-Mail: Info@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, NY 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: State Agencies, Counties, Cities, Towns, Villages and the General Public.

Type of Program and Special Restrictions: Requesting technical assistance is optional.

Action Required to Receive Aid: Assistance may be requested from a local regional office. For the address and number of the office that serves your area, call (518) 474-4073.

Description of Aid:

Assistance to local code enforcement personnel including answering specific code questions and assisting with site inspections; assistance to local government officials in establishing or making improvements in code enforcement programs; assistance providing technical and code related information to contractors, architects, engineers, manufacturers and the general public.

Comments:

The Codes Technical Assistance Program provides a variety of services utilizing central office and field based staff. Most technical assistance is directed to specific technical or regulatory questions concerning individual construction projects. Telephone contacts primarily concern technical applications of the code and regulations for its administration and enforcement personnel with plan reviews and inspections. Staff makes frequent presentations to interested groups and regularly attend meetings of regional New York State Building Officials Conference.

WATER QUALITY PLANNING & IMPLEMENTATION GRANTS FOR NYC WATERSHED COMMUNITIES

Objective: Assists Watershed communities in preparing or updating comprehensive plans, establishing or revising community development tools and local laws, and creating strategic plans for hamlets, villages and other potentially developable areas within the Watershed.

Administering Agency: NYS Department of State, Division of Local Government; US Environmental Protection Agency

Specific Program URL: www.dos.state.ny.us/lgss/watershed.htm

NYS Object Code: 69970

Year Established: 1999

Catalog of Federal Domestic Assistance No.: 66.606

Legal Authority:

Law: US Safe Drinking Water Act, Section 1443D

Regulation: 1997 NYC Watershed Memorandum of Agreement

Program Contact:

Lisa Melville
Division of Local Government
NYS Department of State
41 State Street
Albany, NY 12231
(518) 473-3355
E-Mail: Lmelvill@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties, Towns and Villages

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State and Federal requirements. Must be in or partially in the NYC Watershed.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Years 1999-2004 – 25% local match; 2005-Present – no match.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	300,000	305,000	NA
SFY 05-06	NA	306,000	NA
SFY 06-07	200,000	306,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	32,293	201,314	NA
SFY 05-06	36,006	347,627	NA

Comments: In 2006 the name of the program was changed from Master Planning & Zoning Incentive Award to Water Quality Planning & Implementation Grants for NYC Watershed Communities.

WIRELESS 911 EXPEDITED DEPLOYMENT PROGRAM

Objective: Funding to counties to bring Wireless 911 systems into compliance with Federal and State requirements.

Administering Agency: NYS Department of State

Specific Program URL: NA

NYS Object Code: 71210

Year Established: 2003

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY County Law, Article 6-A

Regulation: 21 NYCRR Chapter LX, 5200, 5201, 5202, 5203, 5204, 5250

Program Contact:

Donald Fisher
Fire Protection Specialist II
Office of Fire Prevention and Control
NYS Department of State
41 State Street, 12th Fl.
Albany, New York 12231
(518) 474-6746
E-Mail: dfisher@dos.state.ny.us

Fiscal Contact:

Kimberly A. Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754
E-Mail: klandry@dos.state.ny.us

Eligibility: Counties.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by local government.

Type of Aid: Project Grant.

Formula: NA

Matching Requirement: Local 10%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	6,990,659
SFY 05-06	NA	NA	15,646,040

ADDITIONAL MUNICIPAL AID PROGRAM (AMA)

Objective: Provides general purpose revenue for unrestricted use to Cities, Towns and Villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60201

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2006, Chapter 50

Regulation: NA

Program Contact:

Linda Collett
 Division of Local Government Services and
 Economic Development – Data Management Unit
 NYS Office of the State Comptroller
 110 State Street, 12th Fl.
 Albany, NY 12236
 (518) 474-4014
 E-Mail: NA

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	77,438,100	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

Comments: These funds are amounts given to Cities, Towns and Villages in addition to their Aid and Incentives for Municipalities (AIM) allocation.

*Officially designated in New York State statute as the Department of Audit and Control.

AID AND INCENTIVES FOR MUNICIPALITIES (AIM)

Objective: Provides general purpose revenue for unrestricted use to Cities, Towns and Villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60201

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2005, Chapter 50

Regulation: NA

Program Contact:

Linda Collett
 Division of Local Government Services and
 Economic Development – Data Management Unit
 NYS Office of the State Comptroller
 110 State Street, 12th Fl.
 Albany, NY 12236
 (518) 474-4014
 E-Mail: NA

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment except some cities have to submit written certification of a multi-year financial plan to DOB.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	1,070,764,100	NA
SFY 06-07	NA	1,144,701,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	1,070,624,273	NA

Comments: This program replaces the General Purpose Local Government Aid, the Emergency Financial Assistance to Certain Cities, the Supplemental Municipal Aid and the Emergency Financial Assistance to Eligible Municipalities Programs.

*Officially designated in New York State statute as the Department of Audit and Control.

EMERGENCY FINANCIAL ASSISTANCE TO CERTAIN CITIES

Objective: Provides emergency financial assistance to certain cities.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60301

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Certain cities. Funds are available for some cities that received emergency aid during the prior State fiscal year.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	26,474,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	26,473,913	NA
SFY 05-06	NA	NA	NA

Comments: This program has been discontinued. See the Aid and Incentives for Municipalities Program (AIM).

*Officially designated in New York State statute as the Department of Audit and Control.

EMERGENCY FINANCIAL ASSISTANCE TO ELIGIBLE MUNICIPALITIES

Objective: Provides emergency financial assistance to eligible municipalities.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60302

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties and Cities. Population is used to determine eligibility.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
Specific amount earmarked for payment of costs relating to annual debt service requirements and rental payments on certain locally constructed convention centers.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,814,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,813,745	NA
SFY 05-06	NA	NA	NA

Comments: This program has been discontinued. See the Aid and Incentives for Municipalities Program (AIM).

*Officially designated in New York State statute as the Department of Audit and Control.

GENERAL PURPOSE LOCAL GOVERNMENT AID

Objective: Provides general purpose revenue for unrestricted use of cities, towns and villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60101

Year Established: 1965

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages. Funds are available for any municipalities that received funding during the prior State fiscal year.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payments.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	781,322,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	781,233,019	NA
SFY 05-06	NA	NA	NA

Comments: The General Purpose Local Government Aid Program was converted to the AIM Program.

*Officially designated in New York State statute as the Department of Audit and Control.

INDIGENT LEGAL SERVICES FUND

Objective: Supports indigent legal defense services.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: <http://www.osc.state.ny.us/localgov/finreporting/index.htm>

NYS Object Code: 66910

Year Established: 2003

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2003, Chapter 62, Part J

Regulation: NA

Program Contact:

Scott Waldorf
Division of Local Government Services
and Economic Development - Data Management Unit
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Counties and Cities.

Type of Program and Special Restrictions: Mandatory pursuant to Article 18-B of County Law. All counties and NYC are required to develop a plan to provide legal defense services to persons of indigent status.

Action Required to Receive Aid: Annual reporting to the State Comptroller.

Description of Aid:

Flow of Funds: State to Local. State to Counties and NYC.

Type of Aid: Ongoing

Formula: Distribution based on each county's (including NYC) percentage share of net statewide indigent legal service expenditures.

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	51,551,720	NA
SFY 05-06	NA	54,221,048	NA
SFY 06-07	NA	80,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	51,551,720	NA
SFY 05-06	NA	54,221,048	NA

*Officially designated in New York State statute as the Department of Audit and Control.

PUBLICATIONS DISTRIBUTED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides a wide variety of research, reference and instructional publications on topics of interest and concern to local officials/associations and the general public.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: <http://www.osc.state.ny.us/localgov>

NYS Object Code: NA

Year Established: The Comptroller's Annual Report and "Financial Data for Local Governments" have replaced the publication "Special Report on Municipal Affairs." Other publications have various establishment dates.

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Comptroller's "Financial Data for Local Governments" authorized by NY General Municipal Law, Section 37. (Other publications may have various legal authorizations.)

Regulation: NA

Program Contact:

Joseph Green
Publications and Web Services
NYS Office of the State Comptroller
110 State Street, 12th Floor
Albany, NY 12236
(518) 474-3790
E-Mail: localgov@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Eligibility restrictions do not apply.

Type of Program and Special Restrictions: The Division of Local Government Services and Economic Development maintains over 30 publications including research reports, policy reports, reference publications, accounting systems for various types of local government entities, and accounting procedure manuals.

Action Required to Receive Aid: Publications are routinely distributed. The publications are also available on the OSC website. All publications are available to the public upon request.

Description of Aid:

Many publications provide an explanation of the legal and accounting procedures to be followed by local governments. Other publications provide guidance on governmental operations, raise policy issues related to local government, or list comprehensive statistical and financial data on thousands of local government entities in the State.

Comments: A publications request form may be obtained by contacting the OSC at the address/telephone number shown above.

*Officially designated in New York State statute as the Department of Audit and Control.

SUPPLEMENTAL MUNICIPAL AID

Objective: Provides additional emergency financial assistance to eligible municipalities.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60305

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
 Data Management Unit
 Division of Local Government Services and
 Economic Development
 NYS Office of the State Comptroller
 110 State Street, 12th Fl.
 Albany, NY 12236
 (518) 474-4014
 E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action for aid required, automatic payment.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	182,874,002	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	182,874,002	NA
SFY 05-06	NA	NA	NA

Comments: This program has been discontinued. See the Aid and Incentives for Municipalities Program (AIM).

*Officially designated in New York State statute as the Department of Audit and Control.

TECHNICAL ASSISTANCE IN FINANCIAL AND ACCOUNTING MATTERS OFFERED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides technical assistance to local officials in conducting the everyday duties and responsibilities of their office.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Article 3

Regulation: NA

Program Contacts: Program contacts are through OSC regional offices in the following locations:

Buffalo - Office of the State Comptroller, Ellicott Square Building, 295 Main Street, Room 1050, Buffalo, NY 14203; Phone (716) 847-3647; Fax (716) 847-3643; E-Mail: Muni-Buffalo@osc.state.ny.us. Serving: Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, Wyoming Counties.

Rochester - Office of the State Comptroller, The Powers Building, 16 West Main Street, Suite 522, Rochester, NY 14614; Phone (585) 454-2460; Fax (585) 454-3545; E-Mail: Muni-Rochester@osc.state.ny.us. Serving: Cayuga, Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne, Yates Counties.

Syracuse - Office of the State Comptroller, State Office Building, Room 409, 333 East Washington Street, Syracuse, NY 13202; Phone (315) 428-4192; Fax (315) 426-2119; E-Mail: Muni-Syracuse@osc.state.ny.us. Serving: Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence Counties.

Binghamton - Office of the State Comptroller, State Office Building, Room 1701, 44 Hawley Street, Binghamton, NY 13901-4417; Phone (607) 721-8306; Fax (607) 721-8313; E-Mail: Muni-Binghamton@osc.state.ny.us. Serving: Broome, Chenango, Cortland, Delaware, Otsego, Schoharie, Sullivan, Tioga, Tompkins Counties.

Glens Falls - Office of the State Comptroller, One Broad Street Plaza, Glens Falls, NY 12801; Phone (518) 793-0057; Fax (518) 793-5797; E-Mail: Muni-GlensFalls@osc.state.ny.us. Serving: Clinton, Essex, Franklin, Fulton, Hamilton, Montgomery, Rensselaer, Saratoga, Warren, Washington Counties.

Albany - Office of the State Comptroller, 22 Computer Drive West, Albany, NY 12205; Phone (518) 438-0093; Fax (518) 438-0367; E-Mail: Muni-Albany@osc.state.ny.us. Serving: Albany, Columbia, Dutchess, Greene, Orange, Putnam, Rockland, Schenectady, Ulster, Westchester Counties.

Hauppauge - Office of the State Comptroller, State Office Building, 3rd Floor, Room 3A-10; Veterans Memorial Highway, Hauppauge, NY 11788-5533; Phone (631) 952-6534; Fax (631) 952-6530; E-Mail: Muni-Hauppauge@osc.state.ny.us. Serving: Nassau, Suffolk Counties.

Eligibility: Technical assistance is available to all local officials throughout the State.

Type of Program and Special Restrictions: None

Action Required to Receive Aid: Local officials receive technical assistance in financial, accounting and procedural matters through telephone contacts, by way of the Internet, through on-site visits, and through participation in periodic training seminars at various locations throughout the State.

Description of Aid:

OSC staff provide guidance, clarification and instruction to local officials in areas of finance, accounting and procedural matters. Additionally, OSC staff can help local governments review their operations with the goal of developing efficiency through cooperation and consolidation both within a local government and with other local governments.

*Officially designated in New York State statute as the Department of Audit and Control.

TRAINING FOR LOCAL GOVERNMENT OFFICIALS AND ORGANIZATIONS OFFERED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides training for local government officials in areas regarding their daily responsibilities for municipal operations such as fiscal management, economy and efficiency, accounting procedures, purchasing and legal issues.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: <http://www.osc.state.ny.us>

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Sandra Powell
Training Coordination Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 473-0005
E-Mail: localtraining@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Training is available to all local officials representing cities, counties, towns, villages, school and fire districts, as well as justice courts.

Type of Program and Special Restrictions: Training is available in areas dealing primarily with local government fiscal operations. This includes fiscal management, economy and efficiency, internal control, roles and responsibilities, accounting procedures, accountability, purchasing and legal issues.

Action Required to Receive Aid: Local officials are invited to attend training offered by OSC staff through periodic publications such as the quarterly "Local Government Connection" newsletter, direct mail, on-site visits and through working with statewide and regional local official organizations. Training can also be initiated by a request to OSC from one or more local officials or organizations. A calendar of local official training is maintained at the Municipal Government Partnership on OSC's website: <http://www.osc.state.ny.us>.

