

ADDITIONAL MUNICIPAL AID PROGRAM (AMA)

Objective: Provides general purpose revenue for unrestricted use to Cities, Towns and Villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60201

Year Established: 2006

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2006, Chapter 50

Regulation: NA

Program Contact:

Linda Collett
 Division of Local Government Services and
 Economic Development – Data Management Unit
 NYS Office of the State Comptroller
 110 State Street, 12th Fl.
 Albany, NY 12236
 (518) 474-4014
 E-Mail: NA

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	77,438,100	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	NA	NA

Comments: These funds are amounts given to Cities, Towns and Villages in addition to their Aid and Incentives for Municipalities (AIM) allocation.

*Officially designated in New York State statute as the Department of Audit and Control.

AID AND INCENTIVES FOR MUNICIPALITIES (AIM)

Objective: Provides general purpose revenue for unrestricted use to Cities, Towns and Villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60201

Year Established: 2005

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2005, Chapter 50

Regulation: NA

Program Contact:

Linda Collett
 Division of Local Government Services and
 Economic Development – Data Management Unit
 NYS Office of the State Comptroller
 110 State Street, 12th Fl.
 Albany, NY 12236
 (518) 474-4014
 E-Mail: NA

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment except some cities have to submit written certification of a multi-year financial plan to DOB.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	1,070,764,100	NA
SFY 06-07	NA	1,144,701,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	NA
SFY 05-06	NA	1,070,624,273	NA

Comments: This program replaces the General Purpose Local Government Aid, the Emergency Financial Assistance to Certain Cities, the Supplemental Municipal Aid and the Emergency Financial Assistance to Eligible Municipalities Programs.

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EMERGENCY FINANCIAL ASSISTANCE TO CERTAIN CITIES

Objective: Provides emergency financial assistance to certain cities.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60301

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Certain cities. Funds are available for some cities that received emergency aid during the prior State fiscal year.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	26,474,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	26,473,913	NA
SFY 05-06	NA	NA	NA

Comments: This program has been discontinued. See the Aid and Incentives for Municipalities Program (AIM).

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EMERGENCY FINANCIAL ASSISTANCE TO ELIGIBLE MUNICIPALITIES

Objective: Provides emergency financial assistance to eligible municipalities.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60302

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties and Cities. Population is used to determine eligibility.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
Specific amount earmarked for payment of costs relating to annual debt service requirements and rental payments on certain locally constructed convention centers.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,814,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	20,813,745	NA
SFY 05-06	NA	NA	NA

Comments: This program has been discontinued. See the Aid and Incentives for Municipalities Program (AIM).

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GENERAL PURPOSE LOCAL GOVERNMENT AID

Objective: Provides general purpose revenue for unrestricted use of cities, towns and villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60101

Year Established: 1965

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages. Funds are available for any municipalities that received funding during the prior State fiscal year.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payments.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	781,322,000	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	781,233,019	NA
SFY 05-06	NA	NA	NA

Comments: The General Purpose Local Government Aid Program was converted to the AIM Program.

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INDIGENT LEGAL SERVICES FUND

Objective: Supports indigent legal defense services.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: <http://www.osc.state.ny.us/localgov/finreporting/index.htm>

NYS Object Code: 66910

Year Established: 2003

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2003, Chapter 62, Part J

Regulation: NA

Program Contact:

Scott Waldorf
Division of Local Government Services
and Economic Development - Data Management Unit
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: NA

Fiscal Contact:

NA

Eligibility: Counties and Cities.

Type of Program and Special Restrictions: Mandatory pursuant to Article 18-B of County Law. All counties and NYC are required to develop a plan to provide legal defense services to persons of indigent status.

Action Required to Receive Aid: Annual reporting to the State Comptroller.

Description of Aid:

Flow of Funds: State to Local. State to Counties and NYC.

Type of Aid: Ongoing

Formula: Distribution based on each county's (including NYC) percentage share of net statewide indigent legal service expenditures.

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	51,551,720	NA
SFY 05-06	NA	54,221,048	NA
SFY 06-07	NA	80,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	51,551,720	NA
SFY 05-06	NA	54,221,048	NA

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PUBLICATIONS DISTRIBUTED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides a wide variety of research, reference and instructional publications on topics of interest and concern to local officials/associations and the general public.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: <http://www.osc.state.ny.us/localgov>

NYS Object Code: NA

Year Established: The Comptroller's Annual Report and "Financial Data for Local Governments" have replaced the publication "Special Report on Municipal Affairs." Other publications have various establishment dates.

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Comptroller's "Financial Data for Local Governments" authorized by NY General Municipal Law, Section 37. (Other publications may have various legal authorizations.)

Regulation: NA

Program Contact:

Joseph Green
Publications and Web Services
NYS Office of the State Comptroller
110 State Street, 12th Floor
Albany, NY 12236
(518) 474-3790
E-Mail: localgov@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Eligibility restrictions do not apply.

Type of Program and Special Restrictions: The Division of Local Government Services and Economic Development maintains over 30 publications including research reports, policy reports, reference publications, accounting systems for various types of local government entities, and accounting procedure manuals.

Action Required to Receive Aid: Publications are routinely distributed. The publications are also available on the OSC website. All publications are available to the public upon request.

Description of Aid:

Many publications provide an explanation of the legal and accounting procedures to be followed by local governments. Other publications provide guidance on governmental operations, raise policy issues related to local government, or list comprehensive statistical and financial data on thousands of local government entities in the State.

Comments: A publications request form may be obtained by contacting the OSC at the address/telephone number shown above.