Description of Aid:

Training is offered in a variety of formats including: several annual "schools" for local officials, periodic instruction programs in double-entry accounting, regional seminars and workshops, customized presentations for specific local governments, and OSC participation in statewide and regional conferences. An addition to the OSC training format is the use of live, interactive, statewide satellite teleconferences. Copies of these two hour evening programs are available for \$15 which includes handout materials, postage and handling. A complete list of OSC publications and teleconference videos is available by visiting OSC's website: <http://www.osc.state.ny.us>. OSC staff may also be available upon request as speakers at other meetings and seminars.

Comments: Questions or requests about training opportunities should be directed to OSC's Training Coordination unit at the address, telephone number, and e-mail shown above.

*Officially designated in New York State statute as the Department of Audit and Control.

DISASTER ASSISTANCE

Objective: Alleviates hardship and suffering resulting from emergencies or major disasters declared by the President.

Administering Agency: NYS State Emergency Management Office; US Federal Emergency Management Agency

Specific Program URL: NA

NYS Object Code: 69922

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 97.036

Legal Authority:

Law: Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288

Regulation: 44 CFR Part 206

Program Contact:

John A. Agostino
Deputy Director for Administration
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 292-2321
E-Mail: john.agostino@semo.state.ny.us

Fiscal Contact:

Susan Picarillo
Chief Budgeting Analyst
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 292-2325
E-Mail: susan.mutch@semo.state.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. Must be in a designated emergency or major disaster area.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Must be used for eligible projects as approved by Federal and State disaster officials.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 75%, State 12.5%, Local 12.5%

Maintenance of Effort: Completion of work projects.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
FFY 04-05	NA	NA	NA
FFY 05-06	NA	NA	NA
FFY 06-07	75,000,000	50,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04-05	1,370,723,071	7,667,695	NA
FFY 05-06	56,918,511	8,641,082	NA

EMERGENCY MANAGEMENT PERFORMANCE GRANTS

Objective: To encourage the development of comprehensive emergency management at the State and local level and to improve emergency planning, preparedness, mitigation, response and recovery capabilities.

Administering Agency: NY State Emergency Management Office; US Federal Emergency Management Agency

Specific Program URL: NA

NYS Object Code: 69920

Year Established: 1999

Catalog of Federal Domestic Assistance No.: 97.042

Legal Authority:

Law: US Robert T. Stafford Disaster Relief and Emergency Assistance Act, P.L. 93-288, as amended.

Regulation: 44 CFR Part 13 and 14

Program Contact:

John A. Agostino
Deputy Director for Administration
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 292-2321
E-Mail: john.agostino@semo.state.ny.us

Fiscal Contact:

Susan Picarillo
Chief Budgeting Analyst
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 292-2325
E-Mail: susan.mutch@semo.state.ny.us

Eligibility: State Governments, Counties and Cities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Based on base amount, prior year funding and population.

Matching Requirement: Federal 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	29,733,000	NA	NA
SFY 05-06	200,000,000	NA	NA
SFY 06-07	350,000,000	NA	NA

*Actual appropriations utilized for various programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,005,382	NA	1,574,855
SFY 05-06	3,845,218	NA	1,485,000

Comments: This program consolidates several FEMA programs which were formerly independent.

HAZARDOUS MATERIALS TRANSPORTATION UNIFORM SAFETY ACT

Objective: Supports training of Emergency Response personnel and provides funds to local Emergency Planning Committees to prepare plans to protect communities from hazardous chemicals.

Administering Agency: NYS State Emergency Management Office; US Department of Transportation

Specific Program URL: NA

NYS Object Code: 69920

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 20.703

Legal Authority:

Law: Hazardous Materials Transportation Uniform Safety Act of 1990, Section 17, 40 U.S.C. 1801 et. seq.

Regulation: 49 CFR Part 110 and Part 18 A-102

Program Contact:

John A. Agostino
Deputy Director for Administration
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 292-2321
E-Mail: john.agostino@semo.state.ny.us

Fiscal Contact:

Susan Picarillo
Chief Budgeting Analyst
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 292-2325
E-Mail: susan.mutch@semo.state.ny.us

Eligibility: State Governments, Counties and Cities (only New York City).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 80%, State 20%

Maintenance of Effort: Yes

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	29,733,000	NA	NA
SFY 05-06	11,145,000	NA	NA
SFY 06-07	11,300,000	NA	NA

*Actual appropriations utilized for various programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	90,000	NA	NA
SFY 05-06	NA	NA	NA

NYSP BCI - FORENSIC INVESTIGATION SUPPORT SERVICES (BCI-FISS)

(Technical Assistance Program)

Objective: To meet the challenges posed by today's mobile, technically sophisticated criminals, the State Police has developed and adopted an array of specialized BCI Forensic Investigative Support Services. Based at the Division's **Forensic Investigation Center and Headquarters Crime Laboratory in Albany**, these bench scientists and BCI investigative personnel provide the State's entire law enforcement community with a multifaceted, "one-stop-shopping" approach to criminal evidence examination and analysis.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law enforcement agencies and prosecutors offices; local and county governments.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

- **Medicolegal Investigation Unit** - This unit provides expert support in the forensic investigation of homicides, suspicious deaths, skeletal remains, assaults, and interviews of living victims of sexual assaults. Its scientific experts and consultants are board-certified experts in forensic anthropology, photogrammetry, behavioral sciences, psychiatry and medicolegal jurisprudence. They conduct forensic case examinations, analyses, referrals and consultations, help investigators with forensic case coordination and review, and render opinions on current and old cases. Services also include skull photo superimposition, radiological identification, trauma and bite mark/pattern injury analyses.
- **Violent Crime Case Review** - NYSP scientific and investigative experts routinely perform Violent Crime Case Reviews of long-unsolved crimes to determine if any possible leads can be uncovered through the application of new technology. Cases being readied for prosecution and other elaborate investigations also can be evaluated to suggest possible investigative and prosecutorial strategies.
- **Computer Crimes Unit (CCU)** - Originally established to examine evidence stored in computers seized in criminal investigations, the CCU now devotes much of its efforts to other emerging areas of technology-based crime: pornography, use of computers by predatory pedophiles, consumer and credit card fraud, theft of funds through electronic transfers, money laundering, cellular telephone cloning, theft of services and cyber-terrorism related activity.
- **Crimes Against Children** - The Division's Child Abuse & Exploitation (CAE) Unit works to prevent, investigate and prosecute crimes against children, supports all law enforcement/social welfare agencies that need assistance with child abuse, neglect, exploitation or domestic violence cases, and serves as a contact point for inquiries from the law enforcement community.
- **New York State Violent Crime Analysis Program (NYS VICAP)** - NYS VICAP works closely with the FBI's Violent Criminal Apprehension Program to help police identify or link cases involving crimes committed by serial offenders. Services are available to any criminal justice agency wishing to participate. The program provides information management assistance and case-specific analyses to law enforcement agencies investigating potentially related homicides, missing persons suspected of being victims of foul play, unidentified human remains and sexual assaults. Cases are analyzed and cross-searched. If matches are made, VICAP puts the lead agencies or investigators in touch so they can exchange information and coordinate their investigations.
- **Forensic Imaging** - This section performs such diverse services as updating age progressions of wanted or missing persons, enhancing blurred or distorted photographs, reconstructing skulls, developing scaled illustrations and large demonstration displays of crime scenes for courtrooms or command posts, and preparing hand-drawn illustrations from the interviews with crime witnesses or victims.

NYSP COMMUNICATIONS PROGRAMS

(Technical Assistance Program)

Objective: Provides communications and dispatch services and support to local and county law enforcement and emergency services agencies. The NYSP also provides command and communications equipment during natural and man-made disasters, civil disturbances and events involving mass gatherings.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law Enforcement and Emergency Service Agencies, Local and County Governments.

Type of Program and Special Restrictions: E-911 and cellular 911 public service answering points and dispatch, emergency command and communications vans, dispatch for local police departments, and disaster and emergency planning.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The State Police provides public service answering points for E-911 and cellular 911 calls and works with local law enforcement and government bodies to develop 911 programs. The State Police support dispatch of the closest available unit with jurisdiction, without regard to agency affiliation. The Division also provides emergency command and communications vans and equipment.

**NYSP COMMUNITY NARCOTICS ENFORCEMENT
TEAMS (CNET)**
(Technical Assistance Program)

Objective: To help local police departments clean up areas blighted by drug trafficking and related street crime.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: The Chief Executive of any local or county law enforcement agency, or any district attorney, may request CNET assistance.

Type of Program and Special Restrictions: Available to assist any police agency north of New York City.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

Five regional Community Narcotics Enforcement Teams (Capital, Hudson Valley, Central, Southern Tier, Western) are deployed across the State, providing specially trained State Police undercover narcotics enforcement personnel to local law enforcement authorities upon request. CNET personnel fill a critical void for many rural, suburban and small city police departments, which typically lack the personnel or resources to maintain their own trained undercover narcotics units. CNET investigators also target illegal gun dealing.

NYSP COUNTER-TERRORISM & HOMELAND SECURITY

(Technical Assistance Program)

Objective: To consolidate all State Police activities associated with preventing, investigating and responding to terrorism, to coordinate the State's intelligence efforts, and to maintain liaison with the State's Office of Homeland Security and federal, state and local law enforcement/emergency management officials.

Administering Agency: NYS Division of State Police, Office of Counter-Terrorism

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: 2003

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: All local law enforcement and criminal justice agencies, and all local and county governments.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The Office of Counter-Terrorism (OCT) was established January 29, 2003, to consolidate all State Police activities associated with preventing, investigating and responding to terrorism, to coordinate the State's intelligence efforts, and to maintain liaison with the State's Office of Public Security and federal, state and local law enforcement/emergency management officials. OCT also oversees the operations of NYSP units created in response to the terrorist attacks of September 11, 2001: the Counter Terrorism Intelligence Units, Border Enforcement Units and the Joint Terrorist Task Forces. OCT became fully operational February 6, 2003. It operates from the Upstate New York Regional Intelligence Center in Latham (Albany County).

- **Upstate New York Regional Intelligence Center (UNYRIC)** - Modeled after the highly successful New York/New Jersey High-Intensity Drug Trafficking Area (HIDTA) intelligence center, the UNYRIC opened August 1, 2003. Located in the Capital District and staffed by personnel from the NYSP and federal, state and local law enforcement agencies, the UNYRIC's mission is to advance the efficient, timely and accurate exchange of information between all law enforcement agencies in the State. It focuses on all aspects of criminal activity outside New York City, Long Island and Westchester County, and interacts with law enforcement agencies nationwide. The UNYRIC augments law enforcement operations by acting as a centralized, comprehensive, criminal intelligence center that coordinates the exchange of criminal intelligence information. NYSP sworn and civilian personnel at the UNYRIC collect, analyze and disseminate intelligence information in an effort to identify, investigate and prevent criminal activity. The UNYRIC provides law enforcement agencies throughout the state an access point to a variety of computerized state, federal and regional databases, and acts as a centralized clearinghouse for law enforcement and criminal justice information.
- **Criminal Intelligence Section (CIS)** - CIS consists of sworn and civilian personnel, assisted by representatives of the New York National Guard Counter-Drug Program, who perform a variety of analytical services designed to aid law enforcement agencies throughout New York State. CIS also handles national and international requests for information. CIS maintains a Consumer Product Tampering Incident database and analyzes the information for serial events and other common elements. CIS also functions as liaison to a variety of national and international law enforcement resources, including the Financial Crimes Enforcement Network (FinCEN), Mid-Atlantic Great Lakes Organized Crime Law Enforcement Network (MAGLOCLEN), New England State Police Information Network (NESPIN), Law Enforcement Intelligence Unit (LEIU), the New England State Police Administrators Conference (NESPAC) and the U.S. National Central Bureau - INTERPOL. Members of the CIS are a source of information and a point of contact for other law enforcement concerns, including: burglary trends and patterns; gangs; money laundering; organized crime; and schemes to defraud. They also maintain contact with experts throughout the country on topics of consistent and current interest.

NYSP COUNTER-TERRORISM & HOMELAND SECURITY (cont.)

- **Criminal Gun Clearinghouse (CGC)** - The New York State CGC provides a variety of specialized services to law enforcement agencies throughout New York State with the goal of reducing gun-related violence in our communities. Located in the UNYRIC, it serves as a central repository of information for all guns seized, forfeited or found, and which were used or believed to have been used in the commission of a crime. In addition to its role as a central repository of firearms-related information, the CGC acts as an investigative resource for law enforcement agencies throughout New York State.
- **Financial Crimes Unit (FCU)** - The FCU investigates money laundering and assists field personnel in identifying assets for criminal forfeiture proceedings. It also searches for individuals or businesses involved in laundering illicit funds. The FCU also provides training to Division members and personnel from other law enforcement and regulatory agencies, prosecuting attorneys and the private sector in financial crimes investigation.
- **Gang Intelligence Unit (GIU)** – Formed to collect, analyze and disseminate intelligence on street, prison and outlaw motorcycle gangs and extremist/hate and transient criminal groups. GIU also is responsible for gathering, processing and sharing information from the State-Federal Correctional Criminal Intelligence Program (CCIP), which seeks to identify criminal and terrorist activity in and around the correctional system.
- **Narcotics Intelligence Unit (NIU)** – NIU works to provide field personnel with accurate, actionable intelligence on narcotics matters.
- **Counter Terrorism Center (CTC)** – CTC provides law enforcement agencies across the State with timely intelligence to assist in the prevention, detection and deterrence of terrorism. Working with the Office of Homeland Security, CTC provides a centralized contact point for the public and police to report suspicious activity. It vets all information and directs it to the appropriate federal, state or local agency for investigation.
- **Electronic Surveillance Unit (ESU)** – ESU provides technical assistance in the installation and maintenance of video surveillance, vehicle tracking and covert transmitting and recording equipment. Its technical assistance to law enforcement agencies statewide facilitates criminal investigations, including narcotics trafficking cases, burglaries, robberies and homicides. It also maintains the [Auto Theft Equipment Loan Library](#), which makes sophisticated electronic surveillance equipment available to local law enforcement agencies for theft prevention and investigations.
- **Response and Training** - This OCT section oversees the NYSP's Emergency Management Program and prepares its response to natural disasters, terrorist attacks and special events involving mass gatherings. This includes the development and administration of a comprehensive training program in emergency management, weapons of mass destruction and hazardous materials response. It prepares for a wide variety of emergencies by evaluating actual responses to disasters and emergencies, by participating in state and local planning efforts and exercises, and by analyzing specialized response requirements.
- **Special Investigations Unit (SIU)** - Historically, the Division's SIU has worked to combat organized crime and racketeering. Specializing in complex, multifaceted investigations that typically require inter-departmental cooperation, specialized technical expertise and a long-term commitment of experienced personnel and resources, SIU works closely with the state's Organized Crime Task Force (OCTF), federal prosecutors, district attorneys and local law enforcement agencies in a variety of investigations targeting all forms of organized crime, gambling, document fraud, identity theft, drug cartel money laundering, illicit drug trafficking groups, industry corruption and racketeering. Because it is believed that money laundering, identity theft, cigarette smuggling and document fraud are fertile grounds for terrorist-related activity, SIU, with its wealth of specialized expertise in the investigation of these crimes, was transferred to the Office of Counter-Terrorism (OCT) in March 2003. Internally, SIU also features an **AutoTheft Unit (ATU)**, which helps local agencies target auto theft rings, chop shops, exporters of stolen vehicles and other automobile-related crimes. Unit investigators respond to requests for assistance by State Police field members and outside agencies.