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SUPPLEMENTAL MUNICIPAL AID

Objective: Provides additional emergency financial assistance to eligible municipalities.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: 60305

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 2001, Chapter 50

Regulation: NA

Program Contact:

Anthony Dolan
Data Management Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 474-4014
E-Mail: tdolan@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action for aid required, automatic payment.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	182,874,002	NA
SFY 05-06	NA	NA	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	182,874,002	NA
SFY 05-06	NA	NA	NA

Comments: This program has been discontinued. See the Aid and Incentives for Municipalities Program (AIM).

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TECHNICAL ASSISTANCE IN FINANCIAL AND ACCOUNTING MATTERS OFFERED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides technical assistance to local officials in conducting the everyday duties and responsibilities of their office.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: NA

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Article 3

Regulation: NA

Program Contacts: Program contacts are through OSC regional offices in the following locations:

Buffalo - Office of the State Comptroller, Ellicott Square Building, 295 Main Street, Room 1050, Buffalo, NY 14203; Phone (716) 847-3647; Fax (716) 847-3643; E-Mail: Muni-Buffalo@osc.state.ny.us. Serving: Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, Wyoming Counties.

Rochester - Office of the State Comptroller, The Powers Building, 16 West Main Street, Suite 522, Rochester, NY 14614; Phone (585) 454-2460; Fax (585) 454-3545; E-Mail: Muni-Rochester@osc.state.ny.us. Serving: Cayuga, Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne, Yates Counties.

Syracuse - Office of the State Comptroller, State Office Building, Room 409, 333 East Washington Street, Syracuse, NY 13202; Phone (315) 428-4192; Fax (315) 426-2119; E-Mail: Muni-Syracuse@osc.state.ny.us. Serving: Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence Counties.

Binghamton - Office of the State Comptroller, State Office Building, Room 1701, 44 Hawley Street, Binghamton, NY 13901-4417; Phone (607) 721-8306; Fax (607) 721-8313; E-Mail: Muni-Binghamton@osc.state.ny.us. Serving: Broome, Chenango, Cortland, Delaware, Otsego, Schoharie, Sullivan, Tioga, Tompkins Counties.

Glens Falls - Office of the State Comptroller, One Broad Street Plaza, Glens Falls, NY 12801; Phone (518) 793-0057; Fax (518) 793-5797; E-Mail: Muni-GlensFalls@osc.state.ny.us. Serving: Clinton, Essex, Franklin, Fulton, Hamilton, Montgomery, Rensselaer, Saratoga, Warren, Washington Counties.

Albany - Office of the State Comptroller, 22 Computer Drive West, Albany, NY 12205; Phone (518) 438-0093; Fax (518) 438-0367; E-Mail: Muni-Albany@osc.state.ny.us. Serving: Albany, Columbia, Dutchess, Greene, Orange, Putnam, Rockland, Schenectady, Ulster, Westchester Counties.

Hauppauge - Office of the State Comptroller, State Office Building, 3rd Floor, Room 3A-10; Veterans Memorial Highway, Hauppauge, NY 11788-5533; Phone (631) 952-6534; Fax (631) 952-6530; E-Mail: Muni-Hauppauge@osc.state.ny.us. Serving: Nassau, Suffolk Counties.

Eligibility: Technical assistance is available to all local officials throughout the State.

Type of Program and Special Restrictions: None

Action Required to Receive Aid: Local officials receive technical assistance in financial, accounting and procedural matters through telephone contacts, by way of the Internet, through on-site visits, and through participation in periodic training seminars at various locations throughout the State.

Description of Aid:

OSC staff provide guidance, clarification and instruction to local officials in areas of finance, accounting and procedural matters. Additionally, OSC staff can help local governments review their operations with the goal of developing efficiency through cooperation and consolidation both within a local government and with other local governments.

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TRAINING FOR LOCAL GOVERNMENT OFFICIALS AND ORGANIZATIONS OFFERED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides training for local government officials in areas regarding their daily responsibilities for municipal operations such as fiscal management, economy and efficiency, accounting procedures, purchasing and legal issues.

Administering Agency: NYS Office of the State Comptroller*

Specific Program URL: <http://www.osc.state.ny.us>

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Sandra Powell
Training Coordination Unit
Division of Local Government Services and
Economic Development
NYS Office of the State Comptroller
110 State Street, 12th Fl.
Albany, NY 12236
(518) 473-0005
E-Mail: localtraining@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Training is available to all local officials representing cities, counties, towns, villages, school and fire districts, as well as justice courts.

Type of Program and Special Restrictions: Training is available in areas dealing primarily with local government fiscal operations. This includes fiscal management, economy and efficiency, internal control, roles and responsibilities, accounting procedures, accountability, purchasing and legal issues.

Action Required to Receive Aid: Local officials are invited to attend training offered by OSC staff through periodic publications such as the quarterly "Local Government Connection" newsletter, direct mail, on-site visits and through working with statewide and regional local official organizations. Training can also be initiated by a request to OSC from one or more local officials or organizations. A calendar of local official training is maintained at the Municipal Government Partnership on OSC's website: <http://www.osc.state.ny.us>.

Description of Aid:

Training is offered in a variety of formats including: several annual "schools" for local officials, periodic instruction programs in double-entry accounting, regional seminars and workshops, customized presentations for specific local governments, and OSC participation in statewide and regional conferences. An addition to the OSC training format is the use of live, interactive, statewide satellite teleconferences. Copies of these two hour evening programs are available for \$15 which includes handout materials, postage and handling. A complete list of OSC publications and teleconference videos is available by visiting OSC's website: <http://www.osc.state.ny.us>. OSC staff may also be available upon request as speakers at other meetings and seminars.

Comments: Questions or requests about training opportunities should be directed to OSC's Training Coordination unit at the address, telephone number, and e-mail shown above.

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