NYSP CRIME LABORATORY SYSTEM SERVICES

(Technical Assistance Program)

Objective: Provides investigative and laboratory services to local, county, State and federal agencies.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: 1936

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law enforcement agencies throughout the State.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

Since its inception in 1936, the New York State Police Crime Laboratory System has made quality service to the law enforcement community its fundamental mission. Over the years, the lab has grown in complexity and size, and now incorporates four facilities:

- The **Southern Tier Regional Lab** in Port Crane specializes in drug chemistry;
- The **Western Regional Lab** in Olean specializes in trace, drug chemistry, toxicology and forensic vehicle examinations;
- The **Mid-Hudson Regional Lab** in Newburgh provides analyses of drug chemistry and toxicology; and
- The **Headquarters Laboratory**, located in the Division's **Forensic Investigation Center** in Albany, provides the entire State criminal justice system with forensic science services in the areas of questioned documents, fingerprints, bioscience (serology and DNA), toxicology, drug chemistry and firearms. The Forensic Center also maintains the Combined DNA Index System (CODIS) and the Combined Ballistic Imaging System (COBIS) for New York State.

NYSP CRIME VICTIM SPECIALISTS (CVSs)

(Technical Assistance Program)

Objective: Provides direct assistance and support to the victims of crime.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Crime victims and their families.

Type of Program and Special Restrictions: Varies. Federal VOCA restrictions apply.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The NYSP has trained Crime Victim Specialists (CVSs) in each of its eight upstate troops. These specialists work closely with sworn NYSP members and local police officers to provide liaison with local/regional crime victim service providers. They assess the specific needs of victims, provide training in intervention techniques and services, provide crisis intervention, short-term counseling and follow-up support, assist victims in obtaining compensation, explain legal options and procedures, help obtain protective orders and case status information, and secure emergency housing, legal services, medical treatment and specialized counseling.

NYSP DOMESTIC VIOLENCE INTERVENTION UNIT (DVI)

(Technical Assistance Program)

Objective: To improve access to services for domestic violence victims.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Local law enforcement, criminal justice and community service agencies, local and county governments.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The DVI Unit helps field personnel with issues related to domestic violence cases or issues, and helps clarify recent changes in law and policy. DVI field coordinators work with local service providers to improve access to victims' services, work in partnership with the State Office for the Prevention of Domestic Violence to provide enhanced training to State Police and other criminal justice personnel, conduct follow-up contacts with domestic violence victims, and cooperate with service providers to ensure victims are aware of, and have access to, available services.

NYSP INVESTIGATIVE SUPPORT SERVICES

(Technical Assistance Program)

Objective: The NYSP's Bureau of Criminal Investigation (BCI) has approximately 900 plainclothes investigators and senior investigators dedicated full-time to the suppression and eradication of violent crime in the community

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law enforcement agencies, prosecutors, local and county governments.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

- **Violent Crime Investigative Teams (VCIT)** - Teams in each of the Division's upstate troops work with local authorities to investigate serious violent crimes, particularly those that might be part of an ongoing series. VCITs make skilled, experienced NYSP investigators available to assist police agencies statewide. This helps improve case solvability and facilitates prosecutions. The full resources of the State Police, including crime scene technicians, the NYSP Crime Laboratories, the Medicolegal Investigations Unit, and the NYS VICAP and Crime Analysis Unit, are available to communities receiving VCIT assistance.
- **Special Investigations Unit (SIU)** - SIU combats organized crime and racketeering, specializing in complex investigations that require inter-departmental cooperation, technological expertise and a long-term commitment of personnel and resources. SIU field offices in Albany, Binghamton, Buffalo, Newburgh, Rochester, Syracuse, Utica and White Plains work closely with New York's Organized Crime Task Force (OCTF), federal prosecutors, district attorneys and local law enforcement agencies to target all forms of organized crime, gambling, money laundering, drug trafficking, industry corruption and Internet child pornography.
- **Auto Theft Initiative** - SIU also provides the framework for a statewide initiative aimed at combating auto theft. State Police investigators assigned to SIU Auto Theft Units in Albany, Buffalo, Long Island, Rochester, Syracuse and White Plains can help requesting local law enforcement agencies attack and dismantle auto theft rings, chop shops, exporters of stolen vehicles and other automobile-related criminal operations. They are backed by the full complement of NYSP technical resources, forensic identification capabilities, agency personnel assigned to the Department of Motor Vehicles and the NYSP Computer Crimes Unit.
- **Violent Felony Warrant (VFW) Squad** - Seven regionally based VFW Squads are responsible for apprehending violent criminals who flee prosecution or evade arrest. They assist local departments which lack the resources or staff to conduct their own warrant enforcement efforts, and will pursue any violent felony warrant anywhere in the State, if requested. VFW Squads accept all cases defined as violent felony offenses under New York's crime classification system: homicide, sexual assault, felony narcotics, and the most serious degrees of assault, robbery, burglary, arson and kidnapping. It also pursues prison escapees, felony parole violators and "major offenders" - persons whose individual offenses may not be violent, but whose collective criminal histories warrant special attention.

NYSP PROGRAMS FOR SCHOOLS AND FAMILIES

(Technical Assistance Program)

Objective: To provide a direct link between the NYSP and the localities it serves, ensuring communities receive the appropriate type and level of service they need.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Local and county governments.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

- **School Resource Officers** - Since 2002, the NYSP has deployed School Resource Officers (SROs) in partner school districts across the state. SROs are sworn State Troopers who combine their skills as police officers with those of a teacher and counselor to render a variety of school and family-based services to students, school staff and parents. SROs work extensively in the classroom, teaching lessons and seminars to staff, students, parents and school district residents. They also perform school safety audits, conduct tabletop school emergency exercises, host DWI-prevention programs and many other activities. Today there are 92 State Police SROs providing services to 118 school districts throughout the state.
- **School & Community Outreach** - In July 1999, the NYSP established a network of School and Community Outreach Coordinators (SCOCs) to work with educators and local leaders in each of the upstate troops. These specially trained troopers work closely with local organizations and have given thousands of presentations to over hundreds of thousands of participants in topics such as **Safe Schools**, the **Step Away for Safety Program**, **Workplace Violence** and many other subjects. The SCOCs also have been designated as emergency management assistants to the Troop Emergency Management NCOs. They also have received advanced training in Emergency Management Operations, which in turn enables the SCOCs to provide better emergency planning and violence prevention services to the schools and communities in their areas.

NYSP SPECIALIZED SUPPORT TO LOCAL AGENCIES

(Technical Assistance Program)

Objective: Provides specialized services and support to local law enforcement/emergency service agencies.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Local and county law enforcement/emergency service agencies.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

- **Aviation** - The NYSP Aviation Unit operates a fleet of regionally based helicopters and fixed wing aircraft from bases in Albany, Batavia, Newburgh, Saranac Lake and Syracuse. Its highly experienced pilots search for lost persons and escaped criminals, conduct aerial speed enforcement details, assist in vehicle pursuits, transport NYSP SCUBA divers, Mobile Response Team members, bomb disposal experts and K-9 teams to emergencies, conduct seasonal marijuana eradication sorties, perform rescue and medevac missions, organ donor and recipient transfers, environmental conservation missions and other specialized functions.
- **Bomb Disposal Unit (BDU)** - Regionally deployed bomb technicians, all of whom are cross-trained as hazardous materials experts, perform regular NYSP duties and respond as specialists to bomb-related calls and reports of found military ordnance, commercial explosives, fireworks and dynamite, improvised (homemade) devices and suspicious packages. Related specialized equipment includes bomb containment vessels, X-ray machines, "frag bags" and other devices used for the safe, temporary storage and transportation of explosives.
- **K-9 (Canine) Unit** - More than 60 teams of specially trained dogs and handlers are assigned strategically throughout the State. Dogs are trained to detect explosives, narcotics and cadavers. The Division also maintains four bloodhounds, which are valuable for tracking or seeking out long-missing human beings.
- **Marine Detail** - Boat patrols are maintained on Lake Champlain, the St. Lawrence River, the Finger Lakes, Oneida Lake, Lake Ontario, Lake George, the Hudson River and along the State's canal system to assist boaters in distress, investigate boating accidents, conduct narcotics interdiction operations and work with other police agencies, especially during BWI enforcement details. NYSP marine units promote boater safety by conducting inspections and training, and by enforcing the Navigation Law and other applicable laws.
- **Mobile Response Team (MRT)** - Specialists in operations, tactics and weapons, MRTs respond to situations requiring specialized training and equipment, such as high-risk arrests, seizures, searches, rescues, barricaded person incidents and narcotics raids. Some team members are cross-trained as SCUBA divers, firearms and defensive tactics instructors. MRT specialists are deployed in four regional teams: North, South, Central and West. Unless engaged in team operations or training, all MRT members are assigned to regular patrol or administrative duty.
- **Crisis Negotiation Program** - Deployed within each troop are teams of trained negotiators whose primary goal is to save lives. These specialists are networked for ongoing training.
- **SCUBA** - The NYSP has operated its own underwater diving detail since 1934, and today maintains SCUBA teams in all Troops except L, T and NYC. When not assigned to a dive detail, the Division's divers perform regular patrol and administrative duties.

NYSP SPECIALIZED SUPPORT TO LOCAL AGENCIES (cont.)

- **Collision Reconstruction Program** - The Division's 58 full-time collision reconstructionists are specifically trained in the identification, collection and preservation of roadway and vehicle evidence. By evaluating and interpreting this material, they can estimate speeds or establish a series of events leading to the cause or causes of a crash. Their assessments often are used to determine if criminal action is warranted.
- **"Operation IMPACT"** - Under Operation IMPACT, the NYSP is contributing the services of more than 300 troopers and investigators with expertise in gangs, guns and drug-trafficking to a special multi-agency initiative aimed at helping the 17 counties in New York State (outside of NYC) with the highest crime rates: Albany, Broome, Chautauqua, Dutchess, Erie, Monroe, Nassau, Niagara, Oneida, Onondaga, Orange, Rensselaer, Rockland, Schenectady, Suffolk, Ulster and Westchester. NYSP personnel are cooperating with local representatives and law enforcement agencies to develop and implement specific programs to bring local crime down. Under Operation IMPACT, special NYSP units and Division of Parole personnel assist local law enforcement agencies in reducing violent street crime. Other state agencies lending their expertise and resources include the Division of Probation and Correctional Alternatives, the Department of Taxation and Finance, the Office of Children and Family Services and the State Liquor Authority.
- **Gun Crimes Unit (GCU)** – The NYSP has dedicated 100 BCI investigators to a special federal/state/local police joint initiative designed to target illegal trafficking of firearms throughout the state. Investigators work closely with federal and local law enforcement personnel to identify and interdict the flow of illegal guns into New York from states with less stringent firearms control laws.

NYSP TRAINING SUPPORT SERVICES

(Technical Assistance Program)

Objective: To provide specialized training in support of law enforcement and criminal justice programs.

Administering Agency: NYS Division of State Police

Specific Program URL: www.troopers.state.ny.us

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Director of Training
NYSP Academy
State Office Campus
Albany, New York
(518) 457-7254
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Varies.

Type of Program and Special Restrictions: Training.

Action Required to Receive Aid: Contact NYSP Academy.

Description of Aid:

- o **HFW Homicide Seminar** - The NYSP Medicolegal Investigation Unit is prominently involved in conducting the Division's world-renowned **Col. Henry F. Williams Homicide Seminar** (HFW). The premiere training event of its kind in this hemisphere, HFW attracts top homicide investigators from around the world. Training of the caliber featured at the Williams Homicide Seminar is rarely available to local police agencies, and the contacts and resources that participants develop will be invaluable in future investigations of violent crime. Seminar participants hear from a diverse group of speakers who are recognized leaders in the field of criminal investigations and forensic science. Homicide investigators from around the world have attended the annual seminar since its inception; each is a member of the Williams Homicide Associates, a network of homicide investigators who can quickly contact each other for case assistance.
- **Sex Offense Seminar** - The Division's Child Abuse & Exploitation/Domestic Violence (CAE/DV) Unit organizes and coordinates the annual 40-hour **Sex Offense Seminar**, featuring instruction by leading experts in such areas as interview techniques, sensitivity to victims and their needs, victimology, new technology, photographic documentation and legal perspectives. Unit members also lecture at law enforcement academies, and to school, adult, service and community organizations.
- **Laboratory/Forensic Training** - In addition to providing forensic training seminars for State Police personnel, the Division's Laboratory System also opens its training programs to hundreds of law enforcement officers annually from city, county and federal agencies and laboratories throughout the northeast and mid-Atlantic states. These seminars promote interagency relations, develop operating procedures and update current training curricula. Among these training seminars are:
 - **The New York Prosecutors Training Institute** - Together with the NYS Prosecutors Training Institute, the State Police Crime Laboratory at the Forensic Investigative Center annually co-hosts a three-day **DNA Capital Trial Advocacy Training Program** at the State Police Academy in Albany. Representatives from district attorney's offices throughout the State take part in this exercise, which includes presentations on the effective trial presentation of physical evidence and several DNA mock trial training sessions.
 - **Major Crimes Seminar** - The Major Crimes Seminar grew out of a recommendation from the Forensic Investigation Center's Advisory Committee to establish basic instruction that would help law enforcement agencies improve the quality of crime scene processing. The resulting Major Crimes-RESPOND (Respond, Evaluate, Secure, Protect, Observe, Notifications, Document) training program incorporates a series of crime scene skits representing the "right" and "wrong" way to handle evidence and give Miranda warnings, and provides a forum for discussing the legal issues involved.

NYSP TRAINING SUPPORT SERVICES (cont.)

Other courses include: Wood Identification; FBI Latent Print Identification School; FBI Gunshot Residue Analysis; Advanced Fire Debris Analysis Course, Introduction to Microscopy; Advanced Microscopy, and the New York State Toxicology Seminar.

- **Safe Schools** - Before conducting *Safe Schools* training for local school district personnel, State Police program presenters coordinate with local law enforcement agencies to make the training available to local police.
- **State Police Academy Training** - Additionally, training in a wide variety of police-related disciplines is available to law enforcement officers from other departments at the New York State Police Academy. This specialized training includes instruction in:
 - **Firearms;**
 - **Emergency Vehicle Operators Instructor Courses (EVOIC);**
 - **Polygraph Operation;**
 - **Collision Reconstruction Certification;**
 - **Defensive Tactics; and**
 - **Instructor Development.**

COMMUNITY COLLEGE OPERATING AND CATEGORICAL ASSISTANCE

Objective: Supports post-secondary education programs, usually of two years or shorter duration.

Administering Agency: State University of New York, NYS Education Department; US Department of Education

Specific Program URL: NA

NYS Object Code: 61304

Year Established: 1948

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 6304, 6307 and 6452

Regulation: 8 NYCRR Chapter V, Subchapter D, 600-609

Program Contact:

Vice Chancellor for Community Colleges
State University of New York
University Plaza
Albany, NY 12246
(518) 443-5134
E-Mail: NA

Fiscal Contact:

Daniel B. Sheppard
Senior Associate Vice Chancellor
for Finance and Business
Office of Finance and Business
State University of New York
University Plaza
Albany, NY 12246
(518) 443-5168
E-Mail: Dan.Sheppard@suny.edu

Eligibility: Community Colleges.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.
Special restrictions as contained in annual Aid to Localities budget bill.

Action Required to Receive Aid: Local Board and Sponsor and SUNY Board approval, automatic payment.

Description of Aid:

Flow of Funds: State to Local, Federal to State to Local (Pass-through). Operating monies are paid quarterly, categorical aid monies are paid when approved. Chargeback costs for the Fashion Institute of Technology (FIT) have not been appropriated since 2001-02 State fiscal year.

Type of Aid: Ongoing

Formula: Operating aid is based on full-time equivalent student numbers plus rental and categorical components.

Matching Requirement: Student tuition and local sponsor contribution.

Maintenance of Effort: Relates to sponsor's financial effort; in the aggregate or by full-time equivalent student.

Amounts Appropriated:

Actual CFY	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
CFY 04-05	1,707,000	359,880,000	NA
Budget CFY	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
CFY 05-06	1,901,000	390,818,000	NA
CFY 06-07	1,797,000	420,236,000	NA
Actual SFY	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	371,208,000	NA
SFY 05-06	NA	383,178,000	NA
SFY 06-07	NA	416,764,000	NA

Source: SUNY Community College Annual Report Summary (College Fiscal Year) – CFY 2004-05, and Final Operating Budgets for 2005-06 and 2006-07.

COOPERATIVE EXTENSION SERVICE

Objective: Serves as a principal network to extend university-based land-grant research results for the benefit of all New Yorkers. Provides the public with specialized information and assurance in community development, agricultural technology, 4-H youth development and consumer and family information. Current initiatives include: agriculture and systems; children, youth and families; community and economic vitality; environmental and natural resources; nutrition, health and safety.

Administering Agency: NY Cornell Cooperative Extension Administration, Cornell University; US Department of Agriculture, Extension Service

Specific Program URL: <http://www.cce.cornell.edu>

NYS Object Code: 61306

Year Established: 1950

Catalog of Federal Domestic Assistance No.: 10.5

Legal Authority:

Law: NY County Law, Section 224; US Smith-Lever Act as amended 1977

Regulation: NA

Program Contact:

Helene R. Dillard
Director
Cooperative Extension
Cornell University
Ithaca, NY 14853
(607) 255-2237
E-Mail: cedir@cornell.edu

Fiscal Contact:

Daniel B. Sheppard
Senior Associate Vice Chancellor
for Finance and Business
Office of Finance and Business
State University of New York
University Plaza
Albany, NY 12246
(518) 443-5168
E-Mail: Dan.Sheppard@suny.edu

Eligibility: Cooperative Extension Associations. Must meet requirements of Cornell University for County Extension Service Associations, including execution of an MOU with Cornell as required by County Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds for the support of Cooperative Extension programs not to exceed total current county appropriations, plus formula is used to allocate funds to associations.

Action Required to Receive Aid: No application for aid required, automatic payment. CCE applies through CU Budget Office.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: A minimum amount of \$24,000 multiplied by a fraction of which the numerator shall be the sum of the personal income per capita of each county participating in the Cooperative Extension service programs, divided by the number of all such counties, and the denominator shall be the personal income per capita of the particular county. Such annual apportionment shall not exceed the total of the current State appropriation plus the most recent three year annual average State appropriation multiplied by the most recent three year annual average percentage increase in county appropriation to its extension service associations.

Matching Requirement: Federal 24.9%, State 23.8%, Local 51.3%. Matching percentages reflect overall program cost shares.

Maintenance of Effort: Local county appropriations must equal State County Law, Section 224 funds.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,850,000	3,669,850	NA
SFY 05-06	1,850,000	3,669,850	NA
SFY 06-07	1,850,000	3,669,850	NA

BEER AND LIQUOR EXCISE TAX

(Technical Assistance Program)

Objective: Provides revenue to New York City for general city purposes.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Section 445

Regulation: NA

Program Contact:

Joseph Vanderlinden
Tax Tech IV
Transaction and Transfer Tax Bureau
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-3636
E-Mail: joseph_vanderlinden@tax.state.ny.us

Fiscal Contact:

NA

Eligibility: New York City only.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

The tax is imposed at the rate of 12 cents per gallon of beer and 26.4 cents per gallon of liquor. The NYS Department of Taxation and Finance collects and administers the tax.

CIGARETTE TAX

(Technical Assistance Program)

Objective: Provides revenue to New York City for general city purposes.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1952

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Chapter 235 of the Laws of 1952

Regulation: NA

Program Contact:

Joseph Vanderlinden
Tax Tech IV
Transaction and Transfer Tax Bureau
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-3636
E-Mail: joseph_vanderlinden@tax.state.ny.us

Fiscal Contact:

NA

Eligibility: New York City only.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

The tax is imposed at a rate of 75 cents for each ten cigarettes or fraction of a group of ten, or \$1.50 for a standard pack of 20 cigarettes. The NYS Department of Taxation and Finance collects the tax on sales of tax stamps to registered stamping agents or distributors.

LOCAL SALES TAX ENACTMENTS

(Technical Assistance Program)

Objective: Provides assistance regarding local sales and compensating use taxes.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Sections 1210, 1223, 1224, 1257, and 1262

Regulation: NA

Program Contact:

Deborah R. Liebman
Deputy Counsel, Office of Counsel
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-2153
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Cities and counties authorized to impose a sales tax.

Type of Program and Special Restrictions: The Department makes available model enactments to localities wishing to impose or make certain changes to local sales and compensating use taxes.

Action Required to Receive Aid: Locality should send a written request to program contact.

Description of Aid:

The Department provides assistance by making available model enactments to localities wishing to impose or make certain changes to local sales and compensating use taxes.

MORTGAGE TAX

Objective: Provides revenue for general purposes of local government and certain public authorities.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1905

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Article 11

Regulation: NYCRR, Title 20, Chapter IV, Subchapter D, Part 640-655

Program Contact:

Deborah R. Liebman
Deputy Counsel, Office of Counsel
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-2153
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Cities, Towns, Villages, NYS Mortgage Agency, Transportation Authorities, Local Governments and Public Benefit Corporations.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

The mortgage recording tax (MRT) is an excise tax on the privilege of recording a mortgage. The MRT consists of many separate taxes. The total amount of the tax depends on the taxes that are in effect in the county and, where applicable, the city where the real property is located.

The many separate taxes have rates varying from \$0.25 to \$1.75 for each \$100 and each remaining major fraction of principle debt or obligation that is secured by the mortgage. Revenues from the "basic" tax of \$0.50 per \$100 are distributed to towns and cities. Revenues from the "special additional" tax of \$0.25 per \$100 go to SONYMA and, in counties in the metropolitan commuter transportation district (MCTD) and Erie County, some revenues go to special transportation assistance funds. Revenues from the "additional" tax of \$0.25 per \$100 (\$0.30 in the MCTD), where imposed, typically benefit regional transportation authorities.

Additional tax – Exceptions to general rule of supporting regional transportation authorities

County	Proceeds to pay for
Allegheny	General Fund
Chautauqua	County correctional and court facilities
Delaware	County correctional & composting facilities; public safety complex
Essex	County office & court facility
Franklin	County office & correctional facility
Fulton	General Fund
Seneca	Community College
Warren	Community College
Washington	Community College

Additional local taxes apply in the following counties and cities.

MORTGAGE TAX (cont.)

Localities imposing taxes in addition to the three statewide taxes

County/City	Effective Date	Rate per \$100	Proceeds to pay for
Albany	11/01/05	\$.25	General Fund
Broome	09/01/94	\$.25	Office for the Aging
Chautauqua	10/01/05	\$.25	General Fund
Columbia	11/01/04	\$.50	General Fund
Essex	11/01/06	\$.25	Correctional & Public Safety Facility Projects
Genesee	01/01/05	\$.25	General Fund
Hamilton	01/01/07	\$.25	General Fund
Herkimer	11/01/05	\$.25	General Fund
Lewis	11/01/04	\$.25	General Fund
New York City	1971	\$1.00 to \$1.75	Various Local Transit Purposes
Rensselaer	03/01/05	\$.25	General Fund
Rockland	11/01/04	\$.25	General Fund
Schenectady	11/01/05	\$.25	General Fund
Schoharie	11/01/06	\$.25	General Fund
Steuben	11/01/05	\$.25	General Fund
Sullivan	11/01/04	\$.25	General Fund
Wayne	10/01/05	\$.25	General Fund
Westchester	03/01/04	\$.25	General Fund
Wyoming	01/01/06	\$.25	General Fund
Yates	11/01/05	\$.25	General Fund
City of Yonkers	09/01/93	\$.50	General Fund

MTA SURCHARGE

Objective: Provides revenue to the Metropolitan Transportation Authority.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Article 9 (Sections 183-a, 184-a, 186-c), Article 9-A (Section 209-B), Article 32 (Section 1455-B), Article 33 (Section 1505-a)

Regulation: NA

Program Contact:

Deborah R. Liebman
Deputy Counsel, Office of Counsel
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-2153
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Metropolitan Transportation Authority

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

A temporary 17 percent surcharge is imposed on business taxpayers operating in the metropolitan commuter transportation district in MCTD. The MCTD included the counties of New York, Bronx, Kings, Queens, Richmond, Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester.

NEW YORK CITY PERSONAL INCOME TAX

Objective: Provides revenue for general purposes of the City of New York.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1966

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Article 30 and NYC Administrative Code.

Regulation: NYCRR, Title 20, Chapter II, Subchapter E, Parts 290-293.

Program Contact:

Deborah R. Liebman
Deputy Counsel, Office of Counsel
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-2153
E-Mail: NA

Fiscal Contact:

NA

Eligibility: New York City.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

A tax is imposed on residents and part-year residents of the City. Using the same filing statuses as under the State income tax, the starting point is State taxable income, with rates for tax year 2006 ranging from 2.907 percent to 3.648 percent.

YONKERS INCOME TAX SURCHARGE/ YONKERS NONRESIDENT EARNINGS TAX

Objective: Provides revenue for general purposes of the City of Yonkers.

Administering Agency: NYS Department of Taxation and Finance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Articles 30-A, 30-B

Regulation: NYCRR, Title 20, Chapter II, Subchapters C and D, Parts 250-270.

Program Contact:

Deborah R. Liebman
Deputy Counsel, Office of Counsel
NYS Department of Taxation and Finance
Building 9, W.A. Harriman State Campus
Albany, NY 12227
(518) 457-2153
E-Mail: NA

Fiscal Contact:

NA

Eligibility: City of Yonkers.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Yonkers residents pay a resident income tax equal to 10 percent of New York State tax liability after nonrefundable credits. Nonresidents pay an earnings tax equal to 0.50 percent of wages and self-employment earnings, after an allowable exclusion of \$3,000 which phases out when earnings exceed \$30,000. The New York State Department of Taxation and Finance administers both taxes.

CHILD ASSISTANCE PROGRAM (CAP)

Objective: CAP is a district optional component of the Family Assistance Program that provides cash benefits and supportive services consistent with State Welfare Reform changes which promote work and opportunities for families to achieve self sufficiency.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: 62204

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Section 131-z

Regulation: 18 NYCRR, Part 366

Program Contact:

Russell Sykes
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222
E-Mail: russell.sykes@otda.state.ny.us

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzyminski@otda.state.ny.us

Eligibility: Counties must submit a CAP proposal to the Office for approval. Currently operating in Broome, Rockland, and Tompkins Counties.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as reimbursement through TANF and State funds.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	500,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

*Included in appropriation for temporary assistance administration, no longer separately allocated.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	342,297	NA
SFY 05-06*	NA	NA	NA

*Beginning in SFY 05-06, CAP is part of State Local Administrative Fund (LAF) and is no longer separately identified.

CHILD SUPPORT ENFORCEMENT

Objective: Funds enforcement of support obligations owed by absent parents to their children.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: www.acf.dhhs.gov/programs/cse/
www.newyorkchildsupport.com

NYS Object Code: 62501

Year Established: 1975

Catalog of Federal Domestic Assistance No.: 93.563

Legal Authority:

Law: NY Social Services Law, Title 6A&B; US Social Security Act of 1935, Title IV-D

Regulation: 18 NYCRR 346-347; 45 CFR Parts 302-307

Program Contact:

Scott Cade
Deputy Commissioner and Director
Division of Child Support Enforcement
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9081
E-Mail: scott.cade@otda.state.ny.us

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzyminski@otda.state.ny.us

Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local, State to Local. Monies are provided primarily on a reimbursement basis.

Type of Aid: Ongoing

Formula: For administrative expenses. The Federal, State and Local Governments share in child support collections. The Federal share of collections is reduced by incentives which are given to States and localities.

Matching Requirement: Reimbursement rates are 66% Federal share, 17% State share, 17% Local share. Enhanced Federal reimbursement for laboratory fees related to paternity testing is 66% beginning October 1, 2006.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>	<u>Spec. Rev. Federal Match*</u>
SFY 04-05	120,000,000	30,936,000	27,000,000	3,100,000
SFY 05-06	120,000,000	34,000,000	30,000,000	3,500,000
SFY 06-07	125,000,000	34,000,000	30,000,000	3,800,000

*Includes funds for enforcement program administered by Department of Taxation and Finance. Appropriation is for disbursement of Federal incentive funds.

Amounts Disbursed:

	<u>Local Assistance Federal Funds</u>	<u>Local Assistance State General Funds</u>	<u>State Ops. State Spec. Rev.</u>	<u>State Ops. Federal</u>
SFY 04-05	161,877,518	27,777,777	11,479,314	2,873,791
SFY 05-06	177,152,091	30,128,934	3,313,179	3,499,962

EMERGENCY ASSISTANCE FOR ADULTS (EAA)

Objective: EAA was created to assist SSI recipients with emergency needs which cannot be met by the basic SSI monthly benefit. EAA is a non-Federal participating program.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: 62206

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Sections 300-309.

Regulation: 18 NYCRR Part 397

Program Contact:

Russell Sykes
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222
E-Mail: russell.sykes@otda.state.ny.us

Fiscal Contact:

Richard Radzynski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzynski@otda.state.ny.us

Eligibility: Counties.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Specific restrictions are listed in 18 NYCRR, Part 397.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Emergency assistance only.

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,005,424,000	NA
SFY 05-06	NA	1,032,908,000	NA
SFY 06-07	NA	794,883,000	NA

*Amount appropriated is for the Family Assistance, EAA, EAF, Safety Net and other Temporary Assistance Programs. EAA comprises only a very small portion of the appropriation amount listed above.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

*Funds are included within the State Temporary Assistance appropriation, and expenditures are not separately identified.

EMERGENCY NEEDS FOR THE HOMELESS PROGRAM (ENHP)

Objective: Supports programs that meet the emergency needs of homeless individuals and families, and those at risk of becoming homeless who are eligible for TANF, and whose incomes do not exceed 200% of the Federal poverty level.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997, Deficit Reduction Act of 2005, Public Law 109-171.

Regulation: TANF Final Rule was published in the Federal Register on April 12, 1999. 18 NYCRR Part 369 (Family Assistance), Part 372 (EAF), 18 NYCRR 385 (Employment)

Program Contact:

Jan Ward
Homeless Services Representative II
Division of Employment & Transitional Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 402-3304
E-Mail: jan.ward@otda.state.ny.us

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzysinski@otda.state.ny.us

Eligibility: Organizations in Local Social Services Districts with a population of over 2,000,000 (non-profits in NYC).

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Many specific restrictions. Specifically, administration costs cannot exceed 15% of the total costs of the Federal program.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: TANF Block Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Funds cannot be used to supplant existing resources.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	1,000,000	NA	NA
SFY 06-07	1,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	610,072	NA	NA

EMERGENCY SHELTER GRANTS

Objective: Provides assistance to not for profit agencies and local governments which operate emergency shelters and provide essential social services to homeless persons.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Housing and Urban Renewal

Specific Program URL: www.hud.gov/cpd/esg.html

NYS Object Code: 62950

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.231

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act of 1987

Regulation: 24 CFR 575-576

Program Contact:

Susan Cicarelli-Caputo
Housing Specialist, Bureau of Housing Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 473-8968
E-Mail: susan.cicarelli-caputo@otda.state.ny.us

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzyminski@otda.state.ny.us

Eligibility: State Governments, Counties, Cities, Towns, Villages, Private Non-Profit Groups and Charitable Organizations. Must operate eligible programs.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: There is a formula for the funds from the Federal to State. Consult Program and/or Fiscal Contacts.

Matching Requirement: Federal 50%, Local/Non-Profit 50%

Maintenance of Effort: Funds cannot be used to supplant existing resources.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	12,000,000	NA	NA
SFY 05-06	12,000,000	NA	NA
SFY 06-07	12,000,000	NA	NA

*Appropriation for Federal Homeless grants.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,413,104	NA	NA
SFY 05-06	2,711,799	NA	NA

FOOD STAMP ADMINISTRATION

Objective: Funds the cost of local operation of the Food Stamp Program.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Agriculture

Specific Program URL: NA

NYS Object Code: 62601, 62602

Year Established: 1977

Catalog of Federal Domestic Assistance No.: 10.560, 10.561, 10.564

Legal Authority:

Law: NY Social Services Law, Sections 95 and 95a; US Food Stamp Act of 1977, as amended

Regulation: 18 NYCRR, Parts 387 and 399; 7 CFR Chapter II, Subchapter C, 271-285

Program Contact:

Russell Sykes
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222
E-Mail: russell.sykes@otda.state.ny.us

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzyminski@otda.state.ny.us

Eligibility: The State Agency submits to the USDA a plan of operation specifying the manner in which the program will be conducted.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Aid must be used for Food Stamp Program administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid: Certification and issuance of food stamp coupons to eligible households.

Flow of Funds: Federal to State to Local, State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal 50%, State 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	294,526,600	77,911,000	3,500,000
SFY 05-06	294,530,000	NA	3,500,000
SFY 06-07	335,000,000	NA	4,385,000

*Beginning in SFY 05-06, Food Stamp Administration State funds are included in the Local Administrative Fund.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	253,188,483	74,271,539	1,187,350
SFY 05-06	264,667,485	11,097,638	NA

*Beginning in SFY 05-06, Food Stamp Administration State funds are included in the Local Administrative Fund.

HIV – WELFARE TO WORK

Objective: Provides training, job placement and job retention services for HIV positive individuals.

Administering Agency: NYS Office of Temporary and Disability Assistance in conjunction with the Aids Institute of the NYS Department of Health

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Budget Appropriation Language

Regulation: NA

Program Contact:

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Fiscal Contact:

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 NYS Office of Temporary and
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 40 N. Pearl Street
 Albany, NY 12243
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 E-Mail: richard.radzyminski@otda.state.ny.us

Eligibility: Private Not-for-Profit or For-Profit Community Based Organizations.

Type of Program and Special Restrictions: Subject to mandated State and Federal law or regulation.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Grantees. Monies are provided pursuant to contract terms. The HIV contracts are a blend of cost based and reimbursement based. Reimbursement is authorized as performance milestones are met and as expenditures are incurred and vouchers are submitted.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,400,000	NA
SFY 05-06	NA	1,400,000	NA
SFY 06-07	NA	1,400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

HOMELESS HOUSING AND ASSISTANCE PROGRAM (HHAP)

Objective: Provides funding in the form of grants and loans for the express purpose of developing housing for homeless and homeless special needs populations.

Administering Agency: NYS Office of Temporary and Disability Assistance; Homeless Housing and Assistance Corporation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 2A, NY Laws of 1983, Chapter 61

Regulation: Chapter 2, Subchapter L, Part 800

Program Contact:

Colleen Salvagni
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Eligibility: Municipalities, Public Corporations, Private Non-Profit Groups and Charitable Organizations.

Type of Program and Special Restrictions: Capital development program, exclusively for the creation/preservation of housing for the homeless.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Funds flow from Homeless Housing and Assistance Corporation to sponsor via contract.

Type of Aid: Grant or Loan - funded through NYS bond proceeds.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Must operate property for 25 to 30 years.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Housing Program</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	30,000,000	1,275,000
SFY 05-06	NA	30,000,000	1,275,000
SFY 06-07	NA	30,000,000	1,275,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>HHAC Expenditures</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	48,261,579	984,000
SFY 05-06	NA	43,882,098	1,015,048

HOMELESSNESS INTERVENTION PROGRAM (HIP)

Objective: Supports services to stabilize households to prevent homelessness, and for those who are currently homeless, facilitates the transition to permanent housing.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: 62950

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 2-A, Title 2

Regulation: NA

Program Contact:

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Eligibility: Local Social Services Districts and non-profit corporations serving homeless and at-risk households.

Type of Program and Special Restrictions: Optional, with some mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 25% Local Share required.

Maintenance of Effort: Funds cannot supplant existing resources.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	5,250,000	NA
SFY 05-06	NA	5,250,000	NA
SFY 06-07	NA	5,250,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,120,695	NA
SFY 05-06	NA	NA	NA

LEGAL SERVICES FOR THE DISABLED

Objective: Supports the provision of legal services to disabled persons who may have been inappropriately denied Federal disability benefits.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: 62230

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1983, Chapter 627; NY Social Services Law, Section 35

Regulation: NA

Program Contact:

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Eligibility: Counties and Private Non-Profit Groups. Must be a local social services district, non-profit legal services corporation or non-profit agency serving the disabled.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements. Monies must be used to provide legal representation before the Social Security Administration.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local; Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Disabled population in service areas.

Matching Requirement: State 50%, Local 50%.

Maintenance of Effort: In-kind support of project at FY 1983-84 levels for similar services to the disabled.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	2,870,000	NA
SFY 05-06	1,000,000 (TANF)	2,870,000	NA
SFY 06-07	1,000,000 (TANF)	2,870,000	NA

*Within appropriation for temporary and disability assistance administration.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	995,443	2,245,533	NA
SFY 05-06	1,000,000	2,339,607	NA

LOW INCOME HOME ENERGY ASSISTANCE (HEAP)

Objective: Helps low income households pay home energy costs and funds programs for low cost residential weatherization or other energy-related home repairs.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: www.acf.dhhs.gov/programs/liheap/

NYS Object Code: 62210, 62211

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.568

Legal Authority:

Law: NY Social Services Law, Section 97; US Public Law 97-35, Title XXVI

Regulation: NY Social Services Regulation, Part 393

Program Contact:

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Eligibility: State Governments and Counties. Grant recipients are aided by local social services districts. Individual families must: (a) have household income less than the higher of 60% of State median income or 150 percent of the poverty level or (b) contain one person receiving Public Assistance, SSI or Food Stamps. Households must have living arrangements which require direct payment to an energy supplier for heat or require undesignated payments for heat in the form of rent.

Type of Program and Special Restrictions: Mandated, required by State law or regulations, provided Federal funds are available. Priority must be given to households with the lowest income and the highest energy burden in relation to income, taking into account family size and targeted to households with young children, elderly individuals and disabled individuals. Funds must be used for payment of home heating costs. No more than 15 percent of total funds may be used for weatherization and/or conservation. No more than 10 percent of total funds may be used for State Planning and Administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided through a Block Grant.

Type of Aid: Ongoing. Annual Block Grant appropriation.

Formula: Grants to states are based on a specific funding formula contained in authorizing Federal statute. Initial local allocations are based on each county's pro rata share of prior year expenditures and are adjusted once the season closes to reflect the actual amount of HEAP benefits paid.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	340,000,000	NA	4,000,000
SFY 05-06	340,000,000	NA	4,000,000
SFY 06-07	340,000,000	NA	4,000,000

LOW INCOME HOME ENERGY ASSISTANCE (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	251,817,149	NA	1,798,569
SFY 05-06	326,397,959	NA	2,801,390

OPERATIONAL SUPPORT FOR AIDS HOUSING (OSAH)

Objective: Provides State-funded operational support for projects funded through the Homeless Housing and Assistance Program (HHAP) which serves persons with AIDS/HIV illness and their families.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: 62950

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NA

Program Contact:

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Eligibility: AIDS housing projects which are funded by the Homeless Housing and Assistance Program (HHAP).

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Competitive awards are made to eligible projects.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,000,000
SFY 05-06	NA	179,000	1,000,000
SFY 06-07	NA	179,000	1,000,000

*Appropriated in the Department of Health.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	610,098
SFY 05-06	NA	NA	568,741

REFUGEE RESETTLEMENT

Objective: Assists refugees, Cuban and Haitian entrants, asylees, and certified victims of trafficking, to resettle in the United States by funding Refugee Cash Assistance, Refugee Medical Assistance, targeted assistance, social services, and discretionary programs for those individuals designated by State agencies as eligible persons.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: www.acf.dhhs.gov/programs/orr/

NYS Object Code: 62308, 62310

Year Established: 1980

Catalog of Federal Domestic Assistance No.: 93.566

Legal Authority:

Law: US Refugee Act of 1980, Section 412

Regulation: 45 CFR, Part 400

Program Contact:

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Acting State Refugee Coordinator
Office of Refugee and Immigrant Services
NYS Office of Temporary and Disability Assistance
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Eligibility: State Governments, Counties and Not-for-Profit Organizations.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Discretionary, based on service needs, and competitive, based on applications for funding.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local and/or Not-for-Profit.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	45,067,000	5,000,000	NA
SFY 05-06	41,425,000	5,000,000	NA
SFY 06-07	41,425,000	5,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	10,382,694	2,646,938	NA
SFY 05-06	10,203,325	2,345,680	NA

SAFETY NET ASSISTANCE

Objective: Provides assistance to persons who are not eligible for the Family Assistance or Federal Public Assistance. Safety Net assistance is comprised of a cash and non-cash component.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: www.wnyc.com/frames/menus/welfare-law/fact-sheets/safety_net_assistance.htm

NYS Object Code: 62205

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Welfare Reform Act of 1997, NY Social Services Law, Sections 157-159

Regulation: 18 NYCRR, Part 370

Program Contact:

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Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	1,005,424,000	NA
SFY 05-06	NA	1,032,908,000	NA
SFY 06-07	NA	794,883,000	NA

*Amounts appropriated are for the Family Assistance, EAA, EAF, Safety Net and other Temporary Assistance programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

*Safety Net expenditures are included within all Temporary Assistance expenditures.

SINGLE ROOM OCCUPANCY (SRO) SUPPORTIVE SERVICES

Objective: Assists with costs of services to assist eligible residents of single room occupancy units to live independently.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: 62950

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 2-A, Title 2

Regulation: NA

Program Contact:

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Eligibility: Local Social Services Districts, Charitable Organizations, and Not-for-Profit Corporations.

Type of Program and Special Restrictions: Optional, with some mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: Contractors must show that services to be provided are new, that without funding the services will be discontinued or that this funding will result in a significant increase in services.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	13,540,000	NA
SFY 05-06	NA	15,060,000	NA
SFY 06-07	NA	18,710,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	6,867,299	NA
SFY 05-06	NA	1,362,995	NA

STRENGTHENING FAMILIES THROUGH STRONGER FATHERS INITIATIVE

Objective: Provides employment, parenting and case management services to noncustodial parents in an effort to get them more engaged in their child's life.

Administering Agency: NYS Office of Temporary and Disability Assistance

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Budget Appropriation Language

Regulation: NA

Program Contact:

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Eligibility: Five pilot sites were selected based upon their proven experience in providing services to this unique population.

Type of Program and Special Restrictions: Subject to mandated State and Federal law or regulations.

Action Required to Receive Aid: Submit periodic reports to OTDA on the number of individuals served and services they received.

Description of Aid:

Flow of Funds: State to Grantees. Monies are provided pursuant to contract terms.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated: New Program

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	3,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

SUPPLEMENTAL HOMELESS INTERVENTION PROGRAM (SHIP)

Objective: Provides supportive services designed to stabilize households by attempting to avoid homelessness and, for those who are currently homeless, by facilitating the transition to permanent housing.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62950

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997, Deficit Reduction Act of 2005, Public Law 109-171.

Regulation: TANF Final Rule was published in the Federal Register on April 12, 1999. 18 NYCRR Part 369 (Family Assistance), Part 372 (EAF), 18 NYCRR 385 (Employment)

Program Contact:

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Eligibility: Local Social Services Districts and Not-for-Profit Corporations serving homeless and at-risk households.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Many specific restrictions. Specifically, administration costs cannot exceed 15% percent of the total costs of the Federal program.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: TANF Block Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Funds cannot be used to supplant existing resources.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	4,000,000	NA	NA
SFY 06-07	4,000,000	NA	NA

Amounts Disbursed:

SHIP Federal Funds disbursed for SFY 04-05 and SFY 05-06 are included in TANF.

Comments:

Federal TANF funds are available for additional HIP services, and expenses for families, including non-custodial parents, whose income does not exceed 200 percent of the Federal poverty level and who are eligible for TANF.

SUPPORTED HOUSING FOR FAMILIES AND YOUNG ADULTS (SHFYA)

Objective: Provides services to residents of supported housing including families who are homeless and/or have exceeded TANF time limits, and certain young adult populations.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62950

Year Established: 2002

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997, Deficit Reduction Act of 2005, Public Law 109-171.

Regulation: TANF Final Rule was published in the Federal Register on April 12, 1999. 18 NYCRR Part 369 (Family Assistance), Part 372 (EAF), 18 NYCRR 385 (Employment)

Program Contact:

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Eligibility: Local Social Services Districts and Not-for-Profit Corporations serving homeless and at-risk households.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Many specific restrictions. Specifically, administration costs cannot exceed 15% percent of the total costs of the Federal program.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: TANF Block Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Funds cannot be used to supplant existing resources.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	1,000,000	NA	NA
SFY 05-06	2,500,000	NA	NA
SFY 06-07	5,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Fund</u>	<u>State Spec. Rev.</u>
SFY 04-05	29,893	NA	NA
SFY 05-06	619,809	NA	NA

TEMPORARY ASSISTANCE ADMINISTRATION

Objective: Assists local social services districts with costs of administering the Family Assistance and Safety Net Assistance programs.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62204, 62231

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997, Deficit Reduction Act of 2005, Public Law 109-171.

Regulation: TANF Final Rule was published in the Federal Register on April 12, 1999. 18 NYCRR Part 369 (Family Assistance), Part 372 (EAF), 18 NYCRR 385 (Employment)

Program Contact:

Russell Sykes
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222
E-Mail: russell.sykes@otda.state.ny.us

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzysinski@otda.state.ny.us

Eligibility: State Governments and Counties. State and local welfare agencies must operate under the Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Many specific restrictions. Specifically, administration costs cannot exceed 15% percent of the total costs of the Federal program.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Contact program/fiscal contact.

Matching Requirement: Federal 50%, State 25%, Local 25% for costs of administering Family Assistance Federal program. State 50%, Local 50% for other administrative costs.

Maintenance of Effort: The State receives a Federal block grant yearly with a required MOE.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>*State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	232,285,300	6,800,000
SFY 05-06	NA	336,550,000	6,800,000
SFY 06-07	NA	358,316,000	6,800,000

*Federal funds not separately appropriated. Funds are a part of overall TANF grant.

TEMPORARY ASSISTANCE ADMINISTRATION (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	70,675,494	NA
SFY 05-06	NA	312,920,004	NA

Comments: Superseded the Income Maintenance Administration program.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF)

Objective: Provides Federally-funded assistance to needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families, through the Family Assistance and Safety Net (Federal component) programs.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

Specific Program URL: NA

NYS Object Code: 62201, 62202, 62232

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997, Deficit Reduction Act of 2005, Public Law 109-171.

Regulation: TANF Final Rule was published in the Federal Register on April 12, 1999. 18 NYCRR Part 369 (Family Assistance), Part 372 (EAF), 18 NYCRR 385 (Employment)

Program Contact:

Russell Sykes
Deputy Commissioner
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NYS Office of Temporary and
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40 N. Pearl Street
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(518) 474-9222
E-Mail: russell.sykes@otda.state.ny.us

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527
E-Mail: richard.radzyminski@otda.state.ny.us

Eligibility: State Governments and Counties. State and local welfare agencies must operate under Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local, State to Local.

Type of Aid: Ongoing

Formula: Consult program and/or fiscal contact.

Matching Requirement: Yes

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,041,198,000	1,005,424,000	NA
SFY 05-06	2,467,930,000	1,032,908,000	NA
SFY 06-07	2,487,312,000	794,883,000	NA

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (cont.)

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 04-05	2,070,844,697	937,344,637	NA
SFY 05-06	2,046,183,425	883,945,251	NA

*Amounts appropriated and disbursed for the TANF which includes EAF, TANF administration, and other Family Assistance programs.

**Amounts appropriated and disbursed are for the Family Assistance, EAA, EAF, Safety Net and other Family Assistance programs.

Comments: Effective December 1996, the TANF program replaced the AFDC, EAF, and JOBS programs.

AIRPORT IMPROVEMENT PROGRAM

Objective: Provides grants to owners of public use airports (mostly municipal with the exception of reliever airport owners who might be private).

Administering Agency: NYS Department of Transportation; US Department of Transportation

Specific Program URL: NA

NYS Object Code: 65502

Year Established: 1970

Catalog of Federal Domestic Assistance No.: 20.106

Legal Authority:

Law: NY Laws of 1967, Chapter 717, Section 36 and Article B, Section 306; US PL 97-248 and PL 100-233

Regulation: NA

Program Contact:

Seth Edelman
 Director
 Aviation Services Bureau
 NYS Department of Transportation
 50 Wolf Road, Pod 5-4
 Albany, NY 12232
 (518) 457-7664
 E-Mail: sedelman@dot.state.ny.us

Fiscal Contact:

Carlton Boorn
 Director
 Accounting Bureau
 Office of Budget and Finance
 NYS Department of Transportation
 50 Wolf Road, Pod 5-2
 Albany, NY 12232
 (518) 457-2424
 E-Mail: cboorn@dot.state.ny.us

Eligibility: Counties, Cities, Towns, Villages, Public Authorities and Private Airports designated as reliever airports. Must have received a Federal grant first, before a State grant is awarded.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for specific projects.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 90-75%. Fifty percent of remaining costs after Federal funds are deducted are State share of match, 50 percent are Local. Federal share varies between 75 percent at big airports and 90 percent at smaller commercial service and general aviation airports.

Maintenance of Effort: Facility must remain operational over a 20 year period.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>*State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	8,000,000
SFY 05-06	NA	NA	8,000,000
SFY 06-07	NA	NA	8,000,000

*New York is not a pass-through State for Federal AIP funds.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	5,200,000
SFY 05-06	NA	NA	6,500,000

**AIRPORT IMPROVEMENT & REVITALIZATION
ACT OF 1999 (AIR '99)**
(Technical Assistance Program)

Objective: Provides for current needs of the State's public use airports.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Transportation Law, Article 2, Section 141

Regulation: NA

Program Contact:

Seth Edelman
Director
Aviation Services Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232
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Fiscal Contact:

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NYS Department of Transportation
50 Wolf Road, Pod 5-2
Albany, NY 12232
(518) 457-2424
E-Mail: cboorn@dot.state.ny.us

Eligibility: Public use airports included in the State Aviation System's Plan (SASP), Counties, Cities, Towns, Villages, Public Authorities and Private Owners.

Type of Program and Special Restrictions: State/Local grant and loan program. Eligibility limited to specific project types. Limited to \$300,000. Promotional limited to \$35,000. Bi-State authority airports not eligible.

Action Required to Receive Aid: Applications submitted when funding available.

Description of Aid:

Grant and loan program. Matching requirement varies by size and type of airport. Matching requirement: General aviation airports and commercial service airports with less than 50,000 annual enplanements - 90%; Commercial service airports with 50,000 - 700,000 annual enplanements - 80%; Commercial service with 700,000 or more annual enplanements - 70%. Technical assistance - 80%. Must maintain the project for its useful life as defined in the legislation. See also the Airport Improvement Program.

CONSOLIDATED LOCAL STREET AND HIGHWAY IMPROVEMENT PROGRAM (CHIPS)

Objective: To assist localities in financing the construction, reconstruction, or improvement of highways, bridges, highway-railroad crossings, and other facilities that are not on the State highway system.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: 65101

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Highway Law, Section 10-c

Regulation: NA

Program Contact:

Steven Ainspan
Local Programs Unit
NYS Department of Transportation
50 Wolf Road Pod 52
Albany, NY 12232
(518) 485-1755
E-Mail: SAINSPAN@DOT.STATE.NY.US

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages. Municipality must own road mileage.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. All money must be spent on capital projects having a highway-related purpose and an expected useful service life of at least 10 years. Monies may not be used for debt service.

Action Required to Receive Aid: Municipalities must certify that the capital projects have a minimum 10 year service life and that project disbursements were made during the specified reimbursement period for a particular quarterly payment.

Description of Aid:

Flow of Funds: State to Local. Capital funds, derived from the proceeds of bonds (State Special Revenue) issued by the Thruway Authority, are provided as a reimbursement for local cash expenditures made by municipalities.

Type of Aid: Ongoing

Formula: Based primarily on road mileage (all municipalities) and motor vehicle registrations (counties and NYC.)

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	276,697,000
SFY 05-06	NA	NA	283,297,000
SFY 06-07	NA	NA	309,497,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	257,258,598
SFY 05-06	NA	NA	277,384,886

**DEDICATED MASS TRANSPORTATION TRUST FUND,
NON-MTA CAPITAL PURPOSE
STATEWIDE (NON-MTA) MULTI-YEAR
TRANSIT CAPITAL PROGRAM**

Objective: Supports transit capital infrastructure for both public and private mass transit systems other than the MTA statewide.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 89C

Regulation: NA

Program Contact:

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8343
E-Mail: repstein@dot.state.ny.us

Fiscal Contact:

Carlton Boorn
Director
Accounting Bureau
Office of Budget and Finance
NYS Department of Transportation
50 Wolf Road, Pod 5-2
Albany, NY 12232-0753
(518) 457-2424
E-Mail: cboorn@dot.state.ny.us

Eligibility: Municipal sponsors including counties, cities, and transportation authorities.

Type of Program and Special Restrictions: Transit capital projects.

Action Required to Receive Aid: Sponsorship by municipality as described above for public transit capital need.

Description of Aid:

Flow of Funds: State reimburses municipal sponsor for 100% of capital costs, subject to State contract.

Type of Aid: Project Grant - capital only.

Formula: Need-based as compared to total Statewide transit capital needs.

Matching Requirement: 100% State funding, cannot be used to match Federal funds.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Dedicated Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	16,000,000	NA
SFY 05-06	NA	16,000,000	NA
SFY 06-07	NA	16,000,000	NA

Amounts Disbursed: Information not supplied by agency.

FERRY BOAT DISCRETIONARY PROGRAM

Objective: Provides a special funding category for the construction of ferry boats and ferry terminals.

Administering Agency: NYS Department of Transportation for the Federal Highway Administration

Specific Program URL: www.dot.state.ny.us

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: 1991 ISTEPA, Section 1064 (Public Law 102-240); TEA-21, Section 1027 (Public Law 105-178)

Regulation: 23 USC 129(c)

Program Contact:

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
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Fiscal Contact:

Carlton Boorn
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Albany, NY 12232-0753
(518) 457-2424
E-Mail: cboorn@dot.state.ny.us

Eligibility: Ferry/Facilities providing a link on a public road (other than Interstate) or providing passenger only service; ferry and/or facilities to be constructed or improved must be either publicly owned, operated or a public authority has majority ownership interest where it is demonstrated that the ferry operation provides substantial public benefits.

Type of Program and Special Restrictions: Optional, but recipients are subject to State and Federal regulations.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local Grantee

Type of Aid: Project Grant - Limited Duration (1 Federal fiscal year)

Formula: NA

Matching Requirement: 20% Local (grantee) match to 80% Federal funding of entire project cost.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Information not supplied by agency.

FHWA CORE HIGHWAY INFRASTRUCTURE PROGRAMS

Congestion Mitigation - Air Quality (CMAQ), Highway Bridge Reconstruction & Rehabilitation (HBRR), National Highway System (NHS), Surface Transportation Program (STP), Safety Infrastructure & Hazard Elimination Programs

Objective: Improves safety, mobility and infrastructure while protecting and enhancing communities and natural environment. Replaces and rehabilitates structurally deficient or functionally obsolete highway bridges on and off the Federal-aid system, reduces transportation related emissions, provides for improved connections to major intermodal terminals, mitigates hazards caused by wildlife, and railway-highway grade crossings.

Administering Agency: NYS Department of Transportation

Specific Program URL: <http://www.fhwa.dot.gov/tea21/factsheets/index.htm>

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 20.205

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21), P.L. 105-178. 23 U.S.C. Various Sections: 133 for STP & STP HE, 103 & 104 for NHS, 144 for HBRR, 149 for CMAQ, 152 for STP HE.

Regulation: 23 CFR

Program Contact

Jim Church
Director
Project Management Bureau
NYS Department of Transportation
50 Wolf Road, 6th Floor
Albany, NY 12232
(518) 457-4086
E-Mail: jchurch@dot.state.ny.us

Fiscal Contact

NA

Eligibility: State Governments, Municipalities, and Public Transportation Authorities through a State Highway Agency.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. HBRR funds may be applied to projects on all highway bridges on any road (on or off Federal-aid system). Otherwise, facility to be improved must be on Federal-aid system. See program contact for specific restrictions.

Action Required to Receive Aid: Competitive application for aid required; inclusion on a Transportation Improvement Program (TIP) in urbanized areas required.

Description of Aid:

Flow of Funds: Federal to State. State to Local as a reimbursement. State monies are provided in advance.

Type of Aid: Project Grant (reimbursement).

Formula: NA

Matching Requirement: Varies, generally 20%, 10% for safety improvements. Contact Program Contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 03-04	1,074,342,406	NA	NA
FFY 04-05	1,064,756,008	NA	NA
FFY 05-06	1,047,047,201	NA	NA

Amounts Awarded to NYS Projects:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04-05	868,478,035	NA	NA
FFY 05-06	437,575,800	NA	NA

FHWA LOCAL PLANNING PROGRAM

Objective: Funds studies for comprehensive transportation planning and coordinated support studies within eligible urban areas in accordance with Federal grant agreements.

Administering Agency: NYS Department of Transportation; US Department of Transportation

Specific Program URL: NA

NYS Object Code: 65503

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 20.205

Legal Authority:

Law: NY Laws of 1990, Chapter 53; 23 USC 104F

Regulation: NA

Program Contact:

Steve Lewis
Director
Office of Program Development & Management
NYS Department of Transportation
50 Wolf Road
Albany, NY 12232
(518) 457-7664
E-Mail: slewis@dot.state.ny.us

Fiscal Contact:

Elizabeth Booth
Associate Accountant
Revenue Division
NYS Department of Transportation
50 Wolf Road, Pod 5-2
Albany, NY 12232
(518) 457-1986
E-Mail: ebooth@dot.state.ny.us

Eligibility: Metropolitan Planning Organizations work programs within eligible urban areas.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be in accordance with State approved unified work program and Federal grant agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement of incurred eligible costs..

Type of Aid: Ongoing

Formula: Based on urban population and lane miles.

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Information not supplied by agency.

HIGHWAY BRIDGE REPLACEMENT AND REHABILITATION DISCRETIONARY PROGRAM

Objective: Provides funds to assist the States and municipalities in their programs to replace and rehabilitate deficient highway bridges and to seismic retrofit bridges located on any public road.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21), Section 144(g) of 23 USC

Regulation: NA

Program Contact

Jim Church
Director
Project Management Bureau
NYS Department of Transportation
50 Wolf Road, 6th Floor
Albany, NY 12232
(518) 457-4086
E-Mail: jchurch@dot.state.ny.us

Fiscal Contact

NA

Eligibility: State and Municipal Governments. Applications compete at the National level. \$10,000,000 minimum project cost.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application process with selections made at the National level. Transportation Improvement Program (TIP) inclusion is required in metropolitan areas.

Description of Aid:

Flow of Funds: Federal to State and State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 80% Federal, 20% State/Local

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 03-04	NA	NA	NA
FFY 04-05	NA	NA	NA
FFY 05-06	NA	NA	NA

Amounts Awarded to NYS Projects: Information not supplied by agency.

INDUSTRIAL ACCESS PROGRAM (IAP)

Objective: Provides funding for highway, bridge and rail freight (spur) improvements that will facilitate economic development and result in jobs being created or retained in New York State.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: 71120

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2004, Chapter 59

Regulation: IAP Rules and Regulations, 1993

Program Contact:

Jack Earl
Program Manager
Community Assistance Bureau
NYS Department of Transportation
50 Wolf Road, Pod 54
Albany, NY 12232-0876
(518) 485-2990
E-Mail: jearl@dot.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, Public Authorities, Local Development Agencies and Industrial Development Agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Awards are limited to \$1,000,000 in the form of a 60 percent grant and 40 percent interest free loan. Facilities that are retail in nature are not eligible. Completed project must be available for public use.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local Municipal Sponsor. Monies are provided as a reimbursement.

Type of Aid: Project Grant/Loan

Formula: Consult program contact.

Matching Requirement: Local and private financial participation is expected, although no formula is applied.

Maintenance of Effort: Thirty years for bridge projects, 20 years for highway and rail projects are required.

Amounts Appropriated and Disbursed: Information not supplied by agency.

INNOVATIVE BRIDGE RESEARCH AND CONSTRUCTION DISCRETIONARY PROGRAM

Objective: Funds are made available for projects to demonstrate innovative methods and materials relating to repair, rehabilitation and construction of bridges.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21), Section 503(b)(3), Section 503(b)(4), 23 USC.

Regulation: NA

Program Contact

Jim Church
Director
Project Management Bureau
NYS Department of Transportation
50 Wolf Road, 6th Floor
Albany, NY 12232
(518) 457-4086
E-Mail: jchurch@dot.state.ny.us

Fiscal Contact

NA

Eligibility: State and Municipal Governments. Applications compete at the National level.

Type of Program and Special Restrictions: Optional, but once chosen subject to State and Federal requirements.

Action Required to Receive Aid: Competitive application process. Prioritized lists submitted to FHWA, Washington. Selections made at the National level. Transportation Improvement Program (TIP) inclusion in metropolitan areas.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 100% Federal for all innovative project elements.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Information not supplied by agency.

JOBS ACCESS AND REVERSE COMMUTE

Objective: Increases opportunities for persons on welfare and low income individuals to access transportation service(s) including reverse commute service(s) designed to facilitate the transition from welfare to work.

Administering Agency: NYS Department of Transportation; US Department of Transportation, Federal Transit Administration

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 20.509

Legal Authority:

Law: NA

Regulation: Notice: Job Access and Reverse Commute Competitive Grants, November 6, 1998

Program Contact:

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8343
E-Mail: repstein@dot.state.ny.us

Fiscal Contact:

Thomas Vaughan
ITS II
Passenger Policy and Program Evaluation
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232
(518) 457-7664
E-Mail: tvaughan@dot.state.ny.us

Eligibility: Local agencies and authorities, non-profit organizations and designated recipients under the FTA section 5307 program are eligible applicants. Local agencies and authorities include states, local governments, metropolitan planning organizations, public transit agencies and tribal organizations.

Type of Program and Special Restrictions: Federal Discretionary Transportation Program for transportation services to welfare recipients and low income persons.

Action Required to Receive Aid: Regional Transportation Plans must be developed and an application is required. Complete applications including recommendation as appropriate by the Metropolitan Planning Organizations (MPOs) or the State must be submitted to the FTA by the established deadline.

Description of Aid: Federal funds can be used to pay for up to 50% of operating and capital costs associated with the provision of transportation service(s) to welfare recipients and low income persons.

Amounts Appropriated and Disbursed: Contact New York State Department of Transportation.

Comments: Metropolitan Planning Organizations select applicants in areas over 200,000 in population. In small urban areas between 50,000 and 200,000 in population and rural areas, states select the applicants.

MARCHISELLI PROGRAM (MUNICIPAL STREETS & HIGHWAYS PROGRAM)

Objective: Assists local municipalities in meeting the non-Federal share of costs required to match Federal Aid for off (Federal) system highway and bridge capital projects.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1991, Chapter 329 as amended by Chapter 330; NY Highway Law, Section 80-b. Funding authorization provided by NY Laws of 1994, Chapter 167.

Regulation: NA

Program Contact:

Carolyn Deuel
Accounting Bureau
NYS Department of Transportation
50 Wolf Road – Pod 52
Albany, NY 12232
(518) 457-6494
E-Mail: cdeuel@dot.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages. In order to be eligible for Marchiselli (State) funding, projects must first be on the Federal Aid program. Specific project phases must be included (i.e., listed) in the current Legislative "Green Book" publication on Marchiselli appropriations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. This is a "reimbursement type" program funded from tax-exempt Thruway Bond revenue. Special restrictions include a requirement that monies be used on highway and bridge projects with an expected service life of ten years or more, and that funding may not be used for public debt service.

Action Required to Receive Aid: Municipalities are required to execute a local resolution and agreement with the Department of Transportation. In cases where the State administers the Federally-aided project phase, the municipality is required to deposit the full non-Federal share of each phase's estimated cost. In cases where the locality administers the work, no deposit is required.

Description of Aid:

Flow of Funds: NYS Thruway Bond Revenue-to-Local Municipality via periodic (usually quarterly) reimbursement checks (as actual payments are processed by the State Comptroller).

Type of Aid: Ongoing, reimbursement of the eligible non-Federal costs.

Formula: Seventy-five percent of eligible non-federal project costs processed to date, limited by the lower of either the State legislated "Green Book" budget allocation amount or the amount of the maximum State Marchiselli match listed in the local agreement.

Matching Requirement: If State administered, locality must first deposit 100 percent of the estimated non-Federal cost share.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	39,700,000
SFY 05-06	NA	NA	39,700,000
SFY 06-07	NA	NA	39,700,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	34,722,256
SFY 05-06	NA	NA	30,340,014

MASS TRANSPORTATION OPERATING ASSISTANCE

Objective: Provides transit operating assistance to both public and private sponsored transit operations in New York State in order to stabilize and maintain fares and service levels.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: 65201

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Transportation Law, Sections 18-b and 14-g, NY Finance Law, Section 88-a

Regulation: 17 NYCRR 975

Program Contact:

Ron Epstein
 Statewide Mass Transportation
 Operating Assistance Program
 Transit Bureau
 NYS Department of Transportation
 50 Wolf Road, Pod 5-4
 Albany, NY 12232-0414
 (518) 457-8343
 E-Mail: repstein@dot.state.ny.us

Fiscal Contact:

Carlton Boorn
 Director
 Accounting Bureau
 Office of Budget and Finance
 NYS Department of Transportation
 50 Wolf Road, Pod 5-2
 Albany, NY 12232-0753
 (518) 457-2424
 E-Mail: cboorn@dot.state.ny.us

Eligibility: Counties, Cities, Public Authorities and Directly Sponsored Major Intercity Carriers. Eligible mass transportation services are available to the general public on a regular and continuing basis and have pre-determined and publicly posted fares, service hours and also shall have printed schedules. Minimum fare for transfer fee of 30 cents per passenger (half fare for elderly, young or transit disabled) must be collected.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. MTOA monies may only be used to meet current operating expenses. Private carriers subject to maximum profit review annually.

Action Required to Receive Aid: Non-competitive application for aid required on a quarterly basis.

Description of Aid:

Flow of Funds: State to Local. Monies are provided by statutory schedule for authorities, or on formula basis for non-authorities.

Type of Aid: Ongoing

Formula: For non-authority participants. Upstate systems: 40.5 cents/revenue passenger and 69 cents/revenue vehicle mile. Additional 5 cents/revenue passenger and 30 cents/population (on a quarterly basis) for Americans with Disabilities Act (ADA) paratransit service. Downstate systems: 40.5 cents/revenue passenger and 69 cents/revenue vehicle mile. Additional 5 cents/revenue passenger and 30 cents/population (on a quarterly basis) for ADA paratransit service. Additional 50% of system fare/uniticket passenger for uniticket service.

Matching Requirement: State 50%, Local 50% of the local match required portion.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>Dedicated Taxes**</u>
SFY 04-05	NA	111,616,000	1,488,400,000
SFY 05-06	NA	103,016,000	2,032,989
SFY 06-07	NA	103,016,000	2,436,072

*\$269.005 million requires a local match.

**Includes Dedicated Mass Transportation Trust Fund.

Amounts Disbursed: Information not supplied by agency.

NATIONAL HISTORIC COVERED BRIDGE PRESERVATION PROGRAM

Objective: Provides funding for the rehabilitation, repair, preservation, and restoration of the Nation's historic covered bridges.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21), Section 1224.

Regulation: NA

Program Contact

Steve Lewis
Director
Office of Program Development & Management
NYS Department of Transportation
50 Wolf Road
Albany, NY 12232
(518) 457-7664
E-Mail: slewis@dot.state.ny.us

Fiscal Contact

NA

Eligibility: State or Municipal Governments. Applications compete at the National level.

Type of Program and Special Restrictions: Optional, but once chosen subject to State and Federal requirements.

Action Required to Receive Aid: Competitive application process. Prioritized lists submitted to FHWA, Washington. Selections made at the National level. Transportation Improvement Program (TIP) inclusion in metropolitan areas.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 80% Federal, 20% State/Local.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Information not supplied by agency.

PUBLIC LANDS HIGHWAYS DISCRETIONARY PROGRAM

Objective: Provides funding for roadway rehabilitation and reconstruction to improve access to and within Federal lands of the nation.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1930

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21), Sections 202, 203 and 204 of 23 USC.

Regulation: NA

Program Contact

Mike Soscia
Director
Program Management Division
NYS Department of Transportation
50 Wolf Road, 6th Floor
Albany, NY 12232
(518) 457-6680
E-Mail: msoscia@dot.state.ny.us

Fiscal Contact

NA

Eligibility: State and Municipal Governments. Applications compete at the National level.

Type of Program and Special Restrictions: Optional, but once selected, subject to State and Federal requirements.

Action Required to Receive Aid: Competitive application process. Prioritized lists submitted to FHWA. Selections made at the National level. Transportation Improvement Program (TIP) inclusion in metropolitan areas.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 100% Federal

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Information not supplied by agency.

PUBLIC TRANSPORTATION FOR NONURBANIZED AREAS

Objective: Supports establishment and maintenance of public transit programs in nonurbanized areas.

Administering Agency: NYS Department of Transportation; US Department of Transportation

Specific Program URL: www.dot.state.ny.us/publictransit

NYS Object Code: 65402¹

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 20.509

Legal Authority:

Law: US Urban Mass Transportation Act of 1964, 49 USC 5311, et. seq.

Regulation: US FTA Circular 9040.1E

Program Contact:

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8343
E-Mail: repstein@dot.state.ny.us

Fiscal Contact:

Stephanie Mielnik
NYS Department of Transportation
50 Wolf Road
Albany, NY 12232-0414
E-Mail: smielnik@dot.state.ny.us

Eligibility: Counties, Cities, Indian Reservations and Public Transportation Authorities. May include State agencies, local public bodies and agencies thereof, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas (under 50,000 population). Private operators of transit services may participate in program only through contracts with eligible recipients. Urbanized areas, as defined by the US Bureau of the Census, are not eligible.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. All funded services must be open to the general public on an equal opportunity basis.

Action Required to Receive Aid: Letter of interest from eligible recipient. Non-competitive application for aid required if it is for operating assistance, an application for aid is required for capital assistance.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Ongoing if capital assistance, scheduled payments for projects if operating assistance.

Formula: Funds for operating assistance are distributed on the basis of nonurbanized area population and service statistics of rural public transportation operators.

Matching Requirement: Federal share for operating assistance limited to 50 percent of net operating deficit. Up to 50 percent of non-Federal share may be matched by certain other Federal programs. Remaining non-Federal share must be met by a combination of State or local sources. Capital projects - Federal 80%; State 10%; Local 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	13,948,000	NA	NA
SFY 05-06	13,948,000	NA	NA
SFY 06-07	17,975,000	NA	NA

Amounts Disbursed: Information not supplied by agency.

¹Refers to FTA Nonurbanized Areas.

RURAL TRANSIT ASSISTANCE PROGRAM (RTAP)

(Technical Assistance Program)

Objective: Provides funding for training, technical assistance, and related activities to small urban and rural transportation operators.

Administering Agency: NYS Department of Transportation; US Federal Transit Administration

Specific Program URL: www.dot.state.ny.us/pubtrans/rtap.html

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: 49 USC Sec. 5311(b)(2)

Program Contact:

Paul Ouderkirk
Technical Assistance Section
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8335
E-Mail: pouderkirk@dot.state.ny.us

Fiscal Contact:

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8343
E-Mail: repstein@dot.state.ny.us

Eligibility: Counties, Cities, Towns and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required. Certain training materials are provided to eligible operators statewide automatically.

Description of Aid:

Provides training courses and materials free of charge to small urban (under 50,000) and rural transit operators. Provides reimbursement of travel and registration costs to operators to attend training conferences, workshops and seminars. Provides technical assistance resources.

TEA-21 TRANSPORTATION ENHANCEMENTS PROGRAM

Objective: Provides Federal funding for transportation-related local projects outside the "normal" types of projects. The projects are designed to strengthen the cultural, aesthetic and environmental aspects of the national intermodal transportation system.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21), Section 133(d)(2) of 23 USC

Regulation: NA

Program Contact:

Henry Hren
Director
Community Assistance Delivery Bureau
NYS Department of Transportation
50 Wolf Road, 6th Floor
Albany, NY 12232
(518) 457-8545
E-Mail: hhren@dot.state.ny.us

Fiscal Contact:

NA

Eligibility: State and Municipal Governments. Non-governmental groups may apply through governmental sponsorship.

Type of Program and Special Restrictions: Optional, but subject to same State and Federal requirements applying to all Federally funded local projects.

Action Required to Receive Aid: Competitive application process, TIP inclusion in urbanized areas.

Description of Aid:

Reimbursement program; requires 20% local match; innovative financing available. Completed projects to be open to the public.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 03-04	28,461,373	NA	NA
FFY 04-05	28,923,049	NA	NA
FFY 05-06	28,923,049	NA	NA

Amounts Awarded to NYS Projects:

FFY 04-05	5,653,659	NA	NA
FFY 05-06	9,874,367	NA	NA

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) BLOCK GRANT

Objective: Increases opportunities for TANF recipients to access transportation service(s) and activities designed to meet employment related travel needs.

Administering Agency: NYS Department of Transportation, NYS Department of Labor; US Department of Health and Human Services (HHS)

Specific Program URL: NA

NYS Object Code: NA

Year Established: 2000-01

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US PL 11104-193, PL 105-33

Regulation: TANF Final Rule (64 Fed. Reg., April 1999)

Program Contact - Transportation:

Thomas Vaughan
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232
(518) 457-8343
E-Mail: tvaughn@dot.state.ny.us

Program Contact - Labor:

John Haley
Office of Temporary Disability Assistance
Division of Employment & Transitional Supports
NYS Department of Labor
40 North Pearl Street
Albany, NY 12243
(518) 486-5131
E-Mail: NA

Eligibility: Both local social services districts and public transportation systems were eligible to apply for funding under the TANF Transportation Program. Under the "new" TANF funded Community Solutions for Transportation (CST) Program **only** local social services districts are eligible to apply.

Type of Program and Special Restrictions: TANF Transportation Program funds made available in SFY 1997-98 and 1998-99 may only be used for the provision of transportation services to TANF recipients for employment and other eligible TANF activities. The "new" TANF funded Community Solutions for Transportation (CST) Program is being funded out of the TANF appropriations made available in SFY 1999-00 and SFY 2000-01. Eligibility for service in the new CST Program has been expanded to include TANF recipients and low income persons whose income does not exceed 200% of the federal poverty level.

Action Required to Receive Aid: Application must be submitted.

Description of Aid: Federal funds can be used to pay for up to 100% of the cost to provide service to TANF recipients and low income persons.

Amounts Appropriated and Disbursed: Contact New York State Department of Labor.

TRANSPORTATION AND COMMUNITY AND SYSTEM PRESERVATION PILOT PROGRAM

Objective: Provides funding for planning and implementation of transportation strategies and projects which improve efficiency of the transportation system, reduce environmental impacts, ensure access to jobs, provide infrastructure investments and encourage private sector investment.

Administering Agency: NYS Department of Transportation

Specific Program URL: NA

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Section 1221 of the Transportation Equity Act for the 21st Century (TEA-21)

Regulation: NA

Program Contact

Jim Church
Director
Project Management Bureau
NYS Department of Transportation
50 Wolf Road, 6th Floor
Albany, NY 12232
(518) 457-4086
E-Mail: jchurch@dot.state.ny.us

Fiscal Contact

NA

Eligibility: State, Municipal Governments, MPOs and Local Agencies.

Type of Program and Special Restrictions: Optional, but once selected, subject to State and Federal requirements.

Action Required to Receive Aid: Competitive application process with selections made at the National level.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 100% Federal, however, local match is encouraged.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 03-04	4,860,229	NA	NA
FFY 04-05	1,837,601	NA	NA
FFY 05-06	516,780	NA	NA

Amounts Awarded to NYS Projects:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 04-05	1,837,601	NA	NA
FFY 05-06	516,780	NA	NA

TRANSPORTATION FOR ELDERLY PERSONS AND PERSONS WITH DISABILITIES

Objective: Capital assistance for organizations that provide service to elderly persons and persons with disabilities when public service is unavailable, insufficient or inappropriate.

Administering Agency: NYS Department of Transportation for the Federal Transit Administration

Specific Program URL: www.dot.state.ny.us

NYS Object Code: NA

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 20.513

Legal Authority:

Law: 49 USC 5310, et seq.

Regulation: US FTA Circular C9070.1E

Program Contact

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8343
E-Mail: repstein@dot.state.ny.us

Fiscal Contact

Jan Simpson
NYS Department of Transportation
50 Wolf Road
Albany, NY 12232-0414
E-Mail: jsimpson@dot.state.ny.us

Eligibility: Private nonprofit corporations; public bodies approved by State to coordinate services for the elderly and persons with disabilities; and public bodies which certify to the Governor that no nonprofit corporations are readily available in an area to provide the service.

Type of Program and Special Restrictions: Optional, but once chosen subject to State and Federal regulations.

Action Required to Receive Aid: Application must be submitted.

Description of Aid:

Flow of Funds: Federal to State to Grantee

Type of Aid: Capital per application

Formula: NA

Matching Requirement: Federal assistance limited to 80%, non-Federal share from grantee.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	6,500,000	NA	NA
SFY 05-06	6,500,000	NA	NA
SFY 06-07	7,582,000	NA	NA

Amounts Disbursed: Information not supplied by agency.

URBAN MASS TRANSPORTATION ASSISTANCE CAPITAL IMPROVEMENT

Objective: Supports capital improvement in both public and private mass transportation systems in metropolitan and other urban areas.

Administering Agency: NYS Department of Transportation; US Department of Transportation

Specific Program URL: NA

NYS Object Code: 65401

Year Established: 1964

Catalog of Federal Domestic Assistance No.: 20.500

Legal Authority:

Law: US Federal Transit Act of 1991

Regulation: NA

Program Contact:

Ron Epstein
Transit Bureau
NYS Department of Transportation
50 Wolf Road, Pod 5-4
Albany, NY 12232-0414
(518) 457-8343
E-Mail: repstein@dot.state.ny.us

Fiscal Contact:

Carlton Boorn
Director
Accounting Bureau
Office of Budget and Finance
NYS Department of Transportation
50 Wolf Road, Pod 5-2
Albany, NY 12232
(518) 457-2424
E-Mail: cboorn@dot.state.ny.us

Eligibility: State Governments and Municipal Sponsors including Counties, Cities, Towns and Transportation Authorities. Projects assembled by State for local and regional transit sponsors.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Section 5309 monies may be used for capital purposes while Section 5307 monies can be used for capital and planning purposes.

Action Required to Receive Aid: Competitive application for aid is required for Section 5309 monies. Non-competitive application for aid is required for Section 5307 monies.

Description of Aid:

Flow of Funds: Federal to Local Sponsor; State reimburses Local Sponsor for ten percent of total capital costs.

Type of Aid: Project Grant

Formula: Based on population for Federal Section 5307, State funding based on receipt of Federal grant.

Matching Requirement: Federal 80%, State 10%, Local 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Dedicated Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	15,815,000	NA
SFY 05-06	NA	17,000,000	NA
SFY 06-07	NA	18,000,000	NA

Amounts Disbursed: Information not supplied by agency.

VETERANS SERVICES

Objective: Supports operations of local veterans' agencies.

Administering Agency: NYS Division of Veterans' Affairs

Specific Program URL: NA

NYS Object Code: 69903

Year Established: 1946

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 359

Regulation: NA

Program Contact:

Harvey J. McCagg
 Executive Deputy Director
 Division of Veterans' Affairs
 5 Empire State Plaza, Suite 2836
 Albany, NY 12223-1551
 (518) 474-6784
 E-Mail: hmccagg@veterans.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties and Cities. Must be a veterans' services agency.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for maintenance and operation of local veterans' services agency.

Action Required to Receive Aid: Non-competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Complex formula, refer to NY Executive Law, Section 359.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	575,000	NA
SFY 05-06	NA	680,000	NA
SFY 06-07	NA	680,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	541,047	NA
SFY 05-06	NA	538,579	NA

Workers' Compensation Board

ADVOCATE FOR BUSINESS

(Technical Assistance Program)

Objective: Provides information and assistance to businesses regarding workers' compensation insurance and complying with the workers' compensation laws. The Board plays a critical role in representing the views of the business community in modifying existing policies and procedures while seeking ways to curb escalating costs to employers; conducts outreach to businesses for the purpose of educating them on how the workers' compensation system works in NY; and serves as a representative on the State's Interagency Task Force for Small Business.

Administering Agency: NYS Workers' Compensation Board

Specific Program URL: NA

NYS Object Code: 14010

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1993, Chapter 729

Regulation: NA

Program Contact:

Advocate for Business
NYS Workers' Compensation Board
20 Park Street, Room 400
Albany, NY 12207
1 (800) 628-3331
E-Mail: NA

Fiscal Contact:

NA

Eligibility: The Board's Advocate for Business provides service to all New York State businesses, including local governments.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Contact the Advocate for Business.

Description of Aid:

General information and education regarding the New York State workers' compensation system. Also, assistance in resolving specific issues related to the workers' compensation system. Meets with business organizations to discuss their concerns and suggestions for improving the workers' compensation system.

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